

OPERATING BUDGET

—
2017-2018

UNIVERSITY OF LOUISVILLE

UNIVERSITY OF
LOUISVILLE

FY 2018
OPERATING BUDGET

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May 12, 2017

To the Board of Trustees:

I am pleased to submit this recommendation for the University of Louisville's Fiscal Year (FY) 2018 Operating Budget.

This budget was developed in a harsh fiscal environment in which the University of Louisville is facing continuing state budget cuts and a significant loss of revenue from the UofL Foundation. The university also must deal with a structural imbalance that necessitated immediate, dramatic action this spring. We have taken this deficit head-on, with sacrifices being made in every unit on our campuses. These actions will allow us to put the recent past behind us and move ahead in our state-mandated efforts to become one of the nation's leading metropolitan research universities.

A year-end projection of FY 2017 revenues and expenses conducted in January concluded that the university stood on unstable financial ground. Net income for the university was forecast to be close to zero. The financial condition for FY 2018 appeared gloomier. Without budgetary changes, FY 2018 would end in a deficit. To improve its financial condition, the university immediately reduced its FY 2017 general fund budget, redoubled efforts to grow student enrollment, expanded financial monitoring activities, and imposed a hiring frost. To ensure a balanced bottom-line in FY 2018, we will reduce operational costs, leverage the size of the university with respect to procurement contracts and purchases to reduce spending, and through attrition fill fewer positions.

Despite these necessary actions and underlying financial challenges, the University of Louisville continues to provide a strong educational experience and serve its students well. The university graduated a record 5,152 students in 2016-17. More than 18 percent of our graduates were minority students, and 14.3 percent were first-generation college students. Our graduation rate is on track to be among our highest ever. UofL also continues to serve our community both on and off campus and to conduct groundbreaking research that will enhance the lives of future generations.

In 2017, we have shown that commitment in many ways. Here are a few highlights:

- Opening the Teaching Innovation Learning Lab in Ekstrom Library - a state-of-the-art space for faculty and students to collaborate and learn outside the traditional classroom.

- Establishing the “3+3 Accelerated Law Program,” a partnership between the Brandeis School of Law, the College of Arts and Sciences, Kentucky Wesleyan, Murray State and Campbellsville University, that counts eligible undergraduates’ senior year of college as their first year of law school.
- Producing 15 Fulbright Students, raising UofL’s total to 104 since 2003, which is more than all other Kentucky public universities combined over that period.
- Securing prestigious appointments and grants. For instance, Tara Schapmire, PhD, an assistant professor at the School of Medicine and the Kent School of Social Work, was voted president-elect of the Association of Oncology Social Work. Janice Sullivan, MD, and colleagues in the Department of Pediatrics received a \$1.6 million National Institutes of Health grant to study environmental influences on child health outcomes.
- Working together, the schools of Music and Medicine, the Kent School and the Speed School provided tutoring, counseling and other support to the West End School in Louisville, a free, private college preparatory elementary and middle school for boys from disadvantaged backgrounds.


While fulfilling our commitments we continue to emphasize affordability. The University of Louisville will hold firm on its commitment not to raise tuition rates in fall 2017, making us the only public university in Kentucky to keep tuition flat.

We also continue to offer programs and aid that help students afford college. This budget increases our general financial aid pool by \$800,000, plus an additional \$500,000 in financial aid for the Brandeis School of Law. It also includes funding for the innovative Credit for Credits program, which incents eligible students to graduate in four years by offering tuition credits for those who complete at least 30 hours in one academic year. A student who maintains eligibility in this program will actually see a decrease in tuition in FY 2017-18.

We are also offering a summer tuition grant program that, once a student has taken three hours at the regular tuition rate, provides a 25 percent discount on most undergraduate courses.

This budget also focuses on growing the university. We have included strategic allocations for faculty promotions and for enrollment growth. We also have included funding to add faculty to our Speed School of Engineering, which is experiencing record-setting demand.

This has been a trying time for the university community. Our current financial situation demands that we set priorities and refocus our efforts on those areas most critical to our students' success and on our core functions of teaching, research and community service. This budget lays the groundwork to address those priorities, helping us meet our mandate of becoming a premier metropolitan research university.

A handwritten signature in black ink that reads "Greg Postel". The signature is written in a cursive, flowing style.

Greg Postel, M.D.
Interim President

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HIGHLIGHTS

UNIVERSITY of LOUISVILLE

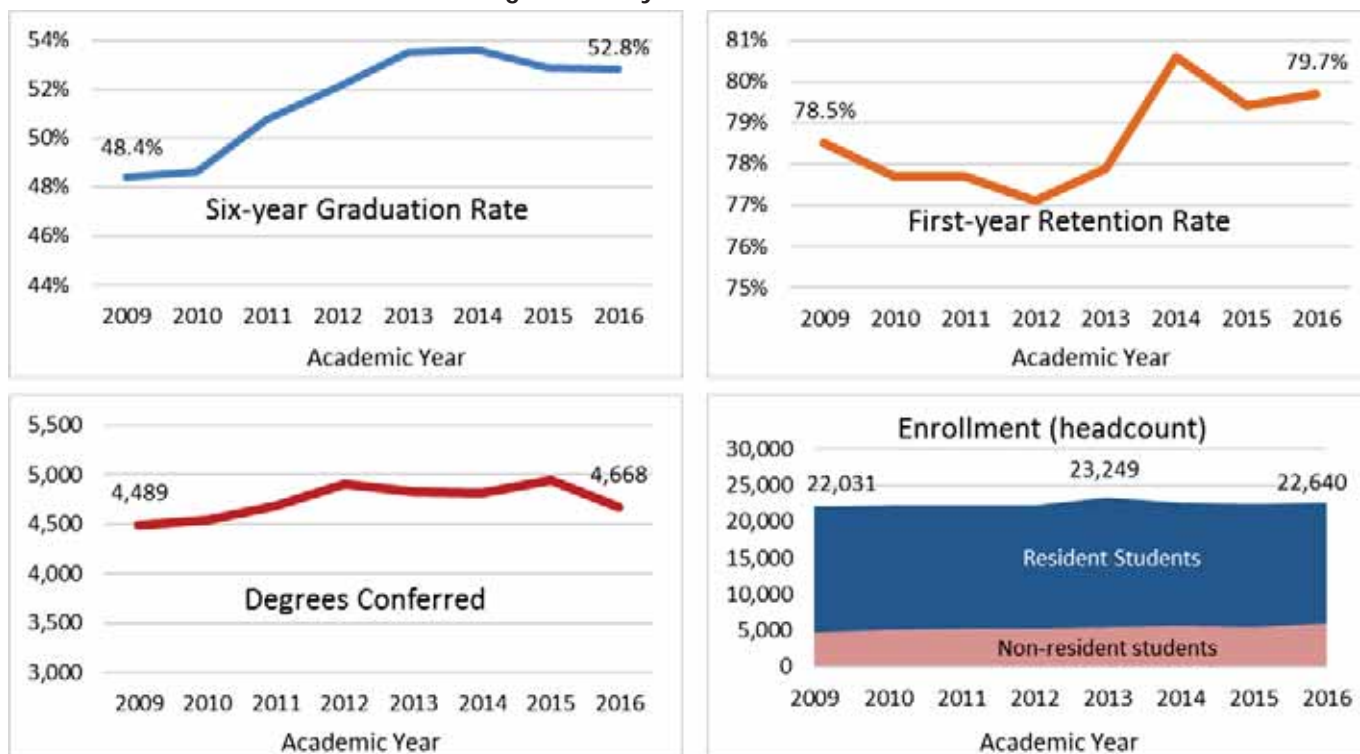


INTRODUCTION

The University of Louisville is a state-supported metropolitan research university located in Kentucky's largest city. The university has three campuses. The Belknap Campus, located near Old Louisville, includes the College of Arts & Sciences, College of Business, College of Education and Human Development, Kent School of Social Work, School of Interdisciplinary and Graduate Studies, School of Law, School of Music, and the Speed School. Located in downtown Louisville, the Health Sciences Campus is home to the university's health related programs, including the School of Medicine, School of Dentistry, School of Nursing, and the School of Public Health and Information Sciences. The Shelby Campus is located in eastern Jefferson County and houses the Delphi Center, home to the university's online and continuing education offices. The university offers undergraduate, graduate, and professional degrees in more than 200 programs. Full-time equivalent enrollment in fall 2016 was 18,814.

Figure A displays several important student metrics. Starting at the top left and moving clockwise, the first figure shows that UofL's 6-year graduation rate increased from 48.4 percent in Academic Year (AY) 2009 to 52.8 percent in AY 2016 for first-time, full-time baccalaureate degree-seeking students. The next shows that most freshmen students continue at UofL into their second year. Enrollment by residency status follows. The last figure shows the number of degrees conferred at UofL.

Figure A: Key UofL Student Metrics¹



Source: Office of Institutional Research, "Just the Facts."

The university maintains a strong commitment to instruction, research, and community service. Below are a few notable achievements in these areas during FY 2017. Many more accomplishments—some routine, some extraordinary—are unheralded here, but contributed immensely to the success of the university.

Instruction

- The Law School, in collaboration with the College of Arts and Sciences, Kentucky Wesleyan, Murray State and Campbellsville University, established a "3+3 Accelerated Law Program" that allows eligible undergraduate students to make their senior year of college count as their first year of law school.

-
- The Delphi Center opened the Teaching Innovation Learning Lab (TILL) in Ekstrom Library, a state-of-the-art space, for faculty and students to collaborate and learn outside of the traditional classroom. ²

Scholarly Activity and Research

- For the 2016-17 academic year, 15 Fulbright Students were named from the university raising the total number from UofL to 104 since 2003. Over this period, there have been more Fulbright Students from UofL than from all other postsecondary institutions in Kentucky combined.³
- For the third year in a row, a UofL student has won the Truman Scholarship, the only one in Kentucky. The 2017 Truman Scholar, Judson Alan, is a political science major from the College of Arts & Sciences.⁴
- Tara Schapmire, Ph.D., an assistant professor at the School of Medicine and at the Kent School of Social Work, was elected president-elect of the Association of Oncology Social Work.
- Janice Sullivan, M.D., chief and medical director of the Kosair Charities Pediatric Clinical Research Unit in UofL's Department of Pediatrics, leads a team that was awarded \$1.6 million by the National Institutes of Health to study environmental influences on child health outcomes.⁵

Community Service

- In partnership with several external groups, the School of Medicine aided the launch of the Autism Friendly Business Initiative that helps families look for autism-friendly businesses.⁶
- Undergraduate students in the School of Nursing helped administer chicken pox, hepatitis B, and other vaccines to adults and children at Kentucky Refugee Ministries.⁷

- Faculty and staff from the School of Music, Kent School, Speed School, and School of Medicine provide tutoring, counseling, and other support to the West End School in Louisville, a free, private college preparatory elementary and middle school for boys from challenging backgrounds.⁸
- The Speed School opened its third “maker space” at Central High School in 2016. These spaces are equipped with machinery and 3-D printers to help increase awareness of STEM fields.⁹
- UofL was named “Most Beautiful Campus” in Kentucky by *Travel + Leisure* magazine.¹⁰

OVERVIEW OF HIGHER EDUCATION IN THE UNITED STATES

Higher education in the US is evolving. Changes in demographics and education delivery to an increasingly diverse and technologically shrewd population are rapidly occurring. Addressing these issues has important implications for the University of Louisville. In order to advance the mission of the university, successfully educate students, and become model centers of research and community service, our board members, donors, legislators, faculty, staff, and students should have a fundamental understanding of this environment. The following sections highlight several important characteristics or trends in higher education today.

Value

The value of a postsecondary education is well established. Evidence consistently shows that a postsecondary education increases human capital and creates positive spillover impacts.¹¹ People with a postsecondary education degree earn, on average, more than their non-degree counterparts, are more likely to volunteer, and have higher job satisfaction, to name a few advantages.¹²

Even though the benefits of a higher education are clear, postsecondary institutions, particularly public colleges and universities, have been buffeted by a variety of external and internal forces in recent years. These challenges include changes in demographics, a push to tie state financial support to academic performance, small rates of return on endowments, and growing concerns about tuition rates, particularly in regards to the amount of student debt.

Enrollment

Undergraduate enrollment at 4-year public institutions in the US has grown from approximately 5.9 million students in Fall 1998 to 8.3 million students in Fall 2014.¹³ Of the total number of students enrolled in public 4-year institutions in the Fall of 2014, 54.5 percent were women.¹⁴ Non-white students represent a growing share of undergraduate student populations. In 1980, non-white enrollment represented 17 percent of total undergraduate enrollment; in 2013 it was 42 percent.¹⁵

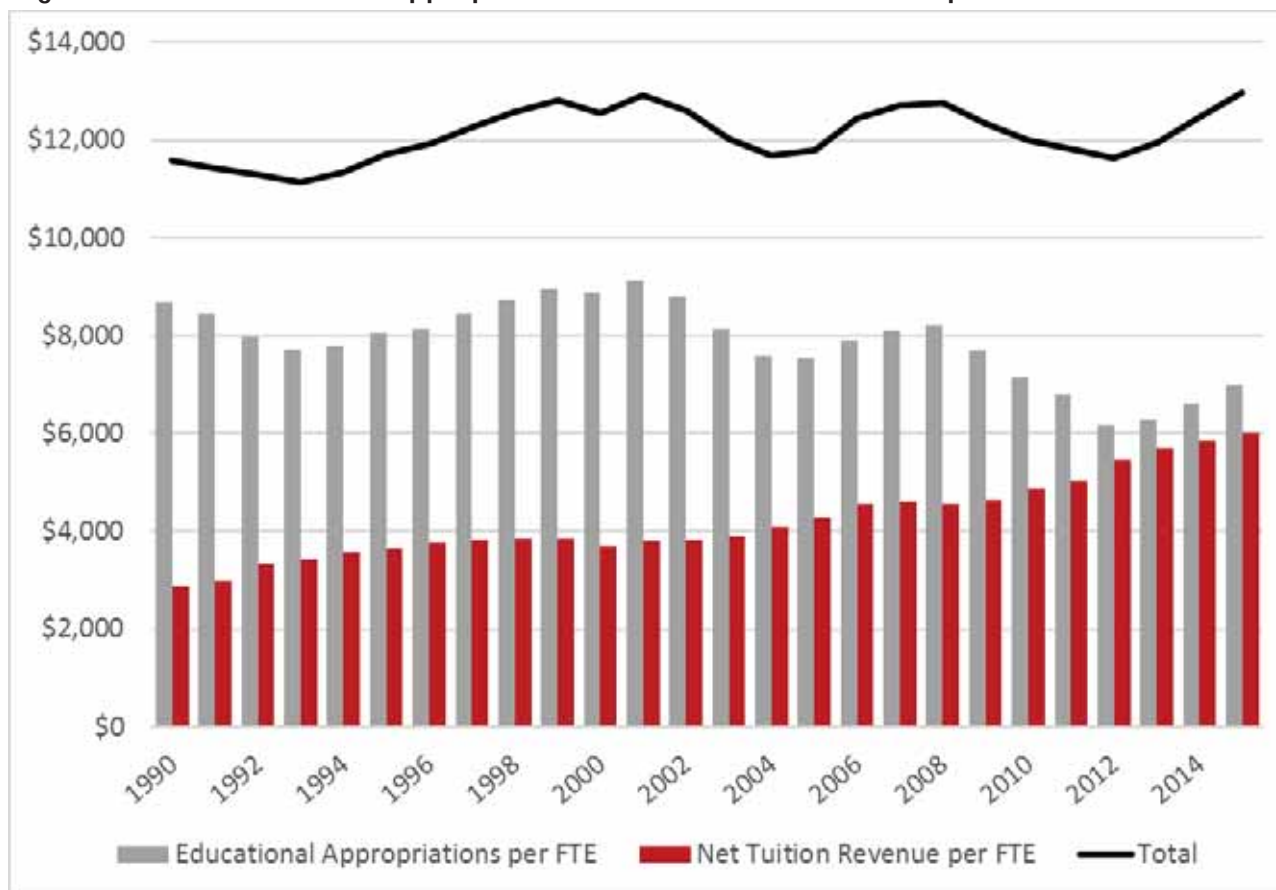
Although enrollment in higher education has grown, it is largely influenced by the number of high school graduates. While more than 85 percent of high school graduates enroll in college within eight years,¹⁶ projections from the National Center for Education Statistics suggest that the number of high school students in the US will grow by less than 1.5 percent between 2015 and 2025. This will place greater pressure on colleges and universities to attract and retain students. In this environment, some evidence suggests that public institutions with large student populations and a significant research component will fare better than smaller, regional colleges and universities.¹⁷

State support

Total state funding for higher education declined by nearly \$10 billion since the Great Recession in 2008 and 2009.¹⁸ Between FY 2012 and FY 2017, however, state support for higher education across the US increased by 14 percent.¹⁹ Regrettably, Kentucky has continued to reduce funding to postsecondary institutions. Since FY 2007, the Commonwealth of Kentucky has reduced state appropriations to colleges and universities by 17 percent, or \$179.9 million.

When state funding dips, tuition rates and tuition revenue typically increase to offset the decline. Figure B shows the linkage between states' financial support for postsecondary institutions and tuition revenues.

