

MINUTES OF THE REGULAR MEETING OF THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF LOUISVILLE

In Open Session

The University of Louisville Board of Trustees met at 1:00 p.m., on Thursday, February 9, 2006, in the Jefferson Room, Grawemeyer Hall, Belknap Campus, with members present and absent as follows:

Present: Mr. Nathaniel Green, Vice Chair, Presiding
Mr. Bill Brammell, Jr.
Mr. Ulysses L. Bridgeman, Jr.
Mr. Kevin Cogan
Ms. Donna Tinsley Denny
Mr. Bill Forman
Dr. Salem George
Ms. Margaret Handmaker
Dr. Robert Curtis Hughes
Prof. Bill Pierce
Ms. Sandy Metts Snowden
Mr. Bill Stone
Ms. Beth Worland

Absent: Ms. Marie Abrams
Mr. Tommie Burns
Mr. Owsley Frazier
Mr. Grant Helman
Ms. Jessica Loving
Mr. Steve Poe
Mr. J. Chester Porter

From the
University: Dr. James R. Ramsey, President
Ms. Angela D. Koshewa, University Counsel
Dr. Larry Cook, Executive Vice President for Health Affairs
Dr. Nancy Martin, Senior Vice President for Research
Mr. Ron Moore, Vice President for Information Technology
Mr. Larry Owsley, Vice President for Business Affairs
Mr. Mike Curtin, Vice President for Finance
Dr. David Howarth, Associate University Provost
Dr. Mordean Taylor-Archer, University Vice Provost
Ms. Terri Rutledge, Asst. VP for Business Affairs
Ms. Carolyn Cochran, Provost's Office
Ms. Kathleen M. Smith, Assistant Secretary

I. Call to Order

Having determined a quorum present, Vice Chair Green called the meeting to order at 1:00 p.m.

II. Consent Agenda

A. Approval of Minutes

- Minutes from Board Meeting, 11/10/05
- Minutes from Executive Committee Meeting, 12/07/05

B. From the Finance Committee

- Approval of Revision to the Retirement Plan
- Approval of Approval of Upgrade HVAC in Ford Hall
- Approval of Endowment Matches:
 - Wendell Cherry Chair in Clinical Trial Research
 - KY Lions Eye Research Chair in Ophthalmology.
 - Ha and Nga Le Chair in Pediatric Allergy & Immunology
 - Jewish Community Federation Chair in Judaic Studies
 - Henry and Stella Hoenig Fund for the James Graham Brown Cancer Center
 - David and Betty Jones Scholarship Program

C. From the Personnel Committee

- Approval of the Designation of the Trager Center
- Approval of Monthly Personnel Recommendations, 1/12/06

D. From the Academic & Student Affairs Committee

- Approval of the Creation of Gheens Center on Aging
- Approval of the Certificate in Police Executive Leadership Development

Vice Chairman Green read the roll of consent agenda items. Hearing no objection, Ms. Denny made a motion, which Ms. Worland seconded, to approve the consent agenda. The motion passed unanimously.

I. Action Item: Approval of Revised Position Description and Title for Executive Vice President for Research

President Ramsey stated that Senior Vice President Martin's announcement to step down from her administrative assignment provided an opportunity to reevaluate functions of the position. The majority of the people consulted supported changing the position title to Executive Vice President for Research along with slight changes in the job description. Prof. Pierce made a motion, which Ms. Snowden seconded, to approve the

President's recommendation that the Board of Trustees approve the revised job description and title for the Executive Vice President for Research, effective July 1, 2006 (copy available upon request).

The motion passed unanimously.

II. Action Item: Approval of Capital Construction Projects

President Ramsey asked Vice President Owsley to discuss the recommendation for roof replacements and renovations. Vice President Owsley explained the roofs on Stevenson and Miller Hall dormitories are beyond their expected life. He further stated the suite that previously housed the Career Center is being updated with carpet, paint, furniture and a small structural renovation. Upon completion, the suite will house the campus life administrative staff; student development staff for leadership, recognized student organizations, and diversity; and central administrative staff for the career center. In addition, a student leadership resource library, meeting rooms, communication areas and advisory staff will be available for students as they use this new area.

