



Schedule of Meetings
July 13, 2011
Belknap Campus, University of Louisville

- 2:30 p.m. Room 139: Shumaker Research Building
Compensation Committee Update and
Special Meeting of the Board of Trustees
(President's Evaluation)
- Nominating Committee, ULRF
(Porter, Abrams, James, Jackson, Minnifield)
- Annual Meeting, UofL Research Foundation, Inc.
- 5:00 p.m. Room 108: Schnellenberger Football Complex
Personnel Committee of the Board of Trustees
- Board of Trustees Meeting

SPECIAL MEETING
UNIVERSITY OF LOUISVILLE BOARD OF TRUSTEES

2:30 p.m., July 13, 2011

Room 139, Shumaker Research Building

Open Session Agenda

- I. Call to Order Frazier
 - Welcome new members, Kurtis Frizzell and David James
- II. Evaluation of the President
 - Update from Compensation Committee Chair
 - Review by President of Board's Goals
- III. Other Business
- IV. Adjournment

NOMINATING COMMITTEE OF THE
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION, INC.
BOARD OF DIRECTORS

July 13, 2011

Room, 139 Shumaker Research Building

In Open Session

I.	Call to Order	Porter	Tab
II.	Approval of Minutes, July 8, 2010		1
III.	Discussion Regarding 2011-2012 Officers for UofL Research Foundation, Inc.		2
IV	Adjournment		

Committee Members

J. Chester, Chair
David James, Vice Chair
Marie Abrams
Rebecca Jackson
Frank Minnifield

UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION, INC.
BOARD OF DIRECTORS
Annual Meeting

July 13, 2011
Room 139, Shumaker Research Bulding
IN OPEN SESSION

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I.	Call to Order	Hughes	
II.	Action Item: Approval of Minutes of Meeting, 11/11/2010	Hughes	1
III.	Report of the Nominating Committee	Porter	2
IV.	Action Item: Approval of Security Clearance and Managerial Group	Ramsey	3
V.	Report of the President	Ramsey	
VI.	Adjournment		

PERSONNEL COMMITTEE
UNIVERSITY OF LOUISVILLE BOARD OF TRUSTEES

July 13, 2011
Open Session Agenda

			Tab
I.	Call to Order	Scoppechio	
	• Approval of Minutes, June 9, 2011		1
II.	Action Item: Approval of Naming College of Business, Harry S. Frazier	Ramsey	2
III.	Executive Session	Scoppechio	
	• Motion to Recess to discuss personnel matters pursuant to KRS 61.810(1)(f)		
IV.	Open Meeting Reconvenes	Scoppechio	
	• Report on Executive Session		
	• Appropriate action, if any		
V.	Other Business		
VI.	Adjournment		

Committee Members:
Debbie Scoppechio, Chair
David James, Vice Chair
Marie Abrams
Kevin Cosby
Frank Minnifield
Bob Staat
Vacancy

BOARD OF TRUSTEES

UNIVERSITY OF LOUISVILLE

July 13, 2011

Open Session Agenda

		Tab
I.	Call to Order	Frazier
II.	Consent Agenda	
	A. <u>Approval of Minutes</u>	
	• Meeting Minutes, 6/9/2011	1
	B. <u>From the Compensation Committee 6/27/2011</u>	
	• Approval of President's Merit Increase	2
	C. <u>From the Personnel Committee, 7/13/2011</u>	
	• Action Item: Approval of Monthly Personnel Recommendations	3
	• Action Item: Approval of QCCT Board Appointments	4
	• Action Item: Approval of Naming, College of Business	5
	D. <u>Approval of August Graduates and Candidates</u>	6
III.	Action Item: Approval of Security Clearance and Managerial Group	Ramsey 7
IV.	Action Item: Authorization of President to Execute Documents to Finance the Construction of a New Student Recreation Center	Ramsey 8
V.	Action Item: Approval of Capital Construction Projects	Ramsey 9
	• Clinical & Translational Research Laboratory Renovation	
	• Storm Water Management Projects:	
	○ Business/Music School Zone	
	○ Ekstrom Library Zone	
VI.	Action Item: Approval of CPE/CEO UofL Diversity Plan 2011-2015	Willihnganz 10
VII.	Report of the President	Ramsey
	• Resolution of Appreciation, Dr. Mark Lynn	
VIII.	Report of the Chairman	Frazier
	• Evaluation of the President	
IX.	Adjournment	



**Schedule of Activities
For Board of Trustees Retreat
July 13, 2011
University of Louisville Belknap Campus**

July 13, 2011

9:30 a.m. Assemble at the Tent in the back of Papa John's Cardinal Stadium
(greeted by SOSers)

- Introduce David Dunn, EVPHA

Dr. Ramsey will moderate:

Board tram – tour Central Avenue, Cardinal Station, UL Foundation KYT Property,
3rd Street/Oval Construction, Kent School

Stop at Ekstrom Library (3rd Street Entrance), Greeted by Dean, University Libraries,
Robert Fox, Tour Library

Greeted by Debra Skaggs, tour McConnell-Chao Archives

Greeted by Gary Gregg, McConnell Scholars, twentieth anniversary

Dr. Willihnganz will moderate:

Board tram on the North side of Ekstrom Library (between Library and Life Sciences),
travel past Speed Art Museum, Rauch Planetarium tour; walk to “Big Red” for College of
Business--Greeted by Associate Dean, Audrey Kline;

Reboard the tram - Travel past School of Music; stop by the College of Education and
Human Development, Greeted by Dean Haselton

Dr. Ramsey will moderate:

Travel onto Cardinal Boulevard, past Chevron property, the Scholars House and Gladys
and Sonny Bass Early Learning Center;

Stop at the Bellamy and Province; Tour the club house of the Province; board the tram
and travel to the Ville Grill for lunch

1:00 p.m. Lunch at The Ville Grill

Dr. Willihnganz will moderate:

Board the tram, travel to proposed location of the Student Recreation Center;

Travel down Cardinal Avenue past Freedom Park, North Entrance, and

Continue on to the Student Activities Center; turn onto Floyd, traveling past Owsley
Brown Frazier Cardinal Park;

Stop at Shumaker Research Building – Greeted by Associate Dean, Speed School of
Engineering, Tom Starr

2:30 p.m. Compensation Committee Update and
Special Board of Trustees Meeting (President's Evaluation);

Nominating Committee, ULRF and ULRF Board of Directors meeting
Room 139, Shumaker Building

Tour Nanotechnology/Clean Lab with Dr. Kevin Walsh

Dr. Ramsey will moderate:

Board tram, travel past Houchens Building, travel down Floyd Street past
Bass Rudd Tennis Center, Yum Center, Lacrosse Field, proposed site for new
Soccer stadium, Trager Field House, and

Arrive at the Schnellenberger Football Complex – Tour and Greetings provided by
Assistant Football Coach Carter and Katherine Sawyer

Board Tram for PJCS

5:00 p.m. Personnel Committee of the Board of Trustees
President's Suite, Papa John's Cardinal Stadium

Board of Trustees Meeting
Press Lounge, Papa John's Cardinal Stadium

6:30 p.m. Dinner and Economic Panel Discussion in the Press Lounge

8:45 p.m. Board bus at PJCS or drive personal auto to UPS hub for tour
Special parking will be provided

Bus will return to PJCS

**RECOMMENDATION FOR THE 2011-12 OFFICERS OF THE
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION, INC.**

**Nominating Committee July 13, 2011
Board of Directors July 13, 2011**

RECOMMENDATION:

**The Nominating Committee recommends the following slate of officers for
2011-12:**

Chairman	Robert Curtis Hughes
Vice Chair	Ron Butt
Treasurer	Robert Staat
Secretary	Jonathan Blue
President	James R. Ramsey
Vice President	Shirley Willihnganz
Vice President	William Pierce
Vice President	Larry L. Owsley
Vice President	Mike Curtin
Legal Counsel	Angela D. Koshewa
Assistant Secretary	Kathleen M. Smith

Committee action:

Passed: _____
Did Not Pass: _____
Other: _____

Assistant Secretary

Board action:

Passed: _____
Did Not Pass: _____
Other: _____

Assistant Secretary

MINUTES OF THE REGULAR MEETING OF THE UNIVERSITY OF LOUISVILLE
RESEARCH FOUNDATION, INC. BOARD OF DIRECTORS

In Open Session

The University of Louisville Research Foundation, Inc., Board of Directors met on Thursday, November 11, 2010, in the Jefferson Room, Grawemeyer Hall on Belknap Campus, with members present and absent as follows:

Present: Dr. Robert Curtis Hughes, Chair
 Ms. Marie Abrams
 Dr. Kevin Cosby
 Mr. Owsley Frazier
 Mr. Brent Fryrear
 Dr. Salem George
 Ms. Augusta Brown Holland
 Judge Rebecca Jackson
 Dr. Mark Lynn
 Mr. Frank Minnifield
 Ms. Brucie Moore
 Mr. Robert W. Rounsavall, III
 Ms. Debbie Scoppechio
 Dr. William Selvidge
 Dr. Robert Staat

Absent: Ms. Sana Abhari
 Mr. Jonathan Blue
 Mr. Ron Butt
 Mr. J. Chester Porter
 Ms. Phoebe Wood

Guests: Ms. Mary McKinley, CPA, BKD, LLP
 Ms. Jennifer Williams, BKD, LLP

From the
University: Dr. James R. Ramsey, President
 Dr. Shirley Willihnganz, Executive Vice President and Provost
 Dr. William Pierce, Interim Executive Vice President for Research
 Ms. Angela D. Koshewa, University Counsel
 Mr. Mike Curtin, Vice President for Finance
 Mr. Keith Inman, Vice President for Advancement
 Mr. Larry Owsley, Vice President for Business Affairs
 Dr. Tom Jackson, Vice President for Student Affairs
 Mr. Dan Hall, Vice President for Community Engagement
 Mr. Mark Hebert, Director of Media Relations

Ms. Susan Ingram, Director of Budgets
Mr. John Drees, Associate VP for Marketing
Ms. Terri Rutledge, Associate VP for Business Affairs
Mr. David Barker, Director of Audit Services
Mr. Jason Tomlinson, Assistant VP for Finance
Dr. Alan Tasman, Chair of Psychology Department
Mr. Larry Zink, Controller, Controller's Office
Ms. Susan Magness. Asst. Controller, Controller's Office
Ms. Anne Rademaker, Manager, General Accounting and Reporting
Ms. Kathleen M. Smith, Assistant Secretary
Ms. Debbie Dougherty, Board Liaison

I. Call to Order

Having determined a quorum present, Chair Hughes called the meeting to order at 1:10 p.m.

II. Consent Agenda

- Approval of Minutes, July 8, 2010
- Approval of Recommendation Regarding Security Clearance and Managerial Group

Chair Hughes read the consent agenda. Hearing no objection, Dr. George made a motion, which Mr. Minnifield seconded, to approve the consent agenda. The motion passed.

The motion passed.

III. Action Items:

Approval of Audited Financial Statements for Year Ended June 30, 2010 and Independent Auditor's Report

Chair Hughes asked Vice President Curtin to review the audited financial statements. Vice President Curtin reported the UofL Research Foundation is in strong financial position. BKD has issued a clean, unqualified report with no exceptions. Mr. Curtin asked Ms. Williams, BKD, to review highlights of the audit. Ms. Williams reported during the fiscal year ended June 30, 2010, total awards were \$182.1 million, and some funding highlights include:

- \$2.7 million from the National Institute for Health (NIH) to support the study of the Genetics of Alzheimer's Disease
- \$1.8 million from the National Institute for Hometown Security to develop a Real-time Decision Support System for Healthcare and Public Health Sector Protection

- \$1.5 million to support Improving School Readiness for Children with Disruptive Behavior
- \$1.4 million for the study of Cardiopulmonary Damage and Air Pollution
- \$1.1 million for the Development of a Neural Recovery Rehabilitation and Research Center

Ms. Williams reported the Research Foundation's financial position remains strong at June 30, 2010, with total assets of \$162.4 million and liabilities of \$39 million as compared to June 30, 2009 at \$154.2 million of total assets and \$28.8 million of liabilities. Net assets, which represent the residual interest in the Research Foundation's assets after liabilities are deducted, were \$123.4 million and \$125.4 million at June 30, 2010 and 2009, respectively.

Ms. Williams said the Research Foundation's total liabilities of \$39 million consist primarily of deferred revenue. Deferred revenue represents the unearned portion of grants and sponsored programs and comprises \$16.9 million or 43% of total liabilities.

Ms. Williams noted operating revenues amounted to \$316.8 million and \$304.4 million and operating expenditures were \$348.7 million and \$317.5 million resulting in a net operating loss of \$31.9 million and \$13.1 million for the years ended June 30, 2010 and 2009, respectively. Ms. Williams thanked Vice President Curtin and the Controller's staff for their cooperation in completing the audit process.

Chair Hughes thanked Vice President Curtin and Ms. Williams for an excellent report. Ms. Abrams made a motion, which Dr. Selvdige seconded to approve the

President's recommendation that the Board of Directors accept the audited financial statements for the University of Louisville Research Foundation, Inc. for the year ended June 30, 2010 and Independent Auditor's Report as prepared by BKD.

The motion passed.

Approval of the Consolidated Schedule of Expenditures of Federal Awards and Other Reports Required by OMB Circular A-133 for the Year Ended June 30, 2010

Vice President Curtin reviewed the Schedule of Expenditures of Federal Awards for Year Ended June 30, 2010. He noted a clean, unqualified audit, and asked Ms. Williams to summarize BKD's findings. Ms. Williams stated the purpose of the Schedule is to present a consolidated summary of those expenditures of the University for the year ended June 30, 2010, which has been federally financed. She reported the University has complied, in all material aspects, with federal requirements; the results of the audit were no reportable instances with OMB

Circular A-133. Judge Jackson made a motion, which Mr. Fryrear seconded, to approve the

President's recommendation that the Board of Directors accept the Consolidated Schedule of Expenditures of Federal Awards and Other Reports Required by OMB Circular A-133 for the Year Ended June 30, 2010.

The motion passed.

IV. Adjournment

Ms. Abrams made a motion, which Dr. Selvidge seconded, to adjourn the meeting at 1:20 p.m. The motion passed.

RECOMMENDATION TO THE BOARD OF DIRECTORS OF THE
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION, INC.,
CONCERNING MANAGERIAL GROUP

July 13, 2011

RECOMMENDATION:

The President recommends:

That the Board of Directors adopt the attached Resolution revising the Managerial Group of the Board of Directors of the University of Louisville Research Foundation, Inc., and waiving of security clearance for officers, Directors, and directors not a part of the managerial group; and

That the President be authorized to take any other steps necessary with regard to agreements or assurances to the Defense Security Service to effect the facility security clearance of the University of Louisville Research Foundation, Inc. and clearance of its personnel including compliance with the provisions of the National Industrial Security Program Operating Manual or other Federal government policy relating to safeguarding of classified information.

RESOLUTION
BOARD OF DIRECTORS
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION, INC.