Figure B: Public Educational Appropriations and Net Tuition Revenues per FTE, US, FY 1990-2015



Source: SHEEO, State Tuition, Fees, and Financial Assistance Policies: Initial Findings. March 2017

In addition to diminished state financial support, some states have implemented funding models that allocate state appropriations according to an institution’s performance on graduation, retention, and job placement. According to a 2015 report by the National Council of State Legislatures, 32 states allocated at least a portion of state funding to colleges and universities according to performance.²⁰ Regionally, Tennessee employs the most aggressive performance funding model; all state funding, less a base amount for operational support, is allocated

on the basis of student progression, number of degrees and degrees per full-time equivalent student, research/grant funding, transfers, and six-year graduation rate.²¹ Indiana, by comparison, allocates only 6 percent of state appropriations according to performance.²²

Student Debt

Student debt is a significant concern. Over the past decade, US student debt has more than tripled to \$1.3 trillion.²³ Part of the increase is due to more people attending college. Rising tuition rates is the other main factor. From 2010-11 to 2015-16, average tuition and fees at public 4-year institutions increased by 13 percent.²⁴

Despite the increase in tuition rates, average undergraduate student debt at UofL compared to the average at other institutions in Kentucky remains relatively low. UofL students graduate, on average, with almost \$4,000 less student loan debt than students at other institutions in Kentucky. Further, a smaller percentage of UofL graduates carry any debt upon graduation relative to other institutions in Kentucky.²⁵ According to the Council on Postsecondary Education (CPE), a smaller percentage of UofL undergraduate students default on their federal student loans compared to students from most other 4-year institutions in Kentucky.²⁶ Moreover, the default rate for UofL students has declined from 9.7 percent for the 2010 cohort to 7.2 percent for the 2013 cohort.²⁷

Table 1 shows that a smaller percentage of UofL graduates carry student loan debt and for those that carry debt, it is less than the amount carried by graduates of other four-year institutions in Kentucky.

Table 1: Undergraduate Student Debt at UofL Compared to Kentucky State Average
(2015 graduates)

	State Average	UofL
Student Debt	\$27,225	\$23,488
Proportion with Debt	64%	52%

Source: The Institute for College Access & Success

Endowments

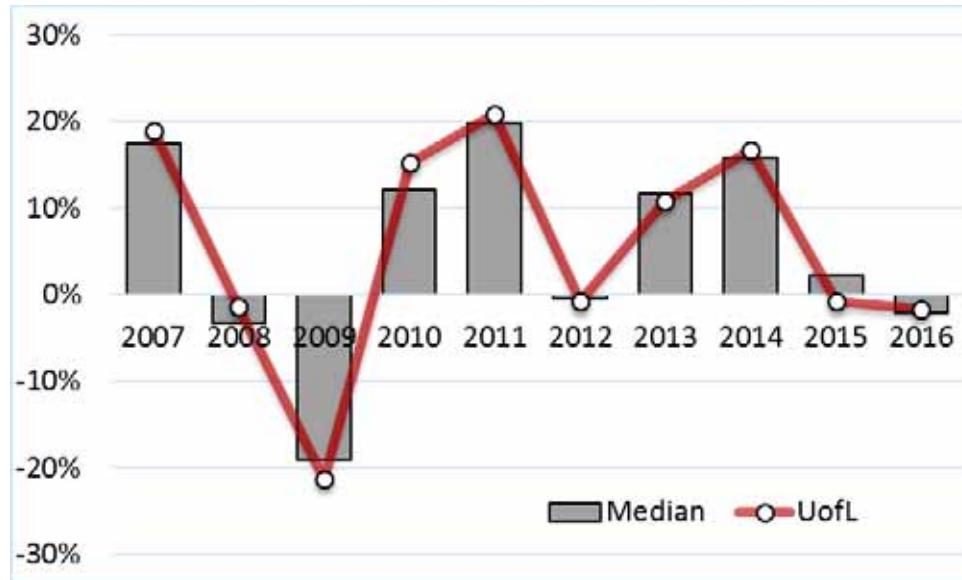
Significant financial support for postsecondary education institutions comes from individuals and corporations who donate money, property, or other assets. These charitable donations take the form of a gift (which typically is completely spent in a relatively short period), or an endowment (which protects the corpus of the donation but allows investment returns to be spent in perpetuity).

Endowment spending funds almost 16 percent of operating expenses, on average, at institutions with endowment assets of more than \$1 billion. By comparison, UofL's endowment spending is about 8 percent of total general fund expenses. For institutions with endowment assets of less than \$25 million, endowment spending funds 5 percent of operating expenses.²⁸

Higher rates of return, all else equal, allows for higher endowment spending. As recently as 2016, however, investment rates of return across postsecondary institution endowments lost, on average, 1.9 percent. Even institutions such as Yale, with a large staff of investment professionals, had low returns, and Harvard University laid off half of its investment fund staff following declining investment performance.

Figure C shows average and median investment rates of return for college and university endowments from 2007 through 2016. Although annual investment rates of returns exceeded 10 percent five times in the past 10 years, overall returns during that period were reduced by the large losses in 2008 and 2009 during the Great Recession and then slow-growth years in three of the past five years.

Figure C: Median Investment Rates of Return for College and University Endowments, 2007-2016



Source: NACUBO and UofL Foundation.

Moody's Investor Services, a rating agency that evaluates the financial stability of postsecondary institutions, recently announced that poor investment performance across the industry represented a potential downside risk.²⁹ Because endowments are an important source of funds in higher education, Moody's cautionary note requires close attention in the near-term.

BUDGET PLANNING OVERVIEW

OVERARCHING BUDGET PRINCIPLE

The university's overarching budget principle guides budget decision-making, helps ensure a transparent process that prioritizes competing initiatives, and positions the university for success.

The University of Louisville is first and foremost an academic institution committed to excellence. The education of its students in undergraduate, graduate and professional programs is founded on a teacher-scholar model with a strong experiential component. The success and well-being of current and future students of the University of Louisville is of singular importance in all budgetary decisions.

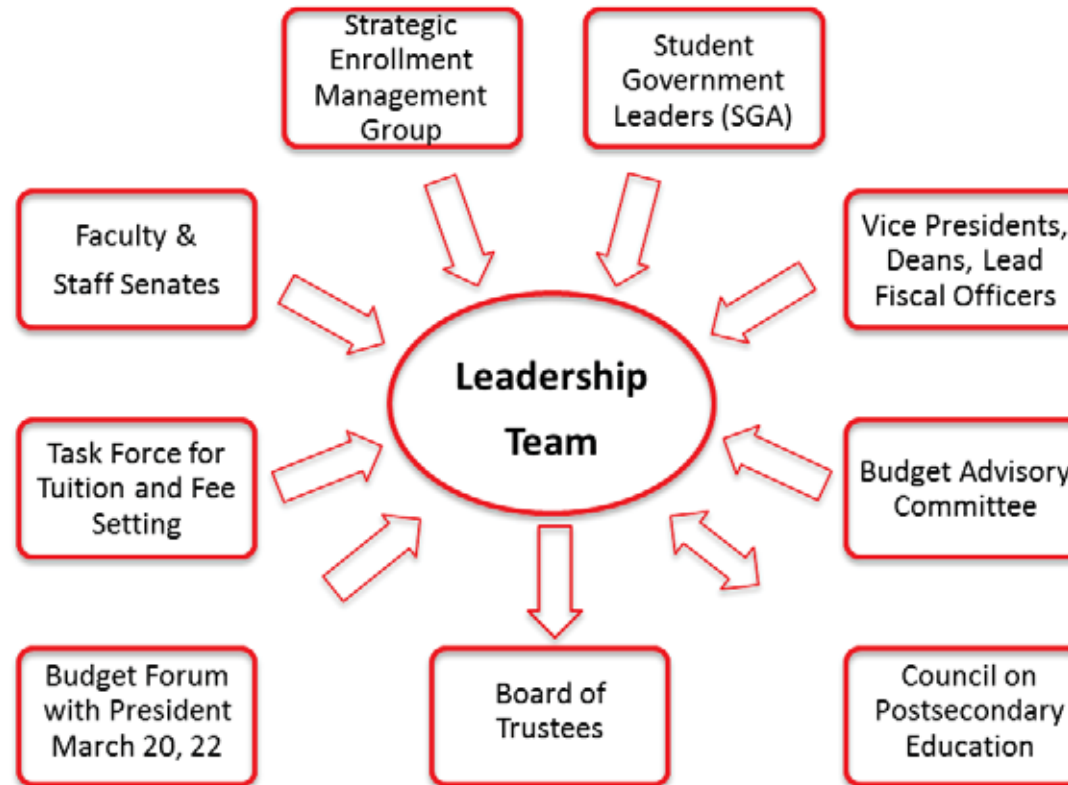
COLLABORATIVE INPUT INTO BUDGET PLANNING

Input from the campus community is an important part in planning the university's budget. Although operating under a significantly condensed timeline due to the efforts involved in resetting the FY 2017 budget mid-year, many opportunities for input into the development of the FY 2018 budget existed. These included two university-wide budget forums hosted by the president, one on the Belknap campus and one on the Health Sciences campus. Finance and administration officials also met multiple times with deans, vice presidents, faculty and staff, and representatives from the Student Government Association.

Development of the FY 2018 operating budget also included, for the first time, a reshaped advisory committee. Known as the "Budget Advisory Committee", this representative group of academic leaders, staff, and students met multiple times during March and April to review, discuss, and advise the provost about how to strategically allocate limited resources. These meetings provided valuable feedback. While decision-making rests with the president and provost, in large measure, the FY 2018 operating budget reflects the input of members of the Budget Development Committee.

Figure D highlights the opportunities for campus input into the budget development process. The timeline for the comprehensive consultation with the campus community is shown in Figure E.

Figure D: Collaborative Input into Budget Planning



Source: UofL Budget Office

Figure E: Timeline for the Budget Development Process

Time Period	Activity
July – February	Tuition setting and fee recommendation development
January – March	Revenue and expense forecast / development of pro forma
January – April	Budget discussions with campus constituencies
April – May	Budget decisions by Leadership
Ongoing	Actively reviewing and monitoring budget versus actuals at both university and unit levels

Source: UofL Budget Office

STRATEGIC BUDGET AND FINANCIAL PLANNING

The university’s strategic plan is rooted in its goal of becoming a “premier metropolitan university” by 2020 as mandated by the state’s Postsecondary Education Reform Act of 1997, a seminal event in the life of UofL. In 2012, the university began work on a supplement to our existing strategic plan aimed at re-shaping and re-engineering the university. Called the *21st Century University Initiative: Powering the 2020 Plan*, this plan intends to help the university meet its goals and better serve students and the community.

The core component of the plan is Academic and Research Priorities. Academic units are the engines for accomplishment of the 21st Century University Initiative. Critical to their success is the Financial Health of the university and a Culture of Excellence across campus; initiatives in these two plan components provide critical, foundational support for success. Through coordinated partnerships, thoughtful enrollment growth, strategic investments, and reallocations of resources, the university can successfully implement the *21st Century University Initiative: Powering the 2020 Plan* and accomplish the goals of the 2020 Plan.

Synergistic to the financial plan in development for the *21st Century University Initiative: Powering the 2020 Plan*, and in alignment with the planning for the FY 2018 budget, a new academic “Strategic Planning and Implementation” process has been initiated. Led by the university provost in collaboration with the Executive Vice President for Health Affairs (EVPHA) and the Executive Vice President for Research

& Innovation (EVPRI), the purpose was to communicate and align strategic objectives across all academic units. It also ensured that the provost and the deans had a shared understanding of each unit's short- and long-range goals, particularly within the context of the *21st Century University Initiative: Powering the 2020 Plan*.

BUDGET PRIORITIES

The FY 2018 Operating Budget has four priorities:

1. Resolving a structural imbalance in the university's operating budget;
2. Keeping college affordable for our students by adding \$1.3 million in financial aid and scholarships;
3. Making strategic allocations that advance the mission of the university in FY 2018; and
4. Establishing a firm financial foundation for FY 2019 and beyond.

Structurally Balanced Budget

An analysis of anticipated revenues and expenses for FY 2018 indicated a structural imbalance. To help ensure the university's short- and long-term success, the FY 2018 budget emphasizes efficient and cost-conscious administrative operations, trimming travel expenses, consolidating purchasing decisions in order to leverage buying power, and scrutinizing the need to refill vacant positions. In addition, a university-wide campaign for suggestions to reduce expenses or increase revenues has received more than 200 responses through April. The university will likely implement many of these recommendations.

Keeping College Affordable

To help thousands of undergraduate and graduate students meet the financial costs of obtaining a college degree, the FY 2018 budget holds tuition rates constant and increases overall financial aid by \$1.3 million. The budget also maintains \$2.5 million for the innovative Credit for Credits program, which financially rewards undergraduate students who earn at least 30 credit hours each academic year. In addition to saving students money, this program could also improve student retention and graduation rates. The university will continue to monitor the effectiveness of the program; Fall 2017 marks the first anniversary of the program.



Strategic Allocations

Using a \$12 million reallocation pool from a three percent across-the-board general fund budget cut, the FY 2018 Operating Budget makes several important strategic allocations. Academic quality and support, recruitment of superior students, decanal start-ups and unit program development highlight a few of the targeted areas. Funding for strategic initiatives and prior commitments will be monitored and must be used as approved unless the Budget Advisory Committee and the senior leadership agree to redirect the funds. Funds are held in reserve to address emergent needs and initiatives during the fiscal year, but units and divisions are advised not to request or expect any additional funding to cover projected expenses, reductions or other adjustments to the FY 2018 budget.

Sound Financial Footing

The FY 2018 Operating Budget for the University of Louisville represents a structurally sound budget that will allow the university to grow and thrive in future years. The platform for the budget is a reset of the FY 2017 general fund budget, which included a revised forecast of revenues and expenses. In addition, the FY 2018 budget includes expenditure constraints affected through service efficiency and administrative economy and capture of salary lines vacated through attrition during the year. Additional constraints may be imposed mid-year if actual savings or increased revenue fall short of projections.

OPERATING BUDGET OVERVIEW

The University of Louisville's Fiscal Year (FY) 2018 Operating Budget is \$1.2 billion. This represents a \$48 million, or 3.8 percent, decrease compared to the FY 2017 Operating Budget. Sound financial models provide the basis for the budget. To avoid any delayed recognition of fiscal challenges, senior staff will regularly monitor the budget throughout the fiscal year. Analyses of budget-to-actual revenues and spending will form a cornerstone of these efforts. In addition, scrutiny of the university's cash balance will continue.

The university's budget has two primary groupings: general funds and non-general funds. The general fund budget encompasses most all-purpose activities of the university, including, for example, instruction and operational expenditures. General fund revenues primarily come from tuition, state appropriations, and various fees.

Non-general funds include activities tied to specific purposes such as grants, clinical revenues, and athletics, and flow through the university's affiliated corporations: University of Louisville Research Foundation, Inc. (ULRF); the University of Louisville Athletic Association, Inc. (ULAA); and the University of Louisville Foundation, Inc. (ULF), which is a component unit of the university. The governing board for each corporation approves the respective budget for each entity at their respective board meetings.

Table 2 shows the university's total operating budget.

Table 2: UofL Operating Budget FY 2018

	FY 2017 Budget	FY 2018 Budget	FY 17-18 Change	
			Amount	Percent
General Funds	\$548,339,500	\$504,858,900	(\$43,480,600)	-7.9%
UofL Corporations	730,955,300	725,976,200	(4,979,100)	-0.7%
Total Operating Budget	\$1,279,294,800	\$1,230,835,100	(\$48,459,700)	-3.8%

Source: UofL Budget Office

Table 3 shows the primary fund sources for the university's operating budget and changes over time.

Table 3: Operating Budget by Primary Funding Source, FY 2018

General Fund and Corporations <i>(in millions)</i>	Budget		Change	
	FY 2017	FY 2018	Amount	Percent
UofL Research Foundation, Inc.	\$482.8	\$539.0	\$56.2	11.6%
Tuition and Fees	323.7	304.2	(19.5)	-6.0%
Net State Appropriation	132.8	132.8	0.0	0.0%
UofL Athletic Association, Inc.	96.4	104.5	8.1	8.4%
UofL Foundation, Inc.	149.3	82.4	(66.9)	-44.8%
Other General Funds	94.3	67.9	(26.4)	-28.0%
Total	\$1,279.3	\$1,230.8	(\$48.5)	-3.8%

Source: UofL Budget Office, ULRF, Inc.; ULF, Inc.; and ULAA, Inc.

A grayscale photograph of the University of Louisville's main building, featuring a prominent dome and a portico with columns. The building is set against a background of trees. In the foreground, a stone wall with the university's name and a circular seal is visible.

GENERAL FUND REVENUES

GENERAL FUND REVENUE

OVERVIEW

The total general fund revenue budget for FY 2018 is \$504.9 million. This is \$43 million, or 7.9 percent, less than FY 2017. Re-categorizing one-time funds from hospital affiliation to non-general funds and an adjustment to tuition revenue projections account for most of the year-over-year change.

The largest source of general fund revenue is tuition and fees, which total \$304.2 million and represent 60.2 percent of the total general fund revenue budget. The FY 2018 budget does not include any proposed increases in tuition rates. State appropriations, the second largest source of funds, total \$133.6 million in FY 2018.

Table 4 shows all major revenue categories in the university's general fund budget. The following sections provide more detail about each revenue category.