Mr. Owsley reported that when the new wing of the Ekstrom Library is complete, several operations will move from existing library space. Upon renovation, the vacated space will house the media department, viewing rooms, the browsing collection, student seating and an area for additional computer workstations. Ms. Snowden made a motion, which Ms. Worland seconded, to approve the

President's recommendation that the following projects be approved:

<u>PROJECT:</u>	<u>ESTIMATED COST:</u>	<u>FUND SOURCE:</u>
Roof Replacement – Stevenson Hall	\$ 155,000	Auxiliary Funds
Roof Replacement – Miller Hall	\$ 180,000	Auxiliary Funds
Renovation and Furniture for Student Life and Student Development Offices	\$ 233,000	Auxiliary Funds
Renovation of Ekstrom Library, Main Floor	\$ 280,000	Plant Funds

The motion passed unanimously.

III. Information Item: Update on Shelby Campus

President Ramsey reported the Shelby Campus Advisory Board met February 6. Highlights of the meeting were:

- Infrastructure road work – to be included in 6 year road plan

- Tax increment financing – need legislative action/working with administration
- Biosafety lab – Center for Predictive Medicine on track
- FBI Headquarters – notice of intent to respond to RFP
- Forensic Lab/Building Renovation – out for bid
- Buffer – Bellemeade Neighborhood – landscape architect chosen
- Louisville Metro Government Public Safety Training Academy – concept paper
- Institute for Preparedness and Safety – earmark request to congressional delegation
- Creating of Development Entity – LLC

IX. Action Item: Approval of Endowment Match for Chair in Oncology Social Work

President Ramsey explained that Baptist Hospital East has pledged a gift of \$50,000 in support of an endowed chair in oncology social work in the Kent School of Social Work. The \$50,000 gift will be matched by the Commonwealth of Kentucky Research Challenge Trust Fund resulting in a total contribution of \$100,000. These funds will advance the university’s research mission and fit well with the University’s strategic plan, the *Challenge for Excellence* and the advancement of the new economy. Ms. Snowden made a motion, which Ms. Worland seconded, to approve the

President’s recommendation that the Board of Trustees approve the establishment of a new fund to support an endowment in Oncology Social Work that will be matched by the Commonwealth’s Research Challenge Trust Fund; and

authorize the Chair of the Board of Trustees to execute any documents required to complete the creation of this new endowed fund.

The motion passed unanimously.

X. Information Item on Research and Technology Transfer

President Ramsey reported the University has exceeded its expectations in research funding. The recent NIH award for a biosafety laboratory helped significantly. Dr. Ramsey asked Senior Vice President Martin to brief the Board on the University’s research and technology transfer progress.

Research Highlights FY 05:

- \$11.7 million for the second ever NIH program project grant for studies in Cardiology
- \$2.4 million from the National Science Foundation for the Center for Regulatory Metabolomics

- \$1.3M from the John M. Olin Foundation for Research on School Effectiveness
- \$.7 million from the CDC for Oral Health and Systemic Disease

Capital Projects

- \$2.7 million to complete the \$14.2 million dollar expansion of the Ekstrom Library secured by Senator McConnell
- \$6.0 for Equipping the New Belknap Research Building Clean room through appropriations lead by Senator McConnell

Other Sponsored Programs that Support the Community

- U. S. Department of Justice for Regional Computer Forensics Lab
- U.S. EPA support for the Stream Institute
- National Science Foundation support for curriculum development in computer security
- Open World Leadership Center for Visiting Russian Jazz Artists

Dr. Martin reported growth in research funding, NIH funding and extramural funding. Dr. Martin commended the faculty and staff at the University of Louisville for obtaining the extramural resources to support the University's mission. Student Research Symposium highlights included:

- Research!Louisville – November 2005 -Graduate and Professional Students
- Kent School – April 2005 – Masters Students
- Undergraduate Research Symposium – April 2005
- Poster Presentations
- Fine Arts Presentations
- Posters at the Capitol – February 2005

Undergraduate Summer Research Formal Programs – 2005:

Summer Research Opportunity Program (SROP)

- Kentucky Biomedical Research Infrastructure Network (KBRIN) - NIH
- Neurosciences Program
- Physiology Cardiovascular Minority Program - NIH
- Cancer Education Program – NIH
- Sociology Research Experience for Undergraduates-NSF

A Task Force Organization oversees research compliance. Each task force is comprised of administrators and faculty.

