



Schedule for the
Board of Trustees
September 2, 2010

Trustees are invited to attend all meetings.

- | | |
|--------------------------|--|
| 11:30 a.m. | New Trustee Orientation
<i>(Abhari, Abrams, Frazier, Holland, Rounsavall, Staat)</i>
President's Conference Room |
| 12:45 p.m. | Nominating Committee
<i>(Porter, Abrams, Fryrear, Jackson, Selvidge)</i>
Jefferson Room |
| 1:00 p.m. | Personnel Committee
<i>(Abrams, Fryrear, Cosby, Hughes, Lynn, Minnifield, Staat)</i>
Jefferson Room |
| Immediately
Following | Annual Meeting of the Board of Trustees
Jefferson Room |

**NOMINATING COMMITTEE
BOARD OF TRUSTEES**

**12:45 p.m., September 2, 2010
Jefferson Room, Grawemeyer Hall**

Agenda

- | | | |
|--|--------|-----|
| I. Call to Order | Porter | Tab |
| • Approval of Minutes, September 17, 2009 | | 1 |
| II. Discussion Regarding 2010-11 Slate of Officers
and Appropriate Action, if any | Porter | |
| III. Adjournment | | |

Committee Members:
Mr. Chester Porter, Chair
Ms. Marie Abrams, Vice Chair
Mr. Brent Fryrear
Ms. Rebecca Jackson
Dr. William Selvidge

PERSONNEL COMMITTEE
UNIVERSITY OF LOUISVILLE BOARD OF TRUSTEES

1:00 p.m., September 2, 2010
Jefferson Room, Grawemeyer Hall
Open Session Agenda

			Tab
I.	Call to Order	Abrams	
	• Welcome New member, Dr. Robert Staat		
	• Approval of Minutes, July 8, 2010		1
II.	Action Item: Approval of the Facility Housing the Main Components of the College of Education and Human Development be Designated the Woodford and Harriett Porter Building	Willihnganz	2
III.	Action Item: Approval of Changes to Redbook 4.2.2.C	Willihnganz	3
IV.	Action Item: Approval of Changes to Job Description For Dean, University Libraries	Willihnganz	4
V.	Executive Session	Abrams	
	• Motion to Recess to discuss personnel matters pursuant to KRS 61.810(1)(f)		
VI.	Open Meeting Reconvenes	Abrams	
	• Report on Executive Session		
	• Appropriate action, if any		
VII.	Other Business		
VIII.	Adjournment		

Committee Members:
Marie Abrams, Chair
Brent Fryrear, Vice Chair
Kevin Cosby
Robert Curtis Hughes
Mark Lynn
Frank Minnifield
Robert Staat

PERSONNEL COMMITTEE
UNIVERSITY OF LOUISVILLE BOARD OF TRUSTEES

Executive Session Agenda

- | | | | |
|------|-----------------------------|-------------|-----|
| I. | Call to Order | Abrams | Tab |
| II. | Personnel Matters | | |
| | • Monthly Personnel Actions | Willihnganz | 5 |
| III. | Adjournment | | |

ANNUAL MEETING OF THE
UNIVERSITY OF LOUISVILLE
BOARD OF TRUSTEES
September 2, 2010
Jefferson Room, Grawemeyer Hall

IN OPEN SESSION

			Tab
I.	Call to Order	Frazier	
	• Welcome New Board member, Dr. Robert Staat		
II.	Consent Agenda		
	A. <u>Approval of Minutes</u>		
	• Special Board Meeting at Annual Retreat, 7/08/10		1
	• Regular Board Meeting at Annual Retreat, 7/09/10		2
	B. <u>From the Personnel Committee</u>		
	• Action Item: Approval of Monthly Personnel Actions		3
	• Action Item: Approval of the Facility Housing the Main Components of the College of Education and Human Development be Designated the Woodford and Harriett Porter Building		4
	• Action Item: Approval of Changes to Redbook 4.2.2.C		5
	• Action Item: Approval of Changes to Job Description: Dean of University Libraries		6
III.	Report of the Nominating Committee	Porter	
	• Action Item: Approval of Officers for 2010-11		7 *
IV.	Action Item: Approval of Energy Savings Performance Contracting Project, HSC, Shelby and Additional Belknap Buildings	Ramsey	8
V.	Report of the Ad Hoc Committee to Review CHS Proposal	Hughes	
VI.	Action Item: Approval of Goals, 2010-2011	Ramsey	9
VII.	Information Item: Report from the UofL Foundation	George	
VIII.	Information Item: Report from the Alumni Association	Abrams	
IX.	Report of the President	Ramsey	
X.	Adjournment		

Tab 7 – Under Separate Cover

MINUTES OF THE NOMINATING COMMITTEE MEETING
OF THE UNIVERSITY OF LOUISVILLE BOARD OF TRUSTEES

September 17, 2009

The Nominating Committee of the University of Louisville Board of Trustees met on Thursday, September 17, 2009 at 1:00 p.m. in the President's Conference Room of Grawemeyer Hall, Belknap Campus, with members present and absent as follows:

Present: Mr. J. Chester Porter, Chair
Mr. Brent Fryrear
Ms. Margaret Handmaker

Absent: Ms. Rebecca Jackson
Mr. Frank Minnifield

Other Trustees

Present: Dr. Robert Curtis Hughes
Dr. Mark Lynn

From the

University: Ms. Angela Koshewa, University Counsel
Mrs. Kathleen M. Smith, Assistant Secretary
Ms. Debbie Dougherty, Board Liaison

I. Call to Order

Having determined a quorum present, Chair Porter called the meeting to order at 1:00 p.m.

Minutes of November 13, 2008

Ms. Handmaker made a motion, which Mr. Fryrear seconded, to approve the minutes of November 13, 2008. The motion passed.