General Fund Revenues

2018 Operating Budget



Table 4: General Fund Revenues by Source for FY 2018

Description	FY 2017 Budget	FY 2018 Budget	Percent of Total	FY 17-18 Change	
				Dollars	Percent
Tuition and Fees	\$323,722,800	\$304,165,200	60.2%	(\$19,557,600)	-6.0%
State Appropriation and Other State Funds	133,638,400	133,638,000	26.5%	(400)	-0.0%
Transfers In	28,165,200	26,467,800	5.2%	(1,697,400)	-6.0%
Auxiliary Enterprises	13,653,400	23,323,200	4.6%	9,669,800	70.8%
Hospital-Related Revenue*	37,470,200	6,573,000	1.3%	(30,897,200)	-82.5%
Other Revenues**	11,689,500	10,691,700	2.1%	(997,800)	-8.5%
Total	\$548,339,500	\$504,858,900	100.0%	(\$43,480,600)	-7.9%

* Prior to FY 2018, revenues and expenses were budgeted as general funds. In FY 2018, they are budgeted as non-general funds to reflect the limitations on how those funds can be spent.

** Includes other non-educational revenue, interest income related programs, organized activities related to instruction, and sales and services of educational activities.

Source: UofL Budget Office

TUITION AND FEES

Tuition and fee revenues total \$304.2 million for FY 2018. This is \$19.6 million less than FY 2017.

The tuition and fee budget does not raise tuition rates. The overall FY 2018 tuition and fee budget decreases due to a deliberate university-wide effort to realign tuition budgets according to an analysis of past enrollment. Three factors in particular account for most of that change.

First, in recent years, declining enrollments in the Brandeis School of Law and the College of Arts and Sciences have failed to meet planned increases in total university enrollment. Both changes mirror national trends. Law school enrollment in the US, for example, declined by 25 percent between 2010 and 2016.³⁰ Similarly, across the period between 2011 and 2016, the number of law school students at UofL declined by 24.9 percent. For Fall 2017, enrollment in UofL's law school breaks this pattern and is expected to realize a year-over-year increase.

Second, enrollment in summer courses continues to fall short of expectations. Multiple efforts each of the past two summers to increase the number of courses offered have had limited success in attracting more students. Consequently, tuition revenues in summer have consistently fallen short of budget. For the summer of 2017, another incentive plan has been implemented. Instead of focusing solely on increasing the number of courses, this option essentially provides a 25 percent tuition discount to undergraduate students who enroll in more than three credit hours during the summer. It is too early to evaluate the effectiveness of this effort, but it will be closely monitored.

Third, as students increasingly want the flexibility and ease associated with online courses, the university has recognized a significant shift in the composition of its tuition revenues. Instead of growth in face-to-face tuition revenues, online tuition revenues have increased more. Total online credit hours have increased from 31,430 in AY 2010-11 to 61,161 in AY 2016-17, which is an increase of 95 percent. The consequence from this shift resides with the way in which the university allocates online versus face-to-face tuition revenues. Face-to-face tuition revenues represent general university resources that can be allocated to any activity. Online tuition revenues follow university policy from 2000 and allocate 50 percent of revenues directly to the academic unit providing the online course. As a result, the growth in online courses and tuition revenue has benefited academic units to the detriment of central university funds. Instead of centrally deciding how to allocate face-to-face tuition revenues, the existing model, without review, allocates these revenues to academic units.

Tuition Rates and the Council on Postsecondary Education

The Council on Postsecondary Education (CPE) is the state coordinating agency for the eight public universities and the community college system in the Commonwealth of Kentucky. One of its statutory responsibilities is to set tuition guidelines and then approve resident undergraduate tuition rates at all public higher education institutions.

For FY 2018, CPE capped resident undergraduate tuition rate increases at 4 percent for the University of Kentucky. Tuition rate increases cannot exceed 5 percent at Morehead State, Murray State, Kentucky State, and Eastern Kentucky universities. The cap is 4 percent at Northern Kentucky University and 3.9 percent at Western Kentucky University because each university will receive additional state funds in FY 2018 to address funding equity. UofL's tuition rates for FY 2018 will not change. In 2016, the university adopted a biennial approach to tuition setting and the Board of Trustees voted to hold tuition rates constant in FY 2018.

Table 5 shows the proposed Academic Year (AY) 2018 tuition rates for undergraduate, graduate, and professional programs. Undergraduate students enrolled in 12 or more credits hours in a semester are considered “full-time” and pay the semester rates listed in the table. For graduate students, full-time status is 9 credit hours.

Table 5: Regular Tuition Schedule

(amounts in dollars)	AY	AY	Change	
	2017	2018	Amount	Percent
Undergraduate	<u>Semester Rates</u>			
Resident	5,534	5,534	0	0.0%
Nonresident	13,045	13,045	0	0.0%
Active Duty Military*	250	250	0	0.0%
Graduate				
Resident	6,123	6,123	0	0.0%
Nonresident	12,743	12,743	0	0.0%
Active Duty Military*	250	250	0	0.0%
Law (Full-time Program)				
Resident	10,548	10,548	0	0.0%
Nonresident	19,651	19,651	0	0.0%
Medicine	<u>Annual Rates</u>			
Resident	38,286	38,286	0	0.0%
Nonresident	58,196	58,196	0	0.0%
Dentistry				
Resident	32,412	32,412	0	0.0%
Nonresident	67,564	67,564	0	0.0%

* per credit hour

Source: UofL Budget Office

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Table 6 shows proposed special tuition rates. They remain unchanged from FY 2017.

Table 6: Special Tuition Schedule

(amounts in dollars)	AY	AY	Change	
	2017	2018	Amount	Percent
	<u>Semester Rates</u>			
Urban Planning and Public Admin. Programs				
Resident	7,023	7,023	0	0.0%
Nonresident	13,643	13,643	0	0.0%
Online Education (per credit hour)				
Undergraduate	497	497	0	0.0%
Graduate	714	714	0	0.0%
Graduate - Urban Planning & Public Admin	855	855	0	0.0%
Law, full-time	1,098	1,098	0	0.0%
Law, part-time	914	914	0	0.0%
Active Duty Military*	250	250	0	0.0%

Source: UofL Budget Office

Table 6: Special Tuition Schedule (Cont.)

(amounts in dollars)	AY	AY	Change	
	2017	2018	Amount	Percent
	<u>Fixed Price, Multi-Year</u>			
Professional MBA Cohort Program				
Resident & Nonresident	32,000	32,000	0	0.0%
Full-time MBA Cohort Program				
Resident & Nonresident	32,000	32,000	0	0.0%
IMBA Cohort Program				
Resident & Nonresident	32,000	32,000	0	0.0%
Executive MBA Program				
Resident & Nonresident	67,500	67,500	0	0.0%
Masters in Accountancy Program				
Resident & Nonresident	21,000	21,000	0	0.0%
Ed.D. Practitioner				
Resident & Nonresident	16,200	16,200	0	0.0%
Certificate Program in Accountancy				
Resident & Nonresident	12,900	12,900	0	0.0%
M.S. in Human Resources and Organization Development				
Resident & Nonresident	16,500	16,500	0	0.0%
Active Duty Military (per credit hour)	250	250	0	0.0%

* Excludes Master's of Engineering in Engineering Management Online fixed price program

Source: UofL Budget Office

New or Modified Tuition and Fees

The Task Force on Tuition and Fee Setting—a committee appointed by the provost that consists of deans, faculty, staff, and students—reviews all tuition and fee requests from academic and support units and recommends a course of action to leadership. The Task Force met from July 2016 through February 2017. The initial meetings focused on the overall costs borne by UofL students including tuition, academic

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fees, housing, dining and other related educational costs. The Task Force also examined college affordability, regional changes to tuition rates, and state appropriations to higher education in contiguous states. Tuition rate comparisons between UofL and its benchmark institutions and state and regional competitors was also reviewed. Table 7 lists the fee requests recommended by leadership. Detailed descriptions about each recommendation follow the table.

Table 7: Summary of Proposed Changes to Course and Unit Fees for AY 2018

Unit / Fee Name	Fee Amount	Fee Description
College of Arts and Sciences		
1. A&S Technology Fee	\$0.50 per credit	Up to \$6 per semester; for technology upgrades
2. Theater Arts Fee	\$0	Rescind existing fee
College of Business		
3. UK/UofL Executive MBA Program	\$20,000	Classify \$20,000 of existing \$67,500 as fee.
School of Nursing		
4. Certified Nursing Assistant	\$500	Fee to cover non-credit course fee for clinical compliance requirement
Kent School of Social Work		
5. Kent School Fee	\$25	Course fee to support purchase and maintenance of student placement software
School of Medicine		
6. Technology Fee	\$700 / \$1,250	Fee to support the purchase of tech support of preconfigured laptops for each student
Speed School of Engineering		
7. Bioengineering Course Fee	\$100	Course fee for BE 605 to cover costs of supplies, chemicals and equipment used
Office of Enrollment Management		
8. Undergraduate Application Fee	\$25	Decrease to undergraduate application fee

Unit / Fee Name	Fee Amount	Fee Description
School of Interdisciplinary & Graduate Studies		
9. Graduate Application Fee	\$65	Request increase to graduate application fee
Office of Chief Operating Officer		
10. University Parking	Various	Parking rate increase for all university permits
Vice Provost for Student Affairs		
11. University Housing	Various	3% increase in room rates for AY 2018

Source: UofL Budget Office

College of Arts & Sciences

1. A new \$0.50 per credit hour fee for all Arts & Sciences courses will support technology upgrades within the school. The fee will enable the College of Arts & Sciences to upgrade technology in 74 classrooms, including funding for additional smartboards, replacement computers, projectors and screens.

The A&S Dean's office currently shares the responsibility for maintaining and upgrading equipment in academic departments. However, the college does not have a dedicated technology budget. The A&S Dean's office annually reserves about \$75,000 to fund all equipment expenses of the faculty, including start up for new hires, staff, and classrooms. Seven departments have course fees but use those funds for equipment and supplies in labs and studios (Biology, Chemistry, Classical and Modern Languages, Mathematics, Fine Arts, Physical Astronomy and Theatre Arts). The other 15 departments do not have a dedicated budget or revenue stream for technology needs. All current A&S funding sources combined are inadequate to sustain recurring equipment maintenance or upgrades.

Other units (Business, Engineering, Law, Medicine, and Public Health) assess a technology fee that ranges from \$25 to \$250 per student. The intent, when determining the technology fee amount for A&S students, was to maximize the benefits on the college's technology needs while minimizing the cost to students. The proposed fee expects to generate \$75,000 to \$80,000 per academic

year. The college anticipates that this technology fee will go beyond basic maintenance and upgrades in the near future and allow the college to upgrade all 74 classrooms currently maintained by A&S.

After three years, the College of Arts & Sciences will audit the expenditures of the technology fee to (1) assure that the funds were used as proposed for classroom technology; (2) assess the current state of technology; and (3) to reassess the need for the fee to continue at the level of \$0.50 per credit up to \$6 per semester.

2. The College of Arts and Sciences will rescind a \$20 Theater Arts fee because it is no longer needed.

College of Business

3. The Executive MBA Program currently receives 100% of all tuition (less mandatory fees). Costs that are traditionally associated with program fees are currently funded from the tuition element of the program charge. Now, the College of Business will assess a \$20,000 fee to all students in the UK/UofL Executive MBA program. The total program cost of \$67,500 will remain unchanged, which includes mandatory UK/UofL student fees of \$1,998. The purpose of this change is to specify that \$20,000 of the total program cost is a program fee. The remaining \$45,512 will be classified as tuition and \$1,998 for mandatory UK/UofL student fees. Currently, the program operates very close to the break-even point.

School of Nursing

4. The School of Nursing \$500 non-credit course fee is required to remain in compliance with its clinical compliance requirement. Beginning in Fall 2017, upper-division nursing students will be required to complete a Certified Nursing Assistant Program (CNA). By adding this component, the School will remain in alignment with other nursing programs in the Louisville area. The course will be open to any student interested in becoming a CNA. Only students electing to take this non-credit course will pay the \$500 program fee.

Kent School of Social Work

5. The Kent School \$25 per course fee for Social Work courses 470, 471, 670, 671, 672, and 673 will support the purchase and maintenance of student placement software called *Sonia*, which automates the practicum placement process. It includes the ability

to show available placements, record practicum hours, manage submission of assignments, and allows the School to interface more easily between students, faculty, and community social workers who are supervising students.

The Kent School currently uses an outdated system that forces students and field supervisors to hand-deliver or fax/mail copies of documents from assignments and assessments. The new software will improve the management of data and paperwork for practicum courses. Information about licensure and education, as well as career opportunities, will be able to be stored in *Sonia*.

The fee will not cover the initial cost of purchase, support, and customization required for implementation of the new software system. The school estimates a shortfall of approximately \$1,150 in the first year of implementation, which the Kent School will cover. The school estimates that there may be a surplus of \$1,850 in the second year, and by the fourth year the net will be approximately zero. The school plans to use any unspent funds to offset other practicum related expenses, such as travel reimbursement for practicum liaisons who provide face-to-face visits with students and agency supervisors. This type of face-to-face interaction is a valuable part of the student experience at the Kent School and gives the school an ability to better supervise the quality of experiences students have in the agencies.

School of Medicine

6. The School of Medicine is raising the technology fee from \$500 to \$700 per year for current students. The fee is being increased due to a greater reliance on technology in the curriculum coupled with demands of LCME Accreditation (Liaison Committee on Medical Education is the US Department of Education recognized accrediting body for M.D. degrees.)

All new students beginning in the fall of 2017 will pay \$1,250 per year; the standard \$700 base technology fee plus an additional \$550 to cover the cost of university-provided laptops, associated support costs for the provided laptops, and anticipated costs of management software.

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Speed School of Engineering

7. The Department of Bioengineering \$100 course fee for BE 605 will cover the costs of purchasing consumable supplies, chemicals and safety equipment costs. The current cost of consumables per student is approximately \$200. The \$100 course fee is anticipated to generate up to \$1,000 per year. Enrollment for this elective course is limited to 10 students.

Office of Enrollment Management

8. The Office of Enrollment Management will decrease the undergraduate application fee from \$50 to \$25. By reducing the application fee, an increase in the number of applications, admissions, and enrollment is expected. There are no plans to change the mechanics of how the fee will be assessed.

School of Interdisciplinary and Graduate Studies

9. The School of Interdisciplinary and Graduate Studies will increase the graduate application fee from \$60 to \$65. The fee is assessed to students seeking admission to a graduate program, and will support the costs of processing applications. Increasing the application fee to \$65 places UofL in line with the university's benchmark institutions. An estimated \$32,000 to \$37,000 will be collected from the fee increase.

Parking

10. University parking rates will increase for the first time in six years. Table 8 summarizes the parking rate changes for FY 2018. The proposed increases will generate \$157,400 if the same number of each permit is purchased. In addition to the parking rate increases, the Parking Office is renegotiating parking lot leases, increasing visitor parking rates, and creating additional visitor and patient parking spaces to generate more revenues.

Table 8: Proposed Annual Parking Fees

(amounts in dollars) Permit	FY 2017	FY 2018	Proposed Change	
			Dollars	Percent
Red	590	620	30	5.1%
Magenta, White, & Jewish Hospital	379	399	20	5.3%
Blue	281	296	15	5.3%
Yellow, Orange, Minardi, & Brown	150	169	19	12.7%
Green	132	149	17	12.9%
Purple	98	100	2	2.0%

Source: Office of the Chief Operating Officer

University Housing

- The Director of Student Housing, endorsed by the Dean of Students, requests a 3 percent increase in housing rates caused by anticipated increases in utilities expenses, maintenance and operations costs, and other related programmatic costs. Table 9 summarizes the housing rate changes.

Table 9: Academic Year 2018 Proposed Housing Rate Schedule

(amounts in dollars)	AY	AY	Change	
	2017	2018	Amount	Percent
Traditional Halls (Miller, Threlkeld, Unitas)	<u>Semester Rates</u>			
Unitas triple	1,938	1,996	58	3.0%
Double	2,515	2,590	75	3.0%
Single	3,005	3,095	90	3.0%
Resident Assistant, all halls				
Live-in rate	2,000	2,000	0	0.0%

Table 9: Academic Year 2018 Proposed Housing Rate Schedule (Cont.)

(amounts in dollars)	AY 2017	AY 2018	Change	
			Amount	Percent
Louisville Hall suites				
6th Floor double with bath	2,667	2,747	80	3.0%
4th/5th Floor single room	3,005	3,095	90	3.0%
4th/5th Floor double room	2,565	2,642	77	3.0%
3 Bedroom Suite	2,616	2,694	78	3.0%
2 Bedroom Suite	2,657	2,737	80	3.0%
University Tower Apartments (UTA)				
2 Bedroom, single	3,065	3,157	92	3.0%
2 Bedroom, double	2,718	2,800	82	3.0%
1 Bedroom, double	2,728	2,810	82	3.0%
Large studio, double	2,718	2,800	82	3.0%
Standard studio, double	2,692	2,773	81	3.0%
UTA Family Apts and Medical-Dental Apts				
	<u>Monthly Rates, per unit</u>			
2 Bedroom	963	992	29	3.0%
1 Bedroom	852	877	26	3.0%
Large studio	785	808	24	3.0%
Standard studio	754	777	23	3.0%

Source: Office of Housing and Residence Life

STATE APPROPRIATIONS

State appropriations, including state-mandated programs, total \$133.6 million in FY 2018, almost unchanged from FY 2017. Over the past year, though, state funding of higher education has undergone a significant transformation in Kentucky.