- **Actions of Executive Oversight Committee for Research Integrity (EOCRI) in 2005**
 - IRB Membership Policy adopted August 2004
 - Action For Failure To Obtain Required Subject Authorization For The Use Or Disclosure Of Protected Health Information adopted October 2004
 - Authority Of The Institutional Review Boards adopted October 2004

- Institutional Review Board Report Of Findings adopted January 2005
- Unanticipated Problems Involving Risks To Subjects Or Others (UPIRTSO) adopted January 2005
- Suspension Or Termination Of Approved Research adopted January 2005
- Revised Policy on Research Misconduct adopted May 2005
- Human Studies Accreditation received June 2005

To date, in 2006 actions included:

- Responsible Conduct Of Research Policy endorsed July 2005 (final revisions underway)
- University Wide Compliance Assessment endorsed July 2005
- Policy on Provision of Hepatitis B Vaccine adopted August 2005
- Compliance Assessment Site Visit completed October 2005
- Compliance Oversight Committee (COC) established December 2005

Research Conflict of Interest Status Report

- Policy and Procedures For Oversight of Individual Financial Interests in Research (Approved April 2004)
- 761 Covered individuals completed the online disclosure form
- Administrative functionality was added to the online system to allow departments and units to track compliance
- Certification reports were added to University Reports to assist in compliance tracking

Research Training FY 05

- | | |
|-----------------------------------|-------|
| ➤ Fiscal Responsibility | 52 |
| ➤ Animal Use in Research | 242 |
| ➤ Environmental Health and Safety | 1,857 |
| ➤ Human Subjects | 3,796 |
| ➤ Responsible Conduct of Research | 133 |
| ➤ HIPAA | 2,146 |

University Technology Transfer

- Cooperative R&D with industry
- Technical assistance
- Information exchange
- Students/Interns
- Licensing of Inventions/Intellectual Property

Licenses/Options in FY 05:

- Four Leaf Clover Corporation Exclusive License
- Kotwal Bioconsulting Exclusive Option
- Ocular Transplantation, LLC Exclusive License
- OrthoData LLC Exclusive Option
- Pradama, Inc. Exclusive License,
- Sigma-Aldrich Research Biochemicals, Inc. Non-Exclusive License

- Upstate USA, Inc. Non-Exclusive License

Licenses/Option so far in 2006

- 3 exclusive licenses to faculty start ups

In response to Mr. Stone's inquiry concerning the large increase in royalties and associated incomes, Dr. Martin said this increase was due to associated research contracts that companies have invested in.

President Ramsey said the current *Alumni Magazine* features as its lead story Dr. Roberto Bolli and his team. Another excellent article features the major announcement last Fall about Dr. Mariusz Ratajczk's discovery of embryonic like stem cells from adult bone marrow. Dr. Ratajczk is director of the stem cell biology program at UofL's James Graham Brown Cancer Center

Dr. Ramsey recognized the Jones Scholars program was funded generously by David and Betty Jones and was matched with money from the state. At a future board meeting, Provost Willihnganz will provide an update on this recent initiative which will support UofL's undergraduate education and the linkage with research. Mr. Green thanked Drs. Martin and Ramsey for the informative presentation.

XI. Information Item on Diversity

President Ramsey introduced Dr. Mordean Taylor-Archer, Vice Provost for Diversity and Equal Opportunity. Dr. Taylor-Archer noted diversity embraces all human differences while building on the commonalities that bind everyone. It serves to eliminate discrimination based on race, age, religion, sexual orientation or national origin. Dr. Taylor-Archer discussed the consistent goals for undergraduate recruitment and admission of students in keeping with the Kentucky Plan and shared several outreach programs that have developed the pipeline for African American students. These outreach programs include Increasing Student Preparedness and Interest in the Requisites for Engineering (INSPIRE), Encouraging Sisters to Strive for Each Chance for Excellence (ESSENCE), Project Build, a program offered by the College of Business in concert with the Lincoln Foundation, West Louisville Boys and Girls Choirs Scholarship Program, and the Future Scholars Program. Of the 15,057 students enrolled in undergraduate programs, 1980 (or 13.3%) are African American.

Dr. Taylor-Archer discussed the new initiatives to provide access to students. These initiatives include SUCCEED (Students Utilizing Collegiate Connections to Enhance their Educational Direction) and ULTRA (University of Louisville Transition Program).