II. Discussion Regarding Slate of Officers

Chair Porter reported the purpose of the meeting was to determine the slate of officers and Executive Committee members for 2009-2010. Mr. Fryrear made a motion, which Ms. Handmaker seconded, to approve the following slate:

CHAIR
VICE CHAIR
TREASURER
SECRETARY
AT LARGE

Owsley B. Frazier
Salem George
Frank Minnifield
Robert C. Hughes
Debbie Scoppechio

**PAST CHAIR
EX OFFICIO, NONVOTING
CONSTITUENCY
BOARD COUNSEL
ASSISTANT SECRETARY
ASSISTANT TREASURER**

**J. Chester Porter
O J Oleka, Student Trustee
Angela Koshewa
Kathleen Smith
Michael Curtin**

The motion passed.

IV. Adjournment

Mr. Fryrear made a motion, which Ms. Handmaker seconded, to adjourn the meeting at 1:05 p.m. The motion passed.

MINUTES OF THE MEETING OF THE PERSONNEL COMMITTEE OF THE
UNIVERSITY OF LOUISVILLE BOARD OF TRUSTEES

July 9, 2010

In Open Session

Members of the Personnel Committee of the University of Louisville Board of Trustees met on Friday, July 9, 2010, at 9:10 a.m., in the Bullion Room of the Leaders Club located in Fort Knox, Kentucky, with members present and absent as follows:

Present Ms. Marie Abrams, Chair
 Mr. Brent Fryrear
 Dr. Robert Curtis Hughes
 Prof. Melissa Laning
 Dr. Mark Lynn
 Mr. Frank Minnifield

Absent: Dr. Kevin Cosby

Other Trustees

Present: Mr. Jonathan Blue
 Mr. Ron Butt
 Mr. Owsley Frazier
 Dr. Salem George
 Ms. Augusta Brown Holland
 Mr. Robert W. Rounsavall, III
 Ms Debbie Scoppechio
 Dr. William Selvidge
 Ms. Phoebe Wood

From the
University:

Dr. James R. Ramsey, President
Dr. Shirley Willihnganz, Executive Vice President and Provost
Dr. Larry Cook, Executive Vice President for Health Affairs
Dr. William Pierce, Interim Executive Vice President for Research
Ms. Angela D. Koshewa, University Counsel
Mr. Mike Curtin, Vice President for Finance
Mr. Mark Hebert, Director, Media Relations
Ms. Cindy Hess, Director, Communications & Marketing
Mrs. Kathleen M. Smith, Assistant Secretary
Mrs. Debbie Dougherty, Board Liaison

I. Call to Order

Having determined a quorum present, Chair Abrams called the meeting to order at 9:10 a.m.

Minutes Approved

Mr. Minnifield made a motion, which Prof. Laning seconded, to approve the minutes of June 10, 2010. The motion passed.

II. Executive Session

Dr. Hughes made a motion, which Mr. Minnifield seconded, to go into executive session at 9: 15a.m. The motion passed.

III. Open Session Reconvened

The open session reconvened at 10:45 a.m. Chair Abrams reported that the Committee discussed personnel matters in executive session.

Personnel Recommendations

Dr. Hughes made a motion, which Mr. Minnifield seconded, to approve the

President's recommendations that the following personnel recommendations be approved by the Board of Trustees.

COLLEGE OF ARTS AND SCIENCES

Ed Hamilton, Doctor of Arts (honorary), University of Louisville; Frederic Lindley Morgan Chair of Architectural Design, January 1, 2011 through May 31, 2011.

Cynthia L. Negrey, Ph.D., Associate Professor of Sociology; change of additional appointment from Acting Chair to Chair of Sociology, July 9, 2010. The appointment as Chair is at the pleasure of the Board of Trustees.

BRANDEIS SCHOOL OF LAW

Kathleen S. Bean, J.D., Professor of Law; change of additional appointment from Acting Associate Dean to Associate Dean for Student Life, July 9, 2010. The appointment as Associate Dean is at the pleasure of the Board of Trustees.

SCHOOL OF MEDICINE

Mark V. Boswell, M.D., Ph.D., Case Western Reserve University; Professor of Anesthesiology and Perioperative Medicine, with tenure, Chair of Anesthesiology and Perioperative Medicine, and the Lolita S. and Samuel D. Weakley Endowed Research Chair in Anesthesiology, October 1, 2010. The appointment as Chair of Anesthesiology and Perioperative Medicine is at the pleasure of the Board of Trustees.

Rebecca L. Tamas, M.D., Assistant Professor of Psychiatry and Behavioral Sciences (Term); additional appointment as the Auerbach Family Endowed Chair in Psycho-Oncology, October 1, 2010.

The motion passed.

Faculty Grievance

Dr. Hughes made a motion, which Dr. Lynn seconded, to approve the

Acceptance of the attached June 9, 2010 decision of the President in the Wayne Zundel grievance.

The motion passed.

IV. Adjournment

Prof. Laning made a motion, which Mr. Minnifield seconded, to adjourn the meeting at 10:50 a.m. The motion passed.

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING NAMING THE COLLEGE OF EDUCATION AND
HUMAN DEVELOPMENT

Personnel Committee – September 2, 2010
Board of Trustees – September 2, 2010

RECOMMENDATION:

The President recommends that the facility housing the main components of the College of Education and Human Development be designated the Woodford and Harriett Porter Building.

BACKGROUND:

Mr. Woodford "Woody" Porter Sr. was the first African-American elected to the Louisville Board of Education. He also was the first African-American elected Chair of the Louisville Board of Education. He was the first African-American to serve on the University of Louisville's Board of Trustees and served 24 years as a Trustee. He was the first African-American member elected to serve as Chair of UofL's Board of Trustees and served four terms as chair. Mr. Porter received the Minerva Award of Merit from the University of Louisville (the University's highest recognition award) in 1980. He was a director of the UofL Foundation and a member of the UofL Board of Overseers.

