

MINUTES OF THE REGULAR MEETING OF THE
UNIVERSITY OF LOUISVILLE BOARD OF TRUSTEES

IN OPEN SESSION

Members of the University of Louisville Board of Trustees met on Thursday, February 5, 2009, in the Jefferson Room of Grawemeyer Hall, with members present and absent as follows:

Present: Mr. Owsley B. Frazier, Chairman, Presiding by Videoconference
Ms. Marie Abrams
Mr. Brent Fryrear
Dr. Salem George
Ms. Rebecca Jackson (arrived at 1:10 p.m.)
Prof. Melissa Laning
Ms. Jessica Loving (arrived at 1:20 p.m.)
Mr. Frank Minnifield
Mr. Steve Poe
Ms. Debbie Scoppechio
Dr. William Selvidge
Ms. Sandy Metts Snowden
Mr. Rudolph Spencer, III
Mr. William Stone

Participated by

Phone: Mr. J. Chester Porter

Absent: Mr. Jonathan Blue
Mr. Ron Butt
Ms. Margaret Handmaker
Dr. Robert Curtis Hughes
Ms. Brucie Moore

From the

University: Dr. James R. Ramsey, President
Dr. Shirley Willihnganz, Executive Vice President and Provost
Dr. Larry Cook, Executive Vice President for Health Affairs
Dr. Manuel Martinez-Maldonado, Executive Vice President for Research
Ms. Angela D. Koshewa, University Counsel
Dr. Mickey Wilhelm, Dean, Speed School of Engineering
Mr. Keith Inman, Vice President for University Advancement
Mr. Mike Curtin, Vice President for Finance
Mr. Larry Owsley, Vice President for Business Affairs
Mr. Dan Hall, Vice President for Community Engagement
Dr. Tom Jackson, Vice President for Student Affairs
Ms. Priscilla Hancock, Vice President for Information Technology
Dr. Edward Halperin, Dean, School of Medicine
Dr. Aruni Bhatnagar, Professor, Dept. of Biochemistry and Molecular Biology
Mr. David Barker, Director of Audit Services
Ms. Mary Griffith, Senior Associate VP, University Advancement

Ms. Susan Ingram, Director of Budgets
Mr. John Drees, Associate VP for Marketing
Mr. Jason Tomlinson, Assistant VP for Finance
Ms. Carolyn Cochran, Assistant to the Provost
Ms. Kathleen M. Smith, Assistant Secretary
Ms. Debbie Dougherty, Board Liaison

I. Call to Order

Having determined a quorum present, Chair Frazier called the meeting to order at 1:00 p.m.

II. Consent Agenda

A. Approval of Minutes

- Board Meeting, 11/30/2008
- Executive Committee Meeting, 12/17/2008

B. From the Personnel Committee

- Action Item: Approval of Monthly Personnel Recommendations (January and February)
- Action Item: Approval of Disposition of a Faculty Grievance

C. From the Finance Committee

- Action Item: Approval of Resolution to Permit the VP for Business Affairs to Act as Agent in Matters Relating to Federal Disaster Assistance with the Storm Damage of September 2008

D. From the Academic & Student Affairs Committee

- Action Item: Approval of the Creation of a Graduate Certificate in Network and Information Security
- Action Item: Authorization to Implement, as Appropriate, the Management Recommendations Identified by the Ad Hoc Committee on Administrative Policies for Collegial Governance

Chair Frazier read the roll of consent agenda items. Hearing no objection, Ms. Scoppechio made a motion, which Ms. Abrams seconded, to approve the consent agenda. The motion passed.

III. Approval of Endowment Match Program Annual Report

President Ramsey reported that every year the Council on Postsecondary Education requires state universities to provide detailed annual reports describing how state and matching funds are used during the fiscal year. The report includes such details as the endowed chairs and professorships by name and incumbent, the specific support services attached to the chairs and professorships, and the benefits of the program to the Commonwealth of Kentucky in terms of jobs, revenue growth, creation of wealth, and improved quality of life. The University has identified institutional outcomes, such as increases in sponsored research directly attributed to the program, changes in the quality of students and measurable outcomes such as retention, graduation, pursuit of advanced

study, and employment as well as the creation and profitable use of intellectual property. Mr. Stone made a motion, which Ms. Snowden seconded, to approve the

President's recommendation that the Board of Trustees approve the Endowment Matching Program Annual Report as requested by the Council on Postsecondary Education.

