

Revised Research Misconduct Policy

Faculty Senate Briefing
October 1, 2025

Presented by: UofL Office of Research Integrity



Research Misconduct Currently



Prior regulations in place since 2005

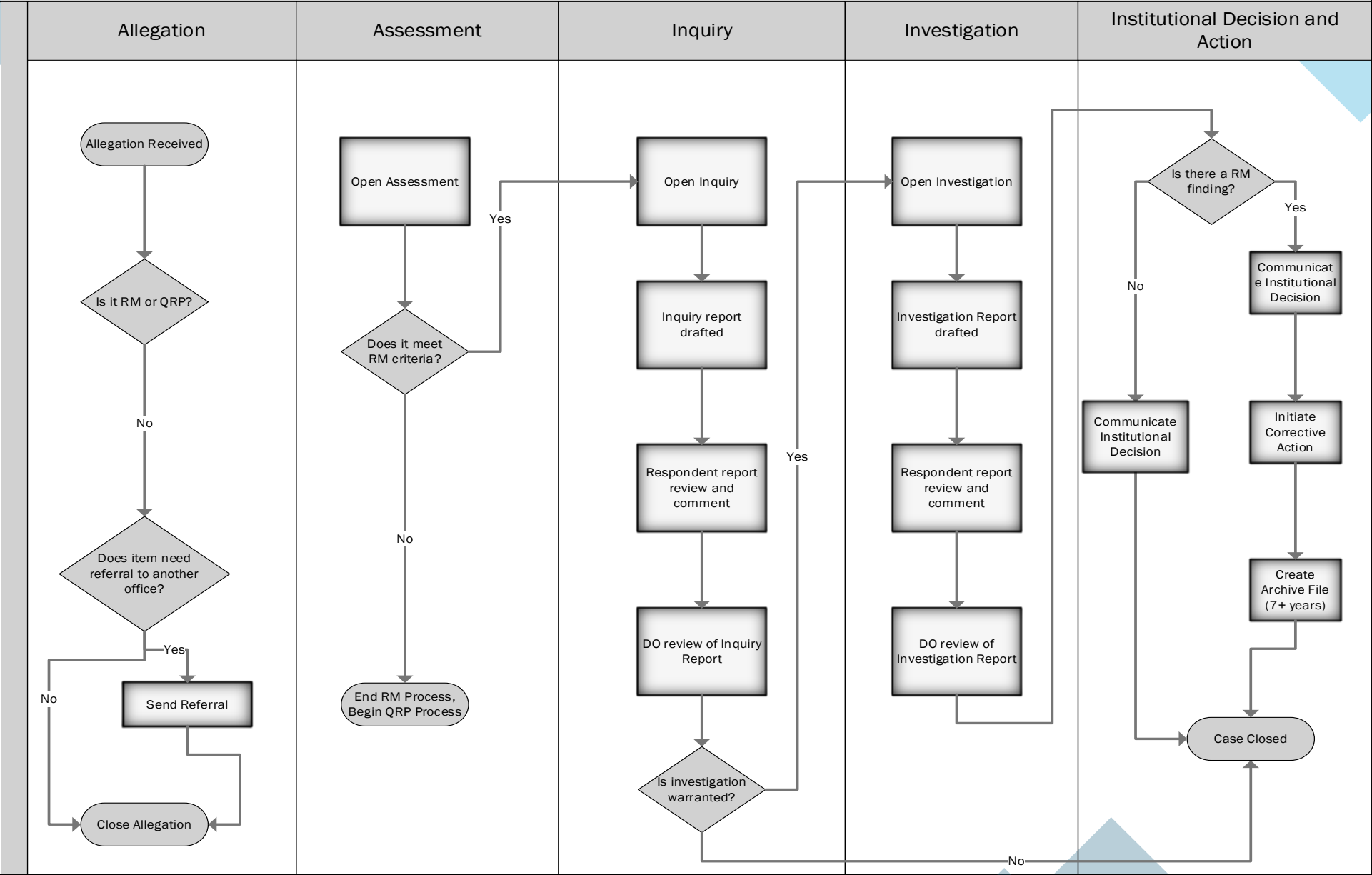
All Institutions of Higher Education receiving funds from the Public Health Service (includes NIH) must have a compliant policy on file with Federal ORI



UofL Specific

Current policy, while compliant with regulatory requirements, does not cover all reported situations

Research Misconduct Process



Research Misconduct Revision



New Federal Regulations

Became effective **January 1, 2025**

All Institutions of Higher Education have until **January 1, 2026**, to adopt a compliant policy



UofL Specific

New procedures for Questionable Research Practices added to address reported issues that do not meet the federal definition of research misconduct or fall outside the jurisdiction of a funding agency with established rules/regulations

Key Changes



Inquiry processes can be completed by Research Integrity Officer **or** Inquiry Panel



Investigation timelines have been lengthened



More guidance has been provided to assist institutions in determining intent



Self plagiarism and authorship disputes have specifically been excluded from the federal research misconduct definition



Confidentiality provisions have been modified to facilitate corrective actions by the institution before funding agencies have decided at the federal level



Public Health Service affirms that institutional findings are still binding even if federal process is not complete or federal Office of Research Integrity elects not to make a finding at the federal level

Additional information

Development of Educational Resources to assist in rollout

Guidance Manuals for key roles (Respondent, Complainant, Panel Members, etc.) have been developed to assist individuals participating in proceedings to understand process steps and explain expectations

Educational videos will be developed and made available as part of the revised policy rollout

Responsibilities matrix developed so that parties will better understand the role/expectations of participation in a research misconduct proceeding

Provide Feedback

Please submit feedback at

- <https://forms.office.com/r/F3fzvfbt6p>

Deadline for feedback is October 31, 2025