The first change happened in April 2016. The state's biennial budget reduced appropriations to public postsecondary institutions in FY 2017 by 4.5 percent. For the University of Louisville, the reduction totaled \$6.3 million.

The second important change occurred in March 2017 when the General Assembly passed and the Governor signed legislation to align state appropriations to colleges and universities with specific performance metrics. The bill represented the culmination of almost two years of dialogue and debate among legislators, representatives from the governor's office, university and KCTCS presidents, CPE, and other higher education advocates.

CPE initially submitted a performance-funding model to the governor and the legislature in 2015. Carefully developed over 18 months, the plan called for restoring one-half of all state budget cuts since 2008 if universities and colleges met certain performance objectives. Under the plan, institutions would only compete against themselves. Unearned funds would represent a loss to the institution that failed to achieve its metrics but not a gain to another institution.

The 2016-18 enacted state budget did not include CPE's proposed performance-funding plan. Instead of restoring prior year budget reductions, the budget bill reduced each institution's FY 2018 net state appropriation by 5 percent (for a total of \$42.9 million) and placed that amount in a separate "at-risk" pool that universities would compete against each other to earn. To determine how those funds would be allocated among universities, the budget bill established a Working Group – a team that included each public university president, the president of KCTCS, two state legislators, the president of CPE, and two officials from the governor's office. The Working Group met many times from August through November in 2016 and issued its final report on December 1, 2016.

Legislation (Senate Bill 153) introduced in January 2017 incorporated most of the Working Group's recommendations. The final bill, signed by the Governor in April, included the following provisions:

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- Exclude Kentucky State University from the performance-funding model to acknowledge that institution's current precarious financial condition;
- Separate KCTCS from the universities (the two sectors will not compete for the same resources), in part because of differences in each sector's mission; and
- Exclude state-mandated programs (e.g., Equine Research at UofL) from the funding formula because those programs have specific revenue sources and purposes defined in state statutes.

Beginning with FY 2018, a portion of the state's allocation to public universities and colleges will be based on how well each institution performs on several metrics. Tables 10 and 11 display the student success metrics used in the performance-funding model to determine how state funds would be allocated among universities. From academic years 2014 to 2016, UofL, on average, produced the most underrepresented minority bachelor's degrees among public universities in Kentucky. UofL trailed only the University of Kentucky in all other student success metrics.

35% for Student Success

- number of baccalaureate degrees;
- number of STEM+H degrees;
- degrees earned by low- and moderate-income students;
- number of degrees earned by underrepresented minorities; and
- student credit hour progression.

Table 10 shows the three-year average of student success metrics for public universities in Kentucky from academic years 2014 to 2016.

Table 10: Three-year Average of Student Success Metrics for Public Universities in Kentucky from Academic Years 2014 to 2016

Institution	Bachelor's Degrees				Student Progression		
	Total Degrees	STEM+H Degrees	Low Income Degrees	URM Degrees	30 Credit Hours	60 Credit Hours	90 Credit Hours
University of Kentucky	4,255	1,464	1,353	469	3,726	3,846	4,303
University of Louisville	2,786	805	1,188	488	2,159	2,428	2,799
Eastern Kentucky University	2,533	703	1,364	219	1,710	1,867	2,380
Morehead State University	1,205	312	728	61	1,087	1,069	1,214
Murray State University	1,559	649	722	136	1,065	1,186	1,555
Northern Kentucky University	2,184	636	1,023	192	1,624	1,669	1,996
Western Kentucky University	2,757	813	1,375	296	2,290	2,263	2,648
Total	17,280	5,382	7,755	1,861	13,660	14,328	16,895

* Under Represented Minorities (URM)

Source: Council on Postsecondary Education; UofL Budget Office

35% for course completion (i.e., credit hours earned)

Table 11 shows the three-year average of credit hours earned for public universities in Kentucky from academic years 2014 to 2016.

Table 11: Average Credit Hours Earned for 2014 to 2016 Academic Years

Institution	Credit Hours
University of Kentucky	708,724
University of Louisville	479,586
Western Kentucky University	409,622
Eastern Kentucky University	356,747
Northern Kentucky University	304,145
Murray State University	225,403
Morehead State University	180,383
Total	2,664,610

Source: Council on Postsecondary Education; UofL Budget Office

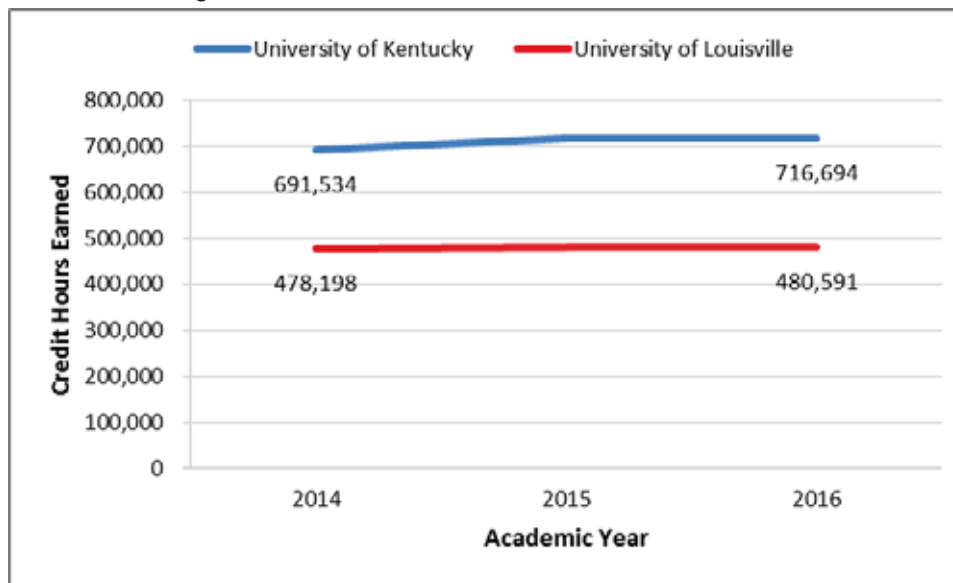
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Figure F shows credit hours earned in academic years 2014 to 2016. UofL's credit hours earned have remained relatively flat over this period, increasing by less than 0.5 percent. The number of credit hours earned by students at the University of Kentucky, meanwhile, increased by 3.6 percent.

Figure F: Credit Hours Earned from 2014 to 2016



Source: Council on Postsecondary Education; UofL Budget Office

30% for maintenance and operating expenses, institutional support, and academic support.

Increasing maintenance and operating expenses, relative to the other postsecondary institutions in Kentucky, will increase a university's share of funds from the state performance funding pool.

Using those parameters, the performance-funding model allocated \$42.9 million in FY 2018. Table 12 summarizes the distribution of the performance funding pool in FY 2018. State appropriations to UofL will decline by \$60,400 in FY 2018. This initial adjustment will purportedly establish state funding equity among the two research universities as well as among the comprehensive universities (excluding Kentucky State University). The minimal decrease in UofL's state appropriation for FY 2018, mirrored by the minimal increase in the University of Kentucky's

appropriation, reflects CPE's determination that state funds were already fairly equitably distributed between UofL and UK. Beginning in FY 2019, UofL and UK will compete for state performance funding with each of the comprehensive universities, excluding Kentucky State University.

Table 12: Distribution of State Appropriation Performance Funding Pool in FY 2018

University	Amounts Contributed	Amounts Distributed	Change
University of Kentucky	\$13,351,400	\$13,411,800	60,400
University of Louisville	6,640,900	6,580,500	(60,400)
Eastern Kentucky University	3,248,600	3,321,500	72,900
Morehead State University	2,069,500	1,742,800	(326,700)
Murray State University	2,293,200	2,231,300	(61,900)
Northern Kentucky University	2,572,400	2,745,900	173,500
Western Kentucky University	3,688,100	3,830,200	142,100
Total (excluding KSU and KCTCS)	\$33,864,100	\$33,864,000	

Source: Council on Postsecondary Education; UofL Budget Office

Figure G shows that net annual state appropriations to UofL have declined by \$45.3 million from FY 2008 through FY 2018.

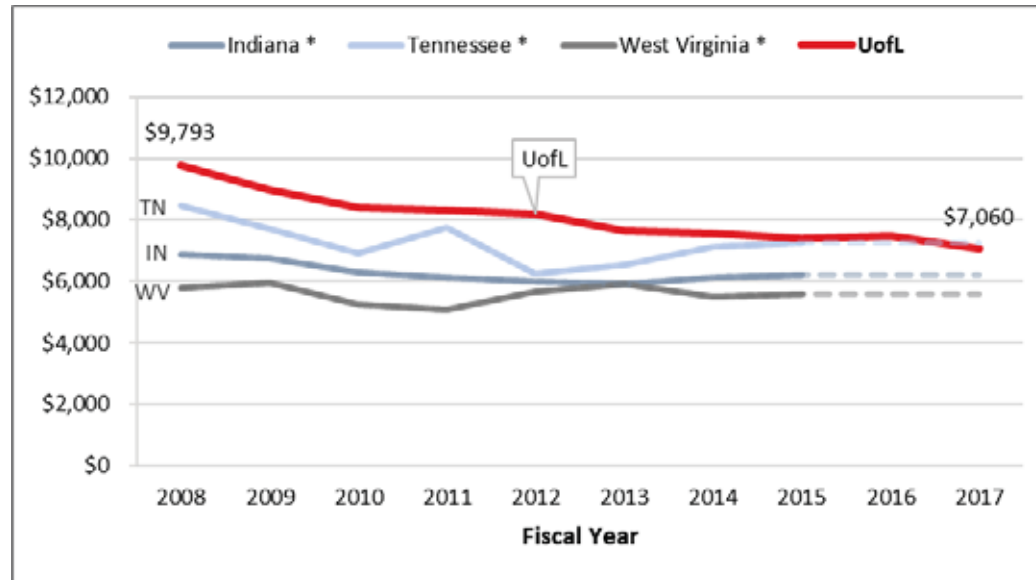
Figure G: Change in Annual State Appropriations to UofL since FY 2007



Source: UofL budget documents; Council on Postsecondary Education

Figure H shows state appropriations per full-time equivalent student at UofL, as well as public, four-year institutions in Indiana, Tennessee, and West Virginia. Since FY 2008, state appropriations for UofL have declined by \$2,733, or 27.9 percent, per full-time equivalent student. From FY 2008 to FY 2015, state appropriations per full-time equivalent student at public, four-year institutions in Indiana, Tennessee, and West Virginia declined by 9.3 percent, 14.5 percent, and 3.8 percent, respectively.

Figure H: State Appropriation per FTE Student at UofL and Public, Four-year Institutions in Contiguous States



* FY 2016 and FY 2017 state appropriation per FTE data not available for public institutions in Indiana, Tennessee, and West Virginia at time of publication; dashed lines indicate no change from FY 2014.

Source: Council on Postsecondary Education, US Bureau of Labor Statistics, Integrated Postsecondary Education Data System, and UofL Institutional Research

TRANSFER REVENUES

The university receives revenues from the University of Louisville Research Foundation, University of Louisville Foundation, and the University of Louisville Athletic Association. These sources of funds are identified as “transfers” in the University’s Operating Budget.

University of Louisville Research Foundation

- Each year, university faculty and researchers are awarded numerous sponsored grants and contracts for the purpose of conducting research or providing a service. Administrative overhead costs are funded by a Facilities and Administrative recovery charge. The Research Foundation transfers the university’s portion of these revenues to the university. For FY 2018, total indirect cost recoveries

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for the university are expected to provide an estimated \$11 million, a decrease of \$1.1 million from FY 2017, to reflect actual historical revenue generated.

- The UofL Research Foundation contributes to the debt service for research facilities across campus, for example, the Center for Preventive Medicine, Belknap Research Building, and the Clinical and Translational Research (CTR) Building.

University of Louisville Foundation

- In recent years, the University of Louisville Foundation transferred funds associated with the university's three Tax Increment Financing (TIF) districts. These districts, established through ULF and approved by the City of Louisville and the Commonwealth of Kentucky, created areas where new sales and property taxes from investments in the district are redirected from state and local governments to the district. The three TIF districts are located: downtown by the Health Sciences campus; the Shelby Campus; and the engineering and applied sciences research park, adjacent to the Speed School.
- The University of Louisville Foundation is unable to transfer TIF funds to the university for FY 2018 because the Health Science TIF has not spurred the anticipated amount of new economic activity. This is a \$6.6 million reduction in revenue.

University of Louisville Athletic Association

- The UofL Athletic Association pays the debt service, for example, on the Jim Patterson baseball stadium expansion, the Papa John's Cardinal Stadium expansion, and the renovation of the University of Louisville Golf Club.
- The FY 2017 adopted budget included \$2 million from ULAA to help fund general university operations, but that contribution will not continue in FY 2018.

UNIVERSITY AUXILIARIES

Auxiliary units support specific campus activities such as housing and parking. Revenues from these activities pay associated operating expenses. For FY 2018, auxiliary budgets increase by \$9.7 million. The primary change is to the university's housing budget, which increases by \$9.5 million. Table 13 presents a summary of significant auxiliary budget changes in FY 2018.

Table 13: Notable Auxiliary Budget Changes

	Budget		Change	
	FY 2017	FY 2018	Amount	Percent
University housing	\$6,496,341	\$15,980,954	\$9,484,613	146.0%
University parking	5,149,700	5,352,000	202,300	3.9%
Total	\$11,646,041	\$21,332,954	\$9,686,913	83.2%

Source: Office of the Chief Operating Officer

- The University Housing budget increases by \$9.5 million. This is due to six new housing programs that were previously overseen by the University of Louisville Foundation, but are now managed and operated by Campus Housing. These housing units include the recently constructed University Pointe as well as Cardinal Towne, Community Park, and Billy Minardi, Kurz, and Bettie Johnson halls. Transferring the management of the residences from a private contractor to Campus Housing will result in an annual savings to ULF of approximately \$400,000. Additional rent and fee revenues to Campus Housing will cover the costs of managing the new units.
- The FY 2018 budget for University Parking increases by \$202,300, primarily due to a proposed increase in parking rates.

HOSPITAL RELATED REVENUE

Hospital-related revenues include hospital rent, which totals \$6 million in FY 2018. Beginning in FY 2018, hospital affiliation revenues and expenditures will be recognized as non-general funds in the UofL Research Foundation. Prior to that time, those funds had been identified as general funds. The change in accounting classifications explains why revenues decline from FY 2017 to FY 2018.

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OTHER REVENUES

Other revenues total \$10.7 million in FY 2018, a \$997,800 decrease from FY 2017. Other revenues include non-educational revenue, interest income related programs, organized activities related to instruction, and sales and services of educational activities. Non-educational revenues come from non-instructional activities, but provide benefits to the educational mission of the university. Some examples include the Early Learning Campus (daycare), Get Healthy Now!, interest income, and procurement card services. Organized activities related to instruction include the Rauch Planetarium, Family Business Center, and the AP Summer Institute, and sales and services of educational activities include revenue from the International Service Learning program and the non-credit dance program. The primary change in this category for FY 2018 is short-term investments, which decreases to reflect one-time FY 2017 income.

Table 14 shows the main changes from FY 2017 to FY 2018.

Table 14: Notable Other Revenue Changes

Program	Budget		Change	
	FY 2017	FY 2018	Amount	Percent
Short-Term Investments	\$1,637,500	\$637,500	(\$1,000,000)	-61.1%
Rent-General	183,900	347,200	163,300	88.8%
Health Management Admin	514,321	613,700	99,379	19.3%
Total	\$2,335,721	\$1,598,400	(\$737,321)	-31.6%

Source: UofL Budget Office

Table 15 summarizes the general fund revenue changes for the FY 2018 budget.

Table 15: Summary of Revenue Changes

	FY 2017 Budget	FY 2018 Budget	Change 2017 to 2018
Revenue			
Tuition-Regular	\$244,794,300	\$231,690,400	(\$13,103,900)
Tuition-Summer	20,444,500	13,617,000	(6,827,500)
Distance Education	25,881,400	28,542,800	2,661,400
Special Programs	6,122,500	4,185,900	(1,936,600)
Continuing Education	3,481,900	3,711,600	229,700
Total Tuition	300,724,600	281,747,700	(18,976,900)
Fees-Mandatory	10,144,400	9,071,700	(1,072,700)
Fees-Miscellaneous	6,877,700	6,893,700	16,000
Unit Based Fees	5,431,600	5,898,500	466,900
Lab Based Fees	544,500	553,500	9,000
Total Fees	22,998,200	22,417,400	(580,800)
Total Tuition & Fees	323,722,800	304,165,100	(19,557,700)
State Appropriation	132,818,400	132,758,000	(60,400)
Other State Funds	820,000	880,000	60,000
Total State Appropriations	133,638,400	133,638,000	(400)
Transfers In	16,033,100	14,750,000	(1,283,100)
Indirect Cost Transfers	12,132,100	11,717,700	(414,400)
Total Transfers In	28,165,200	26,467,700	(1,697,500)

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Table 15: Summary of Revenue Changes (Cont.)

