

CONFLICT OF INTEREST STATEMENTS

Time of **Proposal**

for **External Consultants or Collaborators** (Investigators) ONLY

This form is for the inclusion of consultants and collaborators EXTERNAL to the University of Louisville that are involved on proposals for **PHS**, **NSF**, and **DOE** research submissions. This also includes agencies* that are following the PHS FCOI regulations.

Form required for:

Public Health Service (PHS)**

National Science Foundation (NSF)

Department of Energy (DOE)

**A current list of PHS entities following the FCOI regulation are available here: <http://thefdp.org/default/fcoi-clearinghouse/fcoi-agencies/>.

*Additional DHHS and other non-PHS agencies following PHS FCOI requirements include:

Administration for Children and Families (ACF)	Arthritis Foundation (AF)
Administration on Aging	Crohn's & Colitis Foundation of America
Alliance for Lupus Research (ALR)	CurePSP
Alpha-1 Foundation	Johnson & Johnson
American Asthma Foundation	Juvenile Diabetes Research Foundation (JDRF)
American Cancer Society (ACS)	Lupus Foundation of America (LFA)
American Heart Association (AHA)	Patient-Centered Outcomes Research Institute (PCORI)
American Lung Association (ALA)	Susan G. Komen for the Cure

Collaborators under Sub-Recipient contracts are covered in their Sub-recipient Commitment Form from their institution. They DO NOT need to complete this form if a sub-recipient commitment form has been submitted.

Questions? Contact:

Conflict of Interest Office

coi@louisville.edu

502-852-7612

<http://louisville.edu/conflictinterest>

Return this form to the U of L Conflict of Interest Office

Email: coi@louisville.edu

Conflict of Interest Office
Jouett Hall
2301 S. Third Street
Louisville, KY 40292

Time of Proposal

**CONFLICT OF INTEREST (COI) REQUIREMENT
for External Consultants or Collaborators (Investigators) ONLY**

CONSULTANT/COLLABORATOR INFORMATION (LEGAL NAMES, NO NICKNAMES)			
Name		E-mail*	

*This email must also be solely under the applicant’s use.

PROJECT INFORMATION	All fields must be completed
Project Title	
U of L Principal Investigator	
Role on Project (consultant, collaborator, etc.)	
Name of Applicant’s Current Institution/Organization	

COI STATEMENTS – Answer both sections (1 & 2)

1

I, _____, affirm that neither I nor my spouse nor dependent children have participated in any activities that would constitute an organizational conflict of interest. Furthermore, I certify and agree to COI Statement _____ (A or B) below in regards to the above mentioned project.

- A. I affirm that neither I nor my spouse nor dependent children has any financial or other interest that could directly and significantly affect the design, conduct, or reporting of the proposed project. This would include those interests that are directly affected by the outcome of the project.
- B. I affirm that I have interests that are directly tied to the outcomes of the proposed project. Upon award of this project, I agree to work with the COI Program at the University of Louisville to implement appropriate management.
If B: Are any of these interests foreign (non-US)? Select one: YES _____ /or/ NO _____

2

Make one selection below:
I and/or my spouse DO _____ /or/ DO NOT _____ currently receive (or expect to receive during the course of this project), compensation* from a foreign (non-US) government talent recruitment program, or other similar foreign government-run or funded program? *Compensation includes, but is not limited to, cash, research funding/ graduate students, reimbursed expenses, including travel expenses, honorific title/award, housing expenses, promised future compensation, offers of future employment or board positions, or other types of remuneration or consideration.

Signature: _____ Date: _____

If awarded, any changes to the above COI Statement must be reported to the University of Louisville COI Office within thirty (30) days of the change. Please note that investigators may be required to complete additional requirements at the time of award and annually.