Mrs. Harriet Porter graduated from the Louisville Municipal College (later assumed by the University of Louisville). She taught for more than two decades at Central High School and retired in 1970. As a survivor of breast cancer in the late 1950's, Mrs. Porter became deeply involved in programs for husbands of women with breast cancer and the ACS Reach to Recovery program for women who had mastectomies.

The Porters were avid Cardinal fans and supporters throughout their lives. They had a deep dedication to "education as the great equalizer" and set examples through their community involvement to promote educational opportunity.

Committee Action:

Passed: _____
Did Not Pass: _____
Other: _____

Assistant Secretary

Board Action:

Passed: _____
Did Not Pass: _____
Other: _____

Assistant Secretary

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING AMENDMENT OF REDBOOK 4.2.2.C

Personnel Committee - September 2, 2010
Board of Trustees - September 2, 2010

RECOMMENDATION:

The President recommends that Section 4.2.2.C of *The Redbook* be amended as follows:

Redbook 4.2.2.C

Extension of Probationary Period. A faculty member who faces extenuating circumstances that do not require a leave of absence but result in a significant reduction in ability to perform normal duties (such as personal illness, the birth or adoption of a child, or care of an ill family member) may request an extension of the probationary period for no less than six months and no more than one year. A second extension may be granted for a second extenuating circumstance. An extension shall not be granted more than two (2) times within the probationary period of a faculty member. Such extensions must be requested and approved before the end of the fifth year of the probationary period and must have documentation satisfactory to the Executive Vice President and University Provost.

BACKGROUND:

The Commission on the Status of Women voiced a concern that the *Redbook* is not clear enough that faculty members may "stop the clock" in tenure cases for personal reasons such as having a child or taking care of an ill family member. They suggested that the University would be seen as a more "family friendly" organization if examples were included in the *Redbook*.

The amendment was approved by the *Redbook* Committee of the Faculty Senate and the Faculty Senate on July 7, 2010. The Executive Vice President/University Provost joins the President in making this recommendation.

Committee Action:

Passed: _____
Did Not Pass: _____
Other: _____

Assistant Secretary

Board Action:

Passed: _____
Did Not Pass: _____
Other: _____

Assistant Secretary

MINUTES OF THE SPECIAL MEETING OF THE UNIVERSITY OF LOUISVILLE
BOARD OF TRUSTEES

July 8, 2010

In Open Session

Members of the University of Louisville Board of Trustees met on Thursday, July 8, 2010, in the Duke Room of the Cantigny Dining Facility of Fort Knox, Kentucky, with members present and absent as follows:

Present: Mr. Owsley B. Frazier, Chairman
Ms. Marie Abrams
Mr. Jonathan Blue
Mr. Ron Butt
Mr. Brent Fryrear
Dr. Salem George
Ms. Augusta Brown Holland
Prof. Melissa Laning
Dr. Mark Lynn
Mr. Robert W. Rounsavall, III
Ms. Debbie Scoppechio
Dr. William Selvidge
Ms. Phoebe Wood

Absent: Ms. Sana Abhari
Dr. Kevin Cosby
Dr. Robert Curtis Hughes
Judge Rebecca Jackson
Mr. Frank Minnifield
Ms. Brucie Moore
Mr. J. Chester Porter

From the University: Dr. James R. Ramsey, President
Dr. Shirley Willihnganz, Executive Vice President and Provost
Dr. Larry Cook, Executive Vice President for Health Affairs
Dr. William Pierce, Interim Executive Vice President for Research & Innovation
Ms. Angela Koshewa, University Counsel
Mr. Mike Curtin, Vice President for Finance
Mr. Mark Hebert, Director, Media Relations
Mrs. Kathleen M. Smith, Assistant Secretary
Mrs. Debbie Dougherty, Board Liaison

I. Call to Order

Having determined a quorum present, Chair Frazier called the meeting to order at 1:40 p.m. Chair Frazier welcomed new trustees Ms. Augusta Brown Holland and Mr. Robert Rounsavall, III.

II. Evaluation of the President

Chair Frazier explained the purpose of the meeting was to review President Ramsey's performance for 2009-10 based upon the completion of goals the board had established. Earlier the Compensation Committee met with President Ramsey and assessed the President's completion of goals set last year and one-time strategic goals set in 2002. The President reviewed the progress and reported 34 of the 35 goals were complete, 30 of those 35 exceeded the board's expectations.

Chair Frazier opened the discussion of the President's evaluation. Chair Frazier noted in an earlier meeting, the Compensation Committee acknowledged the President's deep appreciation of their bonus offer but he declined receipt because faculty and staff were not receiving salary increases. The continuing budget cuts by the Legislature and the dire fiscal times had severely impacted the University's budget. Mr. Frazier stated that it was important, however, if the board thought Dr. Ramsey had performed well, a public statement would be made by the Board that the fiscal environment constrained the Board's wishes to compensate Dr. Ramsey appropriate to its judgment. A public statement in the regular Board meeting regarding the Board's approval of Dr. Ramsey's performance during this past year and the Board's strong support for his significant achievements would be summarized from the discussion. The Board then discussed the President's performance. Ms. Smith compiled comments and drafted a statement for the board's review at its meeting on July 9, 2010.

III. Adjournment

Mr. Fryrear made a motion, which Ms. Scoppechio seconded, to adjourn the meeting at 2:00 p.m.

