



## CONFLICT OF INTEREST CERTIFICATION

NOTE: If the services being provided by this Personal Services Contract are being funded from a sponsored project (e.g. speedtype begins with CB, CN, CP, EB, EN, EP, IN, IB, IP, GN, GB, GP, or CS), the Principal Investigator (PI) must also sign this Conflict-of-Interest Certification.

By signature below, the Chair/Department Head or the individual(s) that signs the Personal Service Contract as Recommended By in the signature block listed as Chair/Department Head is certifying:

- (1) That he/she/they is/are not and will not be in violation of the University of Louisville Conflict of Interest (COI) Policies found at <http://louisville.edu/conflictinterest/coi-policies> by this Personal Services Contract being executed;
- (2) That neither he/she/they nor any member of his/her/their immediate family<sup>1</sup> has an interest in any business entity/individual involved in the performance of this contract<sup>2</sup>; and
- (3) That any potential conflict of interest involving this contract has been disclosed in accordance with UofL's Conflict of Interest policies and,

(A) there was no Conflict of Interest which was required to be managed by a Management Plan; OR

(B) this contract is being executed in accordance with an approved Management Plan.

\_\_\_\_\_  
Signature - Chair / Department Head

\_\_\_\_\_  
Signature – Principal Investigator (PI)  
\*if funded by sponsored project

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

<sup>1</sup> For definition of immediate family under University of Louisville COI policy see <http://louisville.edu/conflictinterest/coi-policies>. See definition section within "Addressing Potential Individual Conflict of Interest Policy and Procedures".

<sup>2</sup> If the PSC is federally funded: No employee, officer, or agent may participate in the selection, award, or administration of a contract supported by a Federal award if he or she has a real or apparent conflict of interest. Such a conflict of interest would arise when the employee, officer, or agent, any member of his or her immediate family (which in this context is the federal definition of immediate family), his or her partner, or an organization which employs or is about to employ any of the parties indicated herein, has a financial or other interest in or a tangible personal benefit from a firm considered for a contract.