	FY 2017 Budget	FY 2018 Budget	Change 2017 to 2018
Housing	6,496,300	15,981,000	9,484,700
Auxiliaries	6,092,700	6,260,500	167,800
Service Centers	1,064,400	1,081,800	17,400
Total Auxiliary Enterprises	13,653,400	23,323,300	9,669,900
Hospital	37,470,200	6,573,000	(30,897,200)
Other Non-Educational Revenue	5,977,000	6,075,700	98,700
Interest Income	3,517,700	2,507,400	(1,010,300)
Activities Related to Instruction	1,272,100	1,213,000	(59,100)
Sales & Services of Educ. Act.	922,700	895,700	(27,000)
Total Other Revenues	11,689,500	10,691,800	(997,700)
Total Revenues	\$548,339,500	\$504,858,900	(\$43,480,600)

Source: UofL Budget Office

DETAIL STATEMENT OF UNIVERSITY GENERAL FUND REVENUES

Program Number Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Tuition						
Regular Session Tuition						
01456 Dental Hygiene	726,681	1,099,320	756,485	1,174,000	417,515	55.2%
01471 Law - Full-time	7,667,747	6,933,783	9,058,384	6,844,000	(2,214,384)	-24.4%
01472 Law - Part-time	0	0	1,538,575	0	(1,538,575)	-100.0%
01490 Continuing Studies	19,675	22,648	0	0	0	0.0%
10001 A&S Dean's Office - Tuition	88,462,161	84,330,566	104,178,300	86,614,000	(17,564,300)	-16.9%
10001 College of Business - Tuition	15,988,865	21,394,144	15,359,009	22,507,000	7,147,991	46.5%
10001 Dent - Admin - Tuition	24,887,801	24,972,401	23,225,256	26,252,000	3,026,744	13.0%
10001 ED-Dean Administration - Tuition	18,021,007	18,119,961	22,265,913	19,709,000	(2,556,913)	-11.5%
10001 Kent School Admin - Tuition	4,436,991	4,249,250	5,554,359	4,479,000	(1,075,359)	-19.4%
10001 Medicine - Dean's Office - Tuition	26,740,486	27,235,928	28,747,391	27,986,000	(761,391)	-2.6%
10001 Music Administration - Tuition	4,255,216	4,716,662	5,192,721	4,688,000	(504,721)	-9.7%
10001 Nursing Administration - Tuition	5,906,807	6,072,853	5,736,202	7,048,000	1,311,798	22.9%
10001 Sch of Publ Hlth & Info Sci - Tuition	1,797,064	2,105,034	1,815,249	2,182,000	366,751	20.2%
10001 Spd-Speed School of Engr Admin - Tuition	14,639,624	15,052,232	15,030,013	15,986,000	955,987	6.4%
10008 Urban and Pub Affairs Tuition	862,395	952,673	0	0	0	0.0%
10769 Prof MBA Tuition-COB Share	417,477	412,780	500,000	500,000	0	0.0%
10770 IMBA Tuition COB Differential	429,838	376,360	600,000	420,000	(180,000)	-30.0%
10771 MACC Tuition COB Differential	34,878	51,649	80,000	60,000	(20,000)	-25.0%
10772 Joint MBA Tuition-COB Share	78,168	241,070	67,500	114,000	46,500	68.9%
10775 FT MBA Tuition-COB	1,119,376	1,219,393	900,000	1,200,000	300,000	33.3%
10778 Certificate in Accounting	45,107	61,545	39,000	127,500	88,500	226.9%
30236 Southern Police Institute	119,702	110,974	0	0	0	0.0%
30487 Prof MBA Tuition-University	1,076,051	1,072,352	2,049,900	1,300,000	(749,900)	-36.6%
30488 IMBA Tuition-University	95,169	89,439	0	0	0	0.0%
30489 MAcc Tuition-University	154,359	228,585	0	0	0	0.0%
30490 Prof MBA Tuition-COB Share	0	7,287	0	0	0	0.0%
30496 Joint MBA Tuition-University	34,991	32,616	0	0	0	0.0%
X0005 Bad Debt Expense	2,513,412	2,574,129	2,100,000	2,500,000	400,000	19.0%
Total Regular Session Tuition	220,531,049	223,735,635	244,794,257	231,690,500	(13,103,757)	-5.4%

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Program Number	Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Summer Session Tuition							
01455	Dental Hygiene - Summer	84,414	84,821	69,624	84,000	14,376	20.6%
01469	Law-Full-time	136,104	64,594	83,928	48,000	(35,928)	-42.8%
01470	Law-Part-Time	0	0	88,145	0	(88,145)	-100.0%
10002	Fee Waiver Surplus	2,144,761	0	0	0	0	0.0%
10002	A&S Dean's Office - Tuition	4,566,561	3,926,052	8,541,600	3,241,000	(5,300,600)	-62.1%
10002	College of Business - Tuition	1,140,506	1,340,435	1,188,235	1,232,000	43,765	3.7%
10002	Dent - Admin - Tuition	1,441	0	4,623	0	(4,623)	-100.0%
10002	ED-Dean Administration - Tuition	2,252,670	2,000,209	3,527,530	1,804,000	(1,723,530)	-48.9%
10002	Kent School Admin - Tuition	369,551	360,074	662,781	325,000	(337,781)	-51.0%
10002	Medicine - Dean's Office - Tuition	466,052	495,367	574,021	453,000	(121,021)	-21.1%
10002	Music Administration - Tuition	35,150	29,423	77,767	26,000	(51,767)	-66.6%
10002	Nursing Administration - Tuition	820,781	689,732	1,028,630	746,000	(282,630)	-27.5%
10002	Sch of Publ Hlth & Info Sci - Tuition	178,087	234,632	217,021	225,000	7,979	3.7%
10002	Spd-Speed School of Engr Admin - Tuition	5,193,845	5,576,991	4,380,600	5,433,000	1,052,400	24.0%
30532	SPHIS MPH Practicum AID	27,044	0	0	0	0	0.0%
	Total Summer Session Tuition	17,416,965	14,802,330	20,444,505	13,617,000	(6,827,505)	-33.4%
Distance Education							
10524	Delphi - Online	4,931,949	5,566,308	6,194,521	6,605,000	410,479	6.6%
10585	Dis Ed - Anthropology	218,720	234,171	214,000	225,000	11,000	5.1%
10586	Dis Ed - Communications	1,074,072	1,153,937	950,000	1,097,000	147,000	15.5%
10587	Dis Ed - Geography	123,209	119,198	114,000	114,000	0	0.0%
10588	Dis Ed - Justice Admin	792,316	978,027	792,000	889,000	97,000	12.2%
10589	Dis Ed - Psychology	406,590	488,095	365,000	465,000	100,000	27.4%
10590	Dis Ed - Political Science	122,219	130,031	140,600	135,000	(5,600)	-4.0%
10591	Dis Ed - Sociology	392,415	435,525	423,500	423,500	0	0.0%
10592	Dis Ed - A&S Admin	708,320	762,624	600,000	703,000	103,000	17.2%
10593	Dist Ed-EDTL	169,076	259,742	171,000	230,000	59,000	34.5%
10594	Dist Ed-HPES	763,124	966,837	788,000	800,000	12,000	1.5%
10595	DIST ED-ED ADMIN	271,811	318,699	252,000	290,000	38,000	15.1%
10596	Dist Ed-ELFH	1,454,465	1,623,597	1,393,000	1,552,000	159,000	11.4%
10597	Dist Ed-COB	0	0	50,000	200,000	150,000	300.0%
10598	A&S Urban & Public Affairs	44,350	24,874	20,000	20,000	0	0.0%
10599	Dist Ed-Marketing	0	0	15,000	30,800	15,800	105.3%
10600	Dist Ed-Nursing Admin	23,432	29,498	43,000	29,500	(13,500)	-31.4%
10601	DIST ED-NURSING	209,161	263,689	378,000	261,077	(116,923)	-30.9%
10602	DIST ED-LIBRARY	1,254,256	1,413,805	1,200,000	1,200,000	0	0.0%

Program Number Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
10603 Dis Ed-ECPY	41,019	0	44,800	57,440	12,640	28.2%
10617 Dis Ed-Speed Dean's Office	162,005	174,561	160,000	160,000	0	0.0%
10626 Distance Ed. -PAS	285,450	286,303	280,000	280,000	0	0.0%
10628 Dist Ed-Pharmacology	1,495	4,564	900	0	(900)	-100.0%
10629 Dist Ed-Med Dean's Office	167	0	0	0	0	0.0%
10630 Dist Ed-Women & Gender Studies	217,288	166,025	180,000	180,000	0	0.0%
10643 Dist Ed-Humanities	402,389	420,103	325,000	325,000	0	0.0%
10644 Dist Ed-Kent Admin	138,455	173,144	180,000	172,360	(7,640)	-4.2%
10645 Dist Ed-Kent Instruction	1,238,975	1,550,817	1,500,000	1,626,498	126,498	8.4%
10649 Dist Ed-SPD Comp Engr/Science	253,942	286,307	240,000	240,000	0	0.0%
10651 Dist Ed-English	99,671	117,268	100,000	108,500	8,500	8.5%
10652 Dist. Ed- Philosophy	250,067	266,447	233,440	233,440	0	0.0%
10653 Dist Ed-Fine Arts	208,498	208,008	177,000	194,000	17,000	9.6%
10654 Dist Ed-Class/Mod Languages	585,770	558,981	525,000	559,500	34,500	6.6%
10658 Dist Educ-Civil & Environ Engr	19,868	19,770	53,800	53,800	0	0.0%
10659 Dist Educ-Theatre Arts	104,048	99,317	61,200	95,500	34,300	56.0%
10672 Distance Ed-Social Change	1,240	9,580	5,000	5,000	0	0.0%
10673 Distance Ed-Mathematics	0	10,447	15,000	25,000	10,000	66.7%
10674 Distance Ed-Physics	87,570	90,807	66,000	66,000	0	0.0%
10676 Dist Ed-SIGS Bioethics MA	0	6,675	0	0	0	0.0%
10677 Dist Ed-SIGS Dean's Office	500	745	0	0	0	0.0%
10708 Distance Education-Law	33,361	31,688	15,000	15,000	0	0.0%
10709 Chemistry Distance Edu	192,901	239,778	175,000	181,000	6,000	3.4%
10710 Biology Distance Education	301,106	356,050	250,000	303,000	53,000	21.2%
10714 A&S Urban & Public Affairs	120,162	136,511	122,000	122,000	0	0.0%
10715 Distance Education-History	275,924	293,533	225,000	272,000	47,000	20.9%
10719 Dist Educ-SPD Industrial Engr	350,055	340,600	252,000	252,000	0	0.0%
10723 Paralegal D.E.	51,786	41,291	40,000	40,000	0	0.0%
10727 Dist Ed-Finance	63,383	83,969	120,000	110,000	(10,000)	-8.3%
10733 Dist Educ-SPD Mechanical	15,020	15,418	17,000	17,000	0	0.0%
10736 DE for Music History	62,470	75,672	51,400	45,017	(6,383)	-12.4%
10737 DE Administration Fee 10%	6,999	8,469	6,730	5,038	(1,692)	-25.1%
10738 Dist Ed-SPD ECE	3,302	3,122	5,000	7,000	2,000	40.0%
10741 Dist Educ-CIS	17,417	0	0	84,000	84,000	0.0%
10742 A&S LALS Distance Education	18,270	18,306	0	15,000	15,000	0.0%
10755 Dist Educ-SPD Chemical	13,257	5,079	10,000	10,000	0	0.0%
10758 Dist-Educ-Mgmt	42,431	92,795	110,000	99,000	(11,000)	-10.0%
10779 Dist Ed- Dental Admin	695	691	600	600	0	0.0%

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Program Number	Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
10780	Dist Ed-Dental Hygiene	6,198	6,173	6,000	6,000	0	0.0%
10783	SPD-Eng. Fund Dist Educ	20,808	22,791	47,255	73,556	26,301	55.7%
10784	Dist Ed-Economics	89,896	137,605	170,000	146,000	(24,000)	-14.1%
10790	D E Peace, Justice & Conflict	9,490	9,605	9,400	9,400	0	0.0%
10800	Dist Ed-Accountancy	0	36,566	60,000	140,000	80,000	133.3%
10803	Competency Based Educ (CBE)	0	0	78,300	78,300	0	0.0%
10804	Spd-Distance Ed IE Undergrad	0	0	60,000	60,000	0	0.0%
30374	Dist Ed Tuition Rev-University	6,218,413	7,008,926	5,800,000	6,800,000	1,000,000	17.2%
	Total Distance Education	24,971,247	28,183,162	25,881,446	28,542,826	2,661,380	10.3%
Special Instructional Programs							
10424	IESL-Intnsv Engl as Scnd Lang	309,094	272,897	366,000	0	(366,000)	-100.0%
10427	Community Music Program	155,354	166,137	200,000	185,000	(15,000)	-7.5%
10435	SPI Special Fees	33,315	31,148	40,000	40,000	0	0.0%
10436	Equine Steward Accreditation	20,624	23,472	20,000	20,000	0	0.0%
10441	ELFH/GE/Off campus program	634,427	713,971	625,000	717,700	92,700	14.8%
10470	UL/WKU & ELOD/ED.D	140,313	191,831	155,000	212,500	57,500	37.1%
10504	Reach Virtual Math Center	13,841	6,221	12,700	12,700	0	0.0%
10610	Miami/Europe	319,910	199,961	400,000	250,000	(150,000)	-37.5%
10668	Workforce Completr Degree Prog	582,599	418,693	535,000	375,000	(160,000)	-29.9%
10675	Global MBA Program	337,945	81,299	175,000	175,000	0	0.0%
10680	SPD-Panama MEEM Program	172,121	207,723	172,000	172,000	0	0.0%
10687	Grad Ped Dent Residency Fee	52,054	55,524	56,000	56,000	0	0.0%
10688	Grad Periodontic Residency Fee	62,465	62,465	63,000	63,000	0	0.0%
10689	Grad Endodontic Residency Fee	41,643	41,643	42,000	42,000	0	0.0%
10690	Grad Orthodontic Residency Fee	76,346	90,227	84,000	84,000	0	0.0%
10731	Panama QLU Program	355,160	355,910	382,000	382,000	0	0.0%
10746	SPD-GE Edison Program	317,079	302,400	302,400	302,400	0	0.0%
10751	Grad Prosthodontic Residency F	41,643	41,643	42,000	42,000	0	0.0%
10759	M.S HR/OLL-Ft. Knox	54,691	41,709	54,600	48,600	(6,000)	-11.0%
10776	UofL Grad Post MBA Tuit-COB	0	0	3,000	3,000	0	0.0%
10777	Non UofL Grad Post MBA Tui-COB	0	0	3,000	3,000	0	0.0%
10782	UK-UL Joint Executive MBA Prog	367,774	596,275	1,012,500	500,000	(512,500)	-50.6%
10792	UK Portion of ExecMBA Program	374,994	607,618	1,012,500	500,000	(512,500)	-50.6%
10793	Cardinal Success Prg-Off-Site	0	0	364,799	0	(364,799)	-100.0%
30391	Credit For Learning Tuition	870,401	763,604	0	0	0	0.0%
30533	Owensboro Medical HEA Systems	206,536	148,697	0	0	0	0.0%
	Total Special Instructional Programs	5,540,327	5,421,068	6,122,499	4,185,900	(1,936,599)	-31.6%

Program Number Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Continuing Education						
10417 Delphi-Professional Developmnt	427,131	664,190	1,000,000	850,000	(150,000)	-15.0%
10420 Continuing Ed - Dentistry	264,736	225,086	254,800	225,086	(29,714)	-11.7%
10421 Justice Admin - Continuing Ed	1,022,370	1,421,809	892,100	1,200,000	307,900	34.5%
10438 Louis A Grief Tax Institute	34,493	0	0	0	0	0.0%
10456 Delphi-Conference & Facilities	917,595	841,265	1,000,000	1,100,000	100,000	10.0%
10519 Labor Law & Estate Planning	34,458	10,511	30,000	0	(30,000)	-100.0%
10520 Continuing Education-Medicine	217,563	238,046	160,000	238,000	78,000	48.8%
10529 Kent School Workshops	40,450	106,585	70,000	98,500	28,500	40.7%
10614 Delphi - Options	61,583	37,545	70,000	0	(70,000)	-100.0%
10681 CLE Programs School of Law	0	0	5,000	0	(5,000)	-100.0%
Total Continuing Education	3,020,380	3,545,037	3,481,900	3,711,586	229,686	6.6%
Total Tuition	271,479,968	275,687,233	300,724,607	281,747,812	(18,976,795)	-6.3%
Fees						
Mandatory Student Fees						
10147 SGA Student Act Fee	475,385	477,117	465,600	465,600	0	0.0%
10528 Student Service Fee	2,968,277	2,979,920	2,812,900	2,812,900	0	0.0%
10579 Campus Health Services	4,196,387	4,401,976	4,410,200	2,773,484	(1,636,716)	-37.1%
10764 ASL Lab Fee	15,261	14,077	14,000	14,000	0	0.0%
10767 Interpreter Training Lab Fee	2,290	2,112	2,200	2,200	0	0.0%
10789 SPHIS CPH exam fee	3,312	1,863	0	0	0	0.0%
10802 HSS Lab Fee	0	18,686	45,000	35,000	(10,000)	-22.2%
30304 Student Center Bldg Alloc	528,251	535,850	500,000	500,000	0	0.0%
X0533 Athletic Subsidy Student Fees	1,979,858	1,986,961	1,401,000	1,975,000	574,000	41.0%
X0536 Ath Facility Student Fee	528,224	524,334	493,500	493,500	0	0.0%
Total Mandatory Student Fees	10,697,245	10,942,895	10,144,400	9,071,684	(1,072,716)	-10.6%
Miscellaneous Fees						
01361 University Libraries	16,306	8,767	0	0	0	0.0%
01457 Dental Applicat Fees	181,035	210,263	102,000	102,000	0	0.0%
01459 SIGS Admission Fees	190,143	180,386	186,700	186,700	0	0.0%
01496 Undergraduate Application Fees	449,225	439,568	415,000	435,000	20,000	4.8%
01497 Transcript Fees	133,282	134,569	138,800	138,800	0	0.0%
01680 Law Seat Deposit-Clearing	0	(555)	0	0	0	0.0%
10433 Microscope Rental	23,646	23,944	0	0	0	0.0%
10478 Orientation Fees	477,546	427,392	431,000	431,000	0	0.0%