MINUTES OF THE REGULAR MEETING OF THE BOARD OF TRUSTEES
OF THE UNIVERSITY OF LOUISVILLE

In Open Session

Members of the University of Louisville Board of Trustees met at 10:40 a.m. on Friday, July 9, 2010, in the Bullion Room, Leaders Club, located at Fort Knox, Kentucky, with members present and absent as follows:

Present: Mr. Owsley B. Frazier, Chair
Ms. Marie Abrams
Mr. Jonathan Blue
Mr. Ron Butt
Mr. Brent Fryrear
Dr. Salem George
Ms. Augusta Brown Holland
Dr. Robert Curtis Hughes
Prof. Melissa Laning
Dr. Mark Lynn
Mr. Frank Minnifield
Mr. Robert W. Rounsavall, III
Ms. Debbie Scoppechio
Dr. William Selvidge
Ms. Phoebe Wood

Absent: Ms. Sana Abhari
Dr. Kevin Cosby
Judge Rebecca Jackson
Ms. Brucie Moore
Mr. J. Chester Porter

From the
University: Dr. James R. Ramsey, President
Dr. Shirley Willihnganz, Executive Vice President and Provost
Dr. Larry Cook, Executive Vice President for Health Affairs
Dr. William Pierce, Interim Executive Vice President for Research
Ms. Angela D. Koshewa, University Counsel
Mr. Mike Curtin, Vice President for Finance
Mr. Mark Hebert, Director, Media Relations
Ms. Cindy Hess, Director of Communications and Marketing
Ms. Susan Ingram, Director of Budgets
Ms. Kathleen M. Smith, Assistant Secretary
Ms. Debbie Dougherty, Board Liaison

I. Call to Order

Having determined a quorum present, Chair Frazier called the meeting to order at 10:40 a.m.

II. Consent Agenda

A. Approval of Minutes

- Minutes from Board Meeting, 06/10/2010

B. From the Personnel Committee

- Approval of Monthly Personnel Recommendations
- Approval of Disposition of Faculty Grievance

C. Approval of August Graduates and Candidates

Chair Frazier read the consent agenda. Hearing no objection, Mr. Fryrear made a motion, which Dr. Lynn seconded, to approve the consent agenda. The motion passed.

III. Report of the Compensation Committee

Dr. George reported the Compensation Committee met yesterday and received an excellent report from President Ramsey regarding the completion of the 35 goals approved by the board. Dr. Ramsey earned a bonus of 25% of his total salary and the Committee wants the record to reflect this. It is with deep regret the financial condition of the state and the University prohibits implementing this recommendation. Chair Frazier thanked Chair George and his compensation committee for the excellent work. Chair Frazier recognized the outstanding work of President Ramsey and his team, stating the President had earned the maximum bonus. Chair Frazier noted the board's appreciation of the President's decision to decline his bonus because of the dire financial times.

IV. Action Item: Approval of Security Clearance and Managerial Group

President Ramsey noted this action updates the security clearance. It was routine in nature and required by Federal regulations. Dr. Lynn made a motion, which Ms. Wood seconded, to approve the

President's recommendation that the Board of Directors adopt the attached Resolution revising the Managerial Group of the Board of the University of Louisville and waiving of security clearance for officers, Directors, and directors not a part of the managerial group; and

that the President be authorized to take any other steps necessary with regard to agreements or assurances to the Defense Security Service to effect the facility security clearance of the University of Louisville and clearance of its personnel including compliance with the provisions of the National Industrial Security Program Operating Manual or other Federal government policy relating to safeguarding of classified information.

The motion passed.

V. Information Item: Preliminary Discussion for Office of the President Goals for 2010-11

President Ramsey presented a preliminary draft of strategic goals for 2010-11. Upon his encouragement, board members made recommendations to be incorporated in the goals. The President will present a complete set of goals to the board at the September meeting. Drs. Willihnganz, Cook and Pierce presented a preliminary draft of their individual goals. Suggestions and comments will be incorporated in the complete set of goals prior to presentation at the September board meeting.

VI. Information Item: Capital Campaign Fundraising Training with Jennifer McDonough

Vice President Inman introduced Ms. Jennifer McDonough. The University has contracted with Bentz, Whaley, Flessner to assist in charting the course for the University's capital campaign.

Ms. McDonough conducted many interviews across a wide range of people. She referenced several key success factors and noted strong confidence in the University President. From these interviews, she received feedback and recommendations. The campaign goal of \$750M will raise money for academic initiatives, student scholarships, infrastructure improvements, and research programs. The campaign will be announced in Fall 2010.

VII. Report of the President

President Ramsey thanked board members for attending the retreat. He expressed his appreciation to the Trustees for their continued support for the University. Because it was a long meeting with an executive session during the Personnel Committee, he had no further report.

VIII. Report of the Chair

Chair Frazier reported Dr. Ramsey will be recognized for the Louisvillian of the Year Award and will receive the award at a reception on July 16 at the Olmstead. Invitations will be sent soon, and he encouraged everyone to mark their calendars.

Chair Frazier noted the staff had distributed a summary of the president's evaluation conducted on July 8, 2010. He asked that the summary become part of the written record.

Summary of the Trustees Evaluation of
President James R. Ramsey
July 9, 2010

The Board of Trustees evaluated President Jim Ramsey on Thursday, July 8, 2010 during the Trustees Retreat held at Fort Knox. Board members praised Dr. Ramsey and his leadership team for their outstanding performance by achieving, within the context of the University's tenth budget cut over a decade, 34 of the 35 ambitious goals the Board established for 2009-10. Because these goals represent a subset of the extraordinary work of the Office of the President, Trustee Wood asked that Dr. Ramsey consider sharing with the Board in future years the challenges addressed beyond the assigned goals.