The motion passed.

IV. Action Item: Approval of the Diabetes and Obesity Center

President Ramsey asked Dr. Cook to introduce this recommendation. Dr. Cook explained the creation of the Diabetes and Obesity Center will be a new organizational unit within the Institute for Molecular Cardiology and will be funded through a National Center for Research Resources (NCRR) grant. The Center will strengthen the institution's biomedical research infrastructure through the establishment of a thematic multi-disciplinary center and will enhance the ability of investigators to compete independently for National Institutes of Health (NIH) research grants or other external peer-reviewed support. Established investigators will serve as mentors and form the base from which the junior investigators can grow and establish themselves as independent researchers in the areas of diabetes and obesity. Dr. Cook introduced Dr. Aruni Bhatnagar, the Center's director.

Dr. Bhatnagar recognized the Center's particular relevance to Kentucky, citing recent data from the Centers for Disease Control and Prevention that 63% of Kentuckians are overweight or obese, 35% of Kentucky high school students are overweight or obese and 35% of low-income children between two and five years of age in Kentucky are overweight or at risk of becoming overweight. An estimated 12% of the population in Kentucky is diabetic, and the incidence of diabetes in Kentucky is one of the highest in the country. The number of diabetics in Kentucky doubled from 1990 to 2002. The Center has significant local relevance and its creation will help in fulfilling the mission of UofL to become a premiere metropolitan research university that is responsive to the needs of the community.

The Center represents a collaborative effort among a number of departments within the medical school and other units under the umbrella of the Health Sciences Center. The Center will be located organizationally within the Institute for Molecular Cardiology, reporting to the chair of that Institute and the Executive Vice President for Health Affairs. It will be an integral part of the Institute of Molecular Cardiology.

Dr. Bhatnagar fielded questions from board members. Mr. Stone made a motion, which Ms. Snowden seconded, to approve the

President's recommendation that the Board of Trustees approve the creation of the Diabetes and Obesity Center.

The motion passed.

V. Information Update from the Dean, School of Medicine

President Ramsey asked Dean Halperin for an update on the School of Medicine. Dean Halperin summarized the important programs and projects completed or underway during his 2 1/3 years serving as dean. He noted the budget was just under \$1 billion, divided as follows: school \$400 million, hospital: \$412 million, and practice plans \$100 million. There are 5,590 faculty and staff positions, with 660 medical students, 600 interns and residents. Of those 5,590 faculty and staff, 36 report directly to the dean. Dean Halperin discussed current initiatives undertaken by the School of Medicine:

Major Initiatives – Education

- The Medical Humanities Curriculum
 - The intersection of Religion and Medicine
 - Medical History
 - Literature and Medicine
- Renovations of the Anatomy Library
- Dual Degree Programs
 - MD/MPHE
 - MD/MA
 - MD/MS
 - MD/MBA
- Existing program MD/PhD
- The Post-Baccalaureate Program
- Advising
- USNWR rankings

Dean Halperin noted housing for medical students included: Medical-Dental Apartments, Phoenix Hill Apartments, and Liberty Green.

Major Initiatives -Clinical

- Reorganization of the governance of University Hospital
- Faculty Out-Patient Building on Chestnut Street
- Autism Center
- Kosair Gift

Major Initiatives-Research

NIH/Centers for Biomedical Research Excellence

- \$10 million Cancer Center
- \$8.8 million Birth Defects
- \$11.6 million Diabetes/Obesity
- \$13.1 million National Children's Study

Dean Halperin discussed the following major initiatives in research and administration undertaken from 2006-2009:

- The new research building
- Hiring plans
- Chair's scorecards
- Hospital partnership committees
 - VA
 - Norton
 - JHSM

Dean Halperin shared recruitments in the School of Medicine from 2006-2009:

<u>Position</u>	<u>Status</u>
Chair, Neurology	Hired, Friedland
Chair, Biochemistry	Search Ongoing
Chair, Cardiothoracic Surgery	Hired, Slaughter
Endowed Professor, Urban Health Policy	Hired, Schmidt
Chair, Medicine	Search Ongoing
Chair, Anesthesiology	Search Ongoing
Chair, Radiation Oncology	Search Ongoing
Vice Dean, Research	Hired, Prough
Vice Dean, Clinical Development & Regionalization	Hired, Rimmel
Chief Medical Officer	Search Ongoing
Other searches to be announced this year	Multiple

Dean Halperin identified the two major clinical problems to solve were 1) Operations of the Practice Plans; and 2) Ensuring the success of University Hospital.