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Program Number	Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
10479	University Testing	146,900	155,905	160,800	160,800	0	0.0%
10480	Diploma Replacement	4,132	4,898	4,000	4,000	0	0.0%
10481	Career Fair	33,167	27,902	50,000	50,000	0	0.0%
10527	Hope Tax Credit	41,005	50,397	35,000	35,000	0	0.0%
10700	Music Recital Fees	1,902	1,662	2,000	0	(2,000)	-100.0%
10747	Student Recreation Center	3,312,283	3,501,073	3,500,000	3,500,000	0	0.0%
10752	Visiting Med Student Fee	1,144	822	1,953	0	(1,953)	-100.0%
30125	SIGS Admissions	(99)	0	0	0	0	0.0%
30272	International Scholars Fee	0	0	14,000	14,000	0	0.0%
30281	Foreign Student Fees	40,521	50,232	40,000	40,000	0	0.0%
30297	Graduation Fees	20	0	0	0	0	0.0%
30298	Candidacy Fees - Doc Prgm	1,332,530	1,376,543	1,143,200	1,143,200	0	0.0%
30299	Candidacy Fees - Masters	264,502	341,723	240,200	240,200	0	0.0%
30301	Stl Proc & Late Fees	33,091	38,070	125,000	125,000	0	0.0%
30302	Late Registration Fee	354,525	633,000	165,000	165,000	0	0.0%
30303	Medical Application Fee	111,126	114,728	105,000	105,000	0	0.0%
30340	Law Application Fee	22,420	15,485	18,000	18,000	0	0.0%
30555	Student Recreation Center Fee	(1,274)	0	0	0	0	0.0%
30626	Dist E State Certification Fee	193,855	232,646	0	0	0	0.0%
	Total Miscellaneous Fees	7,362,935	7,969,422	6,877,653	6,893,700	16,047	0.2%
Unit Based Fees							
10574	Dental Instrument Rental	3,345,495	3,337,265	3,487,000	3,337,265	(149,735)	-4.3%
10696	SPHIS Technology Fee	24,391	21,714	20,000	20,000	0	0.0%
10697	Medical School Technology Fee	311,820	309,794	320,000	536,000	216,000	67.5%
10699	Spd-Technology Fee	153,500	159,468	194,000	194,000	0	0.0%
10701	Music Non-Major Fees	7,139	8,527	8,700	8,700	0	0.0%
10702	Music Major Fees	110,302	115,983	109,000	118,500	9,500	8.7%
10703	Law School Technology Fees	35,656	31,644	40,000	33,000	(7,000)	-17.5%
10706	Technology-Career Managmnt Fee	345,061	375,557	360,000	375,000	15,000	4.2%
10711	Law School Orientation Fee	7,680	240	9,000	0	(9,000)	-100.0%
10748	NSG Instructional Course Fee	427,622	408,427	366,500	489,232	122,732	33.5%
10750	Acute Care NP Cln Course Fee	1,388	793	1,400	1,372	(28)	-2.0%
10768	Graduate Business Course Fee	1,413	1,363	6,000	1,500	(4,500)	-75.0%
10774	IMBA/MBA Intl Trip Fund	490,396	522,200	450,000	450,000	0	0.0%
10788	TEAD field and clinical fee	60,986	18,636	60,000	60,000	0	0.0%
10807	Spd-Co-op Fee	0	0	0	273,900	273,900	0.0%
30383	Graduate Business Course Fee	0	183	0	0	0	0.0%
	Total Unit Based Fees	5,322,849	5,311,795	5,431,600	5,898,469	466,869	8.6%

Program Number Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Laboratory Fees						
10686 NUR U/G Clinical Lab Fee	27,261	24,985	50,000	50,000	0	0.0%
10691 Mathematics Lab Fees	2,380	2,380	2,400	2,400	0	0.0%
10693 Chemistry Lab Fees	115,010	112,450	100,000	110,000	10,000	10.0%
10694 Biology Lab Fees	57,682	57,849	50,000	55,000	5,000	10.0%
10695 Urban & Pub Affair Lab Fee	14,410	15,889	13,600	13,600	0	0.0%
10698 Spd-Cleanroom Lab Fee	1,190	1,289	1,500	1,500	0	0.0%
10705 Graduate Nursing Clinical Fee	15,617	18,844	10,000	10,000	0	0.0%
10707 HSS Course Fee	106,049	97,008	106,000	100,000	(6,000)	-5.7%
10712 Theatre Arts Lab Fees	198	139	300	300	0	0.0%
10713 Physics Lab Fees	41,450	38,526	36,000	36,000	0	0.0%
10729 Dist Ed-SPD Bio	0	0	5,000	5,000	0	0.0%
10749 Nursing Clinical Course Fee	149,123	146,189	146,000	146,000	0	0.0%
30237 Lab Fee-Studio Art	42,932	38,490	23,700	23,700	0	0.0%
Total Laboratory Fees	573,303	554,038	544,500	553,500	9,000	1.7%
Total Fees	23,956,330	24,778,150	22,998,153	22,417,353	(580,800)	-2.5%
Total Tuition and Fees	295,436,298	300,465,382	323,722,760	304,165,165	(19,557,595)	-6.0%
State Appropriation and Other State Funds						
State Appropriation						
30305 State Appropriation	139,714,688	139,665,510	132,818,400	132,758,000	(60,400)	-0.0%
Total State Appropriation	139,714,688	139,665,510	132,818,400	132,758,000	(60,400)	-0.0%
Other State Funds						
17002 Ky Equine Industry Pari-Mutuel	1,050,487	510,085	400,000	400,000	0	0.0%
17003 Equine Trust Program	120,000	200,000	60,000	120,000	60,000	100.0%
17004 Partners Pollution Prevention	289,198	359,957	360,000	360,000	0	0.0%
Total Other State Funds	1,459,685	1,070,042	820,000	880,000	60,000	7.3%
Total State Appropriations	141,174,373	140,735,552	133,638,400	133,638,000	(400)	-0.0%
Transfers In						
01611 2007 General Receipts Series A	6	0	0	0	0	0.0%
01621 Debt Service Reserve	0	0	(35,000)	(35,000)	0	0.0%
01677 2010 Gen Receipts Series A & B	819,506	821,382	885,000	885,000	0	0.0%
01684 ULH Annual Transfers	0	0	200,000	200,000	0	0.0%
01704 Gen Receipt 2016D	0	0	0	2,108,050	2,108,050	0.0%
01705 Gen Receipt 2016E	0	0	0	141,860	141,860	0.0%

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Program Number	Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
01706	Gen Receipt 2016F	0	0	0	3,067,000	3,067,000	0.0%
01996	Transfers from UofL Foundation	4,100,000	6,600,000	6,600,000	0	(6,600,000)	-100.0%
10785	PAS International Programs	0	0	30,000	30,000	0	0.0%
30283	RR-CEE Engineering	0	128,686	0	0	0	0.0%
30380	Series N transfer from ULRF	1,130,750	1,132,925	1,733,100	1,733,100	0	0.0%
30495	2007 General Receipts Series A	2,527,741	2,526,894	2,527,700	2,527,700	0	0.0%
30529	2008 General Receipts Series A	4,092,318	4,092,318	4,092,300	4,092,300	0	0.0%
X0601	ULAA Note Rec-Academic Ctr	0	187,500	0	0	0	0.0%
	Total Transfers In	12,670,321	15,489,706	16,033,100	14,750,010	(1,283,090)	-8.0%
Indirect Cost Transfers							
30323	Overhead Recovery	11,580,700	9,994,688	12,080,700	11,080,000	(1,000,700)	-8.3%
55000	Research Council Grant	1,062,899	1,217,449	51,400	637,746	586,346	1140.8%
	Total Indirect Cost Transfers	12,643,599	11,212,137	12,132,100	11,717,746	(414,354)	-3.4%
	Total Transfers In	25,313,920	26,701,842	28,165,200	26,467,756	(1,697,444)	-6.0%
Auxiliary Enterprises							
University Housing							
40013	Conferences & S-T Housing	234,802	241,381	230,000	200,000	(30,000)	-13.0%
40031	RSA & NRRH	7,744	6,758	4,000	4,000	0	0.0%
40033	Housing Administration	7,193,755	6,140,703	6,262,341	6,083,098	(179,243)	-2.9%
40046	Housing-Billy Minardi	0	0	0	366,368	366,368	0.0%
40047	Housing-Bettie Johnson	0	0	0	3,638,528	3,638,528	0.0%
40048	Housing - Kurz Hall	0	0	0	2,499,288	2,499,288	0.0%
40049	Housing - Cardinal Towne	0	0	0	610,663	610,663	0.0%
40051	Housing - Community Park	0	0	0	2,270,788	2,270,788	0.0%
40056	Housing - University Poine	0	0	0	308,221	308,221	0.0%
	Total University Housing	7,436,301	6,388,841	6,496,341	15,980,954	9,484,613	146.0%
Auxiliary Enterprises							
40002	Food Service Contract	39,319	30,000	0	0	0	0.0%
40004	Contract Vending	115,741	187,792	140,000	175,000	35,000	25.0%
40005	Bookstore Operations	323,873	331,455	450,000	483,500	33,500	7.4%
40007	University Parking	4,619,809	4,552,127	5,149,700	5,352,000	202,300	3.9%
40009	SJA/SPI Dormitory	297,036	308,720	250,000	250,000	0	0.0%
40044	ITECH EXPRESS	107,375	89,968	103,000	0	(103,000)	-100.0%
	Total Auxiliary Enterprises	5,503,153	5,500,062	6,092,700	6,260,500	167,800	2.8%

Program Number Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Service Centers						
S0029 SPD-Inst Advanc Mtrls-Renew En	108,877	73,322	100,000	100,000	0	0.0%
S0082 Pathology Core Lab	122,558	24,548	68,000	68,000	0	0.0%
S0083 Microtechnology Service Center	73,193	165,429	65,000	100,400	35,400	54.5%
S0085 Central Stores-Stockroom	55,165	60,031	60,000	60,000	0	0.0%
S0088 NMR Maintenance	5,931	9,557	20,000	20,000	0	0.0%
S0092 Mass Spectrometry Service Ctr	500	71,707	232,375	232,375	0	0.0%
S0093 Spd-Rapid Prototyping SC	92,296	221,355	250,000	250,000	0	0.0%
S0095 CPM Shared Resources	25,996	6,063	40,000	40,000	0	0.0%
S0097 Huson Nanotechnology Facil	3,000	15,490	0	0	0	0.0%
S1067 Urban Studies Institute	120,253	70,396	75,000	75,000	0	0.0%
S1069 Design And Printing Services	139,550	116,591	100,000	100,000	0	0.0%
S1070 Contract Technology Support	64,283	37,618	36,000	36,000	0	0.0%
S1071 Workstation Replacement	5,394	1,798	0	0	0	0.0%
S1076 Orthoped Bioengineering Lab Sc	0	0	18,000	0	(18,000)	-100.0%
S9012 Genomics Facility	4,778	239	0	0	0	0.0%
S9014 Biophysics Lab	0	120	0	0	0	0.0%
Total Service Centers	821,774	874,265	1,064,375	1,081,775	17,400	1.6%
Total Auxiliary Enterprises	13,761,228	12,763,168	13,653,416	23,323,229	9,669,813	70.8%
Hospital Related Revenue						
01246 Rural Health Care	0	4,000	0	0	0	0.0%
01401 Hosp Clinical Subsidy	1,900,000	1,425,000	1,790,000	0	(1,790,000)	-100.0%
01463 Hospital Rent	5,218,510	4,504,988	750,000	6,000,311	5,250,311	700.0%
30331 Uh Inc-Recovery Ser	601,703	597,525	512,700	512,700	0	0.0%
30332 Hospital Services-DTL	41,779	50,135	50,000	50,000	0	0.0%
30611 Executive VP - Health Affairs	1,694,448	1,694,448	0	0	0	0.0%
H0052 Maintain Procedure Infectious	592	0	0	0	0	0.0%
H9000 UL Hos Affiliation Agreement	(2,812,500)	7,500,000	10,758,200	0	(10,758,200)	-100.0%
HA000 Executive VP - Health Affairs	48,770,236	10,651,390	20,828,145	0	(20,828,145)	-100.0%
HB000 Executive VP - Health Affairs	7,500,000	0	0	0	0	0.0%
HC000 Executive VP - Health Affairs	10,795,513	3,337,642	2,781,175	0	(2,781,175)	-100.0%
X0826 COPD,Program of Excellence	0	0	0	10,000	10,000	0.0%
Total Hospital Related Revenue	73,710,281	29,765,128	37,470,220	6,573,011	(30,897,209)	-82.5%

General Fund Revenues
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Program Number	Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Other Revenues							
Other Non-Educational Revenue							
01008	Office Of The Provost	0	2,000	0	0	0	0.0%
01010	Cultural Center	5,532	4	0	0	0	0.0%
01014	Delphi Center	2,229	1,678	0	0	0	0.0%
01015	Women's Center	1,430	902	0	0	0	0.0%
01016	Research Admin Operating	(493)	0	0	0	0	0.0%
01018	Office VP/Student Affairs	0	1,200	0	0	0	0.0%
01026	Enrollment Management	220,000	69,000	0	0	0	0.0%
01027	Commencement	0	(1,735)	0	0	0	0.0%
01028	Admissions	113	0	0	0	0	0.0%
01031	Registrar's Office	0	(355)	0	0	0	0.0%
01048	Financial Administration	0	29,578	0	0	0	0.0%
01060	DEHS Administration	180,562	179,272	41,293	41,293	0	0.0%
01067	Belknap Energy Mgt	0	40,000	0	0	0	0.0%
01099	Community Engagement	0	30,000	0	0	0	0.0%
01103	Health Sciences Library	5,002	10,083	0	0	0	0.0%
01106	LIB=Archives & Special Collect	20,718	19,969	0	0	0	0.0%
01113	English	1,256	0	0	0	0	0.0%
01121	Physics	3,012	1,000	0	0	0	0.0%
01166	EDTL-Mid & Sec. Edu	0	35	0	0	0	0.0%
01214	Microbiology	0	65,521	0	0	0	0.0%
01217	Pathology	0	20,000	0	0	0	0.0%
01219	Family/Community Medicine	0	1,930	0	0	0	0.0%
01236	Ky Afr American Against Cancer	742	0	0	0	0	0.0%
01243	Neurological Surgery	0	(206)	0	0	0	0.0%
01280	A&S Reallocation	0	17,503	0	0	0	0.0%
01323	Inst Research & Plan	0	12,619	0	0	0	0.0%
01398	Technology Tranfser Office	0	21,666	0	0	0	0.0%
01406	Bequeathal Program	20,000	0	0	20,000	20,000	0.0%
01409	Kentucky Cancer Program	3,000	59	0	0	0	0.0%
01413	Integrated Prog-Biological	0	(1,233)	0	0	0	0.0%
01494	Rent-General	194,142	268,609	183,900	347,200	163,300	88.8%
01512	Special Projects	(3,587)	(3,001)	0	0	0	0.0%
01582	Cardiology Research Initiative	(14,385)	0	0	0	0	0.0%
01597	Counseling Center	0	340	0	0	0	0.0%
01631	Special Travel	43	29	0	0	0	0.0%
01634	A&S Special Projects	243	0	0	0	0	0.0%