July 13, 2011

WHEREAS the Defense Security Service, Department of Defense of the Federal Government requires security clearance with the Federal Government for persons constituting the Managerial Group of the University of Louisville Research Foundation, Inc.; and

WHEREAS, the Managerial Group must undergo government security clearance at the same level as the Facility Security Clearance; and

WHEREAS the Board of Directors desires to delegate all of its duties and responsibilities pertaining to the protection of classified information to the Managerial Group; and

WHEREAS because of this designation the Board of Directors will not be in a position to affect adversely the performance of classified contracts; and

WHEREAS the University of Louisville Research Foundation, Inc., has been informed that the Federal Government will not process security clearances for members of the Board of Directors who are not in a position to affect adversely the performance of classified contracts;

BE IT RESOLVED that the following personnel shall constitute the Managerial Group for industrial security responsibilities as described in the National Industrial Security Program Operating Manual for Safeguarding Classified Information:

Name	Position
James R. Ramsey	President
Shirley C. Willihnganz	Executive Vice President and Provost
David L. Dunn	Executive Vice President
William Pierce	Interim Executive Vice President
Michael Joseph Curtin	Vice President
Matthew H. Witten	Facilities Security Officer

THAT the said Managerial Group shall be and hereby is delegated the full authority and responsibility for negotiating, executing and administering classified contracts of the Department of Defense or other User Agencies of the United States Government awarded to the University of Louisville Research Foundation, Inc.

THAT the said Managerial Group personnel listed below be temporarily excluded from access to all classified information until such time that their clearances are processed and they have received appropriate security clearances:

David L. Dunn	Executive Vice President
William Pierce	Interim Executive Vice President

THAT all other officers of the University of Louisville Research Foundation, Inc. and members of the Board of Directors shall not require, shall not have, and can be effectively excluded from access to all classified information released to, in the possession or under the control of the University of Louisville Research Foundation, Inc., and do not occupy positions that would enable them to affect adversely the policies and practices of the University of Louisville in the performance of classified contracts for the Department of Defense and other User Agencies of the United States Government, Inc. need not be processed for an access authorization in accordance with previous actions taken by the Board regarding the members of the Board of Directors, including the following officers and/or directors:

Marie Abrams
Jonathan Scott Blue
Ronald Dean Butt
Kevin W. Cosby
Owsley Brown Frazier
Kurtis Frizzell
Salem M. George, Sr.
Augusta Brown Holland
Robert Curtis Hughes
Rebecca Day Jackson
David James
Frank Minnifield
Brucie Moore
Larry L. Owsley
Jack Chester Porter
Robert W. Rounsavall, III
Debbie Scoppechio
William Selvidge
Robert Staat
Phoebe A. Wood

THAT this Resolution supersedes the Resolution of November 11, 2010 as it relates to the Managerial Group. All other provisions of that Resolution remain in full force and effect.

Certificate

I certify that I am the duly qualified Assistant Secretary to the Board of Directors of the University of Louisville Research Foundation, Inc., that the foregoing is a true copy of the Resolution adopted at the regular meeting of such Board, which was held the 13th day of July, 2011, at which meeting a quorum was present and a majority of members present voted in favor of the adoption of such resolution. I further certify that such Resolution is still in full force and effect.

Dated this 13th day of July, 2011.

Assistant Secretary
Board of Directors
University of Louisville Research Foundation, Inc.

MINUTES OF THE MEETING OF THE PERSONNEL COMMITTEE OF THE UNIVERSITY OF
LOUISVILLE BOARD OF TRUSTEES

June 9, 2011

In Open Session

Members of the Personnel Committee of the University of Louisville Board of Trustees met on Thursday, at 1:00 p.m., in the PNC Club, at Papa John's Cardinal Stadium, with members present and absent as follows:

Present Ms. Debbie Scoppechio, Chair
 Mr. Brent Fryrear, Vice Chair
 Dr. Mark Lynn
 Mr. Frank Minnifield
 Dr Robert Staat

Absent: Ms. Marie Abrams
 Dr. Kevin Cosby

Other Trustees

Present: Mr. Ron Butt
 Dr. Salem George
 Ms. Augusta Brown Holland
 Dr. Robert Curtis Hughes
 Judge Rebecca Jackson
 Ms. Brucie Moore
 Mr. Robert W. Rounsavall, III
 Dr. William Selvidge
 Ms. Phoebe Wood

From the
University:

Dr. James R. Ramsey, President
Dr. Shirley Willihnganz, Executive Vice President and Provost
Dr. William Pierce, Interim Executive Vice President for Research
Ms. Angela D. Koshewa, University Counsel
Mr. Mike Curtin, Vice President for Finance
Mr. Keith Inman, Vice President for University Advancement
Dr. Priscilla Hancock, Vice President for Information Technology
Mr. Larry Owsley, Vice President for Business Affairs
Mr. Sam Connally, VP for Human Resources
Ms. Susan Howarth, Director of Budgets
Mr. John Drees, Associate VP, Communications & Marketing
Mr. Mark Hebert, Director, Media Relations
Ms. Cindy Hess, Director, Communications & Marketing
Ms. Carolyn Cochran, Assistant to the Provost
Mrs. Kathleen Smith, Assistant Secretary
Mrs. Debbie Dougherty, Board Liaison

Guests: Ms. Jennifer Elliott, Stites & Harbison
 Mr. Greg Davis, Stites & Harbison
 Mr. Kennedy Helm, III, Stites & Harbison

I. Call to Order

Having determined a quorum present, Chair Scoppechio called the meeting to order at 1:00 p.m.

Minutes Approved

Dr. Lynn made a motion, which Mr. Minnifield seconded, to approve the minutes of May 12 2011. The motion passed.

II. Executive Session

Mr. Fryrear made a motion, which Mr. Minnifield seconded, to go into executive session at 1:05 p.m. The motion passed.

III. Open Session Reconvened

The open session reconvened at 2:00 p.m. Chair Scoppechio reported that the Committee discussed personnel matters and a business matter in executive session. Dr. Lynn made a motion, which Mr. Minnifield seconded, to approve the

President's recommendation that the Board of Trustees approve the following recommendations:

COLLEGE OF ARTS AND SCIENCES

Gerald B. Hammond, Ph.D., Professor of Chemistry; additional appointment as Endowed Chair in Organic Chemistry, July 1, 2011.

COLLEGE OF BUSINESS

Timothy T. Capps, B.S., Instructor, Equine Industry Program (Term/Rolling); additional appointment as Director, Equine Industry Program, June 9, 2011. (The additional appointment as Director is at the pleasure of the Board of Trustees.)

Rohan A. Christie-David, Ph.D., Professor of Finance; additional appointment as the PNC Professor of Banking and Finance, July 1, 2011.

BRANDEIS SCHOOL OF LAW

John Todd Cross, J.D., Professor of Law; additional appointment as Associate Dean for Student Life, July 1, 2011. (The additional appointment as Associate Dean is at the pleasure of the Board of Trustees.)

Susan Hanley Duncan, J.D., Associate Professor of Law; additional appointment as Associate Dean for Academic Affairs and Faculty Development, July 1, 2011. (The additional appointment as Associate Dean is at the pleasure of the Board of Trustees.)

SCHOOL OF MEDICINE

Kelly M. McMasters, M.D., Ph.D., Professor and Chair of Surgery and Professor of Pharmacology and Toxicology; additional appointment as the Ben A. Reid, Sr., Professor of Surgery, July 15, 2011. (The appointment as Chair of Surgery is at the pleasure of the Board of Trustees.)

SCHOOL OF MEDICINE

Robert C. G. Martin, II, M.D., Ph.D., Professor of Surgery (Surgical Oncology); additional appointment as Samuel D. and Lolita S. Weakley Chair in Surgical Oncology, July 15, 2011.

The motion passed.

Continuation of Dean, College of Arts and Sciences

Dr. Lynn made a motion, which Mr. Fryrear seconded, to approve the

President's recommendation that the Board of Trustees approve the continuation of Dr. James Blaine Hudson as Dean of the College of Arts and Sciences.

The motion passed.

Hiring, Executive Vice President for Health Affairs

Mr. Fryrear made a motion, which Dr. Lynn seconded, to approve the

President's recommendation that the Board of Trustees approve the appointment of David L. Dunn, M.D. (University of Michigan), Ph.D. (University of Minnesota), as Professor of Surgery and Professor of Microbiology and Immunology, with tenure, and as Executive Vice President for Health Affairs, effective July 1, 2011; the administrator appointment is for five years, subject to removal for cause by review and recommendation of the Personnel Committee to the Board of Trustees.

The motion passed. Mr. Fryrear observed the search committee's enthusiastic endorsement of Dr. Dunn as the next EVP for Health Affairs. Dr. Staat echoed the sentiment.

V. Other Business

There was no other business.

VI. Adjournment

Mr. Fryrear made a motion, which Dr. Lynn seconded, to adjourn the meeting at 2:05 p.m. The motion passed.

MINUTES OF THE MEETING OF THE PERSONNEL COMMITTEE OF THE UNIVERSITY
OF LOUISVILLE BOARD OF TRUSTEES

June 9, 2011

In Executive Session

Present Ms. Debbie Scoppechio, Chair
Mr. Brent Fryrear, Vice Chair
Dr. Mark Lynn
Mr. Frank Minnifield
Dr Robert Staat

Absent: Ms. Marie Abrams
Dr. Kevin Cosby

Other Trustees

Present: Mr. Ron Butt
Dr. Salem George
Ms. Augusta Brown Holland
Dr. Robert Curtis Hughes
Judge Rebecca Jackson
Ms. Brucie Moore
Mr. Robert W. Rounsavall, III
Dr. William Selvidge
Ms. Phoebe Wood

From the University: Dr. James R. Ramsey, President
Dr. Shirley Willihnganz, Executive Vice President and Provost
Ms. Angela D. Koshewa, University Counsel
Mrs. Kathleen Smith, Assistant Secretary
Mrs. Debbie Dougherty, Board Liaison

Guests: Ms. Jennifer Elliott, Stites & Harbison
Mr. Greg Davis, Stites & Harbison
Mr. Kennedy Helm, III, Stites & Harbison

I. Call to Order

Chair Scoppechio called the executive session to order.

II. Personnel Matters

Personnel Recommendations

Provost Willihnganz briefed the Committee on the personnel recommendations.

Continuation of Dean, College of Arts and Sciences

Provost Willihnganz briefed the committee on the five year review of the Dean, College of Arts and Sciences.

III. Business Matter

President Ramsey discussed a business matter. (Stites and Harbison representatives departed the executive session)

IV. Additional Personnel Items

President Ramsey reported on the searches for the EVP for Health Affairs and the EVP for Research & Innovation.

V. Adjournment

Dr. Lynn made a motion, which Mr. Fryrear seconded, to adjourn the meeting at 1:55 p.m. The motion passed.

MINUTES OF THE REGULAR MEETING OF THE BOARD OF TRUSTEES
OF THE UNIVERSITY OF LOUISVILLE

In Open Session

Members of the University of Louisville Board of Trustees met on Thursday, June 9, 2011, in the PNC Club, Papa John's Cardinal Stadium, with members present and absent as follows:

- Present: Dr. Salem George, Vice Chair, Presiding
 Mr. Ron Butt
 Dr. Kevin Cosby
 Mr. Brent Fryrear
 Dr. Salem George
 Ms. Augusta Brown Holland
 Dr. Robert Curtis Hughes
 Judge Rebecca Jackson
 Dr. Mark Lynn
 Mr. Frank Minnifield
 Ms. Brucie Moore
 Mr. Robert W. Rounsavall, III
 Ms. Debbie Scoppechio
 Dr. William Selvidge
 Dr. Robert Staat
 Ms. Phoebe Wood
- Absent: Ms. Marie Abrams
 Mr. Jonathan Blue
 Dr Kevin Cosby
 Mr. Owsley Frazier
 Mr. Kurtis Frizzell
 Mr. J. Chester Porter
- Guests: Ms. Jennifer Elliott, Stites & Harbison
 Mr. Greg Davis, Stites & Harbison
 Mr. Kennedy Helm, III, Stites & Harbison
 Dr. Scott Whittemore, Endowed Chair, Professor, Neurological Surgery
 Dr. Susan Harkema, Assoc. Professor, Neurological Surgery
- From the
University: Dr. James R. Ramsey, President
 Dr. Shirley Willihnganz, Executive Vice President and Provost
 Dr. William Pierce, Interim Exec. Vice President for Research & Innovation
 Ms. Angela Koshewa, University Counsel
 Mr. Mike Curtin, Vice President for Finance
 Mr. Larry Owsley, Vice President for Business Affairs
 Dr. Priscilla Hancock, Vice President for Information Technology
 Mr. Sam Connally, Vice President for Human Resources
 Mr. John Drees, Associate VP, Communications & Marketing
 Mr. Mark Hebert, Director of Media Relations
 Ms. Cindy Hess, Director of Communications & Marketing

Dr. Mike Mardis, Dean of Students
Ms. Shannon Staten, Director of Housing
Ms. Susan Ingram, Director of Budgets
Ms. Carolyn Cochran, Assistant to the Provost
Ms. Kathleen Smith, Assistant Secretary
Ms. Trisha Smith, Director of Special Projects
Ms. Debbie Dougherty, Board Liaison

I. Call to Order

Having determined a quorum present, Vice Chair George called the meeting to order at 1:40 p.m.

II. Consent Agenda

A. Approval of Minutes

- Minutes from Board Meeting, April 14, 2011

B. From the Academic & Student Affairs Committee

- Approval of the Center for Arts & Cultural Partnership
- Approval of Resolution of Appreciation: Sana Abhari

C. From the Personnel Committee

- Approval of Monthly Personnel Recommendations (May, June)
- Approval of Revisions to 403(b) Retirement Plan
- Approval of Continuation of Dean, College of Arts & Sciences
- Approval of EVPHA

D. From the Finance Committee

- Approval of 2011-12 Operating Budget
- Approval of 2011-12 Tuition Rate
- Approval of 2011-12 Student Fees
- Approval of 2011-12 Housing Fees
- Approval of 2011-12 Meal Plan
- Approval of 2011-12 Recreation Center Fee
- Approval of 2011-12 Voluntary Health Service Fee Rate
- Approval of Revisions to the Tuition Remission Policy
- Approval of a Revised Policy to Promote Further Participation
Of Minority-Owned and Women-Owned Business Enterprises

Vice Chair George read the consent agenda. Hearing no objection, Judge Jackson made a motion, which Mr. Minnifield seconded, to approve the consent agenda. The motion passed.

III. Report of the Nominating Committee Regarding Proposed Merged Hospital Board

Mr. Fryrear explained the Nominating Committee met on May 10, 2011 to discuss a slate of nominees for the community board governing the proposed new merged academic teaching/medical care center. The Committee submitted six nominees for consideration to assure a balanced representation on the new community board. On behalf of the UofL Nominating Committee, Mr. Fryrear made a

motion, which Judge Jackson seconded, to approve the following representatives from the University of Louisville for the new community board governing the proposed new academic teaching hospital:

- Dr. Robert Hughes
- Mr. Charlie Johnson
- Mr. Robert Rounsavall
- UofL Executive Vice President for Health Affairs (David L. Dunn, M.D., Ph.D.)

