

University of Louisville

Faculty Senate Meeting

The regular meeting of the Faculty Senate was held on April 5, 2017 at 3:00 p.m. in the Chao Auditorium, Ekstrom Library, Belknap Campus, Enid Trucios-Haynes presiding.

Senators Registering Attendance:

A&S: Beth Bradley, Jasmine Farrier, John Ferre, Ron Sahoo, Clare Sullivan, Christopher Tillquist, Beth Willey **BUSINESS:** Reg Bruce, Ben Foster, Robert Myers **DENTISTRY:** Don Demuth, Lee Mayer, Robert Staat **EDUCATION:** Sherri Brown, Monica Delano, Caroline Sheffield **LAW:** Kurt Metzmeier, Joann Sweeney, Enid Trucios-Haynes **LIBRARIES:** Dwayne Buttler, Carrie Daniels, Terri Holtze **MEDICINE:** Forest Arnold, Eric Burton David Stirling, Brian Wang **MUSIC:** Sidney King, Krista Wallace-Boaz **NURSING:** Tim Crawford **PART-TIME FACULTY:** Roy Fuller, Joseph Gutmann **PUBLIC HEALTH:** Scott LaJoie **SPEED:** Roger Bradshaw, Robert Keynton, Jacek Zurada

Also Attending:

Interim University Provost: Dr. Dale Billingsley

Interim VP for Finance: Ms. Susan Howarth

Staff Senate Representative: Ms. Ginger Brown

SGA Representative: Ms. Meredith Cooksey and Mr. Jonathan Fuller

Provost Office Designee: Dr. Tracy Eells

ULARP Representative: Mr. Bob Stenger

UofL Today: Ms. Alicia Kelso

AAUP Representative: Ms. Susan Jarosi

Senators Not Registering Attendance:

A&S: Karen Hadley, David Owen, David Simpson, Joe Steffen **BUSINESS:** Rob Barker **DENTISTRY:** Ricardo Caicedo **EDUCATION:** Justin Cooper **KENT:** Seana Golder, Martin Hall, Lesley Harris **MEDICINE:** Yousef Abu-Kwaik, Murali Ankem, Pamela Feldhoff, Luz Fernandez, Kendra Grubb, Saeed Jortani, Patrick Harris, Brad Keller, Gerald Larson, Rainer Lenhardt, Kenneth Palmer, Chin Ng, Michael Tseng, Jeffery Weihing **MUSIC:** Randi Bolding **NURSING:** Heather Owens, Valerie McCarthy **PART-TIME FACULTY:** Rose Mills, Susan Peacock, John Ritz, Michael Wade **PUBLIC HEALTH:** Kristin Zierold **SPEED:** J.P. Mohsen

CALL TO ORDER

ACTION ITEM: First Reading of Unit Documents - Metzmeier

- **Speed School Personnel Policy and Procedures**
 - There is no discussion on a first reading.
- **Kent School Bylaws**
 - The bylaws were rewritten to be more concrete, with no substantive changes. The duties of administrators is outlined and committees, meetings and assemblies were defined.

REPORT: Student Government Association – Cooksey

This is the last report for Meredith Cooksey. She introduced the new AVP, Jonathan Fuller.

[The full report is online.](#)

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REPORT: Staff Senate – Brown

Ms. Brown reported on several topics, including:

- The Staff Senate met on March 13, 2017. President Postel, Jeanell Hughes and Lee Smith gave reports.
- The budget forums on both campuses were well attended.
- The Senate officers will take the revised DOS (RIF) policy to Jeanell Hughes when they meet.
- There are two new ad hoc committees - Strategic Plan and Bylaws and Presidential Qualities.
- The Day of Service is April 21, 2017.
- The Senate meets again on April 10, 2017.

REPORT: Faculty Senate Chair – Trucios-Haynes

Chair Trucios-Haynes reported on several topics, including:

Budget

- Budget Development Committee – This committee met three times in March to discuss the changes to the budget.
- Faculty Senate Ad Hoc Budget Committee – To act as consultant to the Chair in her capacity as the faculty representative in budget discussions, the Chair created the Faculty Senate Ad Hoc Budget Committee, in early March. Established to create the widest representation of faculty, unit faculty senators, the Planning & Budget Committee, some Executive Committee members, other senators and the AAUP sit on this committee. It is co-chaired by Karen Hadley and Roger Bradshaw.
- In March, the A&S Faculty Assembly adopted a resolution on budget matters, emphasizing the importance of shared governance and the meaningful participation of faculty, from the beginning, of budget planning.

