

RESPONSIBLE CONDUCT OF RESEARCH AND SCHOLARSHIP (RCRS) POLICY

- RATIONALE FOR REVISION
 - RESPONDING TO CURRENT ENVIRONMENT (STANFORD, HARVARD)
 - RESPONDING TO NEW FEDERAL REGULATIONS (SAFE RESEARCH ENVIRONMENTS, EXPORT CONTROLS, RESEARCH SECURITY, MENTORING)
- UPDATED TRAINING PROCEDURE
 - PRINCIPAL INVESTIGATORS/SENIOR KEY PERSONNEL
 - OPTIONS FOR CERTIFICATION OF EXISTING COURSES
- FORMAL AUTHORSHIP MEDIATION PROCEDURE

THEMES FROM CAMPUS FEEDBACK: RCRS POLICY

CONCERNS

- ENSURING THAT RESEARCHERS ARE EDUCATED IN DIVERSITY, EQUITY, INCLUSIVITY AND ACCESSIBILITY

RESPONSES

- As the institution implements the revised policy, the Office of Research Integrity will be identifying resources and support mechanisms to incorporate into the training for all topics covered in the policy.
- **Labs that Work for Everyone** resource

THEMES FROM CAMPUS FEEDBACK: TRAINING PROCEDURE

CONCERN

- TIME COMMITMENT FOR IN PERSON SESSIONS
- SANCTIONS FOR NON COMPLIANCE

RESPONSE

- The procedure is flexible to recognize existing courses and processes.
- Sanctions will be handled on a case-by-case basis. Researchers and PIs/PDs will be given an opportunity to remedy non-compliance before administrative sanctions are initiated.

THEMES FROM CAMPUS FEEDBACK: TRAINING PROCEDURE

CONCERN

- CONTENT FOR REFRESHER COURSE
- TRACKING MECHANISMS FOR COMPLETED TRAINING

RESPONSE

- The menu for the refresher course will be augmented to provide new options.
- Tracking mechanisms for existing and new research administration system are part of the ongoing implementation discussions for the policy and procedures.

THEMES FROM CAMPUS FEEDBACK: TRAINING PROCEDURE

CONCERN

- TRAINING COMPLETED BY HOURLY EMPLOYEES

RESPONSE

- Compliance with the training requirement is expected as part of the research role

THEMES FROM CAMPUS FEEDBACK: AUTHORSHIP DISPUTE MEDIATION

CONCERN

- DRAWING PANEL MEMBERS FROM DEPARTMENT WHERE DISPUTE EXISTS

RESPONSE

- In practice, full efforts will be made to select panel members outside of the authors' department(s). Situations do arise, however, where the work in question requires a unique skill set or knowledge base. By using the terminology "when possible" the institution retains the flexibility it needs to draw upon the most qualified individuals to serve on the panels.