Acknowledging the President again dealt with some of the most difficult issues affecting the future of the University of Louisville, Board members cited his leadership during a challenging legislative session, a Belknap Campus under water just three weeks before fall classes, and a rebuilding of the neurosurgery residency program as examples of the ingenuity and tenacious grit he brings to the presidency. Undaunted by hardship, his tenure has moved UofL full speed ahead to preeminence as Kentucky's metropolitan research university. The accomplishment of the Board's goals depicts a university that transforms adversity into opportunity, and creates excellence along the way using Jim Ramsey's compass. The integrity of President Ramsey defines his leadership and sets him apart from all other leaders.

The Board unanimously agreed Dr. Ramsey earned a bonus of 25% of his total salary but respected the President's request to forgo the bonus because the financial condition of the state and the University constrained the ability to compensate appropriately any faculty or staff. It was also noted the President has earned for the past three years, including the current year, his bonus as provided for in his contract, but the worsening economy each year has prohibited the possibility of awarding additional compensation.

The Trustees also noted with enthusiasm the dedicated support of Jane Ramsey in carrying out the University's initiatives. Her leadership of the Beautification Task Force has continued to reap praise from students, alumni, neighbors, and many others about her work.

IX. Adjournment

Judge Jackson made a motion, which Mr. Fryrear seconded, to adjourn the meeting at 11:55 a.m. The motion passed.

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING JOB DESCRIPTION FOR
DEAN OF UNIVERSITY LIBRARIES

Personnel Committee - September 2, 2010
Board of Trustees - September 2, 2010

RECOMMENDATION:

The President recommends that the Board of Trustees approve the revised job description of the Dean of University Libraries as attached hereto as Exhibit A.

BACKGROUND:

The job description of the Dean of University Libraries was rewritten by the Libraries faculty in conjunction with the search for a new dean. It was approved by that faculty and by the Faculty Senate on July 7, 2010.

Approval by the Board is required as part of the unit bylaws as outlined in *Redbook* 3.2.2, which reads in part as follows:

The deans of those units having extensive concurrent jurisdiction with other units involving faculty and curriculum or having as their major functions a service role, such as the University Libraries, must have job descriptions detailing these interrelationships approved by the President and the Faculty Senate and included in Addenda to *The Redbook*.

The Executive Vice President/University Provost joins the President in making this recommendation.

Committee Action:

Passed: _____
Did Not Pass: _____
Other: _____

Assistant Secretary

Board Action:

Passed: _____
Did Not Pass: _____
Other: _____

Assistant Secretary

Job Description: Dean, University Libraries

The Dean leads the Libraries in its mission to meet the needs of a preeminent metropolitan research university, articulates a strategic vision, and serves as advocate for the Libraries within the university and larger community.

The Dean of the University Libraries is the chief administrator for the University Libraries, which includes Ekstrom Library, the Kornhauser Health Sciences Library, the Dwight Anderson Memorial Music Library, the Margaret Bridwell Art Library, and the University Archives and Records Center and works with the Law Library as appropriate. The Dean of University Libraries reports directly to the Executive Vice President and University Provost and is responsible for the successful administration of the Libraries in accordance with *The Redbook* and all other properly authorized policies and procedures of the University of Louisville.

Strategic Leadership

- Facilitates the continuing transformation of the University Libraries into a knowledge commons that integrates diverse resources, seeks new opportunities, engages with the community, collaborates with university units, and sustains a vibrant research and learning community.
- Creates an environment that facilitates research and scholarly activity with an understanding of innovative and traditional methods of information dissemination.
- Establishes a shared vision that promotes the University's and the University Libraries' missions.
- Implements a clear and concise strategic plan to advance that vision.
- Supports a culture of collegial governance.
- Fosters an organizational and educational climate that promotes and celebrates diversity.
- Communicates internally and externally regarding the University Libraries.
- Serves on the Provost's Council of Academic Officers and on the Board of Library Associates.
- Develops strong relationships with Deans of other units, the Provost, President and other University administrators.
- Develops and maintains good relationships with students, faculty, staff and community.
- Promotes and advocates for library programs that address the needs of students, staff, faculty, and the community.

Operational Leadership

- Works with library and department heads, as well as external sources, to identify, evaluate and implement programs that improve services and facilities, enhance the quality of resources and increase operational efficiency within the libraries.
- Functions as chief fiscal officer and provides clear communication about the budget.
- Delegates authority to others for specific administrative tasks.

- Recruits, retains, and leads high-quality faculty and staff.
- Creates an environment which supports professional advancement.
- Acts as an advocate for the libraries and its faculty and staff.
- Fosters professionalism, diversity and a positive work/learning environment in the University Libraries.
- Recommends personnel and annual salary increase actions in accordance with the provisions of *The Redbook*.
- Enforces policies and regulations adopted by the Board of Trustees, the offices of the President and University Provost, the Faculty Senate, and the University Libraries Faculty.

External Representation

- Cultivates external relations and fundraising opportunities with public and private sectors in support of the University Libraries' programs.
- Represents and promotes the University Libraries, formally and informally, to the University, community and the world.
- Participates actively in local, state and national library organizations

RECOMMENDATION TO THE BOARD OF TRUSTEES
 UNIVERSITY OF LOUISVILLE
 REGARDING ENERGY SAVINGS PERFORMANCE CONTRACTING PROJECT
 HSC, SHELBY AND ADDITIONAL BELKNAP CAMPUS BUILDINGS
 September 2, 2010

RECOMMENDATION:

The President recommends that he or his designee be authorized to negotiate and execute, for and on behalf of the University of Louisville, an Energy Savings Performance Contracting Agreement, by and between the University of Louisville and Siemens Industry, Inc., in an amount not to exceed \$25,000,000.

The President also recommends that the Executive Committee of the Board of Trustees be authorized to act on the Board's behalf to approve a financing arrangement, whereby the principal amount would not exceed \$25,000,000, to fund an Energy Savings Performance Contracting Agreement, by and between the University of Louisville and Siemens Industry, Inc.