Board of Trustees and Foundation

- There were full board several meetings in March, as well as the Governance Subcommittee, of which she is a member.
 - Shared Governance Presentation – In March, Chair Trucios-Haynes made a presentation on shared governance to
 - Board Governance Subcommittee on March 9, 2017.
 - Board of Trustees – March 16, 2017
 - Other meetings attended by the Chair:
 - UofL Research Foundation and Board of Trustees on March 27, 2017
 - Special Meeting of the Board of Trustees on March 29, 2017
 - UofL Foundation meeting on March 29, 2017, where the Faculty Senate resolution requesting foundation board representation was shared with Alice Houston, Chair of the ULF Governance Committee.

Presidential Search Process

- The Presidential Search Committee met on March 15, 2017. There, the committee adopted the Faculty Senate document, *Attributes of a 21st Century University President*.

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- On March 7, 2017, Provost Billingsley and Chair Trucios-Haynes sent a joint letter to deans requesting they elect a representative to the Faculty Redbook Consultation Committee, as put forth in The REDBOOK, Section 2.1.1.

Enhanced Shared Governance

- The Faculty Senate has been asked to participate in several important University-wide committees:
 - The University Program Review Committee
 - Senator Chin Ng has volunteered to serve on this committee.
 - Chief Financial Officer Search Committee
 - Chair Trucios-Haynes serves on this committee.
- A volunteer is needed for the following RFP Committees:
 - Managed Print RFP Committee
 - Enterprise System RFP Committee

If any faculty senators are interested in serving on these committees, please contact the Chair.

[The full report is online.](#)

DISCUSSION ITEM: Adopting the XC's Statement on Weapons Policy – Trucios-Haynes

The purpose of this discussion is to endorse the [Executive Committee's statement](#) that supports the University's [Deadly Weapons/Destructive Devices policy](#), which refers to Kentucky Revised Statute [KRS 500.080\(18\)](#). There were conflicting comments on which statute was correctly cited, and Chair Trucios-Haynes said she would clarify the issue. A vote of hands was taken, and the Executive Committee's statement was endorsed by a majority of the body.

REPORT: Interim University Provost – Billingsley

President Postel is out this week, returning on Monday. Provost Billingsley made the following report:

- **Budget Development** - [Materials](#) were circulated earlier today.
 - In January 2017, it was determined that there is a budget shortfall of \$48M in both general and non-general funds, and that the University had negative net reserves. The following corrections were immediately taken:
 - The current budget was reset based on actual expenditures from last year.
 - That revised budget was then reduced by 3%, for a savings of \$12M.
 - **Revenue Streams**
 - Enrollment for fall 2017 will increase by 600 students.
 - Undergraduate and graduate programs will increase. The professional schools, Dentistry, Nursing and Medicine are maxed out. Public Health has some room to grow.
 - **Table 1**
 - Shows cuts to academic units
 - Shows support returned to Gen Ed units
 - Shows support to Music to address its accreditation issues

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- Shows strategic initiatives to units
- **Table 2**
 - This is a one-line description of each expenditure

Dr. Billingsley reported that President Postel is eager and excited to get going on this budget, though it is not at all what was anticipated. They thought they would be a lot more consultative. The purposes of consultation are still important and vital to the administration. Moving forward, toward the 2019 budget, the Faculty Senate and its Planning & Budget Committee will be included in consultations. Work on the next budget will begin this summer, instead of later in the year and will align with the University's goals.

- Question: Is performance funding included in the state appropriations?
 - Susan Howarth: UK is doing very well on degree production. This year they had 300 more new students and we had a loss of 120. Based on the performance-funding model:
 - In 2017/2018, we lose \$50K to UK.
 - In 2018/2019, there is no reallocation.
 - In 2019/2020, there is a 1% stop loss (cannot lose more than 1% of total appropriations.)
 - In 2020/2021, there is a 2% stop loss.
- Question: So if other schools get less funding, UK gets more?
 - Susan Howarth: Yes.
- Question: Has the fact that our tuition is \$900 lower than UK's helped with our applications?
 - Dr. Billingsley: our applications and admissions are up. In August we will know how many students actually came to UofL. We used to be able to tell by orientation attendance and housing deposits. Now, students attend more than one orientation. There has been a higher acceptance of scholarships this year. We have to do more to retain the students we already have.
- Question/Comment: The CPE report shows students with over \$5K of unmet need, rarely return. UK is focusing on them.
 - Dr. Billingsley: UK has also increased its out of state student admissions, increasing tuition revenues. Its freshman class is 38% out of state. We will increase ours, as well. Currently, ours are at 19%.
- Question: The \$48M shortfall is not from General Funds. The main driver of that is the unmet clinical income. How did that happen? There is a cross-contamination from clinical and research on General Funds. What impact is from other entities?
 - Dr. Billingsley: You are correct. We do not move restricted funds. Last year the reduced revenues included:
 - Unrealized Tuition was -\$11M
 - Unrealized TIF was -\$7M
 - State appropriations cut was \$6.3M
 - F&A from grant-funded research was -\$1M