With respect to graduate recruitment and admissions, Dr. Taylor-Archer reported on partnerships with HBCUs, Fall Visitation Day which attracts minority students

from regional HBCUs, McNair Scholars, SREB, which is the state doctoral scholars program, and the Minority Teacher Recruitment Program. Of the total 4,793 students enrolled in graduate programs, 406 (or 8.4%) are African American.

Dr. Taylor-Archer discussed the many special recruitment efforts for professional school students. These efforts include the Medical School Summer Pre-Matriculation Program, Initiative for Nursing Diversity Excellence (INDE), Law School's partnership with Central High School, the Harlan Scholars Program (pre-Law), African American faculty hired in Dentistry to recruit minority students, and the Robert Wood Johnson grant to increase awareness of medicine and dentistry in students from underrepresented backgrounds. Of the 1910 students enrolled in professional programs, 110 (or 5.75%) are African American.

Dr. Taylor-Archer reported on retention efforts. Those efforts include the following academic support programs: Resources for Academic Achievement (REACH), Monitored Academic Preparation for Success (MAPS), Caring of New Students Experiencing College Transition (C.O.N.N.E.C.T), and Undergraduate Research Program. Student retention efforts include the following academic programs: Pan-African Studies, Women's and Gender Studies, General Education/Cultural Diversity (CD1) courses, African American Theatre Program, Debate Team, Study Abroad opportunities, and Master's degree programs in Pan-African Studies and Women's and Gender Studies. Dr. Taylor-Archer noted there are a number of student support programs that bring together scholarship students, parents, and student organizations.

Dr. Taylor-Archer reported the following progress:

Retention of First-Year Kentucky Resident Students:

	<u>2000</u>	<u>2005</u>
African American	73.5%	76.5%
White	69.8%	75.8%

Retention of all Kentucky Resident Undergraduate Students

African American	69.3%	80.3%
White	75.7%	84.5%

Dr. Taylor-Archer reported on current diversity initiatives. In October, 2005, the University hired a Director of Development to oversee Our Highest Potential Chairs, Signature Partnerships, Scholarships and other Diversity initiatives. In 2000, there were no African American Bucks for Brains endowed chairs. The University now has 3. With the hiring of Dr. Lillard at the Brown Cancer Center in March 2006, there will be 4. The University-wide diversity plan included unit diversity plans, appointment of faculty/staff associate to assist with monitoring diversity plans, and diversity monitoring committee. Dr. Taylor-Archer noted 83% of all units' diversity goals have been achieved and highlighted the faculty

recruitment and retention initiatives. She reported the following employment statistics.

	2000	2005
African American	62	83
Hispanic	28	35
American Indian	4	4
Asian	133	167
White	1,019	1,083
Non-Resident Alien (included in other ethnicities)		<u>73</u>
Total:	1,246	1,445

Dr. Taylor-Archer provided many examples of the diversity organizations and constituency groups at the University. Diversity programs and community outreach efforts include Diversity Lecture Series, UofL at the Yearlings community dialogue series in West Louisville, NETWORK (New Energy to Work Out Racial Kinks): a public forum for the discussion of race, and University Community Partnerships. She noted the many ways UofL conducts institutional assessment of diversity: strategic goals/Scorecard, team-based accountability with shared goals, campus environment team reports, Kentucky Plan, Diversity Survey, Diversity Plan Monitoring Committee, and unit diversity plan assessments. Dr. Taylor-Archer recognized the key factor in the progress made at the University was due to the leadership of this University. Dr. Taylor-Archer thanked Drs. Ramsey and Willihnganz and the leadership team for their support and unwavering commitment to diversity. Dr. Ramsey asked Dr. Taylor-Archer to provide the preliminary unofficial results of the Kentucky Plan. Dr. Taylor-Archer reported the University has again achieved all eight of its diversity goals established by the Council on Postsecondary Education. Dr. Taylor-Archer reported there was only one other school in Kentucky that achieved all eight goals. One school has achieved seven of the eight goals. Dr. Hughes complimented Dr. Taylor-Archer and the University on its work in this area. Mr. Brammell thanked Dr. Taylor-Archer, recognizing the general environment across campus has become more welcoming. Mr. Green thanked Dr. Taylor-Archer for an excellent presentation.