Dean Halperin reported 93 responses of the 152 graduating students' surveyed April – October, 2008:

The M.D. program has strong academic standards	81%
I was prepared for my residency by the M.D. program	88%
I was given a chance to participate in research	71%
I had adequate opportunity for hands-on learning	93%
I was exposed to a wide variety of patients and pathologies	98%
The first two years of school prepared me for the last two	41%
I would recommend this M.D. program to a friend or family member	61%
I was exposed to a wide variety of patients and pathologies	98%

<u>Question or Statement</u>	Percentage of the students who answered “Satisfied or Very Satisfied” or “Agree or Strongly Agree” on the Likert Scale
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Courtesy and friendliness of the student affairs office	90%
Courtesy and friendliness of the financial aid office	70%

Dean Halperin shared results of a faculty survey conducted during the same time period; 266 responses of 753 faculty in the study population:

<u>Question or Statement</u>	Percentage of the faculty who answered “Satisfied or Very Satisfied” or “Agree or Strongly Agree” on the Likert Scale
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I understand the mission/objective	71%
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The M.D. program has strong leadership	61%
I am happy to be a faculty member	81%
I would recommend the M.D. program here to a friend or family member	56%
Would recommend t his practice to family/friends	91%
Would be cared for at this practice yourself	87%

Dean Halperin summarized changes in process during his tenure as dean:

- I. Search Committees
 - A. Student member
 1. Graduate
 2. Medical
 - B. Intern/Resident Member*
 - C. “Public” Member – GLMS
- II. Partnership Committees – 3 meetings each month
- III. “95% of Life is Just Showing Up.”
 - A. Board of Medical Examiners
 - B. Open Student Forums
 - C. Board of GLMS
 - D. Faculty Forums
 - E. Student Lunch
 - F. Office Hours
 - G. Downtown Development Commission
- IV. Open Invitations
 - A. Field Trips
 - B. Medical Council
- V. Dean’s work
 - A. Dean still in clinic/OR every Thursday
 - B. Publications/Research
 - C. Teaching Duties
 1. Clinical
 2. History
 3. Religion/Medicine
 4. Ethics
- VI Peculiarities
 - A. “What do you think?”
 - B. The list

During the presentation, video conference feed disconnected. Vice Chair Snowden presided over the remainder of the meeting. Vice Chair Showden thanked Dean Halperin for an excellent update.

VI. Report of the President

President Ramsey reported that Governor Beshear’s decision to enter into memorandum of agreement with the University of Louisville to operate Kentucky’s Center for Renewable Energy Research and Environmental Stewardship was a great excitement. Dr. Ramsey said the Kentucky General Assembly created the center in 2006 to provide leadership, research, support and policy development

in renewable energy. A governor-appointed board will set priorities for renewable energy research. Dr. Ramsey invited Dean Wilhelm to provide more information about the center.

Dean Wilhelm thanked President Ramsey and the leadership of the University as well as Henry and Rebecca Conn for their efforts in creating this exceptional opportunity for the university and the community. He explained that UofL has expertise to address the use of wind, solar, geothermal, and biomass resources; energy storage; and the logistics and distribution challenges for new energy sources. The center's mission will include promoting partnerships among the state's colleges and universities, private industry and nonprofit organizations to actively pursue federal research and development resources that are dedicated to renewable energy. President Ramsey read a resolution of appreciation to Henry and Rebecca Conn. Ms. Jackson made a motion, which Ms. Scoppechio seconded, to approve the

Henry and Rebecca Conn

WHEREAS, Henry "Hank" Conn received his Bachelor's and Master's Degree in Mechanical Engineering from the Speed School of Engineering and his Master's in Business Administration from UofL's College of Business and with our deep gratitude Rebecca "Becky" Conn became an "adopted alum" of the University of Louisville on January 21, 2005; and

WHEREAS, Hank's illustrious career at the Louisville Ford Heavy Truck Assembly Plant along with his academic training at the University of Louisville have shaped the foundation for his outstanding success in consulting; and