Program Number Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
01645 Ctr F/Predictive Medicine-BSL	0	(995)	0	0	0	0.0%
01656 Finance - Debt	36	0	0	0	0	0.0%
01669 Sustainability Office	0	6,500	0	0	0	0.0%
01670 A&S Modern Languages	869	0	0	0	0	0.0%
01672 Community Engagement Award	0	14,000	0	0	0	0.0%
01675 CE Faculty Grant	39,205	0	0	0	0	0.0%
01988 Law - Student Services	8,000	8,388	0	0	0	0.0%
01990 Academic & Professional Studies	0	4,030	0	0	0	0.0%
01992 MR Research Facility	0	182	0	0	0	0.0%
02080 Operational Reserve Fund	0	16,687	0	0	0	0.0%
02081 SACS/Accreditation	0	357	0	0	0	0.0%
10455 KLEFPF	127,708	0	0	0	0	0.0%
10476 Intramural Fees	257,086	233,171	225,000	225,000	0	0.0%
10552 Medical - Student Council	0	0	0	29,278	29,278	0.0%
10556 Kent School Student Council	0	20	0	0	0	0.0%
10583 LAMC Networking	73,742	73,742	110,600	0	(110,600)	-100.0%
10620 SAC Special Projects	67,621	44,726	35,000	35,000	0	0.0%
10631 Procurement Card	514,338	935,718	850,000	900,000	50,000	5.9%
10638 Pell Administrative Allowance	25,160	24,195	27,000	27,000	0	0.0%
10656 Radiation Safety	52,619	62,164	66,800	66,800	0	0.0%
10660 Employee Immigration	0	(170)	0	0	0	0.0%
10666 A&S Archaeology Curation	421	0	0	0	0	0.0%
10667 Clearwire EBS Lease	240,000	220,000	240,000	240,000	0	0.0%
10671 A&S Dean's Office	4,755	0	0	0	0	0.0%
10678 Campus Health-Promo/Educ Svcs	315,014	16,121	311,800	360,322	48,522	15.6%
10722 Early Learning Campus	1,277,922	1,291,470	1,339,300	1,339,300	0	0.0%
10734 University Mobile	1,000	385	2,000	0	(2,000)	-100.0%
10740 WHRE Panam	9,475	0	0	0	0	0.0%
10756 Evidence and Seizure	8,081	0	0	0	0	0.0%
10757 Music Therapy	18,678	34,897	20,000	35,000	15,000	75.0%
10781 IT Communication Services	768,415	566,851	314,700	200,000	(114,700)	-36.4%
10787 Office of the President	19,202	443,021	0	0	0	0.0%
10794 Wilson Wyatt Debate	12,000	0	0	0	0	0.0%
10798 Theatre Arts Ticket Revenue	(37)	6,023	29,000	9,500	(19,500)	-67.2%
10809 Diversity Training Initiatives	0	0	0	5,000	5,000	0.0%
17001 KLEFPF	0	134,997	139,500	184,000	44,500	31.9%
17006 Retirement Fee Revenue	0	262,767	486,875	486,875	0	0.0%
30001 Aid Federal Matching	0	74,560	0	0	0	0.0%

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Program Number	Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
30009	Fees Rem-PhD Tuition	0	16,182	0	0	0	0.0%
30042	Music School Scholarship	0	39,350	0	0	0	0.0%
30047	ROTC-Army	0	50,000	0	0	0	0.0%
30144	Advertising Income	0	0	63,500	63,500	0	0.0%
30185	Dept of Public Safety	302,584	153,783	154,497	0	(154,497)	-100.0%
30319	Returned Check Fees	2,324	2,168	4,000	4,000	0	0.0%
30325	Flex Plan Recovery	448,878	(65,194)	165,000	165,000	0	0.0%
30329	Miscellaneous Revenue	8,015	3,580	25,000	25,000	0	0.0%
30330	Radiation Safety Inc	20,000	20,000	20,000	20,000	0	0.0%
30335	Discretionary Funds VPBA	0	24,876	0	0	0	0.0%
30341	Key Maintenance	3,317	2,640	0	0	0	0.0%
30343	Recycling Program	5,994	1,888	0	0	0	0.0%
30345	Misc Income-Std Life	0	600	0	0	0	0.0%
30361	SPD Enhancement Fund	0	10,361	0	0	0	0.0%
30477	Cardinal Card Clearing Online	3,291	3,023	0	0	0	0.0%
30478	PEACC Program	25,000	395	0	25,000	25,000	0.0%
30480	Unclaimed Property Misc Rev	(3,712)	0	35,000	35,000	0	0.0%
30545	Bursar-Credit Cards	(637)	627	0	0	0	0.0%
30562	SPHIS 7 State Tuition	42,847	0	0	0	0	0.0%
30569	Institutional Research	0	18,753	0	0	0	0.0%
30573	Financial Aid Reserve	0	507,815	0	0	0	0.0%
30628	Transfer from Royalties	300,000	0	0	0	0	0.0%
H0028	Executive VP - Health Affairs	21,025	0	0	0	0	0.0%
X0284	Health Management Administrati	714,321	77,039	514,321	613,700	99,379	19.3%
X0540	Prof Fees-Legal Services	100,000	0	0	0	0	0.0%
X0569	Student Tuit Collection Fees	9,894	20,710	0	0	0	0.0%
X0829	GHN Fitness Facility	372,891	0	572,891	572,891	0	0.0%
Z1635	Peds-KCH Transplant Support	100	0	0	0	0	0.0%
Total Other Non-Educational Revenue		6,857,000	6,148,865	5,976,977	6,075,659	98,682	1.7%
Interest Income							
30312	Discounts Earned	330	456	10,000	0	(10,000)	-100.0%
30314	Interest Inc-Sinking Fund	2,192,153	1,982,324	719,927	719,927	0	0.0%
30316	Interest Inc-Repo Agmt	164,039	255,438	100,000	250,000	150,000	150.0%
30317	Interest Inc-CEBRB	266,599	426,129	1,050,300	900,000	(150,300)	-14.3%
X0024	Short Term Investments	189,185	120,066	1,637,500	637,500	(1,000,000)	-61.1%
X0276	Foundation Receivable Agmt	242,195	387,389	0	0	0	0.0%
Total Interest Income		3,054,501	3,171,802	3,517,727	2,507,427	(1,010,300)	-28.7%

Program Number Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Organized Activities Related to Instruction						
01117 Mathematics	0	19	0	0	0	0.0%
01125 Theatre Arts	0	80	0	0	0	0.0%
01126 Communications	15	0	0	0	0	0.0%
01132 Women's Studies	(1,037)	0	0	0	0	0.0%
01175 Leder.,Fnd.,Hr Ed	0	(1,530)	0	0	0	0.0%
01178 ECPY Clinical Training	1,954	0	0	0	0	0.0%
01180 Kent-Instruction	0	571	0	0	0	0.0%
01184 Law - Library	63	0	0	0	0	0.0%
01186 Music Administration	229	235	0	0	0	0.0%
01187 Music - Instruction	16,510	15,137	0	0	0	0.0%
01241 Biomedical Engineering	7,403	5,896	0	0	0	0.0%
01250 Nursing Administration	48	608	0	0	0	0.0%
01268 Music - Education	570	17	0	0	0	0.0%
01367 Music Theory	864	0	0	0	0	0.0%
01559 COB Grad Progs Administration	492	0	0	0	0	0.0%
01620 QEP Ideas to Action	2,513	975	0	0	0	0.0%
01624 Early Childhood Develop. Ctr	378	0	0	0	0	0.0%
01638 A&S International Program	0	200	0	0	0	0.0%
01644 A&S Speech Course Pack	3,279	1,959	0	0	0	0.0%
10426 Honors Travel Fund	28,647	40,000	45,000	45,000	0	0.0%
10444 Theatre Arts Rep Company	2,413	1,900	2,000	2,000	0	0.0%
10445 GSH & Rauch Planetarium	164,906	177,181	383,600	344,900	(38,700)	-10.1%
10457 20th Century Lit Conference	34,614	20,060	39,400	24,000	(15,400)	-39.1%
10530 Family Business Center	262,894	261,894	278,000	258,000	(20,000)	-7.2%
10575 Sterilizer Service Program	189,054	194,009	174,100	194,053	19,953	11.5%
10576 U of L Law Review	8,526	7,753	15,000	15,000	0	0.0%
10664 Law Journal Annual Symposium	51,533	0	5,000	0	(5,000)	-100.0%
10685 AP Summer Institute	131,942	145,783	155,000	155,000	0	0.0%
30238 Theatre Ticket Sales	26,675	19,318	15,000	15,000	0	0.0%
30253 Educ Media Center	4,170	3,957	0	0	0	0.0%
30280 Metropolitan Col Rem	160,000	160,000	160,000	160,000	0	0.0%
Total Organized Activities Related to Instruction	1,098,651	1,056,022	1,272,100	1,212,953	(59,147)	-4.6%
Sales and Services of Educational Activities						
01370 Marching Band	(700)	0	0	0	0	0.0%
01605 Anne Braden Institute	0	(200)	0	0	0	0.0%
10428 Non-credit Dance	66,962	102,301	107,000	80,000	(27,000)	-25.2%

General Fund Revenues
2018 Operating Budget



Program Number	Description	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
10461	Delphi - General & Admin	(13,558)	(15,061)	(13,900)	(13,900)	0	0.0%
10474	Int'l Service Learning	267,505	419,900	675,000	675,000	0	0.0%
10482	Disabled Student Services	0	40,646	75,000	75,000	0	0.0%
10581	Center for Infrastructure	75,000	75,000	75,000	75,000	0	0.0%
10637	Veterans Student Services	0	0	4,600	4,600	0	0.0%
30410	Cultural Center Student Prog	889	0	0	0	0	0.0%
40028	Auxiliary Fund Group	0	52,000	0	0	0	0.0%
	Total Sales and Services of Educational Activities	396,098	674,586	922,700	895,700	(27,000)	-2.9%
	Total Other Revenues	11,406,250	11,051,275	11,689,504	10,691,739	(997,765)	-8.5%
	Total General Fund Revenue	560,802,351	521,482,347	548,339,500	504,858,900	(43,480,600)	-7.9%
	UofL Corporations	578,401,884	580,918,744	730,955,300	725,976,200	(4,979,100)	-0.7%
	Total University Revenue	1,139,204,235	1,102,401,091	1,279,294,800	1,230,835,100	(48,459,700)	-3.8%

A grayscale photograph of the University of Louisville's main building, featuring a prominent dome and a portico with columns. The building is set against a background of trees. In the foreground, a stone wall with the university's name and a circular seal is visible.

GENERAL FUND EXPENDITURES

UNIVERSITY of LOUISVILLE

GENERAL FUND EXPENDITURES

OVERVIEW

The University of Louisville general fund expenditure budget is balanced to the general fund revenue budget. FY 2018 general fund expenditures total \$504.9 million. General fund expenditures are expected to be \$43 million, or 7.9 percent, lower than in FY 2017.

Table 16 shows general fund expenditures by category. The following sections detail those amounts and changes within each category.

Table 16: General Fund Expenditures by Category for FY 2018

Description	FY 2017 Budget	FY 2018 Budget	Percent of Total	FY 17-18 Change	
				Dollars	Percent
Salaries and Wages	\$278,681,300	\$260,972,400	51.7%	(\$17,708,900)	-6.4%
Fringe Benefits	77,959,800	70,170,400	13.9%	(7,789,400)	-10.0%
Supplies and Operating	82,636,600	63,472,700	12.6%	(19,163,900)	-23.2%
Student Financial Aid	60,192,100	59,429,400	11.8%	(762,700)	-1.3%
Debt Service	16,830,400	22,214,000	4.4%	5,383,600	32.0%
Utilities	23,189,800	20,000,000	4.0%	(3,189,800)	-13.8%
Reserves	8,849,500	8,600,000	1.7%	(249,500)	-2.8%
Total	\$548,339,500	\$504,858,900	100.0%	(\$43,480,600)	-7.9%

Source: UofL Budget Office

General Fund Expenditures

FY 2018 Operating Budget



SALARIES AND WAGES

For FY 2018, salaries and wages decrease by \$17.7 million. The change is primarily attributable to the reclassification of hospital affiliation expenses from general funds to non-general funds, an expected decrease in the number of filled positions through attrition, a reduction in central reserves, and \$125,000 less due to one fewer workday in FY 2018.

The FY 2018 budget also includes the last of a planned four-year increase in the living wage rate. As of July 1, 2017, the living wage rate will rise to \$11 per hour from the current \$10.75 per hour.

FRINGE BENEFITS

Fringe benefits rates were held constant in the FY 2018 budget, but the total fringe benefit budget decreases by \$7.8 million, primarily due to the reclassification of hospital affiliation salaries and fringe benefits from general funds to non-general funds. Actual fringe benefit costs have consistently stayed within projections minimizing the need to continue budgeting a separate reserve.

OPERATING

Operating expenditures decrease by \$19.2 million primarily due to repositioning hospital affiliation expenditures as non-general funds.

FINANCIAL AID

The financial aid budget for FY 2018 decreases by \$760,000 because of a change in financial aid for graduate student assistantships. Tuition for non-resident students who receive a graduate teaching or research assistantship is now waived in order to attract more out-of-state students. This is a practice used at most large research institutions. As a result, fewer financial aid funds will be expended, which reduces the amount of financial aid by approximately \$2.2 million.

Financial aid spending in the FY 2018 budget increases by \$800,000 for central aid programs and by another \$500,000 for scholarships in the School of Law.

DEBT SERVICE

Bonds are debt instruments used to provide funding for university projects (e.g., research facilities, parking garages, etc.) that generate benefits over a long period. Debt service is the cost of borrowing money for such projects.

For FY 2018, total university debt service payments will be \$22.2 million, which is \$5.4 million more than FY 2017. The change is due to the issuance of new debt, Series D, E, & F in 2016. The new debt obligations are for Papa John's Cardinal Stadium. The UofL Athletic Association will pay the debt service. Table 17 details the bond issues and debt service payments.

Table 17: Debt Service

Bond Issue	FY 2017	FY 2018	Change	Status
2007 General Receipts - Series A	\$1,996,000	\$1,999,200	\$3,200	Existing
2008 General Receipts - Series A	4,138,100	4,140,200	2,100	Existing
2010 General Receipts - Series B	2,351,810	2,351,810	0	Existing
2011 General Receipts - Series A	2,641,250	2,639,850	(1,400)	Existing
2012 General Receipts - Series A	1,722,000	1,715,750	(6,250)	Existing
2016 General Receipts - Series A	1,234,776	1,235,644	868	Existing
2016 General Receipts - Series B	810,888	809,500	(1,388)	Existing
2016 General Receipts - Series C	1,935,551	2,005,100	69,549	Existing
2016 General Receipts - Series D	0	2,108,050	2,108,050	New
2016 General Receipts - Series E	0	141,860	141,860	New
2016 General Receipts - Series F	0	3,067,000	3,067,000	New
Total (All Bond Issue)	\$16,830,375	\$22,213,964	\$5,383,589	

Note: Excludes interest subsidy on 2010 General Receipt bonds.

Source: Office of the Chief Operating Officer

General Fund Expenditures

FY 2018 Operating Budget



General Receipts Bonds are issued for projects for which debt service is funded by unrestricted revenues such as tuition and state appropriation. The General Receipts series are:

- 2007 Series A funded renovations at the Home of the Innocents, indirect costs related to the Center for Predictive Medicine, land acquisition and construction of the Patterson Baseball Stadium, Trager Field House, and the YUM! Practice Facility. This bond was partially refinanced as 2016 Series B. Final maturity is 2018.
- 2008 Series A funded the second HSC parking garage, School of Dentistry renovation, and indirect costs in the construction of the Clinical and Translational Research Building. This bond was partially refinanced as 2016 Series C. Final maturity is 2019.
- 2010 Series B is a combination of Build America Bonds and Qualified Energy Conservation Bonds that were used for energy conservation measures in multiple buildings on all campuses. Final maturity is 2027.
- 2011 Series A was for the construction of the Student Recreation Center. Final maturity is 2032.
- 2012 Series A was used to repay former CEBRB Series N and O. Final maturity is 2023.
- 2016 Series A will be used to fund renovations to the Student Activity Center. Final maturity is 2036.
- 2016 Series B was used to refinance a portion of 2007 Series A. Final maturity is 2027.
- 2016 Series C was used to refinance a portion of 2008 Series A. Final maturity is 2028.
- 2016 Series D is tax-exempt and used to fund the new expansion of Papa John's Cardinal Stadium.
- 2016 Series E is taxable and used to fund the new expansion of Papa John's Cardinal Stadium.
- 2016 Series F was used to retire the 2008 Series B Metro Government Revenue Refunding and Improvement bonds.

Debt to fund the construction of the new Belknap Campus academic building has been issued by the Commonwealth of Kentucky. That debt service will be paid directly by the Commonwealth of Kentucky and will not appear in the university's budget.

UTILITIES

Based on a careful analysis of previous utility expenditures and a conservative projection of future costs, the FY 2018 utility budget decreases by \$3.2 million. Table 18 shows actual and budgeted utility expenditures for FY 2015 through FY 2018. Favorable global energy prices coupled with performance savings and sustainability initiatives at UofL have helped limit utility expenses.

Table 18: Utility Expenditures

	Fiscal Year Actuals		FY 2017 Budget	FY 2018 Budget	FY 17-18 Change	
	2015	2016			Dollars	Percent
Belknap						
Electric	\$6,519,563	\$6,072,848	\$7,008,190	\$6,594,000	(414,190)	-5.9%
Water	1,516,028	1,503,540	1,696,089	1,625,000	(71,089)	-4.2%
Natural Gas	1,735,863	1,327,164	2,726,642	1,523,000	(1,203,642)	-44.1%
Total Belknap	9,771,454	8,903,552	11,430,921	9,742,000	(1,688,921)	-14.8%
HSC						
Electric	3,401,775	3,238,111	4,075,504	3,148,000	(927,504)	-22.8%
Water	855,486	727,442	1,039,243	711,000	(328,243)	-31.6%
Natural Gas	118,483	88,525	287,135	204,000	(83,135)	-29.0%
Steam/Chill	4,906,347	5,331,791	5,573,425	5,381,000	(192,425)	-3.5%
Total HSC	9,282,091	9,385,869	10,975,307	9,444,000	(1,531,307)	-14.0%
Shelby						
Electric	420,741	439,150	435,939	508,000	72,061	16.5%
Water	88,441	87,328	95,578	102,000	6,422	6.7%
Natural Gas	193,139	132,393	252,090	204,000	(48,090)	-19.1%
Total Shelby	702,321	658,871	783,607	814,000	30,393	3.9%
Total Utility Expenditures	\$19,755,866	\$18,948,292	\$23,189,835	\$20,000,000	(3,189,835)	-13.8%

Source: UofL Budget Office, Office of the Chief Operating Officer

General Fund Expenditures

FY 2018 Operating Budget



CONTINGENCY FUNDS / RESERVES

The FY 2018 general fund budget includes \$8.6 million in contingency, or reserve, funds. This represents 1.7 percent of budgeted general fund expenditures.