The HSC -\$23M is not General Funds. His focus is on state and general funds, which are only about 10% of the entire budget. He has not seen clinical or

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hospital funds. A way must be found to address all budgets for the mission of UofL. The Foundation's spendable cash is gone. Since the audit, the market has improved and increased the Foundation by about \$350M.

- Question/Comment: The ULP is a budget drain, losing about \$20M a year. The Foundation used to cover that gap, but can no longer do that. How do we disentangle ULP from UofL? We should not make cuts here for shortfalls in ULP.
 - Dr. Billingsley: I don't believe we are.
 - Senate Chair Trucios-Haynes: It's been suggested to invite Dr. Gerald Rabelais to the Executive Committee to explain the situation.
 - Susan Howarth: ULP is not consolidated into our budget. The clinics run through Research are draining us. Clinic payments are run through either ULP or Research. The drain is from the Research clinics. The drop in unrestricted net assets was due to clinics. Dr. Billingsley is right about our unfortunate budgeting in the past. UofL's budget was not involved in the HSC clinics' budgets. Dr. Postel is opening that up now. It is a good idea to have those who know the HSC budgets to come and speak.
Senate Chair: The Board of Trustees just elected new officers to the Research Foundation. She will share information as it becomes available.
- Question: The 3% cut to General Funds is unfair to units that are only funded through General Funds.
 - Dr. Billingsley: There are two parts to General Funds – state appropriations and tuition revenue. This affects Dentistry, Medicine and Nursing more because they cannot increase enrollment like the academic units can.
- Question: Can you give the details for the across the board cuts?
 - Dr. Billingsley: We looked at all resourced for places we could increase revenue or save. Not all units contribute to the tuition revenue the same. We had to give more support to the units that contribute the most to tuition revenue. Speed School is the biggest draw for the best students. Those students also figure in to the best graduation rates. The School of Music had accreditation issues and we decided to help address those. Decisions are made for UofL priorities, we cannot be everything to everyone. Next year, we will need to determine our priorities and align our resources to them.
- Question: Can you clarify the 3% cut in the last column in Table 1?
 - Dr. Billingsley: The 3% was taken equally from all units, but not all got the same in reallocation.

Dr. Billingsley reported on several topics, including:

- **Provost Personnel**
 - Beth Willey continues as Faculty Fellow after July 1.
 - Ombuds search will continue as planned. 42 applications received—8 candidates selected to be interviewed by phone.
- **Unit Personnel and Administration** - Faculty promotion and tenure salary increases will be awarded at the customary rate by unit. Staff reclasses, in-range adjustments and other awards will be managed at the unit level.

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- **Searches and Reviews**
 - **Law** – Susan Duncan has accepted the position of Dean at Ole Miss. Colin Crawford (currently at Tulane) has just completed a three-day return visit. My recommendation to Dr. Postel will be forthcoming on his return next week.
 - **Speed** – Speed faculty have been polled on the question whether to conduct an internal or national search for dean. Election of unit search committee members is proceeding. The rest of the committee will be composed as directed by REDBOOK 3.2.1
 - **Nursing** – Dean Hern has resigned effective on the appointment of a successor. I am glad to acknowledge the great advances made by the School under her leadership. The search for her successor is managed from HSC by the EVPHA under the usual REDBOOK process. President Postel, Provost Billingsley, and Executive Dean Ganzel met with the nursing faculty on March 31, when much of the discussion focused on the effect of the budget turmoil and the presidential search ahead.
- **SACS** – The special visit scheduled for mid-September will address the governance and budget issues that have already been noted by the Commission on Colleges. We would like to have all of our other SACS- related duties in order as well. The most vexing housekeeping issues to me are issues driven by Federal compliance requirements that SACS must enforce, particularly the posting of syllabi (93% posted for Spring 2017) and the availability of course text selection and cost at the beginning of priority registration. We struggle unnecessarily with both of these requirements so your assistance in encouraging your colleagues to help is appreciated.
- **Student application and recruitment** – these efforts are ongoing.
- **New Faculty Professional Development Workshops**
 - **Monday, April 17** - panel discussion on “How to Write Research Proposals that Get Funded and Manuscripts that Get Published.”
- **Academic Leadership Workshop for Department Chairs**
 - **Friday, April 21** - Jim Begany to speak on “Student Recruitment and Success is Everyone’s Job”.