WHEREAS, Hank's expertise in leadership as reported through his books, Workplace 2000, the Revolution Reshaping American Business; Maximum Performance Management: How to Manage and Compensate People to Meet World Competition; and Creating the Environment for Total Quality Management has recognized him as a scholar; and

WHEREAS, the breadth of Hank's professional accomplishments in business and executive development have engendered a sincere interest in both Becky and Hank for supporting the future of engineering at their alma mater through establishing a new research direction in renewable energy; and

WHEREAS, the wonderfully generous gift of Hank and Becky Conn has provided \$20 million to launch the Conn Center for Renewable Energy Research and Environmental Stewardship at UofL's Speed School of Engineering, which established this center as the Commonwealth's initiative in renewable energy research; and

WHEREAS, Kentucky Governor Steve Beshear and UofL President James Ramsey have praised the Conns' unselfish dedication in support of pioneering faculty research at Speed School in wind, solar, geothermal and biomass resources; energy storage; and the logistics and distribution challenges for new energy sources; and

WHEREAS, Henry "Hank" and Rebecca "Becky" Conn are gifted leaders who respect their heritage and understand the future of Kentucky and America depends on inspired business leaders who will follow their commitment to advancing research in the energy frontier to make our country resource sufficient; and

WHEREAS, the Board of Trustees of the University of Louisville hereby expresses its sincere admiration to Henry "Hank" and Rebecca "Becky" Conn for their expertise as business and community leaders, executive consultants, scholarly authors, and University of Louisville alumni;

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees of the University of Louisville also expresses its deep appreciation to Henry and Rebecca Conn for their most generous pledge of more than \$20 million to the Speed School of Engineering, the largest

recorded individual gift to a public university in Kentucky, which will establish UofL as an international premier center in the field of renewable energy; and

BE IT FURTHER RESOLVED, that a copy of this Resolution, appropriately signed and framed, be presented to the Conns on an occasion where their family and many friends and colleagues may observe the University's great respect for our distinguished alumni.

The motion passed.

With a Powerpoint presentation, President Ramsey reviewed Kentucky employment data. He shared information about the state budget deficit and anticipated budget cuts. The President expressed optimism for the University's continued movement toward goals outlined in the 2020 Plan. Budget cuts make this more challenging; however, the President restated the strong commitment of the University's leadership team to achieve the established goals.

Dr. Ramsey provided an update on 2008-09 goals. There are 35 goals with 19 of those shared goals. The goals are set for each member of the leadership team. Dr. Ramsey asked Provost Willihnganz to discuss the recruitment goals. Dr. Willihnganz reported that Dr. Blake Haselton and Dr. Bill Pierce currently serve as interim deans. They are doing a superb job and she would like for them to continue in this capacity for one more academic year.

Dr. Ramsey called up Dr. Cook to provide an update. Dr. Cook updated the board on goals on community partnership, University Hospital (working with hospital CEO and board chair), and enhance the educational program and reputation of the University by investing in technologies, space, accreditation and new programs that create quality in academic programs, improve responsiveness to community and national needs, and advance the educational value of the University.

Dr. Ramsey called upon Dr. Martinez for an update. Dr. Martinez reported that the University will receive notification in mid March regarding the Clinical Translational Research Science awards.

The President acknowledged the outstanding service Ms. Snowden has given to autism fundraising. Ms. Snowden reported that 1 in 150 children, and 1 in 94 boys, develop autism. The condition makes teaching a difficult situation. The Autism Center needs a director and hopefully an endowed chair. UofL basketball star Darrell Griffith has served as spokesperson for a raffle program to benefit autism -Go Cards! Big East Basketball Tournament Raffle prize including four tournament tickets, four seats on UofL's charter flight to New York, two rooms at the Marriott Marquis on Times Square and \$1,000. Chances are \$20. Proceeds support UofL's new Autism Center and the Families for Effective Autism Treatment (FEAT of Louisville) scholarship fund.

VII. Report of the Chair

The Chair had no report.

VIII. Adjournment

Ms. Scoppechio made a motion, which Dr. Selvidge seconded, to adjourn the meeting at 2:30 p.m. The motion passed.

BOARD ACTION:

Passed _____

Did not pass _____

Other _____

Date _____

Asst. Secretary *Katalin M. Smith*