The motion passed. Dr. Hughes recognized Mr. Rounsavall's dedication and leadership, along with the untiring and outstanding leadership provided by President Ramsey throughout the merger discussions.

IV. Action Item: Approval of Authorization of President to Sign Documents Relating to Merger

Dr. Hughes made a motion, which Mr. Butt seconded, to approve the

Chair's recommendation the Board of Trustees authorize President James R. Ramsey to act on behalf of the Board and the University in approving any and all documents, including academic affiliation agreement, lease, sponsorship agreement, and any other agreements needed to implement the proposed merged academic teaching hospital.

The motion passed with Trustee Scoppechio abstaining.

V. Action Item: Approval of Capital Construction Projects

The President explained because the Athletic Association Board will not meet until August 9, the Athletic Director requested the approval of the Board of Trustees for these capital projects. Board approval would allow the Athletic Department to begin summer construction of these facilities, which will be funded from Athletic Department revenues. Additionally, the Hydrotherapy Training Room exceeds institutional authorization and requires approval by the Council on Postsecondary Education (CPE). The Athletic Department has asked the CPE at its meeting later this month to consider approval of the Hydrotherapy facility. Ms. Holland made a motion, which Ms. Wood seconded, to approve

the President's recommendation the Board of Trustees approve the following capital projects to be funded and implemented by the University of Louisville Athletic Association:

Hydrotherapy Training Room (addition to the Trager Field House)	\$1,000,000
Trager Field Hockey Stadium Astro Turf	\$850,000

The motion passed.

VI. Resolutions of Appreciation

Resolution of Appreciation – Dr. Susan Harkema

President Ramsey recognized the outstanding work of Dr. Susan Harkema. The Lancet recently published an article about Dr. Harkema's exciting research. Mr. Fryrear made a motion, which Ms. Wood seconded to approve the following resolution of appreciation:

Susan J. Harkema, Ph.D.

WHEREAS, Dr. Susan J. Harkema has served the University of Louisville with distinction as Associate Professor of Neurological Surgery, Director of Research at Frazier Institute, and Rehabilitation Director of the Kentucky Spinal Cord Injury Research Center;

WHEREAS, her extraordinary scholarship has made her a leading researcher in the field of neurological rehabilitation with primary focus on the study of the plasticity of the human lumbosacral spinal cord in individuals with spinal cord injury during locomotor training.

WHEREAS, she has earned the deep respect and admiration of scientists and medical colleagues throughout the world;

WHEREAS, as recently reported in the world renowned medical journal, *The Lancet*, her work has achieved significant success with clinical therapies for paralysis;

WHEREAS, her contribution to a national coalition of clinical scientists resulted in a scientific breakthrough that allowed a paralytic patient to move his toes, ankles, knees, and hips upon command; to reach standing position using his muscular push and to remain standing while bearing weight on his legs for up to four minutes; and when aided by a harness support, to make repeated stepping motions on a treadmill;

WHEREAS, her unprecedented accomplishment resulted from her research using continual direct epidural stimulation of the patient's lower spinal cord to mimic signals the brain normally transmits to initiate movement;

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees of the University of Louisville hereby expresses its deep appreciation to Dr. Susan Harkema for her extraordinary leadership, her outstanding performance, and her devoted scientific investigation of the spinal cord's neural network; and

BE IT FURTHER RESOLVED, that the Board recognize Dr. Harkema as a leading pioneer in rehabilitative medical research; and

BE IT ALSO RESOLVED that a copy of this Resolution appropriately signed, be presented to Dr. Harkema with our deepest and continuing appreciation.

The motion passed. Dr. Harkema thanked the board for this recognition. She expressed her sincere gratitude for Chair Owsley Frazier's financial commitment to endow her work. Without his commitment and the unique partnership of the University and the Frazier Rehabilitation, this research could not take place. She recognized this as a very exciting time to be in Louisville with leading edge

research. Dr. Whittemore echoed his appreciation to the Board and University administration. He acknowledged the research team assembled in spinal cord injury is internationally respected.

Resolution of Appreciation – Dr. Jonathan Hodes

President Ramsey noted Dr. Jonathan Hodes was on a mission trip and could not attend the meeting. Mr. Fryear made a motion, which Dr. Hughes seconded, to approve the following resolution for Dr. Hodes.

Jonathan E. Hodes, M.D., FACS

WHEREAS, Dr. Jonathan E. Hodes has served the University of Louisville with distinction as Professor and Chairman of Neurological Surgery, as well as scientist and leading neurosurgeon in minimally invasive and microsurgical techniques of the brain and spine;

WHEREAS, his extraordinary scholarship has made him a leading clinical researcher in the field of neurological surgery with primary focus on the study of the plasticity of the human lumbosacral spinal cord in individuals with spinal cord injury;

WHEREAS, he has earned the deep respect and admiration of scientists and medical colleagues throughout the world;

WHEREAS, as recently reported in the world renowned medical journal, *The Lancet*, his work with Dr. Susan Harkema has achieved significant success with clinical therapies for paralysis;

WHEREAS, his contribution to a national coalition of clinical scientists resulted in a scientific breakthrough that allowed a paralytic patient to move his toes, ankles, knees, and hips upon command; to reach standing position using his muscular push and to remain standing while bearing weight on his legs for up to four minutes; and when aided by a harness support, to make repeated stepping motions on a treadmill;

WHEREAS, his unprecedented accomplishment resulted from their research using continual direct epidural stimulation of the patient's lower spinal cord to mimic signals the brain normally transmits to initiate movement;

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees of the University of Louisville hereby expresses its deep appreciation to Dr. Jonathan E. Hodes for his extraordinary leadership, his outstanding performance, and his devoted scientific investigation of the spinal cord's neural network; and

BE IT FURTHER RESOLVED, that the Board recognize Dr. Hodes as a leading pioneer in rehabilitative neurosurgical research; and

BE IT ALSO RESOLVED that a copy of this Resolution appropriately signed, be presented to Dr. Hodes with our deepest and continuing appreciation.

The motion passed.

Resolution of Appreciation – Brent Fryear

President Ramsey noted this meeting as Mr. Fryear's last meeting as a trustee. He recognized Mr. Fryear for his many contributions to the board and the University

community. In recognition of his and the board's appreciation, he read the following resolution. Dr. Selvidge made a motion, which Dr. Hughes seconded, to approve

WHEREAS, Brent Fryrear has served the University with distinction as the representative of the staff to the Board of Trustees since 2007;

WHEREAS, in his capacity as a member of the Board, he committed his energy and experience to various standing committees of the Board, including the Audit Committee, Compensation Committee, Nominating Committee, Trustees Award Committee, Personnel Committee, leading the Personnel Committee as its vice chair;

WHEREAS, he willingly served on the Board of Directors of university affiliated corporations, including the University of Louisville Research Foundation, Inc.;

WHEREAS, he demonstrated his full and loyal support to the University, thereby winning the admiration, affection, and respect of his fellow Trustees;

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees of the University of Louisville hereby expresses its sincere admiration and deep appreciation to Brent Fryrear for his hard work and outstanding performance as a member of the Board of Trustees from July 2007 through June 2011; and

BE IT FURTHER RESOLVED, that a copy of this Resolution, appropriately signed, be presented to Mr. Fryrear.

The motion passed. Mr. Fryrear expressed his appreciation for the opportunity to serve as staff senate chair and a trustee during the past four years. He acknowledged the outstanding leadership at the University and appreciated this opportunity as an honor and a privilege.

VII. Report of the President

President Ramsey presented a Powerpoint and video depicting the many accomplishments during the past academic year. Of those accomplishments, he referenced the

- \$3.15 Million grant from Helmsley Charitable Trust to support cancer research in the James Brown Cancer Center
- The freshman class profile has an average ACT score of 24.5; 33% scored 27 or greater; 58 were valedictorians; 33% completed college-level courses while in high school; 59.9% were outside Jefferson County; 17.4% were from out of state.
- Cardinal Singers made a triumphant return to the Far East and returned with top honors
- NIH gave more than \$1.5Million for interdisciplinary oncology palliative care education program to the School of Nursing
- Signature HealthCARE relocates to Louisville and established as an anchor tenant in Nucleus, its Center for Long Term Care and Innovation

- CII researchers received over \$2 Million from NIH for the next generation of artificial hearts/pumps
- UofL's Center for Predictive Medicine dedicated in October 2010 and received nearly \$10 Million to expand its facility
- KFC Yum! Arena opened in October 2010
- Winter Commencement held in KFC Yum! Arena
- Men's Soccer team finished season as NCAA Runner-Up
- Kentucky Pollution Prevention Center helped schools and businesses cut energy costs: 154 school districts saved \$28 per student
- Kent School of Social Work received \$4.8 Million grant to reduce teen pregnancy, disease risk
- Nursing students pass licensure exam at rate higher than national average (97% vs. 88% respectively)
- \$9.56 Million received from NIH for Dr. Bolli to head multicenter cardiovascular research network
- Faculty from Kent School of Social Work joined forces with KIPDA Rural Diabetes Coalition to reduce the prevalence of the disease in Shelby, Bullitt and Henry counties
- \$2.6 Million grant to the Office of Community Engagement to create a college-going culture among low-income, minority and potential first-generation college students
- Mayor Fischer announced innovative partnership with Nucleus on the Lifelong Wellness and Aging Initiative
- College of Business ranked in the top 10% of the nation's business schools for 2011
- UofL & Kosair Charities Autism Center created
- Nursing faculty honored for race track clinic success
- UL earned official status as a Tree Campus USA
- Entrepreneurship students (TNG Pharmaceuticals) won super bowl of business plan competition
- UL has 14 students receiving Fullbright scholarships
- Dr. Susan Harkema makes international news helping paralyzed patients
- Over 2600 graduates attended Spring Commencement in Yum! Arena
- Nursing fellowship program created for stroke care

- UL pursues partnership with Fort Knox
- UL continues to work on proposed academic teaching medical center
- HSC TIF audited and expenditures approved
- Record-breaking year for fundraising
- Andrew Fisher – stroke survivor – commended UL for stroke treatment
- Rob Summers – spinal cord injury patient walks – commended UL doctors
- Dr. Bob Knight – commended UL’s Stroke Intervention Team
- Thomas Bellingham, Jr. recognized UL’s exemplary efforts and positive impact during his tenure as a student
- Scott Brinkman commended UL for its work with autism research
- Nicole Wilkins is the first graduate of the Cardinal Covenant Program (completing her course work in three years)
- Marcus Blakeney expressed appreciation for Porter Scholarship

President Ramsey concluded his presentation by thanking the board for their support. He recognized the importance of the faculty for their outstanding teaching and staff for their dedication to their work – all underpinning for the successful learning and research environment for university students.

VIII. Report of the Chair

Vice Chair George noted the recent resignation of Trustee Mark Lynn effective July 1, 2011. Vice Chair George expressed his gratitude to Dr. Lynn for his service on the board. President Ramsey asked Dr. Lynn to attend the Board Retreat scheduled on July 13 so the board could properly thank Dr. Lynn for his dedication and service.

IX. Adjournment

Judge Jackson made a motion, which Dr. Selvidge seconded, to adjourn the meeting at 3:00 p.m. The motion passed.

RECOMMENDATION TO THE BOARD OF TRUSTEES REGARDING THE
PRESIDENT'S BONUSES AND COMPENSATION

Compensation Committee: June 27, 2011
Board of Trustees: July 13, 2011

RECOMMENDATION:

The Compensation Committee recommends:

That the President receive a merit increase for 2010-11 of five percent (5%) of his 2010-11 base salary in recognition of his exceptional performance during the past year; and

That the Board of Trustees hereby requests the University of Louisville Foundation, Inc. to make a supplemental payment to the President for achievement of annual goals set by the Board of Trustees in an amount equal to twenty-five percent (25%) of the President's total salary for 2010-11.

COMMITTEE ACTION:

Passed: _____
Did not pass: _____
Other: _____

Assistant Secretary

BOARD ACTION:

Passed: _____
Did not pass: _____
Other: _____

Assistant Secretary

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING CANDIDATES FOR DEGREES AND CERTIFICATES
IN AUGUST

Board of Trustees – July 13, 2011

RECOMMENDATION:

The President recommends:

That the Board of Trustees approve the Candidates for Degrees and Certificates to be conferred by the University of Louisville on August 8, 2011, and that the Board authorize the Executive Vice President and Provost to approve the awarding of degrees to others who have been certified by the unit faculties as having completed the appropriate courses of study, but missed the deadline for Board action.

GRADUATES AND CANDIDATES – AUGUST, 2011

College of Arts and Sciences

Doctor of Philosophy

Biswas,Souvik
Carroll,Susan
Dasari,Radhika
Green,Yalonda Davidson
Jobson,Adam Spencer
Johnson,Nathan Gary
Kertz,Sarah Jane
Kim,Yeonjin
Leake,Eric Wallace
Romesburg,James E
Smith,Allison Suzanne
Smith,Lyle Clifford
Stamp,Anna Catherine
Wilson,James L
Xu,Jingping
Zapf,Matthew G

Master of Arts

Bradley,Jesse Lee
Cox,Cory Michael
Gwinn,Allen Fort
Jewell,Kaelin Merritt
Johnson,Candice Walker

Lyons,Cara Christine

Martens,Jennifer R
Norris,Sarah W
Oladipo,Jennifer O
Parmley,Curtis Lushawn
Savage,Jordan L
Skaggs,Michael Anthony
Trindade,Eduardo Luiz
Wang,Chunwei
Wang,Minghu
Weghorst,Tessa M
Wheeler,Courtney Suzanne

Master of Science

Babcock,Daron Scott
Brussell,Gregory P
Cooper,William James
Doiphode,Prakash G
Gregory,Bobbi Sherwood
Hager,Lindsay Blake
Milliner,Sarah Elizabeth
Ward,Ashlee Nicole

Bachelor of Arts

Ackerman,Carey Beth
Allen,Amanda April

Anderson,Justin Lee
Anderson,Shannon Layne
Babb,Mahagony Cherille
Ballestas,Andres Felipe
Banco,Kristen Nicole
Banks,Jessica Dominiqu'e
Barbian,Natalie Diane
Belflowers,Christina Elizabeth
Blandford,Courtney Rose
Blum,Evan C
Brown,Amy D
Burnside,Patrick Joseph
Canfield,Natalie P
Carter,Pamela Gwyn
Chavez,Gerardo
Clark,Robb Taylor
Coltharp,Alexander Paul
Coorts,Chelsea Elise
Coots,Scott Marcus
Cunningham,Samantha June
Curtis,Leslie A
Davis,Danielle J
Dingman,Aimee L
Duda,Kayla Nicole
Duncan,Cristina
Erwin,Andrea R
Erwin,Matthew Edward
Ferrell,Priscilla Marie
Flannery,Patrick M
Garland,Billy S
Gaus,Aubrey Elizabeth
Gibson,Samantha L
Gleichman,Katherine
Goode,George F
Goodlett,Felicia McCardwell
Hill,Emily Marie
Hobbs,Amanda E
Jenkins,Kyle E
Klein,Kathryn Olivia
Lane,David Lee
Lanham,Jennifer Marie
Manley,Danielle Marie
Martinez,Brian P
Mattingly,Jeni C
McCollum,Joshua L
McGrew,Jason Robert
McIlwain,Jennifer L
McNear,Brittany Shantae
Mehani,Antigona
Miles,Demetria Leann