REPORT: Vice Provost for Strategic Enrollment and Student Success - Begany

Mr. Begany thanked the Senate for inviting him to speak today. He has been here for six months and sees many opportunities for UofL. Until now, growth was not a focus. Mr. Begany reported on several topics, including:

- **High School Students**
 - Nationally, there will be 35K less high school graduate in the next 4 years.
 - Less African American graduate in the next 4 years.
 - More Latino graduates in the next 4 years.
 - UofL gets 7% of Kentucky’s high school graduates.
 - Competition is stiff for these students.
 - Some schools in Illinois are removing out of state tuition.
 - Arizona State University advertises here.
 - Some schools offer discounted tuition and increased financial aid.

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- **Recruiting**
 - Though the SACS and budget issues are challenging, there is untapped potential in recruiting to certain areas. To address this, three regional recruiters were hired in Nashville, Cincinnati and Chicago. In Chicago there were 900 students who showed an interest in UofL.
- **Enrollment Expectations**
 - In fall 2017, we are expecting 300 more students on campus.
 - Preparing for enrollment for fall 2018 and an estimated increase of 10-15K students on campus.
 - We must have the infrastructure in place to address the needs of all the students as the population increases.
- **Financial Aid Strategy**
 - In order to bolster recruiting efforts, a new financial aid strategy needs to be developed to address unmet needs and need-based aid.
 - Not all institutional aid is need-based. About \$31M is in awards/scholarships.
 - Students with \$0 unmet financial needs are either wealthy or receiving a lot of financial aid.
 - He will look at the reallocation poorly spent aid to put towards a different student population.
- **International Students**
 - This will be a consideration later down the road, in about 5-7 years. Currently, there are 350 international students at UofL. WKU has about 1300 and UK has about 2000.
- **Strategic Enrollment Team**
 - His team is working on a 3-5 year plan for undergraduate and graduate students.
- Question: What exactly does the “student success” in your title mean?
 - Just that – not only recruiting.
 - To retain and graduate each student with minimum debt
 - To graduate on time
 - To feel good about UofL and become a giving alumni.

This summer at Orientation, we will survey the students to learn about them and any potential risk factors. Some risk indicators are not paying their tuition bill or not registering for the next semester.
- Question: How can you let faculty know how to help students?
 - This can be addressed in the New Faculty Orientation. They need to know how important their role is in student retention.
 - Comments from faculty suggesting the need to advise all faculty about this role and perhaps speaking at unit faculty meetings about this.

REPORT: Standing Committees

- **Academic Programs Committee (APC) – Farrier**
 - No report was made.
- **Committee on Committees & Credentials (CCC) – Foster**

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- Chair Foster reminded senators in their second year that they are required to run for election. [The full report is online.](#)
- **Part-time Faculty Committee (PTF) – Peacock**
 - [The full report is online.](#)
- **Planning & Budget Committee (P&B) – Hadley**
 - No report was made. This committee has somewhat temporarily merged with the ad hoc budget committee.
- **REDBOOK Committee (RB) – Metzmeier**
 - As above. [The full report is online.](#)
- **Executive Committee (XC) – Wallace-Boaz**
 - At the Executive Committee meeting held on March 29, 2017 and heard from Mark Briscoe about cost savings through a managed print system, parking and network solutions. [The full report is online.](#)

OTHER REPORTS: University-Wide Committees

- **Faculty Athletic Representative – Wise**
 - [This report is online.](#)

New Business

None

Old Business

- Senator Reg Bruce (CoB) is on the Banking RFP Committee. This is to combine all accounts into one banking institution. He will report when a decision is made.
- Senator Ben Foster (CoB) requested that the Senate develop a statement regarding the forensic audit of the UofL Foundation that is currently underway. Chair Trucios-Haynes said that the Board of Trustees will address this as soon as the audit is completed. She said the Executive Committee will consider it.

Announcements

- Chair Trucios-Haynes announced a survey will be coming from AAUP.
- The CCC is conducting the nominations for the election next month. A reminder to senators in their second year that they are required to run for an open committee seat.

Adjournment

The meeting adjourned at 4:50 p.m.

Respectfully submitted,
Gretchen Henry
Faculty Senate Coordinator