

**Dave Barker**  
**425 W Lee Street**  
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**PROFESSIONAL  
HISTORY:**

**2010-present**

**Associate Vice President Audit Services and Institutional Compliance**

Responsibilities: Audit Services, Institutional Compliance, HIPAA privacy, and Information Security.

**2006-2010**

**Director of Audit Services and Institutional Compliance**

Responsibilities: These are in addition to the Director of Audit Services responsibilities. Identify key compliance risks of the university. Develop and recommend institutional compliance policies including conflicts of interest, codes of conduct and non-retaliation policies. Perform annual compliance risk assessments and administer an anonymous employee helpline. In addition serves as a member of the Compliance Oversight Council.

**2000 – 2006**

**Director of Audit Services (University of Louisville)**

Responsibilities: Direct a comprehensive program of Audit Services across the university. Align the audit plan to the key risks of the university. Manage a professional staff of auditors with a team approach to auditing. Develop and train professional audit staff to interface with the audit committee of the board of trustees, all levels of management, and administration of the university.

**1998 – 2000**

**Manager of Operational and Information Technology Audits (LGE Energy Co)**

**1989 – 1998**

**Audit Manager (Kentucky Utilities Company)**

**1986 – 1989**

**Senior Information Technology Auditor (Kentucky Utilities Company)**

**1983 – 1986**

**Senior Auditor (Kentucky Utilities Company)**

**1980-1983**                      **Staff Auditor (Kentucky Utilities Company)**

**1978-1980**                      **Assistant Manager (Sandusky Distribution Company)**

**1977-1980**                      **Head Cashier (Sandusky Distribution Company)**

**EDUCATION:**                      B.S. degree in accounting, University of Kentucky, 1977.  
Continuing education as required for professional certifications.

**PROFESSIONAL:**                      Certified Internal Auditor (CIA)  
Certified Information Systems (CISA)  
Past President of Central Kentucky Chapter Institute of Internal Auditors  
Academic Liaison IIA & ISACA  
Quality Assurance reviewer for the IIA

**PROFESSIONAL ASSOCIATIONS:**                      Institute of Internal Auditors (IIA)  
Information Systems Audit and Control Association (ISACA)  
National Association of Certified Fraud Examiners (ACFE)  
Association of College and University Auditors (ACUA)

**COMMUNITY SERVICE:**                      Metroversity Audit Committee chair  
Foreign and State Missions volunteer  
Bible Study leader