Miller,Lindsey S
Mills,Anthony A
Mitchell,Alexis S
Nugent,Christopher B
Olgin,Brett M
Pank,Amanda
Park,Matthew Eugene
Parker,Catherine Louise
Phoenix,Crystal Elyria
Priest,Casey M
Ramser,Lindsay Faye
Reeves,Megan Elizabeth
Ross,Danielle S
Sailor,Alexandra Brooks
Sams,Patricia Kathleen
Santiago,Brittney Nicole
Shines,Christopher D
Simmons,Amanda Christina
Smuskiewicz,Celeste Ann
Snider,Daniel J
Spears,Shannon Marie
Stafford,Jermaine Thomas
Stepp,Heather Elizabeth
Stevens II,William Lane
Ta,Minh T
Thomas,Conrad Xavier
Thompson,Christiana Avril
Tichenor,John A
Velic,Sanda
West,Jennifer Lyn
Wetterer,Mark D
White,Kara Nicole
Wiggins,Seth Durrell
Williams,Jennifer Ann
Williams,Jessica Danielle
Williams,John A
Wilson,Nicole Elyse
Wortham,Emily Kathryn
Ziegler,Joshua Wade

Bachelor of Science
Adams,Chelsea Naomi
Bolin,Roy Thomas
Bowley SR,Timothy Scott
Brown,Kari Michelle
Busch,Robin Carol
Collier,Cristyn Danielle
Fields,Latonya Nicole
Forrest,Theodore C
Franklin,Kelly Scott

Gilbert, Terri Lynn
Hall, Whitney Leanne
Hatcher, Jessica Brook
Helms, Lauren E
Hillman, Keith Edward
Hughes, Jonathan
Keys, Rockrecus A
Lane, Robert K
Lawniczak, Richard Scott
Lyons, Adam Benjamin
Makin, Danielle Marie
Miller, Tyler G
Nemes, Robert J
Patterson, Pamela Gayle
Portman, Karie Ann
Probus, Justin Ray
Pruden, William Todd
Ridge, Casie Lauren
Sheffer, Angela Louise
Skaggs, Andrew Burres
Smith, Lacey L
Sullivan, Emily Ryan
Thompson, Karen Wells
Tomczyk, Gregory J
Van Nostrand, Taylor J
Welty, Matt Charles
White, Megan L
Wickham, Zachary Allen
Yuhas, Matthew Austin

Associate in Arts
White, Angela Jonetta

Certificate in Public History
Oladipo, Jennifer O

College of Business

Master of Business Administration

Adler, Markus Maximilian
Ahern JR, Keith J
Anderson, Nicholas S
Baier, Jochen
Bauer, Michael
Boice, Carolyn Marie
Bovard, Justin D
Brecht, Soenke
Brokmann, Torben
Brown JR, Edward Hoyt
Brown, Benjamin Jacob

Carter, Christopher Cecil
Donaldson, William Robert
Douglass, Christina Mae
Downs, Bryan Michael
Enns, Paul
Fallon JR, Patrick J
Fish, Adam Brandon
Generotzky, Frank
Gernat, Dunja
Gigold, Bethany Michelle
Godoy, Anthony Rafael
Gomsak, Amanda Nannette
Goodloe, Jessie Leigh
Grant, Matthew Ryan
Grombach, Stephan
Hardin, Adrian Lamar
Harmon, Heath Colby
Hartman, Magdalen Ann
Hayes, Allen Douglas
Hilgeford, Matthew Karl
Howell, Lorann M
Huff, Joshua Blake
Kingston, Sandy
Kowalski, Christian
Kunovic, Robert Raymond
Kuntz, Quintin R
Lamar, Benjamin Mark
Lee, Michael Hao
Ling, Yan
Lyons, Dustin Howard
Mackin, Jessica L
Monroe, Kyle Lee
Montanez, Roberto Carlos
Muller, Jan
Niles, Alex Stewart
Oliver, Jerald Gordon
Parasseril, Nibu
Peterson, Geoffrey Duane
Pryor, Matthew Todd
Retzbach, Alexander
Ritter, Svenja
Rizzo, Jeffrey Vincent
Roth, Melanie
Rothenbucher, Heiko Helmut
Schauder, Melanie
Schlicht, Christian
Schroeder, Henrik
Shepherd, Jessica Abina
Spangler, Adam Benjamin
Spanier, Jan F.J.

Stepanov,Ivan
Strange,James E
Teudean,Ashley Danielle
Wheat,Katherine Aston
Wischhusen,Oliver
Wood,Joshua Brent

Master of Accountancy
Detherage,Adam Anthony
Dirie,Abdirisak F
Hayden,Benjamin P
Khamis,Ali Khalid
Krall,Samantha A
Krawiec,Jeffrey Allen
Molter,Whitney R
Otey,Lauren E
Tan,Furong

Bachelor of Science in Business Administration

Barney,Lee Anne
Baumgardner,Lewis James
Brentlinger,Eric Marshall
Browning,Kurt Anthony
Clark,Brandon Lee
Clayton,Carrie Marie
Delph,Brittany N
Dixon,Scott W
Fawbush,Jessica Ann
Fore,Nicholas Matthew
Gerike,Melissa K
Graves,Lamonica E
Grimes,Christopher Chase
Heick,Eric W
Hirsch,John Anthony
Hua,Yuan
Humphreys,Justin
Kessler,Jessica
Le,Huy
Lewis,Mark E
Linker,Sarah Elizabeth
Lutes,Stephen
Morris,William Charles
Mudd,Laura Christine
O'brien,Alexander T
Oehrle,Derrick A
Pickett,Ashley M
Rapaport,Amy Rose
Robinson,Bridgett Denise
Robinson,Calvin Lynn
Sa,Miso

Saunders,David R
Schafer,Rachael E
Schilling,Caitlyn A
Schultz,Jeffrey
Sivori,Scott Daniel
Smith,Brandon Gregory
Tenhumberg,Paige Linsey
Uhl,Emily Rose
Wilson,Larry W
Zellers,Alexandra Lynn

Bachelor of Science in Business Economics

Anderson,Molly Elizabeth
Carey,James
Dewey,Jonathan P
Pepe,Vincent

Certificate in Equine Administration

Jagow,Scott David

Certificate in Accounting

Albach,Susan Marie
Booth,Robert Brandon
Chinn,Jennifer Macke
Grande,Christopher M
Inbaraj,Darshini Mariam
Li,Hui H
Spry,Bohdana Hutvert

School of Dentistry

Master of Science

Butler,David Christopher
Chorak,Adam Lee
Dixon,Gary Daniel
Eskan,Mehmet Akif
Tunstill,Jessica Clayre
Wantland,Nicholas Bruce

College of Education and Human Development

Doctor of Philosophy

Burnett,Jennifer Blair
Mayes,Courtenay Grace-Rochelle
Nichols,Andrew Joseph
Pike,Mary Ellen
Schum,Jennifer Ann
Sergeant,Margaret Carrie
Tan,Gary Peng Liang
Whitney,Jeremy T

Wyrick,Amanda J

Doctor of Education
Christian,Carol

Specialist in Education
Billops,Joy Leslie
Carmichael,Marcia Faye
Crady,Bryan Lewis
Dotson,Michelle Lee
Garrett,Brian Thomas
Gudgel,Boyd
Herberger,Gregory Paul
Iloff,Emily Schoenhoff
Weiger JR,Rodney Lee

Master of Arts
Branham,Julie Elizabeth
Mills,Sarah Catherine
Paul,Andrea Laine
Reece,Larissa Whelan
Scott,George Lee

Master of Science
Brace,Vicki Joann
Clark,Kelly Fischer
Gabbard,Erica Lauren
Hogan,Stefanie Lyn
McKim,Paul Jerome
Parsons,Gary McDonald

Master of Education
Beaumont,Tiffany Ann
Boston,Karen Elizabeth
Canada,Dericka Denise
Coots,Katherine Elizabeth
Garnett,Carrie Macy
Gettys,Colleen Patricia
Goodson,Melissa Ann
Green,Raymond Edward
Greenwald,Theresa Drees
Greenwell,Whitney L
Horn,Angela Janet
Kidd,Elizabeth Anne
Lakhwani,Monica Lulla
Landenwich,Jenna K
McMillen,Megan Elizabeth
Nasim,Sabeen
Phillips,Stacey Lee
Raidt,Edwin Joseph

Randall,Matthew Kern
Stewart,Whitney Mignon
Stinson,Sandra Gale
Strange,Conni Jo
Thomas,Miranda Katherine

Master of Arts in Teaching
Age,Julie Michelle
Bennett,Samantha Lynn
Bentley,Sarah Mullen
Boone,Stephanie Ann
Brewer,Amanda Lee
Brotherton,Ashley Morgan
Cochran,Lee Preston
Cupstid,Rachel Renee
Dudgeon,Jason Matthew
Ford,Kathryn Arrington
Gahafer,Todd Stephen
Gardner,Spencer Todd
Goodman,Sharon Joyce
Gottbrath,Aaron Christopher
Grant,Ariel Cherith
Hammer,Jessica
Hawkins,Ashley Nicole
Hoffman,Makenzi Case
Hubbard,Natalie
Johnson,Aude Marie-Claire
Lasseigne,Megan Garnett
Lydon,Barbara L
McKnight,Alison Marie
McNerney,Kelly Collins
Meyer,Joshua Harris
Moehlman,Allison Nicole
Moore,Lauren Kate
Mullenex,Chelsea Nicole
Neyhart,Brian Phillip
O'Neill,April Paris
Reddington,Anthony David
Ruckriegel,Sarah Ann
Sawyer,Paula Suzanne Person
Siebert,Suzanne Marie
Sumpter,Sandra Leanne
Thomas,Donna Bailey
Thornsberry,Kenneth Edward
Tydlacka,Kara Elizabeth
Watson,Rayleen Gale
Welsh,Steven Kiel
Wiley,Sara Renee

Bachelor of Science

Bragg,Stephanie Marie
Brummette,Krista Ann
Cameron,Christopher Allen
Carter,Robert Roosevelt
Clark,Christopher James
Coleman,Blair Kathleen
Combs,Darlene Benford
Downs,Dee O
Elder,Deanna M
Eversole,Wesley Adam
Findorff,Kristopher James
Fowler,Kyle
Fox,Kelly Larena
Glynn,Dorothy Jean
Goldsmith,Amber Nicole
Gollmier,Chad M
Henderson,Stephanie Ann
Hinklin,Barbara Ann
Jones,Kathleen Suzanne
Karavaeva,Evgenia V
Kelley,Kellyn J
Loudermilk,Kelli Lynne
Loveridge,Roger Neil
Matthews,Lisa Marie
McCliman,Michael Robert
Patterson,Clifford I
Perez,Neftali
Reed,Cristopher D
Reyes-Stephens,Antoinette
Rice,Thomas B.
Russell,Lauren Nicole
Santiago,Carlos
Shaver,Terra L
Stewart,Jannette Darlene
Swain II,Michael Allen II
Theobald,Michele Buckley
Tolley,Meredith C
Vien,Joel Maxfield
Webb,Kimberly Jo
Whiteman,Brian T
Wilhite,Sarah Nicole
Willis,Gregory James
Wimsatt,David Martin

School of Public Health and Information Sciences

Doctor of Philosophy
Connor,Avonne Elish
Ferguson,Amanda Nicole
Mostajabi,Farida

Ray,Herman Eugene

Master of Science
Shah,Jasmit Suresh Kumar
Yang,Dake
Zhang,Jie

Master of Public Health
Gharachamani Asl,Bahram

Master of Science
Hussain,Lala-Rukh
Staggs,Lauren Schachter
Weathers,Charita Patrice

School of Interdisciplinary and Graduate Studies

Master of Arts
Shaw,Eric Andrew

Certificate in Latin American and Latino Studies
Mccoy,Erin Ruth

Kent School of Social Work

Master of Science in Social Work
Bilan,Robin Caroline
Bishop,Stacy Lynn
Carr,Tanya Marie
Charlot,Cassandra
DeLorenzo,Justin Marshall
Fletcher,Cynthia D
Gray,Adrian David
Harper,Michelle Leeanne
Leach,Leslie Scott
Martin,Hillary Prunty
McCarter,Britney Faith
Metcalf,Tricia Renea
Monroe,Wesley Paul
Moore,Katie Marie
Probus,Christa Dawn
Ross,Alysia E
Seiffert,Meredith Jennings

Bachelor of Social Work

Davis,Molly
North,Rebecca Lynn
Smith,Jason Todd
Spears,Jessica L

Louis D. Brandeis School of Law

Juris Doctor

Maier, Michael Eric

Phelps, Courtney L

School of Medicine

Doctor of Philosophy

Arnold, Sheila Ann

Bansal, Shyam Sunder

Bourcy, Katherine Sara

Cao, Pengxiao

Dincman, Toros ALI

Gunn, Lacey Jo

Hellmann, Jason Lee

Makia, Ngome

Mathews, Stephanie Ann

Millner, Lori

Muenyi, Clarisse

Ngalame, Ntube

Paul, Pradyut Kumar

Porter, Alex Michael

Potts, Lisa Fryman

Rogers, Erica Nicole

Soni, Chirag V

Stallons, Lindsey Jay

Terson de Paleville, Daniela

Wheat, Laura Anne

Master of Science

Abayev, Joseph

Barton, Christopher L

Bradburn, Cory Austin

Cheng, Pei Hsin

Couch, Sarah Elizabeth

Eze, Chisom Elizabeth

Finnila, Zaunklay Dale

Grisham, Rachel Elise

Harris, Clarissa Cheyenne

Kakar, Shelley

Kilgore, Tyler C

Ravisankar, Ashwin

Risner, Benjamin Maurice

Stivers, Nicole Star

Trusty, Sean A

Wu, Wan

Doctor of Medicine

Turner, Matt

School of Music

Bachelor of Music

Coughlon, Jeremiah Joseph

Farrar, Byron

Warfield, Bryen David Adam

Bachelor of Music Education

Boguslaw, Gabrielle Marie

Burkhead, Michael Stewart

Riggs, Holly D

School of Nursing

Doctor of Philosophy

Mccullough, Pamela Ann

Bachelor of Science in Nursing

Batchelor, Anna Charis

Brown, Meredith Stevens

Brozek, Catherine Anna

Button, Jessica Elaine - Clark

Cullen, Sarah Kendall

Deckel, Allison Sally

Dewitt, Felicia Lynn

Duvall, Maegan Hardin

Freels, Thomas Alan

Hanley, Rachel H

Hebbeler, Lara E

Hiemer, Meredith Paige

Humphrey, Donald J

Keith, Rachel J

Lease, Patrick Joseph

Lynch, Tania Ume

Mathis, Charity Dawn

Miller, Ashley Elizabeth

Miller, Cynthia Marie

Moore, Megan LaRae

O'Loane, Kelly Partin

Ozborn, Leslie

Patty, Felicia Rae

Richards, Jillian B

Robertson, Ashley Lynn

Rodeffer, Colleen Flynn

Rogers, David Paul

Sen, Sanchita

Smith, Mary Katherine

Smither, Allison Attaway

Stair, Cynthea Mae

Stephens,Andrew Austin
Stephens,Momoko
Stevens,Chelsea Foree
Thoreson,Melissa Carren
Whelan,Ashley Elizabeth
Yost,Ian

