CONFLICT OF INTEREST STATEMENTS

Time of Proposal

for External Consultants or Collaborators (Investigators) ONLY

This form is for the inclusion of consultants and collaborators EXTERNAL to the University of Louisville that are involved on proposals for PHS, NSF, and DOE research submissions. This also includes agencies* that are following the PHS FCOI regulations.

Form required for:
Public Health Service (PHS)**
National Science Foundation (NSF)
Department of Energy (DOE)

**A current list of PHS entities following the FCOI regulation are available here: http://thefdp.org/default/fcoi-clearinghouse/fcoi-agencies/.

*Additional DHHS and other non-PHS agencies following PHS FCOI requirements include:

| Administration for Children and Families (ACF) | Arthritis Foundation (AF) |
| Administration on Aging | Crohn’s & Colitis Foundation of America |
| Alliance for Lupus Research (ALR) | CurePSP |
| Alpha-1 Foundation | Johnson & Johnson |
| American Asthma Foundation | Juvenile Diabetes Research Foundation (JDRF) |
| American Cancer Society (ACS) | Lupus Foundation of America (LFA) |
| American Heart Association (AHA) | Patient-Centered Outcomes Research Institute (PCORI) |
| American Lung Association (ALA) | Susan G. Komen for the Cure |

Collaborators under Sub-Recipient contracts are covered in their Sub-recipient Commitment Form from their institution. They DO NOT need to complete this form if a sub-recipient commitment form has been submitted.

Questions? Contact:
Conflict of Interest Office
coi@louisville.edu
502-852-7612
http://louisville.edu/conflictofinterest
CONFLICT OF INTEREST (COI) REQUIREMENT
for External Consultants or Collaborators (Investigators) ONLY

CONSULTANT/COLLABORATOR INFORMATION (LEGAL NAMES, NO NICKNAMES)

<table>
<thead>
<tr>
<th>Name</th>
<th>E-mail*</th>
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*This email must also be solely under the applicant’s use.

PROJECT INFORMATION
All fields must be completed

<table>
<thead>
<tr>
<th>Project Title</th>
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<tbody>
<tr>
<td>U of L Principal Investigator</td>
</tr>
<tr>
<td>Role on Project (consultant, collaborator, etc.)</td>
</tr>
<tr>
<td>Name of Applicant’s Current Institution/Organization</td>
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COI STATEMENTS – Answer both sections (1 & 2)

I, __________________, affirm that neither I nor my spouse nor dependent children have participated in any activities that would constitute an organizational conflict of interest. Furthermore, I certify and agree to COI Statement ______ (A or B) below in regards to the above mentioned project.

1. A. I affirm that neither I nor my spouse nor dependent children has any financial or other interest that could directly and significantly affect the design, conduct, or reporting of the proposed project. This would include those interests that are directly affected by the outcome of the project.

2. B. I affirm that I have interests that are directly tied to the outcomes of the proposed project. Upon award of this project, I agree to work with the COI Program at the University of Louisville to implement appropriate management.

If B: Are any of these interests foreign (non-US)? Select one: YES_____ /or/ NO_____

Make one selection below:
I and/or my spouse DO_____/or/ DO NOT_____ currently receive (or expect to receive during the course of this project), compensation* from a foreign (non-US) government talent recruitment program, or other similar foreign government-run or funded program? *Compensation includes, but is not limited to, cash, research funding/graduate students, reimbursed expenses, including travel expenses, honorific title/award, housing expenses, promised future compensation, offers of future employment or board positions, or other types of remuneration or consideration.

Signature: ___________________________________________ Date:________________________

If awarded, any changes to the above COI Statement must be reported to the University of Louisville COI Office within thirty (30) days of the change. Please note that investigators may be required to complete additional requirements at the time of award and annually.

Return this form to the U of L Conflict of Interest Office
Email: coi@louisville.edu

Time of Proposal

Phone: 502-852-7612 
Website: louisville.edu/conflictofinterest 
Email: coi@louisville.edu