XII. Report of the President

Highlights of President Ramsey's report:

Legislative Update

A. Budget

1. Governor's Budget Recommendation
 - a. Benchmark
 - b. RCTF ("Bucks")
 - c. Buildings

2. House
 - a. A&R – February 2
 - b. “Bloody Sunday”
 - c. House Budget
3. Senate
- B. Other
 1. Cardiovascular Disease Initiative
 2. 6 year Road Plan

Tuition Update

- A. CPE Role
 1. Statuary Authority
 - a. Delegation to the Board of Trustees
 - b. Adoption of Guidelines/Parameters
 1. Tied to State Appropriation
 2. Complex
 - c. Approval of Board of Trustees Action
- B. UofL Process
 1. Campus Discussion
 2. Peers
 3. Competitors
 4. Supply/Demand
 5. Affordability (PCPI)
 6. Special Issues
 - a. Student Health Services
 - b. Athletics Fee Sunset
- C. Next Steps
 1. CPE Finalize Guidelines
 2. UofL Finalize Internal Discussion
 3. Board of Trustees Finance Committee – March
 4. Board of Trustees Action – April
 5. Council on Postsecondary Education Action – April

Mr. Brammell thanked Vice President Curtin and President Ramsey for their ongoing, dedicated work on the student health fee to ensure students have affordable health care on campus. He also said students would like a review of the Athletics Fee, basically to see where the funds are directed. He said students also think on-campus child care should be considered. Mr. Brammell said students would like to see an increase funding in programming.

Arena Update

- A. First review
- B. Negotiations
- C. Due Diligence
- D. Review Board of Trustees/UofL Athletic Association

Other Updates

- A. "Planning to Plan"
- B. Diversity
- A. 1st & Main
- D. Finding Answers to Cancer
- E. Searches

In response to President Ramsey's update on the arena, Mr. Stone made a motion, which Mr. Cogan seconded, to approve the following resolution.

WHEREAS, the University of Louisville Board of Trustees is firmly committed to the legislative mandate for the University of Louisville to become a "preeminent metropolitan research university"; and

WHEREAS, the University of Louisville Board of Trustees is firmly committed to: enhancing educational excellence; building the research enterprise; improving diversity; creating strong partnerships and collaborations throughout the community and state; and providing strong stewardship and accountability of resources; and

WHEREAS, this community and leadership of the Commonwealth of Kentucky have decided a downtown arena would have a major economic development potential and significance to the community and state, as well as substantial enhancement to the quality of life of the citizens of Kentucky; and

WHEREAS, this Board of Trustees, even though it supported the position that an on campus arena was in the best interest of the university, now supports the position that the community and state's best interests can be served by supporting the recommendation of the Governor's Arena Task Force; and

WHEREAS, Jim Host, Chairman of the Arena Authority has presented to the University of Louisville Board of Trustees and University of Louisville Athletic Association Board of Directors a detailed proposal about a downtown arena; and

WHEREAS, pursuant to the presentation by Mr. Host these two boards authorized the President and the Athletic Director to negotiate Terms and Conditions for the University of Louisville's participation in an arena project as recommended by the Governor's Arena Task Force and to prepare a Memorandum of Agreement specifying such Terms and Conditions for the University of Louisville's participation;

NOW THEREFORE BE IT RESOLVED, that this Board of Trustees reaffirms the position of the University of Louisville and commits to

work toward the achievement of the consensus recommendation of the Governor's Arena Task Force with the University of Louisville as primary tenant; and

NOW THEREFORE BE IT FURTHER RESOLVED, that the University of Louisville's support and involvement in such an arena are contingent upon final University of Louisville Board of Trustees and University of Louisville Athletic Association Board of Directors approval of a Memorandum of Agreement with the Arena Authority.

The motion passed with Bill Forman and Ulysses L. Bridgeman, Jr., abstaining.

VIII. Report of the Chairman

Vice Chair Green reminded the Board of the opening of the new wing of the Ekstrom Library and the celebration activities hosted by the McConnell Center in recognition of its 100 graduates. These events will be held on February 20, 2006, in the Library. Vice Chair Green noted the honors program materials provided in the pockets of the binders are provided for information purposes.

Mr. Brammell announced that on March 8th, the Student Government Association will conduct the annual Rally for Higher Education, which will meet inside the Capitol. The Rally will last from 12:30 p.m. – 2:00 p.m. The slogan is Unbridled Potential.

XIII. Adjournment

Mr. Stone made a motion, which Mr. Cogan seconded, to adjourn the meeting at 3:15 p.m. The motion passed unanimously.