J. B. Speed School of Engineering

Master of Engineering

Adams,Justin Robert
Allen,Zachary Wayne
Allgeier,Christina M
Baker,Christopher Matthew
Baker,Stephan Allen
Ballard,Brian Thomas
Bartley,Christopher Michael
Baumer,Aaron Michael
Blackburn,Derek Maxwell
Blackburn,Douglas Kent
Braun,Jeffrey Douglas
Carreer,William J
Clark,Philip M
Coleman,Christopher A
Dorwart,Jordan T
Dykes,Daniel E
Flanery,Joshua
Franklin,Brandon Lee
Graham,Brett A
Greenwell,Sarah C
Halbleib,Alexander Joesph
Harpring,Russell Edward
Henderson II,Fredric W
Henry,Ben
Hines,Walter J
Ising,Michael S
King,Kenny J
Kirkpatrick,Erin Rae
Knopf,Nicholas C
Krawczyk,John William
Kumar,Adwait
Mccord,Richard Gordon
McDaniel,Ryan Christopher
Meador,Dylan Wayne
Meuris,Brek Joseph
Muench,E David
Myser,Benjamin S
Nandanwar,Piyush
Onkst,Tyler James
Osterle,Martin

Petzold,Franz G
Prater,Russell Bernard
Pulliam,Stephen M
Russ,David Chandler
Scherzer,Christopher M
Shelley,Michael B
Snyder,Matthew John
Stenger,Mathew Anthony
Stucker,Christopher M
Tarver,Elliott Lockley
Tompkins,Landon H
Warren,Sean Patrick
Wiles,Christopher M
Yu,Yindong

Doctor of Philosophy

Desai,Niranjan
Durak,Nurcan
Emara,Wael Ali
Hadavale,Rajesh
Rara,Ham Moso
Shafaei,Sara
Walgampaya,Chamila Kumara
Wang,Jianting
Zakerifar,Mehdi

Master of Science

Abdollahi,Behnoush
Chen,Yan
Cottrell,Charles Justin
Crenshaw,Tiffany R
Cziha,Andreas
Eissler,Michael
Filar,Andrew Joseph
Gruenter,Thomas W
Hachenberg,Niko
Jin,Shengpeng
Khan,Mohammad Shoeb
Saka,Ernur
Soltanzadeh,Maryam
Sun,Bo
Wagner,Anna Elizabeth
Weste,Florian Joachim
Zhang,Di

Certificate in Environmental Engineering

Russ,David Chandler

Bachelor of Science in Bioengineering

Anderson,Mark William

Awadallah,Ahmed
Brun,Grace Louise
Hamilton,Mary Abigail
Khosravi,Farhad
Owens,Kirsten Lee
Wooley,Chase Barrett

Bachelor of Science in Chemical Engineering

Ding,Zhongyuan
Erpenbeck,Robert J
Hughes,Jonathan T
Peters,Nathan Wayne
Petzold,Franz G
Ryoo,Seunghee
Swiergosz,Andrew M
Trivedi,Raj

Bachelor of Science in Civil Engineering

Belshoff,Paul Kenneth
Blakeman III,John Lee
Finn,Jonathan Brian
Hay,Carrie L
Mellado,Anthony J
Phelps,Grant C
Shelley,Michael B
Stemley,Jessica J
Zinninger,Ben J

Bachelor of Science in Computer Engineering and
Computer Science

Carreer,William J
Hassan,Lucas W
Hauck IV,Charles Rector
Hiner,Chad A
Patel,Shamir Satish Kumar
Schreiber,Jacob Alan
Underwood,Kyle A
Webb,Anthony J

Bachelor of Science in Electrical Engineering

Blakely,Scott Ryan
Franklin,Cory R
Schreiber,Jacob Alan
Spicer,Lucas Bryant
Tucker,Seth Cutting
Webb,Anthony J

Bachelor of Science in Industrial Engineering

Blackburn,Douglas Kent
Downs,Hannah
Jackson,Secilee Sharee
Sanders,Tyler
Wooldridge,Abigail Rayburn

Bachelor of Science in Mechanical Engineering

Bretz,Andrew David
Bumann,Timothy A
Carlisle,Christopher Andrew
Cox,Parker Thomas
Dougherty,William R
Downs,William Troy
Franklin,Matthew D
Hartley,Brett
Jackson,Allie J
LaPrade,Bennie Ray
Miller,Jonathan S
Nandanwar,Piyush
Prater,Russell Bernard
Roy,Leiah A
Siegwald,Eric Austin
Simpson,John M
Smith,Alexander P
Thomason,Cole L
Verble,Todd A
Webb,Dustin C

RECOMMENDATION TO THE BOARD OF TRUSTEES
UNIVERSITY OF LOUISVILLE
CONCERNING MANAGERIAL GROUP

Board of Trustees – July 13, 2011

RECOMMENDATION:

The President recommends:

That the Board of Trustees adopt the attached Resolution revising the Managerial Group of the University of Louisville and waiving of security clearance for officers, Trustees, and directors not a part of the managerial group; and

That the President be authorized to take any other steps necessary with regard to agreements or assurances to the Defense Security Service to effect the facility security clearance of the University of Louisville and clearance of its personnel including compliance with the provisions of the National Industrial Security Program Operating Manual or other Federal government policy relating to safeguarding of classified information.

RESOLUTION
BOARD OF TRUSTEES
UNIVERSITY OF LOUISVILLE

July 13, 2011

WHEREAS the Defense Security Service, Department of Defense of the Federal Government requires security clearance with the Federal Government for persons constituting the Managerial Group of the University of Louisville; and

WHEREAS, the Managerial Group must undergo government security clearance at the same level as the Facility Security Clearance; and

WHEREAS the Board of Trustees desires to delegate all of its duties and responsibilities pertaining to the protection of classified information to the Managerial Group; and

WHEREAS because of this designation the Board of Trustees will not be in a position to affect adversely the performance of classified contracts; and

WHEREAS the University of Louisville has been informed that the Federal Government will not process security clearances for members of the Board of Trustees who are not in a position to affect adversely the performance of classified contracts;

BE IT RESOLVED that the following personnel shall constitute the Managerial Group for industrial security responsibilities as described in the National Industrial Security Program Operating Manual for Safeguarding Classified Information:

Name	Position
James R. Ramsey	President
Shirley C. Willihnganz	Executive Vice President and Provost
David L. Dunn	Executive Vice President
William Pierce	Interim Executive Vice President
Michael Joseph Curtin	Vice President
Matthew H. Witten	Facilities Security Officer

THAT the said Managerial Group shall be and hereby is delegated the full authority and responsibility for negotiating, executing and administering classified contracts of the Department of Defense or other User Agencies of the United States Government awarded to the University of Louisville.

THAT the said Managerial Group personnel listed below be temporarily excluded from access to all classified information until such time that their clearances are processed and they have received appropriate security clearances:

David L. Dunn	Executive Vice President
William Pierce	Interim Executive Vice President

THAT all other officers of the University of Louisville and members of the Board of Trustees shall not require, shall not have, and can be effectively excluded from access to all classified information released to, in the possession or under the control of the University of Louisville, and do not occupy positions that would enable them to affect adversely the policies and practices of the University of Louisville in the performance of classified contracts for the Department of Defense and other User Agencies of the United States Government, Inc. need not be processed for an access authorization in accordance with previous actions taken by the Board regarding the members of the Board of Trustees, including the following officers and/or directors:

Marie Abrams
Jonathan Scott Blue
Ronald Dean Butt
Kevin W. Cosby
Owsley Brown Frazier
Kurtis Frizzell
Salem M. George, Sr.
Daniel Hall
Priscilla Hancock
Augusta Brown Holland
Robert Curtis Hughes
A. Keith Inman
Rebecca Day Jackson
David James
Thomas Mark Jurich
Frank Minnifield
Brucie Moore
Larry L. Owsley
Robert W. Rounsavall, III
Jack Chester Porter
Debbie Scoppechio
William Selvidge
Robert Staat
Phoebe A. Wood

THAT this Resolution supersedes the Resolution of November 11, 2010, as it relates to the Managerial Group. All other provisions of that Resolution remain in full force and effect.

Certificate

I certify that I am the duly qualified Assistant Secretary to the Board of Trustees of the University of Louisville, that the foregoing is a true copy of the Resolution adopted at the regular meeting of such Board, which was held the 13th day of July, 2011, at which meeting a quorum was present and a majority of members present voted in favor of the adoption of such resolution. I further certify that such Resolution is still in full force and effect.

Dated this 13th day of July, 2011.

Assistant Secretary
Board of Trustees
University of Louisville

RECOMMENDATION TO THE BOARD OF TRUSTEES OF THE UNIVERSITY OF
LOUISVILLE CONCERNING THE NEW STUDENT RECREATION CENTER

Board of Trustees – July 13, 2011

RECOMMENDATION:

The President recommends that the Board of Trustees:

authorize the President or his designee to execute all actions needed to finance the construction of a new Student Recreation Center

BACKGROUND:

H.B. 1 of the General Assembly of the Commonwealth of Kentucky, 2010 First Extraordinary Session, authorized \$37,500,000 in bonding authority for the construction of a new Student Recreation Center plus the cost of issuance. The University seeks to issue approximately \$38,430,000 principal amount of General Receipts Obligations which shall be designated “University of Louisville General Receipts Bonds, 2011 Series A.” This long-term financial instrument guarantees the University an optimum interest rate and the flexibility necessary for the success of the project.

Prior to any necessary bond issuance, the Commonwealth’s Bond Oversight Committee will review the proposal. A sale date of August 2, 2011 has been tentatively set.

Board Action:

Passed: _____

Did Not Pass: ____

Other: _____

Assistant Secretary

SERIES RESOLUTION

A RESOLUTION PROVIDING FOR THE AUTHORIZATION, ISSUANCE AND SALE OF APPROXIMATELY \$38,430,000 GENERAL RECEIPTS OBLIGATIONS (STUDENT RECREATION CENTER) OF THE UNIVERSITY OF LOUISVILLE, PURSUANT TO THE TRUST AGREEMENT DATED AS OF MARCH 1, 2007.

WHEREAS, the University of Louisville (herein called the "University"), a public body corporate and an educational institution and agency of the Commonwealth of Kentucky, by resolution adopted by the Board of Trustees of the University on February 21, 2007 (herein called the "General Bond Resolution"), and by a Trust Agreement, dated as of March 1, 2007, as supplemented (herein called the "Trust Agreement"), comprised in part of the General Bond Resolution, has provided for the issuance from time to time of Obligations (as defined in the Trust Agreement) of the University secured by a pledge of the University's "General Receipts" (as defined in the Trust Agreement), each such issue to be authorized by a Series Resolution, as required by the Trust Agreement; and

WHEREAS, the Board has determined that it is necessary to finance the costs of the acquisition, construction, installation and equipping of an authorized project identified as Construct Student Recreation Center (the "Project"); and

WHEREAS, by authority of Sections 162.340 to 162.380 of the Kentucky Revised Statutes, Chapter 56 of the Kentucky Revised Statutes and Sections 58.010 to 58.140 of the Kentucky Revised Statutes (collectively, the "Act") and H.B. 1 of the General Assembly of the Commonwealth of Kentucky, 2010 First Extraordinary Session, as enacted and vetoed in part, the University is authorized to construct educational building facilities, to issue its obligations to pay all or part of the costs of such facilities, and to secure said obligations by a pledge of and lien on all or such part of the revenues and receipts of the University; and

WHEREAS, the Board of Trustees desires to provide for issuance and sale of University of Louisville General Receipts Bonds and for other matters in connection therewith, by the adoption of this Resolution;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF LOUISVILLE, AS FOLLOWS:

SECTION 1. Definitions and Interpretations. All words and terms defined in Section 1 of the General Bond Resolution and all interpretations therein provided shall have the same meanings, respectively, and be subject to the same interpretations as therein provided where used in this Resolution, unless the context or use clearly indicates another or different meaning or intent, except that this Resolution is sometimes herein called and may be known as the "2011 Series A Bond Resolution," the Obligations authorized by this Resolution are referred to herein and in the Supplemental Trust Agreement hereby authorized) as the "2011 Series A Bonds," and the terms "hereof," "hereby," "hereto," "herein," and "hereunder," and similar terms, mean this Resolution.

SECTION 2. Authority. This Resolution is adopted pursuant to the General Bond Resolution, the Trust Agreement, and the Act. The University hereby finds and determines that

the Project will generate sufficient funds to retire the bonded indebtedness and pay for ongoing operating expenses and will not result in an increase in tuition.

SECTION 3. Authorization, Designation and Purpose of 2011 Series A Bonds. It is hereby declared to be necessary to, and the Board shall, issue, sell and deliver, as provided and authorized herein, approximately \$38,430,000 principal amount of General Receipts Obligations which shall be issued as General Receipts Bonds (the "2011 Series A Bonds"). Such Obligations shall be designated "University of Louisville General Receipts Bonds, 2011 Series A" and shall bear such further series designation as the Fiscal Officer (as defined in the Trust Agreement) deems appropriate. Such Obligations shall be issued for the purpose of (i) financing the costs of the Project and (ii) paying costs of issuance in connection with such Obligations. The proceeds from the sale of such Obligations shall be deposited and allocated as provided in Section 6 hereof.

SECTION 4. Terms and Provisions Applicable to the 2011 Series A Bonds.

(a) Form, Numbering and Designation. The 2011 Series A Bonds shall be issued in the form of fully registered Obligations as approved by the Fiscal Officer, shall be numbered from 1 upwards, and shall bear such series designation as the Fiscal Officer deems appropriate.

(b) Denomination and Dates. The 2011 Series A Bonds shall be in such denominations as requested by the Original Purchaser (hereafter defined), and shall be dated on the date determined by the Fiscal Officer and may be issued in installments (each installment being a part of the 2011 Series A Bonds herein authorized) with maturity dates approved by the Fiscal Officer, having a final maturity that is no later than twenty-five years from the date the 2011 Series A Bonds are issued.