BACKGROUND:

In September, 2009, the Board of Trustees authorized the President to finalize an agreement between the University of Louisville and Siemens Industry, Inc. (Siemens) to proceed with a 13.5 year contract for Belknap Campus to help trim energy usage through equipment upgrades and enhanced systems for controlling the use of electricity, water and other resources. Implementation of this project is in progress. Siemens has been selected to begin the audit and cost estimating phase of a similar project for the Health Sciences Center (HSC), Shelby Campus and a few additional Belknap Campus buildings.

Siemens projects a reduction of approximately 30% to our annual HSC and Shelby utility bills by implementing energy efficient facility improvements. University of Louisville will not incur any out-of-pocket expenses in this arrangement since Siemens will agree to pay the shortfall if the guaranteed savings fail to cover the cost of making the energy savings improvements. Currently, this project involves 17 selected buildings. Although total project scope is in development phase, the combined total annual projected savings related to these buildings is \$2,016,500. (Based upon the 2008 calendar year unit costs.)

The University plans to finance the program through the sale of bonds. The University of Louisville bond sale must be approved by the Kentucky Office of Financial Management.

Board Action:

Passed: _____
 Did Not Pass: _____
 Other: _____

 Assistant Secretary

Tactical Goals for the Office of the President for 2010-11

No.	Goal	Baseline	Good Performance	Better Performance	Best Performance	Progress Achieved
President						
	Increase new, diverse revenue streams to protect the University from the impact of economic downturns and declining state support		Increase clinical income from both in-patient and out-patient services through greater regionalization of UofL's healthcare faculty	Develop all non-performing assets, including the real estate of the University of Louisville and the UofL Foundation	Pursue TIF strategies for the Kentucky Trailer property and Shelby Campus to support the public infrastructure of the University	
	Recruit and retain the very best faculty, staff, and administrators in order to maintain the momentum of UofL as a premier metropolitan research university and economic driver of the state		Recruit the Executive Vice President for Research and Innovation (EVPRI)	Evaluate the characteristics, traits, and organizational structure for the replacement of the Executive Vice President for Health Affairs (EVPHA) and recruit the very best individual for the position	Develop and pursue innovative initiatives to reward outstanding faculty and staff for their contributions to UofL's ambitious 2020 goals	
	Implement the Capital Campaign and recruit milestone/capstone gifts from the friends of the University		Recruit respected leaders who commit milestone gifts to the University as members of a presidential leadership cabinet	Identify aggressive campaign goals for UofL's units and hold their administrative leaders accountable for achieving these goals	Launch the Capital Campaign with 60% of total giving committed	

DRAFT

EXECUTIVE VICE PRESIDENT AND PROVOST							
	Educational Excellence 1.1: Increase number of baccalaureate degrees awarded annually		Develop specific articulation agreement with Simmons College	Expand Ultra to more KCTCS campuses statewide	Strengthen programs specifically designed to meet the needs of returning adults who have some college credits		
	Educational Excellence 1.2: Increase baccalaureate graduation rate		Track and document non-returning students	Conduct follow-up interviews to determine why students have not registered for next term	Develop interventions		
	Support “Great Places to Work” initiatives through professional development and supervisory training		Implement year-long administrators’ workshop	Implement UBM training	Implement revamped supervisory skills training		

Executive Vice President for Health Affairs

	<p>Creative and Responsible Stewardship</p>		<p>Finalize a plan for transition to the new practice plan organization</p>	<p>Improving employee networks: Identify the needs for improvements to Cardinal Care and work with UMC to develop equivalent program</p>	<p>Retire the remaining “inherited” deficits (\$3.4M); complete evaluation of Pediatrics Outpatient Center and construct appropriately; Provide appropriate faculty academic space; create a new organization for HSC UBMs that strengthens the cohort and integrates the expertise across departments; assist with the transition to the new EVPHA</p>		
	<p>Community Engagement</p>		<p>Bolster the VA relationship through the residency affiliations; achieve the new VA Hospital on HSC campus (to the extent politically possible)</p>	<p>Pursue a Pediatric Affiliation Agreement with Kosair if feasible; redefine partnerships in adult medicine and develop new relationships</p>	<p>Identify one “signature partnership” for completion in 2010-11 (e.g., West End YMCA partnership)</p>		
	<p>Enhance the educational and research program and reputation of the University</p>		<p>Complete the occupancy of the CTRB and plan for all space reassignment in vacated research space as a result of the CTRB moves; identify temporary location for Clinical Trials Office and clinical space</p>	<p>Recommend a revised management structure for clinical trials</p>	<p>Complete the planning phase for the next instructional building; complete the move of Student Health to Cardinal Station and assist the integration of student health with the existing healthcare units there.</p>		

Executive Vice President for Research and Innovation

	<p>Integrate research goals with other 2020 Plan strategic goals</p>		<p><u>Community Engagement</u></p> <p>Work with VP Community Engagement to attract funding for and establish programs such as Promise Neighborhoods</p>	<p><u>Undergraduate Education</u></p> <p>To assist in recruitment and retention the EVPRI will support programs to provide undergraduate students with opportunities for research participation, research symposia, and seminars by Bucks for Brains and other endowed chairs</p>	<p><u>Graduate Education</u></p> <p>The EVPRI will work with the Dean of the School of Interdisciplinary and Graduate Studies to provide tuition fellowship support for doctoral students supported by extramural research funding.</p> <p>Interdisciplinary research and new Ph.D. programs in key areas (e.g., bioinformatics) will be promoted and supported</p>		
	<p>Develop research support and infrastructure</p>		<p>Establish a newly integrated research support service center to promote service and research opportunities.</p>	<p>Complete the commissioning of the Center for Predictive Medicine. Develop a five-year business plan to sustain operations and attract two new extramurally funded contracts</p>	<p>Develop a new Federal Strategy for optimizing interactions with Federal funding agencies and opportunities, to increase Federal research funding.</p>		