(c) Interest. The 2011 Series A Bonds shall bear interest from their respective dates payable on dates approved by the Fiscal Officer, beginning on a date approved by the Fiscal Officer, at the rate or rates per annum determined pursuant to Section 5 hereof.

(d) Maturities. The 2011 Series A Bonds shall mature on such dates, in the years and in the principal amounts set forth in the maturity schedule approved by the Fiscal Officer pursuant to Section 5 hereof.

(e) Redemption Terms and Prices. The 2011 Series A Bonds shall be subject to optional and mandatory redemption on such dates and terms as approved by the Fiscal Officer, with the advice of the Financial Advisor (hereinafter identified) and set forth in the Supplemental Trust Agreement. If less than all of the outstanding 2011 Series A Bonds are called for redemption at one time, they shall be called in the order of the maturities as directed by the Fiscal Officer. If less than all of the outstanding 2011 Series A Bonds of one maturity are to be called, the selection of such 2011 Series A Bonds or portions of 2011 Series A Bonds of such maturity to be called shall be made by lot in the manner provided in the Trust Agreement. Notice of call for redemption of 2011 Series A Bonds shall be given in the manner provided in the Trust Agreement.

(f) Other Provisions. The 2011 Series A Bonds may, at the option of the Fiscal Officer, be secured by municipal bond insurance or similar instrument issued by a financial or insurance institution acceptable to the Fiscal Officer.

(g) Place of Payment and Paying Agents. The principal, interest and any redemption premium on registered 2011 Series A Bonds shall be payable by check or draft, as provided in the Trust Agreement.

(h) Execution. The 2011 Series A Bonds shall be executed in the manner provided in the General Bond Resolution.

SECTION 5. Award and Sale of 2011 Series A Bonds. The 2011 Series A Bonds shall be offered publicly for sale upon the basis of competitive bids at such time as the Fiscal Officer, upon advice of the Financial Advisor to the University, shall designate.

The Fiscal Officer is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in *The Courier Journal*, a legal newspaper published in the City of Louisville, Kentucky, which will afford local and statewide notice of the sale, and, to the extent required by law, in *The Bond Buyer*, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspapers and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of Chapter 424 of the Kentucky Revised Statutes. Such notice shall be published in said newspapers and financial journal at least once not less than seven nor more than twenty-one days prior to the scheduled date of sale of the 2011 Series A Bonds.

The forms of Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and Official Statement, shall be in such form as approved by Bond Counsel for the University, by the Financial Advisor, by the General Counsel of the University and by the Fiscal Officer.

Bidders shall be advised that the fee of the Financial Advisor for services rendered with respect to the sale of the 2011 Series A Bonds is contingent upon the issuance and delivery of the 2011 Series A Bonds, and that the Financial Advisor may submit a bid for the purchase of the 2011 Series A Bonds at the time of the advertised public sale of the 2011 Series A Bonds, either individually or as the member of a syndicate organized to submit a bid for the purchase of the 2011 Series A Bonds.

Upon the date and at the respective hour set forth for the submission and consideration of purchase bids, as provided in the instruments hereinabove approved, bids shall be reviewed as provided in such instruments. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Fiscal Officer, upon the advice of the Financial Advisor, is authorized to accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the Official Terms and Conditions of Sale of Bonds. Calculations shall be performed as are necessary to determine the exact amount of 2011 Series A Bonds that are required to be issued in order to (i) pay the budgeted costs of the Project and (ii) pay the costs of issuing the 2011 Series A Bonds and the final principal amount, interest rates and maturities of the 2011 Series A Bonds shall thereupon be established, as prescribed in the Official Terms and Conditions of Sale of Bonds.

SECTION 6. Allocation of Proceeds of 2011 Series A Bonds. All of the proceeds from the sale of the 2011 Series A Bonds and other lawfully available funds of the University shall be

received and receipted for by the Fiscal Officer and shall be deposited and allocated as set forth in the Supplemental Trust Agreement approved hereby.

SECTION 7. Additional Covenants with Respect to Internal Revenue Code of 1986, as Amended. This Board hereby finds and determines that all of the proceeds from the sale of the 2011 Series A Bonds will be needed for the purposes set forth in Section 6 hereof. This Board hereby covenants for and on behalf of the University, that it will restrict the use of the proceeds of the 2011 Series A Bonds in such manner and to such extent, if any, and take such other actions as may be necessary, in view of reasonable expectations at the time of issuance of the 2011 Series A Bonds, so that the 2011 Series A Bonds will not constitute obligations the interest on which is subject to federal income taxation or "arbitrage bonds" under Sections 103(b)(2) and 148 of the Internal Revenue Code of 1986, as amended (the "Code"), and regulations prescribed under such Sections. The Fiscal Officer or any other officer of the University having responsibility with respect to issuance of the 2011 Series A Bonds is hereby authorized and directed to give an appropriate certificate for inclusion in the transcript of proceedings with respect to the 2011 Series A Bonds, setting forth the facts, estimates and reasonable expectations pertinent under said Sections 103(b)(2) and 148 of the Code and an election, if appropriate, with respect to Section 148(f)(4)(B)(IV)(V) of the Code. The Fiscal Officer is hereby authorized and directed to create a Rebate Account (which shall be held by either the University or the Trustee, at the discretion of the Fiscal Officer) if the Fiscal Officer determines such account is necessary so that the University complies with the rules concerning "rebate" as set forth in the Code, as they apply to the 2011 Series A Bonds.

SECTION 8. Supplemental Trust Agreement. The Chairman of the Board is authorized and directed to execute, acknowledge and deliver to the Trustee, in the name of and on behalf of the University, a Supplemental Trust Agreement pursuant to the Trust Agreement and in connection with the issuance of the 2011 Series A Bonds, in substantially the form submitted to this Board with such changes therein not substantially adverse to the University as may be permitted by the Act and the Trust Agreement and approved by the officer executing the same on behalf of the University. The approval of such changes, and that such changes are not substantially adverse to the University, shall be conclusively evidenced by the execution of such Supplemental Trust Agreement by such officer.

SECTION 9. Pledge of Student Recreation Center Fee. Pursuant to the Trust Agreement and in order to further secure the payment of Debt Service Charges (as defined in the Trust Agreement) on the 2011 Series A Bonds, there is hereby pledged to the payment of the 2011 Series A Bonds that certain student recreation fee (the "Student Recreation Center Fee") levied by the Board pursuant to a resolution adopted by the Board on June 9, 2011. So long as the 2011 Series A Bonds remain Outstanding, The University covenants that it shall maintain the Student Recreation Center Fee and will not make any pledge or assignment of or create or suffer any lien or encumbrance upon the Student Recreation Center Fee prior to or on a parity with the pledge thereof hereunder and in the Supplemental Trust Agreement. The pledge herein made of the Student Recreation Center Fee shall be a special and limited pledge for the 2011 Series A Bonds and shall not be deemed or constitute a pledge for any other Obligations issued under the Trust Agreement. The University further covenants that it shall at all times, to the extent permitted by law, defend, preserve and protect the pledge of the Student Recreation Center Fee under the Supplemental Trust Agreement and all the rights of the Holders under the Supplemental Trust Agreement against all claims and demands of all Persons whomsoever.

SECTION 10. Official Statement. The Chairman is hereby authorized and directed to execute and deliver an Official Statement with respect to the 2011 Series A Bonds for the purpose of making available to potential investors the information therein contained, which describes the interest rates and other terms to be borne by and the price to be paid for the 2011 Series A Bonds, and such other information with respect to the University and the 2011 Series A Bonds, necessary in the judgment of the Chairman with the advice of the Fiscal Officer and the Financial Advisors. The Chairman and the Fiscal Officer are each hereby authorized to deem the Preliminary Official Statement and final Official Statement "near final" and "final" for purposes of Securities Exchange Commission Rule 15c2-12, as amended and interpreted from time to time, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934 (the "Rule").

SECTION 11. Compliance With Rule 15c2-12. The Board of Trustees hereby agrees, to comply with the provisions of the Rule. In order to comply with the Rule, a Continuing Disclosure Agreement in the usual and customary form is hereby authorized and approved, with such modifications and additions as may be approved by the officer of the University executing the same. The Chairman and the Fiscal Officer are each hereby authorized to execute and deliver such Continuing Disclosure Agreement.

SECTION 12. Open Meetings. This Board hereby finds and determines that all formal actions relative to the adoption of this Resolution were taken in an open meeting of this Board, and that all deliberations of this Board and of its committees, if any, which resulted in formal action, were taken in meetings open to the public, in full compliance with applicable legal requirements.

SECTION 13. Further Authorization. That the proper and appropriate officers of the Board and of the University, to the extent authorized by law, are hereby authorized to execute and deliver the closing certificates, if any, with such modifications thereto as may be required by the purchasers of the 2011 Series A Bonds and approved by special bond counsel to the University as well as such other documents, certificates and statements as may be so required and so approved in connection with sale and delivery of the 2011 Series A Bonds.

SECTION 14. Provisions in conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of this Resolution are hereby rescinded to the extent of such conflict.

SECTION 15. Effective Date. This resolution shall take effect from and after its passage.

SECTION 16. Copy to be Filed with Trustee. A certified copy of this Resolution shall be filed with the Trustee

Adopted July 13, 2011.

Chairman, Board of Trustees
University of Louisville

Attest:

Secretary, Board of Trustees
University of Louisville

CERTIFICATION

The undersigned, Secretary of the Board of Trustees of the University of Louisville, Louisville, Kentucky, hereby certifies that the foregoing is a true copy of a Resolution adopted by the Board of Trustees of said University at a meeting held on July 13, 2011, as recorded in the official Minute Book of said Board of Trustees, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820, and 61.823, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended, or rescinded, and is in full force and effect at this date.

WITNESS my signature as Secretary of said Board this ____ day of _____, 2011.

Secretary, Board of Trustees
University of Louisville

56465v1

**RECOMMENDATION TO THE BOARD OF TRUSTEES
UNIVERSITY OF LOUISVILLE
CONCERNING CAPITAL CONSTRUCTION PROJECTS**

Board of Trustees – July 13, 2011

RECOMMENDATION:

The President recommends that the following projects be approved:

PROJECT:	ESTIMATED COSTS:	FUND SOURCE:
Renovation – Clinical & Translational Research Laboratory	\$500,000	Gift Funds
Storm Water Management Projects:		MSD Stipend
Business/Music School Zone	\$372,600	Reimbursement
Ekstrom Library Zone	\$264,900	

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING INSTITUTIONAL DIVERSITY PLAN FOR 2011-2015
Board of Trustees – July 13, 2011

RECOMMENDATION:

The President recommends that the Board of Trustees approve the *University of Louisville Diversity Plan 2011-2015* attached hereto as Exhibit A.

**UNIVERSITY OF LOUISVILLE
DIVERSITY PLAN 2011-2015
SUBMITTED TO THE COUNCIL ON POSTSECONDARY EDUCATION**

I. Mission

The University of Louisville shall be a premier, nationally recognized metropolitan research university with a commitment to the liberal arts and sciences and to the intellectual, cultural and economic development of our diverse communities and citizens through the pursuit of excellence in five interrelated strategic areas: (1) Educational Experience, (2) Research, Creative and Scholarly Activity, (3) Accessibility, Diversity, Equity and Communication, (4) Partnerships and Collaborations, and (5) Institutional Effectiveness of Programs and Services.

II. University of Louisville Diversity Vision Statement

The University of Louisville's Diversity Vision Statement includes the university's vision, guiding principles, core values and commitment to diversity.

Vision:

The University of Louisville strives to foster and sustain an environment of inclusiveness that empowers us all to achieve our highest potential without fear of prejudice or bias.

Guiding Principles and Core Values:

We commit ourselves to building an exemplary educational community that offers a nurturing and challenging intellectual climate, a respect for the spectrum of human diversity, and a genuine understanding of the many differences-including race, ethnicity, gender, gender identity, sexual orientation, age, socioeconomic status, disability, religion, national origin or military status-that enrich a vibrant metropolitan research university.

Commitment:

We expect every member of our academic family to embrace the underlying values of this vision and to demonstrate a strong commitment to attracting, retaining and supporting students, faculty and staff who reflect the diversity of our larger society.

The following is UofL's Operational Definition of Diversity:

Operational Definition:

Diversity embraces all human differences while building on the commonalities that bind us together. It serves to eliminate discrimination, marginalization, and exclusion based on race, ethnicity, gender, gender identity, sexual orientation, age, socioeconomic status, disability, religion, national origin or military status.

Diversity focuses on building community through:

- Composition of a diverse faculty, staff and student body
- Curricula and learning experiences that prepare students to live and work in a diversity and global society
- Campus life that allows students to develop leadership skills, civic responsibility and social skills while excelling academically; faculty to teach, serve and conduct research that enhances knowledge and improves the quality of life; and staff to work in supportive workplace environments
- Climate of inclusiveness with principles of mutual respect, fairness and social justice that is conducive for everyone to develop to his/her fullest potential.

III. Introduction

The first section of the 2011 diversity plan (Section One) is based on Administrative Regulation KRS 164.020 (19) of Senate Bill 398, which governs the university's ability to add new academic programs. It provides the tables and metrics for the groups (African Americans and Hispanic/Latinos) we have chosen based on the population in our Area of Geographic Responsibility (AGR). The AGR and baseline data were used for undergraduate student enrollment to help us set goals for the next five years (2011-2015).

Section two is the university's comprehensive diversity plan which includes strategies and tactics for African Americans and Hispanic/Latinos and other groups that go beyond the IPEDS groups identified for KRS 164.020 (19). This section provides the strategies and programmatic efforts that will be used to make progress in achieving the goals.

UofL's progress of the stated goals will be assessed by showing an increase in the number of students or employees or an increase in the percentage reported for the past year for each objective. For example, 1st to 2nd year retention of undergraduate students must equal the stated target or progress must be shown by retaining at least one student more than the number retained the previous year.

SECTION ONE: Tables and Matrix based on Administrative Regulations KRS 164.020 (19) of Senate Bill 398

Census data show that the growth populations in our Area of Geographic Responsibility (referred to as AGR, which includes Jefferson, Oldham and Trimble Counties) are African American and Hispanic/Latino. American Indians/Alaskan Natives and Native Hawaiians/Pacific Islanders are not significantly represented. Therefore, we will focus on increasing diversity primarily among African Americans and Hispanic/Latino students and closing any achievement gaps in comparison to White students over the next five years.

STUDENT BODY DIVERSITY

Undergraduate Student Enrollment

Goal: to increase diversity in the enrollment of all undergraduate students, with emphasis on the target populations indicated in the tables below. The AGR represents the college age population (18-24).

Target Population	Representation in AGR	Fall 2010 Base, UofL	Gap	2015 Target
African American	22%	11.9% / 1,881	10.1%	11.9 or >% or n
Hispanic/Latino	3.6%	3.0% / 481	0.6%	3.0 or >% or n
Total for Groups	25.6%	14.9% / 2,362	10.7%	14.9 or >% or n

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Graduate Student Enrollment

Goal: to increase diversity in the enrollment of all graduate and professional students, with emphasis on the target populations indicated in the tables below. For graduate student enrollment, the AGR represents the total population in Kentucky with bachelor degrees.

Target Population	Representation in AGR	Fall 2010 Base, UofL	Gap	2015 Target
African American	11.6%	7.7% / 446	3.9%	7.7 or >% or n
Hispanic/Latino	9.4%	1.9% / 108	7.5%	1.9 or >% or n
Total for Groups	21%	9.6% / 554	11.4%	9.6 or >% or n

*Graduate degrees include Master’s, Specialist, and Doctoral Professional/Research

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

STUDENT SUCCESS (CLOSING THE ACHIEVEMENT GAP)

1st year to 2nd year Retention (based on GRS)

GRS = 1st time, full time, baccalaureate degree-seeking freshmen

In 2010, the 1st year to 2nd year retention rate for White students was 77.6%. Our goal is to improve the retention rate of all students, with emphasis on closing the achievement gap for the target populations indicated in the tables below:

Target Population	Fall 2010 Baseline	Achievement Gap	2015 Target
African American	232/297 or 78.1%	0.0%	78.1 or > % or n
Hispanic/Latino	36/52 or 69.2%	8.4%	69.2 or > % or n
White, Non Hispanic	1,508/1,944 or 77.6%		

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

1st to 3rd year Retention (using GRS)

In 2010, the 1st to 3rd year retention rate for White students was **67.9%**. Since no achievement gap exists between White students and students within our target populations, our goal is to improve the retention rate of all students, with emphasis on closing the achievement gap for the target populations indicated in the tables below:

Target Population	Fall 2010 Baseline	Achievement Gap	2015 Target
African American	207/304 or 68.1%	0.0%	68.1 or > % or n
Hispanic/Latino	35/46 or 76.1%	0.0%	76.1 or > % or n
White, Non Hispanic	1,407/2,073 or 67.9%		

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Graduation Rates and Degrees Awarded

Graduation Rates

In 2010, the Total Six-Year Graduation Rate (Cohort Base 2004) for White students was **49.4%**. Our goal is to improve the retention rate of all students in the GRS, with emphasis on closing the achievement gap for the target populations indicated in the tables below:

Total Six Year Graduation Rate	1118/2301 ² or 48.6%	Achievement Gap	2015 Target
African American	124/302 ² or 41.1%	8.3%	41.1 or > % or n
Hispanic ¹	19/37 ² or 51.4%	0%	51.4 or > % or n
White, Non Hispanic	922/1866 ² or 49.4%		

¹ Hispanic cohort numbers are small (less than 50) and rates are subject to wide variation

²Total number for each cohort (denominator) reflects valid IPEDS exclusions for students who were called to military service and deceased students.

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Degrees Awarded

The total number of Undergraduate degrees awarded was 2,568. The goal is to award degrees to undergraduate students of color proportionate to their representation in the student body.

Undergraduate Target Population	2010 Baseline	2015 Target
African American		
Note: In 2010, African Americans made up 11.9%		

of the undergraduate enrollment and earned 11.4% of the degrees awarded	11.4% / 294	11.9% / 308
Hispanic/Latino Note: In 2010, Hispanics made up 3% of undergraduate enrollment and earned 2.2% of the degrees awarded	2.2% / 57	3% / 58

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

The total number of Graduate degrees awarded was 1,820. The goal is to award degrees to graduate students of color proportionate to their representation in the student body.

Graduate Target Population*	2010 Baseline	2015 Target
African American Note: In 2010, African Americans made up 7.7% of the graduate and professional enrollment and earned 7.6% of the degrees awarded	7.6% / 139	7.7%
Hispanic/Latino Note: In 2010, Hispanics made up 1.9% of graduate and professional enrollment and earned 1.8% of the degrees awarded	1.8% / 32	1.9%

*Graduate degrees include Master's, Specialist, and Doctoral Professional/Research

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Workforce Diversity

The University of Louisville reaffirms its commitment to equality of educational and employment opportunity in its relationships with all members of the university community and its commitment to the elimination of any documented historical and continuing under-utilization of women and minorities among its employees. The University of Louisville is committed to this program and is aware that with its implementation, positive benefits will be received from the greater utilization and development of previously under-utilized human services.

For Workforce Diversity, it is important to note that in addition to African American and Hispanic/Latino we have included Asian and American Indian/Alaskan Native to our target population. At UofL, these under-represented groups are, in some categories, represented below market availability, and our goal is to increase the number of employees in racial or ethnic categories to reflect labor market availability.

Executive/Administrative/Managerial

The total number among Executive/Managerial classes is 109, of which 87.2% are White. Racial and ethnic minorities comprise 13%. The labor market availability is 20%, and so minorities are represented materially below labor market availability in all areas of this category except executive officers.

Goal: To recruit racial or ethnic minorities for educational and administrative officers at a rate equivalent to 20% of job placements, reflecting labor market availability.

Target Population	2010 Base	Under-representation	2015 Target
African American/Black	9.2% / 10	2.7%	9.2 or >% or n
Hispanic/Latino	0.0% / 0	16.5%	0.0 or >% or n
Asian	0.9% / 1	2.7%	0.9 or >% or n
American Indian/Alaskan Native	0.0% / 0	1.8%	0.0 or >% or n

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Although the number of administrators is fixed, with little or no turnover, when there are openings special efforts will be made to recruit qualified Hispanic/Latino applicants.

Faculty (All Ranks, Including Lecturer)

Among total faculty (2,188), racial and ethnic minorities comprise 23% of the UofL workforce, in relation to a labor market availability of 22%, meeting or exceeding market availability in the aggregate. There remains, however, moderate under-representation of minorities in selected disciplines. The University’s goal is to recruit minority faculty in disciplines in which minorities are currently under-represented.

Target Population	2010 Base	Under-representation	2015 Target
African American/Black	5.7% / 126	0.5%	5.7 or >% or n
Hispanic/Latino	2.3.% /50	2.2%	2.3 or >% or n
Asian	10.3% / 226	0.4%	10.3 or >% or n
American Indian/Alaskan Native	0.1% / 2	0.2%	0.1 or >% or n

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Professional Non-Faculty

Among Professional Non-Faculty employees (2,439, defined as “Other Professionals” IPEDS category), minorities comprise 22.5% of the UofL workforce, in relation to a labor market availability of 11%, and so are represented in the aggregate at or above labor market availability.

The University’s goal is to continue to recruit minority professional employees at a rate of 11% of job placements or better.

Target Population	2010 Base	Under-representation	2015 Target
African American	9.4% / 229	1.8%	9.4 or >% or n
Hispanic/Latino	1.1% / 26	0.6%	1.1 or >% or n
Asian	4.8% / 118	3.1%	4.8 or >% or n
American Indian/Alaskan Native	0.1% / 2	0%	0.1 or >% or n

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

SECTION TWO: Comprehensive Diversity Plan

Background

In 2003, UofL implemented a diversity planning process that included the following:

- 1) A university-wide plan with shared goals
- 2) Individual unit (academic and administrative) plans
- 3) Academic unit plans include goals on student enrollment, retention and graduation, diversity in the curricula, diversity in the curricula, diversity programs, climate within the units and workforce diversity. More recently, sustainability and international education have been added.
- 4) Administrative unit plans focus on workforce diversity, climate within their units and diversity programs/education.
- 5) Progress on reaching the goals is reported annually and shared with the President and Provost who hold the deans and vice presidents (heads of the academic and administrative units respectively) accountable for reaching or making progress on their goals.

The units will modify their plans so that they are aligned with the new 2011 diversity plan.

STUDENT BODY DIVERSITY

Undergraduate Student Enrollment

Goal: to increase diversity in the enrollment of all undergraduate students, with emphasis on the target populations indicated in the tables below. The AGR represents the college age population (18-24).

Target Population	Representation in AGR	Fall 2010 Base	Gap	2015 Target
African American	22%	11.9% / 1,881	10.1%	11.9 or > % or n
Hispanic/Latino	3.6%	3.0% / 481	0.6%	3.0 or > % or n
Total for Groups	25.6%	14.9% / 2,362	10.7%	14.9 or > % or n

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

The goal of our Comprehensive Diversity Plan: to increase diversity in the enrollment of all undergraduate students, with emphasis on the following under-represented groups:

- **African American students**, including those indicating two or more races of which one race is African American.
 - **Special initiatives to focus on African American males**
- **Hispanic/Latino students**
- **All other under-represented groups** (including American Indian and Asian)

In addition to IPEDS populations required for automatic degree eligibility, we will continue our efforts to increase diversity for the following groups:

- **Low-income students**
- **International Students**
- **STEM+H**
 - Increase number of female students
 - Increase number of students of color

Strategies/Tactics/Actions

Maintain and/or enhance current recruitment and retention initiatives that promote affordability and access, such as:

Admissions Recruitment/Outreach Programs such as *Think College Now, Up Close and Personal*, and *USHR*, which provide students of color opportunities to participate in workshops, campus tours, and other experiences to prepare them for entry into college and campus life.

Cardinal Covenant and Porter Scholarship programs which provide financial assistance to students of color and students from low-income families respectively.

Hispanic/Latino Initiative designed to create resources and support services to better engage and increase admission, retention and graduation rates for Hispanic/Latino(a) students attending UofL.

Recruitment through and with the National Hispanic Scholarship and Adelante Achievers

INSPIRE (Increasing Student Preparedness and Interest in the Requisites for Engineering) designed to acquaint high school students with career choices in engineering. INSPIRE is offered to female and minority students who traditionally are underrepresented in engineering fields.

The Louis Stokes Alliance for Minority Participation (LSAMP) Scholars program was created to enhance the awareness, participation, and research opportunities for African American students related to STEM (Science, Technology, Engineering & Mathematics) areas

Minority Teacher Recruitment Project (MTRP) has as its primary goal to increase the number and diversity of the teachers in the city, state, and nation.

Undergraduate student transfer from KCTCS Schools

Goal: to increase the number and diversity of students transferring credit from KCTCS schools to U of L during the academic year. Note: includes first-time transfer students or native students' transferring credit from KCTCS institutions.

Target Population	Representation in AGR	Fall 2010 Base	Gap	2015 Target
African American	22%	17.2% / 147	4.8%	17.2 or > % or n
Hispanic/Latino	3.6%	2.8% / 24	0.8%	2.8 or > % or n
Total for Groups	25.6%	20.0% / 171	5.6%	20.0 or >% or n

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Strategies/Actions/Tactics

Maintain and/or enhance current recruitment and retention initiatives that promote affordability and access, such as:

University of Louisville Transfer Program (Ultra) - ULtra offers services for students who aspire to earn their Bachelor’s degree from the University of Louisville but would like to start at Jefferson Community and Technical College (JCTC). These students can take transferable courses and get the benefit of a smaller class size and less expensive tuition. ULtra has an office on the JCTC Downtown and Southwest campuses and supports students while at JCTC and through the transfer process.

The University of Louisville and Jefferson Community and Technical College have worked together to create academic program plans for students who intend to transfer to UofL. The plans are available for many degrees at UofL and tell students the best classes to take at JCTC before they transfer. These plans allow students to take their first 60 credit hours at JCTC and transfer to UofL as a junior without taking any extra classes. In addition, UofL offers an academic scholarship for students transferring from KCTCS and Ivy Tech Community College, Sellersburg to complete their first bachelor's degree.

Graduate Student Enrollment

Goal: to increase diversity in the enrollment of all graduate and professional students, with emphasis on the target populations indicated in the tables below. For graduate student enrollment, the AGR represents the population in Kentucky with bachelor degrees.

Target Population	Representation in AGR	Fall 2010 Base	Gap	2015 Target
African American	11.6%	7.7% / 446	3.9%	7.7 or > % or n
Hispanic/Latino	9.4%	1.9 / 108	7.5%	1.9 or > % or n
Total for Groups	21.0%	9.6% / 554	11.4%	9.6 or > % or n

*Graduate degrees include Master’s, Specialist, and Doctoral Professional/Research

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

The goal of our Comprehensive Diversity Plan is to increase diversity in the enrollment of all graduate and professional students, with emphasis on the following under-represented groups:

- **African American students**, including those indicating 2 or more races of which one race is African American.
- **Hispanic/Latino students**
- **All other under-represented groups** (including American Indian and Asian)

In addition to IPEDS populations required for automatic degree eligibility, we will continue our efforts to increase diversity for the following groups:

- **International**
- **STEM+H**
Increase number of **female students**

Increase number of **students of color**

Strategies/Tactics/Actions

Maintain and/or enhance current recruitment and retention initiatives that promote affordability and access, such as:

School of Graduate and Interdisciplinary Studies (SIGS) - The School of Interdisciplinary and Graduate Studies provides financial support for UofL departments and programs to assist in the matriculation and graduation of qualified, underrepresented ethnic minority students. Examples include:

- Minority Fellowships - Approximately 12-15 ethnic minority fellowships are awarded annually.
- Visitation Day- an annual program sponsored in which SIGS hosts prospective graduate students. Historically, Visitation Day has targeted minority students from Historically Black Colleges and Universities, along with minority students from other regional institutions of higher education. The program is a diversity initiative; however, all students are eligible to participate.
- Graduate Teaching Academy - designed to assist Graduate Teaching Assistants (GTAs) from different disciplines. Many ethnic minority graduate students participate in the Academy.
- Professional development workshops - A new initiative within the School of Interdisciplinary and Graduate Studies (SIGS) is the Professional Development program for graduate students, known as PLAN (P – Professional Development, L – Life Skills, A – Academic Development, N – Networking). PLAN aims to provide a series of professional development workshops, which are interdisciplinary in nature, for current graduate students. Some workshops are designed specifically for ethnic minority fellows and SREB scholars

Medical School SMDEP Program – funded through a Robert Wood Johnson Foundation grant, designed to help undergraduate students from rural or disadvantaged backgrounds gain acceptance to medical or dental school and to succeed once admitted.

Recruitment through the Southern Regional Educational Board (SREB) conferences and activities

Recruitment initiatives through various student organizations such the Black Biomedical Graduate Student Organization (BBGSO), Black Law Student Association (BLSA), Student National Dental Association (SNMA) and Student National Dental Association (SNDA)

Student Success

1st year to 2nd year Retention (based on GRS)

GRS = 1st time, full time, baccalaureate degree-seeking freshmen

In 2010, the 1st year to 2nd year retention rate for White students was 77.6%. Our goal is to improve the retention rate of all students, with emphasis on closing the achievement gap for the target populations indicated in the tables below:

Target Population	2010 Baseline	Achievement Gap	2015 Target
African American	232/297 or 78.1%	0%	78.1 or > % or n
Hispanic/Latino	36/52 or 69.2%	8.4%	69.2 or > % or n
White	1,508/1,944 or 77.6%		

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

1st to 3rd year Retention (using GRS)

In 2010, the 1st to 3rd year retention rate for White students was **67.9%**. Since no achievement gap exists between White students and students within our target populations, our goal is to improve the retention rate of all students, with emphasis on closing the achievement gap for the target populations indicated in the tables below:

Target Population	2010 Baseline	Achievement Gap	2015 Target
African American	207/304 or 68.1%	0%	68.1 or > % or n
Hispanic/Latino	35/46 or 76.1%	0%	76.1 or > % or n
White	1,407/2,073 or 67.9%		

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

1st year to 2nd year and 1st to 3rd year

Goal: to improve the retention of all students, with emphasis on:

- **African American students**, including those indicating 2 or more races of which one race is African American.
 - **Special initiatives to focus on African American males**
- **Hispanic/Latino**
- **Other Races** (American Indian, Asian)

In addition to IPEDS populations required for automatic degree eligibility, we will continue our efforts to improve retention for the following groups:

- **Low Income**
- **International**
- **STEM+H**
 - Increase number of **female students**
 - Increase number of **students of color**

Strategies/Tactics/Actions

Maintain and/or enhance current retention programs, such as:

African American Male Initiative - established to improve the retention and graduation of African American males.