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	Lead initiatives in translation and innovation derived from UofL research		Support a strong Clinical and Translational Science Award application. Use translational grants program to support pilot studies that will enable an increase in National Cancer Institute funding.	Support entrepreneurial faculty with newly appointed advisory groups containing local and regional economic development, investment and entrepreneurship experts, and by providing proof-of-concept grant support.	Take a leadership role in driving UofL research findings toward translation and innovation in the regional Aging Care initiative. Achieve goals of Barker Report of 2008 on Technology & Commercialization		
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Office of the President: The 2020 Plan: Making it Happen
2010-11 Goals
DRAFT

8.27.10

Mission Statement: The University of Louisville shall be a premier, nationally-recognized research university with a commitment to the liberal arts and sciences and to the intellectual, cultural, and economic development of our diverse communities and citizens.

Three levels of performance measures are defined as Good: “increase over previous year”; Better: “increase over previous year and meet annual university goal” and Best: “increase over previous year and exceed annual university goal”.

Educational Excellence

1.1 Increase the number of baccalaureate degrees awarded annually

NOTE: Exceeded 2010-11 goal (final baccalaureate degrees awarded for 2009-10 is 2,550). Goals for 2010-11 through 2018-19 have been recalibrated.

University performance 2009-10: 2,550 – **Best Performance**
Recommended recalibrated university goal 2010-11: 2,602

1.2 Increase the baccalaureate graduation rate

University performance 2009 (2003 cohort): 48.4% – **Best Performance**
University goal 2010-11: 49.1%

1.3 Increase doctorate degrees awarded annually

University performance 2009-10: 162 – **Best Performance**
University goal 2010-11: 165

1.5 Enhance national recognition

There are 4 subgoals under this indicator. Progress for the overarching goal will be measured based upon the progress on the 4 subgoals.

Subgoals

1.5a Increase the number of distinguished international/national student scholar awards (i.e. Rhodes, Fulbrights, etc.) (2009-10: 14 Fulbrights + 1 Rhodes = 15: achieved)

1.5b Phi Beta Kappa Chapter (2009-10: site visit planned: achieved)

1.5c Association of American Universities Membership (2009-10: not achieved)

1.5d Association of Research Libraries (ARL) Membership (2009-10: achieved)

Good: Progress on 2 of 4 subgoals

Better: Progress on 3 of 4 subgoals

Best: Progress on 4 of 4 subgoals

University performance: Progress on 3 of 4 subgoals – **Better Performance**

University goal 2010-11: Progress

Research, Scholarship, and Creative Activity

2.1a Increase total grant and contract expenditures

University performance FY10 (final - unaudited): \$188.3M (includes federal stimulus funding – AARA)

Best Performance

Recommended recalibrated university goal FY11: \$195M (includes federal stimulus funding – AARA)

Recommended recalibrated university goal FY14: \$233.4M

2.1c Increase total grant and contract awards

University performance FY10 (final - unaudited): \$182.1M (includes clinical trial agreements – CTAs and federal stimulus funding – AARA)

Best Performance

Recommended recalibrated university goal FY11: \$143.5M (includes clinical trial agreements – CTAs and federal stimulus funding – AARA)

Recommended recalibrated university goal FY14: \$189M

Recommended recalibrated university goal FY20: \$280M

2.3 National Cancer Center (NCI) Cancer Center designation

Note: Progress could include the number of R01 NCI federal grants awarded. Other metrics are being considered.

Number of R01 NCI federal grants (2009-10 goal): 18

University performance 2009-10: 25 – **Best Performance**

University goal 2010-11: 27

2.4 Increase national faculty recognition/awards

University baseline: None

There are 4 subgoals under this indicator. Progress for the overarching goal will be measured based upon the progress on the 4 subgoals.

Subgoals

2.4a National academies memberships (2009-10: 2 – not achieved)

2.4b National faculty awards in arts, humanities, science, engineering, and health (2009-10: 4 – not achieved)

2.4c Increase number of faculty peer-reviewed publications (articles in high impact journals, university presses, academic publishing houses, or other outlets for peer-reviewed scholarship) (2009-10: 1,190 – achieved)

2.4d Increase the number of faculty creative activities in premier venues (2009-10: 73 – achieved)

Good: Progress on 2 of 4 subgoals

Better: Progress on 3 of 4 subgoals

Best: Progress on 4 of 4 subgoals

University performance 2009-10: Progress on 2 of 4 subgoals – **Good Performance**

University goal 2010-11: Progress

Community Engagement

3.1 Increase collaborative partnerships with the community

There are 3 subgoals under this indicator. Progress for the overarching goal will be measured based upon the progress on the 3 subgoals.

Subgoals

3.1a Increase university presence (number of activities) throughout Kentucky (e.g. City Solutions Institute, HSC outreach) (2009-10: 503 – progress)

3.1b Achieve goals of Signature Partnership Initiative (demonstrated progress on 13 programmatic goals) (2009-10: 69% -- progress)

3.1c Achieve Carnegie Classification for Community Engagement designation (2009-10: Achieved)

Good: Progress on 1 of 3 subgoals

Better: Progress on 2 of 3 subgoals

Best: Progress on 3 of 3 subgoals

University performance 2009-10: Progress on 3 of 3 subgoals – **Best Performance**

University goal 2010-11: Progress

3.2 Increase economic development/entrepreneurial activities

There are 5 subgoals under this indicator. Progress for the overarching goal will be measured based upon the progress on the 5 subgoals.