C.O.N.E.C.T. (Caring of New students Experiencing College Transition) – peer mentoring program to assist Black students with the transition from high school to college.

Office of Resources for Academic Achievement (REACH): REACH provides various programs with academic enrichment activities which help support retention and graduation efforts for our diverse student population (especially first-time, first-year students or first-generation students or diverse background students), such as:

- Scheduled Tutoring Program: This program offers small group tutoring for 100, 200, and some 300 level courses to assist with questions, guided study, discussion and review. It's designed for students who need assistance in courses not offered with the SI or LA programs, or for students who need additional support.
- Graduate Preparation Exam Seminars: Offered for the GRE, GMAT, LSAT, and other graduate exams depending on the semester. *REACH also collaborates with the University Graduate School* to provide a free three hour workshop each semester for students, staff, alumni and potential graduate students to assist in understanding how to begin to select, review and practice for these exams.
- Math Resources Center: Offers drop-in tutoring for most 100 and 200 level mathematics courses and for JCTC Pathways mathematics courses. Tutors assist students by helping with homework, practice problems, and exam analysis. The center also has a mini-computer lab available for MathCDs, MATH XL, MyMathLab, Maple and the Internet and has textbooks and solution manuals for math courses at and below the 200 level.
- Onsite Virtual Math Center: Offers drop-in academic support for MathZone and MathXL for various mathematics courses. Tutors assist students by helping with online assignments, including homework, practice problems, and exam analysis.
- Online Virtual Math Center: This online center is available through Blackboard 24/7 for practice problems, solutions and exam reviews and also offers “real time” tutoring from REACH student tutors during posted evening and weekend hours. This online resource supports all 100 and 200 level courses tutored in both the onsite Virtual Math Center and the onsite Math Resources Center.
- REACH Academic Development Office: The Academic Development Office assists students with the transition from high school to college. The director and three ADS professional staff serve as supplemental advisors or academic coaches. The staff identifies crisis situations, monitors students' academic progress, and refers students to REACH academic support services as well as other university support and campus organizations.
- REACH Ambassadors Peer Mentoring Program: REACH Ambassadors are second-year students trained and nationally certified to mentor first-year and transfer students to increase university retention during the first academic year. The peer mentors help students negotiate the transition to UofL by providing support, friendship, advice and help students connect to university faculty, staff and resources. Students engage in leadership events, service learning opportunities, and social activities.
- The SAGE Student Referral System: SAGE is a web-based, early-warning module that allows instructors to create student referrals with reasons and recommended actions for students enrolled in their classes at any time during the semester. SAGE referrals are followed up by campus advisors and by REACH professional staff resulting in a campus-wide intervention process planned and conducted to assist UofL's retention effort.
- The Student Success Seminar Program: Onsite and online Student Success Seminars provide instruction in study strategies and college life skills to increase the retention of UofL students. Graduate student assistants include personal examples of appropriate study strategies for various courses.

- **ADVANCE in Mathematics Program:** ADVANCE is a five week summer intensive review program for mathematics, primarily pre-algebra and algebra skills. This program requires onsite or online instructor-led intensive review sessions. Review sessions offer fast-paced instruction in a self-paced format. In addition, online computer sessions provide practice, supplemental instruction and skills tests for each week of the program.
- **The Calculus Preview Program:** A five-week summer intensive review program for intermediate algebra and advanced algebra skills for first-year engineering students. This program requires on site or online instructor-led intensive review sessions.
- **The Porter LYFE Program:** This is a collaborative program between REACH and the Porter Scholarship Office in the Cultural Diversity Center. It is focused on first-time, first-year African-American Porter Scholars. The fall program is a proactive program that encourages these students to take part in a special summer preview program.

Society of Woodford R. Porter Scholars – a society of scholarship recipients to provide academic and social support to the Woodford R. Porter Sr. Scholarship recipients with the goal of increasing the retention and graduation rates of Porter Scholars. The organization also promotes interaction, enhances leadership opportunities and personal development as well as encourages professional/graduate education.

Recognized Student Organizations (RSOs) such as the Association of Black Students, Black Nurses’ Association, National Society of Black Engineers (NSBE) and others.

Graduation Rates and Degrees Awarded

Graduation Rates

In 2010, the Total Six-Year Graduation Rate (Cohort Base 2004) for White students was 49.4%. Our goal is to improve the retention rate of all students in the GRS, with emphasis on closing the achievement gap for the target populations indicated in the tables below:

Total Six Year Graduation Rate	1118/2301² or 48.6%	Achievement Gap	2015 Target
African American	124/302 ² or 41.1%	8.3%	41.1 or > % or n
Hispanic ¹	19/37 ² or 51.4%	0%	51.4 or > % or n
White, Non Hispanic	922/1866 ² or 49.4%		

¹ Hispanic cohort numbers are small (less than 50) and rates are subject to wide variation

²Total number for each cohort (denominator) reflects valid IPEDS exclusions for students who were called to military service and deceased students.

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Degrees Awarded

The total number of Undergraduate Degrees awarded was 2,568. The goal is to award degrees to undergraduate students of color proportionate to their representation in the student body.

Undergraduate Target Population	2010 Baseline	2011-2015 Goal
African American Note: In 2010, African Americans made up 11.9% of the undergraduate enrollment and earned 11.4% of the degrees awarded	11.4% / 294	11.9% / 14
Hispanic/Latino Note: In 2010, Hispanics made up 3% of undergraduate enrollment and earned 2.2% of the degrees awarded	2.2% / 57	3%

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

The total number of Graduate Degrees awarded was 1,820. The goal is to award degrees to graduate students of color proportionate to their representation in the student body.

Graduate Target Population*	2010 Baseline	2011-2015 Goal
African American Note: In 2010, African Americans made up 7.7% of the graduate and professional enrollment and earned 11.5% of the degrees awarded	7.6% / 139	7.7%
Hispanic/Latino Note: In 2010, Hispanics made up 1.9% of graduate and professional enrollment and earned 1.8% of the degrees awarded	1.8% / 32	1.9%

*Graduate degrees include Master's, Specialist, and Doctoral Professional/Research

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Goal: Increase the number of degrees awarded to all students, with emphasis on:

- **African American students**, including those indicating two or more races of which one race is African American.
 - **Special initiatives to focus on African American males**
- **Hispanic/Latino students**
- **Other Races** (American Indian, Asian)

In addition to IPEDS populations required for automatic degree eligibility, we will continue our efforts to improve retention for the following groups:

- **Low-income**
- **International**
- **STEM+H**
 - Increase number of **female students**
 - Increase number of **students of color**

Strategies/Tactics/Actions

Maintain and enhance programs and services that promote degree completion.

Strategies and best practices for student recruitment and retention will lead to an increase in the number of degrees awarded.

Workforce Diversity

The University of Louisville reaffirms its commitment to equality of educational and employment opportunity in its relationships with all members of the university community and its commitment to the elimination of any documented historical and continuing under-utilization of women and minorities among its employees. The University of Louisville is committed to this program and is aware that with its implementation, positive benefits will be received from the greater utilization and development of previously under-utilized human services.

For Workforce Diversity, it is important to note that in addition to African American and Hispanic/Latino we have included Asian and American Indian/Alaskan Native to our target population. At UofL, these under-represented groups are, in some categories, represented below market availability, and our goal is to increase the number of employees in racial or ethnic categories to reflect labor market availability.

Executive/Administrative/Managerial

The total number among Executive/Managerial classes is 109, of which 87.2% are White. Racial and ethnic minorities comprise 13%. The labor market availability is 20%, and so minorities are represented materially below labor market availability in all areas of this category except executive officers.

Goal: To recruit racial or ethnic minorities for educational and administrative officers at a rate equivalent to 20% of job placements, reflecting labor market availability.

Target Population	2010 Base	Under-representation	2015 Target
African American/Black	9.2% / 10	2.7%	9.2 or > % or n
Hispanic/Latino	0.0% / 0	16.5%	0.0 or > % or n
Asian	0.9% / 1	2.7%	0.9 or > % or n
American Indian/Alaskan Native	0.0% / 0	1.8%	0.0 or > % or n

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Although the number of administrators is fixed, with little or no turnover, when there are openings special efforts will be made to recruit qualified Hispanic/Latino applicants.

Executive/Managerial

- **Administrators of color**
- **Female Administrators**

Strategies/Tactics/Actions

Use best practices in recruiting female administrators and administrators of color, including:

- Personal and Professional networks and outreach
- Networking at conferences and professional development programs such as HERS and NCORE
- Utilizing search firms that have a proven record of identifying qualified women and people of color in their applicant pool

Faculty (All Ranks, Including Lecturer)

Among total faculty (2,188), racial and ethnic minorities comprise 23% of the UofL workforce, in relation to a labor market availability of 22%, meeting or exceeding market availability in the aggregate. There remains, however, moderate under-representation of minorities in selected disciplines. The University’s goal is to recruit minority faculty in disciplines in which minorities are currently under-represented.

Target Population	2010 Base	Under-representation	2015 Target
African American/Black	5.7% / 126	0.5%	5.7 or > % or n
Hispanic/Latino	2.3.% /50	2.2%	2.3 or > % or n
Asian	10.3% / 226	0.4%	10.3 or > % or n
American Indian/Alaskan Native	0.1% / 2	0.2%	0.1 or > % or n

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Faculty

- **African American (including 2 or more races where one race is African American)**
- **Hispanic/Latino**
- **Female Faculty**
- **STEM+H**
 - Increase number of **female faculty**
 - Increase number of **faculty color**

Strategies/Tactics/Actions

- Use best practices in the recruitment and retention of under-represented faculty, including intentional language in position descriptions that emphasize and promote an inclusive campus community
- Advertise in key diverse publications

- Utilize the SREB data directory
- Mentoring programs to assist with promotion and tenure
- Participate and recruit at research and diversity conferences and other professional development programs that traditionally attract faculty of color

Professional Non-Faculty

Among Professional Non-Faculty employees (defined as “Other Professionals” IPEDS category), minorities comprise 22.5% of the UofL workforce, in relation to a labor market availability of 11%, and so are represented in the aggregate at or above labor market availability.

The University’s goal is to continue to recruit minority professional employees at a rate of 11% of job placements or better.

Target Population	2010 Base	Under-representation	2015 Target
African American	9.4% / 229	1.8%	9.4 or > % or n
Hispanic/Latino	1.1% / 26	0.6%	1.1 or > % or n
Asian	4.8% / 118	3.1%	4.8 or > % or n
American Indian/Alaskan Native	0.1 / 2	0.04%	0.1 or > % or n

Objective: an increase in the overall percentage or +1 in the aggregate headcount, to be assessed annually

Other Professionals

Employees of color

Strategies/Tactics/Actions

- Utilize personal and professional networks to recruit professional employees of color
- Provide mentoring and coaching for career advancement

Campus Climate

Goal: Promote and enhance diversity and inclusion and monitor policy implementation with the intent to reduce the number of incidents of bias on campus.

Success will be measured by conducting campus climate surveys of students and employees every two years as well as monitoring the reduction of incidents of bias.

Strategies/Tactics/Actions

Maintain current initiatives and develop new strategies that help build an inclusive campus environment.

- **Campus Environment Team**

The Campus Environment Team is a part of the Commission on Diversity and Racial Equality (CODRE). CODRE serves as the primary policy advisory group on issues of diversity and racial equality at the University of Louisville and reports to the President. CODRE stays abreast of relevant issues including, but not limited to: recruitment, retention, and promotion of faculty and professional/administrative staff from diverse populations; group-based inequalities and inequities; the academic success of a diverse racial and ethnic student body including retention, curriculum, and campus climate concerns; addressing sexist and racist conduct that impedes the educational mission of the university; and strategies and praxis that support “education that is multicultural.”

- Provide Safe Zone and other diversity training
 - The university’s Safe Zone Project is a workshop designed to give faculty and staff the tools and resources they need to understand LGBT students and create a welcoming, affirming campus environment for all. Participants in the workshop receive a comprehensive resource manual and a poster or sticker to display somewhere in the office. Displaying a Safe Zone poster or sticker sends the message to others that you are comfortable talking about LGBT issues, and that you are supportive and willing to listen.
 - Comprehensive training in the areas of diversity and inclusion for faculty, staff, students is available through the Office of the Vice Provost for Diversity and International Affairs. Assistance is available to the academic units regarding the delivery of course content related to diversity and inclusion. Training for recognized student organizations and student support staff is also offered through the various offices that report to the Vice Provost. A train-the-trainer type model will be launched in the 2011-2012 school year.
- Maintain support for the Bias Incident Response Team (BIRT)
 - While valuing freedom of thought and expression, and multiple points of view, we recognize that some members of our campus community are affected by instances of bias and hate and need assistance. The Bias Incident Response Team (BIRT) is a group of faculty and staff who are committed to creating a proactive response to instances of hate and bias in the following ways:
 - ✓ **Support** those who are targeted by hate or bias.
 - ✓ **Refer** them to the resources and services available.
 - ✓ **Educate** the campus community about the impact of hate and bias.
 - ✓ **Promote** initiatives and new ideas that further a welcoming, bias- and hate-free climate at U of L.
- Promote/enhance diversity programs and lectures within the units and university-wide
- Maintain support for the Commission on Diversity and Racial Equality (CODRE) - makes recommendations to the President regarding strategies, policies, and praxis for new, revised, and

modified initiatives to make the university an inclusive environment; serves as the President's Chief Policy Advisor on issues of diversity

- Maintain support for the Commission on the Status of Women (COSW) – promotes gender equity and advises the president on issues related to women
- Obtain input from Employee Resource Groups such as the Black Faculty/Staff Association (BFSA), the Faculty and Staff for Human Rights (FSHR), and the Human Resources Advisory Committee (HRAC)

In addition to the areas required by the Council on Postsecondary Education, we have adopted internal goals required in the university's 2020 Strategic Plan.

The 2020 Strategic Plan goals include:

- **Inclusion of Diversity in the Curriculum**
- **Promotion of Internationalization/Globalization**
- **Promotion/Advancement of Environmental Sustainability**
- **Promotion/Advancement of Community Engagement**