Subgoals

3.2a License income received (2009-10: \$157K – achieved)

3.2b Invention disclosures received and processed (2009-10: 105 – achieved)

3.2c Licenses/options agreements (2009-10: 6 – not achieved)

3.2d Patent awards (2009-10: 13 – achieved)

3.2e Business start-ups (total) (2009-10: 31 – achieved)

Good: Progress on 3 of 5 subgoals

Better: Progress on 4 of 5 subgoals

Best: Progress on 5 of 5 subgoals

University performance 2009-10: Progress on 4 of 5 subgoals – **Better Performance**

University goal 2010-11: Progress

Diversity, Opportunity, and Social Justice

4.1 Achieve Kentucky Plan Goals

NOTE: Assessment criteria under review by CPE/Dr. Mordean Taylor-Archer

University baseline: 7 for 8

University performance 2009-10: 8 for 8 – **Best Performance**

NOTE: Met 2013-14 goal. Recommend goal for 2010-11 be recalibrated.

University goal 2010-11: 8 for 8

Good: 6 for 8

Better: 7 for 8

Best: 8 for 8

4.2 Achieve annual goals specified in the University Diversity Plan

NOTE: Exceeded 2013-14 goal. Recommend goal for 2010-11 be recalibrated.

University performance 2009-10: 91% – **Best Performance**

Recommended recalibrated university goal 2010-11: 92%*

Recommended recalibrated university goal 2013-14: 93.5%*

Creative and Responsible Stewardship

5.1b Total philanthropy (development dollars) received

NOTE: Exceeded 2010-11 goal. Recommend goals for FY11 and FY14 be recalibrated.

University performance FY10 (final - unaudited): \$112M – **Best Performance**

Recommended recalibrated university goal FY11: \$120M

Recommended recalibrated university goal FY14: \$130M

5.1c Percentage of alumni giving to annual fund

University performance 2009-10: 12% -- **Did not achieve**

University goal 2010-11: 13%

5.2 Participate in the Association for the Advancement of Sustainability in Higher Education

The average of the three areas (education and research, operations, and administration and finance must equal 25 to receive a bronze rating).

The performance measures were assigned by applying an average of the total points needed to obtain a designation of bronze based upon the 3 subgoals (5.2a-c) under this overarching goal.

Subgoals:

5.2a Education and Research (2009-10: 0.75 – preliminary)

5.2b Operations (2009-10: 26.27 – preliminary)

5.2c Administration and Finance (2009-10: 47.33 – preliminary)

5.2d Sustainable Endowments Institute – College Sustainability Report Card (2009-10: B+)

University performance 2009-10: On track for bronze rating to be reported to STARS in January, 2011 --

Progress

Goal 2010-11: Bronze

5.6 Increase institutional survey average score on The Chronicle’s annual ‘Great Colleges to Work For’ survey to 75% or higher

Baseline: 68% (2008); 66% (2009)

University performance 2010: 62% – **Did not achieve**






Recommended recalibrated university goal FY11: 63%

Recommended recalibrated university goal FY14: 67%

**Office of the President: The 2020 Plan: Making it Happen
2010-11 Goals**

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Three levels of performance measures are defined as Good: "increase over previous year"; Better: "increase over previous year and meet annual university goal" and Best: "increase over previous year and exceed annual university goal".

Overreaching Institutional Goals for the 2020 Plan						
Goal No.	Description of Goal Proposal	2020 Plan Baseline (2008)	2010 Actual	2011 Goals	2014 Goals	2020 Goals
	Educational Excellence					
1.1	Increase number of baccalaureate degrees awarded annually	2,298	2,550 (Best)	2,602	2,758	3,074
1.2	Increase the baccalaureate graduation rate	45.7%	48.4% (Best)	49.1%	52.4%	60.0%
1.3	Increase doctorate degrees awarded annually	151	162 (Best)	165	191	272
1.5	Enhance national recognition		Better ¹	Progress	Progress	Progress
	Research, Scholarship, and Creative Activity					
2.1a	Increase total grant and contract expenditures	\$148.9M	\$188.3M ^{2,3}	\$195M ³	\$233.4M	\$310.3M
2.1c	Increase total grant and contract awards	\$120.7M	\$182.1M ^{2,4} (Best)	\$143.5M ³	\$189M	\$280M
2.3	National Cancer Center (NCI) Cancer Center designation	Not Achieved	Best ¹	Progress	Progress	Achieved
2.4	Increase national faculty recognition/awards		Good ¹	Progress	Progress	Achieved
	Community Engagement					
3.1	Increase collaborative partnerships with the community		Best ¹	Progress	Progress	Achieved
3.2	Increase economic development/entrepreneurial activities	Progress	Better ¹	Progress	Progress	Achieved
	Diversity, Opportunity, and Social Justice					
4.1	Achieve Kentucky Plan Goals	7 for 8	8 for 8 (Best)	8 for 8	8 for 8	8 for 8
4.2	Achieve annual goals specified in the University Diversity Plan	85%	91% (Best)	92%	93.5%	95%
	Creative and Responsible Stewardship					
5.1b	Annual philanthropy (development dollars) received	\$85M	\$112M ² (Best)	\$120M	\$130M	\$150M
5.1c	Percentage of alumni giving to annual fund	11%	12% (Did not achieve)	13%	16%	20%
5.2	Participate in the Association for the Advancement of Sustainability in Higher Education	NA	Progress ⁵	Bronze	Silver	Gold
5.6	Increase institutional survey average score on The Chronicle's annual 'Great Colleges to Work For' survey	68%	62% (Did not achieve)	63%	67%	75%

¹ Progress for overarching goal will be measured based upon the progress of the subgoals (i.e. progress on X of 4 subgoals). Please refer to data definitions/guidelines dated (8.27.10) for more information.

² Unaudited data

³ Includes federal stimulus funding (ARRA)

⁴ Includes clinical trials agreements (CTAs) and federal stimulus funding (ARRA)

⁵ On track for bronze rating to be reported to STARS (January, 2011)