A contingency fund is an important element in a sound budget. Unanticipated revenue or expense changes can happen during the fiscal year. Without a designated reserve, the university would have to impose mid-year budget corrections. That would place stress on the ability of the university and its units to maintain a consistent level of instruction and support to students, faculty, and staff.

STRATEGIC INITIATIVES

The FY 2018 budget includes many important strategic initiatives. They align with the university's strategic plan and contribute to the goal of making the University of Louisville a premier metropolitan research university by 2020. Table 19 summarizes the strategic initiatives.

Table 19: FY 2018 Investment in Strategic Initiatives

Description	Amount	
<i>Student Initiatives</i>		
Student financial-aid increase	\$800,000	
Total Student Initiatives		800,000
<i>Faculty and Staff Initiatives</i>		
Faculty promotions	500,000	
Staff reclassifications and promotions	250,000	
Total Faculty and Staff Initiatives		750,000
<i>Academic Initiatives</i>		
J.B. Speed School enrollment growth & new faculty	3,100,000	
Costs associated with enrollment growth	1,400,000	
University Libraries collections, journals & databases	1,000,000	

Table 19: FY 2018 Investment in Strategic Initiatives (Cont.)

Description	Amount
School of Music strategic initiatives & scholarships	725,000
Arts & Science general education costs & other initiatives	500,000
Brandeis School of Law scholarships	500,000
SIGS graduate stipends	200,000
Freshman scholarships	140,000
	7,565,000
Total Investment in Strategic Initiatives	\$9,115,000

Source: UofL Leadership

Student Initiatives

- Though there is no tuition rate increase in FY 2018, centrally budgeted undergraduate and graduate financial aid increases by one percent to ensure the university remains focused on student success. UofL is the only 4-year, public university in the state to offer an increase in financial aid while not raising tuition.

Faculty and Staff Initiatives

- Faculty promotion fund. To fund anticipated advances in faculty tenure, the FY 2018 budget includes \$500,000 for faculty promotions.
- Staff promotion and reclassification fund. Throughout the fiscal year, some staff may receive promotions or be placed in reclassified positions. Departments fund one-half of the promotion or reclassification with the balance paid by this fund. To support the central cost of these changes, the FY 2018 budget includes \$250,000 for staff promotions and reclassifications. Requests to use this fund usually arise as a result of expanded duties in a position, promotion to a higher level of duties, or an administrative determination that current duties are incorrectly classified.

General Fund Expenditures

FY 2018 Operating Budget



Table 20 groups and summarizes expenditure changes for the FY 2018 budget.

Table 20: Summary of Expenditure Changes

	FY 2017 Budget	FY 2018 Budget	Change 2017 to 2018
Expenditures			
Faculty	\$138,440,500	\$126,429,200	(\$12,011,300)
Professional and Administrative	75,406,200	56,793,000	(18,613,200)
Classified	35,322,200	46,524,800	11,202,600
Student Assistants	13,916,000	14,158,000	242,000
Administrators	11,538,600	12,201,900	663,300
Other Salaries	4,057,800	4,865,500	807,700
Total Salaries	278,681,300	260,972,400	(17,708,900)
Health Insurance	27,057,400	24,578,100	(2,479,300)
FICA	19,797,000	17,694,700	(2,102,300)
Other Fringe Benefits	31,105,400	27,897,600	(3,207,800)
Total Fringe Benefits	77,959,800	70,170,400	(7,789,400)
Total Personnel	356,641,100	331,142,800	(25,498,300)
Supplies and Operating	82,636,600	63,472,700	(19,163,900)
Scholarships and Financial Aid	60,192,100	59,429,400	(762,700)
Debt Service	16,830,400	22,214,000	5,383,600
Utilities	23,189,800	20,000,000	(3,189,800)
Reserves	8,849,500	8,600,000	(249,500)
Total Operating	191,698,400	173,716,100	(17,982,300)
Total Expenditures	\$548,339,500	\$504,858,900	(\$43,480,600)

Source: UofL Budget Office

SELF-SUPPORTING PROGRAMS

Self-supporting programs produce their own revenue to cover expenses, typically from fees or online education revenues. The university has more than 200 program budgets. Table 21 lists notable changes to program budgets in the FY 2018 budget.

Table 21: Notable Changes in FY 2018 Self-Supporting Programs

(sorted by academic unit and, in dollars, revenue change amount)

Unit	Program Name	Change	Percent Change	Reason
College of Arts & Sciences				
	Justice Administration - Continuing Education	\$307,900	34.5%	Increasing demand for classes.
	Communications - Online Education	\$147,000	15.5%	Budget increasing due to greater demand.
	Psychology - Online Education	\$100,000	27.4%	Increase due to growth in historic actuals.
College of Business				
	Full-Time MBA	\$300,000	33.3%	Increasing enrollment.
	Executive MBA	(\$512,500)	-50.6%	Aligning last year's initial budget to actuals.
	International MBA	(\$180,000)	-30.0%	Decreasing demand for the program.
School of Dentistry				
	Dental Instrument Rental	(\$149,735)	-4.3%	Lower enrollment expectations.
College of Education and Human Development				
	Workforce Completing Degree Program	(\$160,000)	-29.9%	Decline in demand.
	Educational Leadership, Foundations, and HR - Online Education	\$159,000	11.4%	Increasing demand for classes.

Table 21: Notable Changes in FY 2018 Self-Supporting Programs (Cont.)

Unit	Program Name	Change	Percent Change	Reason
Kent School of Social Work				
	Instruction - Online Education	\$126,498	8.4%	Increase due to growth in historic actuals.
School of Medicine				
	Medical School Technology Fees	\$216,000	67.5%	Increase in fee for FY 2018.
	Medicine - Continuing Education	\$78,000	48.8%	Budget increasing due to greater demand.
Delphi				
	Delphi - Online Education	\$410,479	6.6%	Continuing growth in demand for online courses.

Source: UofL Budget Office

BUDGET BALANCING ACTIONS

The FY 2018 Operating Budget as presented, coupled with administrative actions throughout the year, will address the university’s budget imbalance and place the university on a firm financial footing. The goal, however, is more than a structurally sound budget; it is a year-end budget surplus and notable improvement in the university’s cash position.

To reach those marks, the university will actively monitor revenues and expenses throughout the fiscal year. Continual review of budget-to-actual revenues and spending will serve as the cornerstone of that effort. In addition, the university will continue to seek new and innovative ways to generate additional revenues, identify and implement cost-efficiencies across campus, and, if needed, impose cost-reduction strategies during FY 2018. Combining these efforts with a sound budget and a balanced approach on growing revenues and curbing increases in expenditures will allow the university to achieve a year-end budget surplus and an increase in its cash balance.

Table 22 summarizes the budgetary actions that will help ensure FY 2018 ends with a positive fund balance. During FY 2018, university administrators, faculty, staff, and students, will continue to discuss options for the university to increase revenues and decrease expenses as well as begin to plan in a thoughtful manner how to strategically align the FY 2019 operating budget with the university’s mission.

Table 22: Budget-Balancing Actions by Fund Type

Balancing Actions	Amount
General Fund	
Operational cost reductions/savings	\$9 to 10 million
Procurement cost economies of scale/efficiencies	\$10 million
Faculty, staff, and administrator attrition	\$10 million
Non-general Fund	
One-time KentuckyOne Health funds, plus attrition	\$17 million
Total	\$46 to 47 million

Source: UofL Budget Office

SUMMARY OF EXPENDITURES BY UNIT AND TYPE

The following tables show FY 2018 budgeted expenditures by natural classification, by unit, and a trended look at units’ budget history.

General Fund Expenditures

FY 2018 Operating Budget



EXPENDITURES BY NATURAL CLASSIFICATION, FY 2018

	General Funds	Non-General Funds	Total
Personnel Services			
Salaries			
Faculty	\$126,429,263	\$161,890,555	\$288,319,818
Administrators and P&A	68,994,871	103,102,751	172,097,622
Classified Staff	46,524,827	30,842,814	77,367,641
Student Assistants	14,157,960	7,402,731	21,560,691
Other Salaries	4,865,516	46,054,914	50,920,430
Total Salaries	260,972,437	349,293,765	610,266,202
Fringe Benefits			
Health Insurance	24,578,101	27,752,892	52,330,993
Retirement Programs	18,185,723	20,528,748	38,714,471
FICA	17,694,673	20,388,490	38,083,163
Other Fringe Benefits	9,711,903	10,893,206	20,605,109
Total Fringe Benefits	70,170,400	79,563,335	149,733,735
Total Personnel Services	331,142,837	428,857,100	759,999,937
Current Expenses			
Operating Expenses	72,046,413	143,264,408	215,310,821
Scholarships, Aid, and Tuition Remission	59,429,406	73,849,802	133,279,208
Debt Service	22,213,964	0	22,213,964
Utilities	20,000,000	30,644	20,030,644
Equipment	11,482,430	854,006	12,336,436
IT, Maintenance, and Other Services	8,989,834	24,809,755	33,799,589
Travel	1,863,326	1,943,872	3,807,198
Departmental Credits	(34,034,390)	(2,686,607)	(36,720,997)
Other Current Expenses	11,725,080	55,053,221	66,778,301
Total Current Expenses	173,716,063	297,119,100	470,835,163
Total University Expenditures	\$504,858,900	\$725,976,200	\$1,230,835,100

EXPENDITURE BUDGET BY UNIT, FY 2018

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
College of Arts and Sciences								
General	\$4,136,170	\$34,204,723	\$3,036,693	\$4,900,296	\$22,571	\$12,200,569	\$6,513,358	\$65,014,380
UofL Corporations	545,326	1,762,702	377,448	687,916	57,158	685,402	3,219,893	7,335,844
Total	4,681,496	35,967,425	3,414,141	5,588,212	79,729	12,885,971	9,733,251	72,350,224
Athletics								
General	41,929	0	0	0	0	10,331	1,853,667	1,905,927
UofL Corporations	33,544,966	0	2,070,387	63,500	722,420	7,396,619	60,708,008	104,505,900
Total	33,586,895	0	2,070,387	63,500	722,420	7,406,950	62,561,675	106,411,827
Audit Services								
General	808,665	0	89,163	0	0	188,487	84,840	1,171,155
UofL Corporations	16,635	195	195	0	0	1,453	7,757	26,237
Total	825,300	195	89,358	0	0	189,940	92,597	1,197,392
College of Business								
General	2,218,076	12,347,922	836,528	420,125	5,600	3,463,542	3,809,787	23,101,580
UofL Corporations	113,279	1,724,598	51,083	69,000	0	379,484	2,603,364	4,940,807
Total	2,331,355	14,072,520	887,611	489,125	5,600	3,843,026	6,413,151	28,042,387
Office of the Chief Financial Officer								
General	1,341,085	0	1,047,100	0	273,000	929,378	(338,365)	3,252,198
UofL Corporations	8	8	8	0	0	8	1,065	1,098
Total	1,341,093	8	1,047,108	0	273,000	929,386	(337,300)	3,253,296
Office of the Chief Operating Officer								
General	12,117,129	0	18,481,051	276,922	766,894	11,549,443	10,350,224	53,541,663
UofL Corporations	22,671	2,671	166,671	0	0	2,671	163,840	358,524
Total	12,139,800	2,671	18,647,722	276,922	766,894	11,552,114	10,514,064	53,900,187
School of Dentistry								
General	2,150,166	13,109,573	3,463,106	17,000	132,350	4,928,549	3,662,656	27,463,400
UofL Corporations	1,247,777	4,784,123	2,555,006	122,451	478,235	2,138,444	12,950,233	24,276,270
Total	3,397,943	17,893,696	6,018,112	139,451	610,585	7,066,993	16,612,889	51,739,670

General Fund Expenditures

FY 2018 Operating Budget



	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
College of Education and Human Development								
General	2,359,881	9,598,009	2,082,842	725,161	33,647	3,848,857	1,735,804	20,384,201
UofL Corporations	853,031	1,264,560	312,362	198,000	69,300	702,420	1,177,289	4,576,963
Total	3,212,912	10,862,569	2,395,204	923,161	102,947	4,551,277	2,913,093	24,961,164
Executive Vice President Health Affairs								
General	5,476,363	0	1,084,494	61,160	21,680	1,673,642	2,866,901	11,184,240
UofL Corporations	4,266,140	1,408,438	1,652,571	82,849	197,290	2,000,461	51,127,692	60,735,443
Total	9,742,503	1,408,438	2,737,065	144,009	218,970	3,674,103	53,994,593	71,919,683
Executive Vice President Research and Innovation								
General	4,286,297	204,851	1,419,342	112,050	1,328	1,856,060	3,165,581	11,045,509
UofL Corporations	276,123	19,715	64,018	25,000	3,000	116,851	26,343	531,051
Total	4,562,420	224,566	1,483,360	137,050	4,328	1,972,911	3,191,924	11,576,560
Executive Vice President and University Provost								
General	11,399,647	347,728	3,815,655	860,421	596,164	5,003,887	47,989,963	70,013,465
UofL Corporations	1,712,637	544,147	840,952	35,000	0	1,028,025	68,942,995	73,103,758
Total	13,112,284	891,875	4,656,607	895,421	596,164	6,031,912	116,932,958	143,117,223
Foundation Financial Affairs								
General	0	0	0	0	0	0	0	0
UofL Corporations	721,394	12,194	12,194	0	0	187,357	708,480	1,641,620
Total	721,394	12,194	12,194	0	0	187,357	708,480	1,641,620
School of Interdisciplinary and Graduate Studies								
General	796,750	0	202,651	2,478,391	4,000	591,376	7,615,341	11,688,509
UofL Corporations	473	473	473	30,000	0	5,053	26,957	63,427
Total	797,223	473	203,124	2,508,391	4,000	596,429	7,642,298	11,751,936
Human Resources								
General	1,056,220	0	266,433	0	0	394,821	666,038	2,383,512
UofL Corporations	60,758	3,154	3,154	0	0	24,305	331,961	423,331
Total	1,116,978	3,154	269,587	0	0	419,126	997,999	2,806,843

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
Kent School of Social Work								
General	1,211,387	3,380,489	208,996	197,000	0	1,226,795	421,035	6,645,702
UofL Corporations	912,826	512,684	506,381	35,600	40,220	589,795	235,680	2,833,186
Total	2,124,213	3,893,173	715,377	232,600	40,220	1,816,590	656,715	9,478,888
Brandeis School of Law								
General	961,671	4,172,231	607,903	18,690	500	1,504,012	3,219,329	10,484,336
UofL Corporations	43,886	410,143	29,502	0	39,397	104,920	1,943,284	2,571,131
Total	1,005,557	4,582,374	637,405	18,690	39,897	1,608,932	5,162,613	13,055,467
Libraries								
General	1,089,925	2,524,864	2,165,079	339,938	11,159	1,807,202	8,923,028	16,861,195
UofL Corporations	11,649	221,323	51,130	0	30,100	95,853	1,153,580	1,563,635
Total	1,101,574	2,746,187	2,216,209	339,938	41,259	1,903,055	10,076,608	18,424,830
School of Medicine								
General	3,236,749	22,407,399	2,794,051	575,770	2,683,113	6,991,358	4,770,501	43,458,941
UofL Corporations	46,770,147	137,114,486	22,763,120	3,340,050	41,226,741	58,428,000	86,063,267	395,705,809
Total	50,006,896	159,521,885	25,557,171	3,915,820	43,909,854	65,419,358	90,833,768	439,164,750
School of Music								
General	627,448	3,501,163	250,326	289,400	195,596	1,358,456	1,264,224	7,486,613
UofL Corporations	9,909	296,809	9,909	66,600	40,802	135,835	770,272	1,330,138
Total	637,357	3,797,972	260,235	356,000	236,398	1,494,291	2,034,496	8,816,751
School of Nursing								
General	852,825	3,949,498	371,137	228,000	50	1,421,291	363,276	7,186,077
UofL Corporations	535,481	1,483,497	23,152	40,000	25,200	481,325	519,077	3,107,734
Total	1,388,306	5,432,995	394,289	268,000	25,250	1,902,616	882,353	10,293,811
Office of the President								
General	4,653,471	36,400	305,927	182,155	11,569	1,200,942	2,048,292	8,438,756
UofL Corporations	493,917	30,728	79,358	0	0	115,970	3,404,642	4,124,616
Total	5,147,388	67,128	385,285	182,155	11,569	1,316,912	5,452,934	12,563,372

General Fund Expenditures

FY 2018 Operating Budget



	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
School of Public Health and Information Sciences								
General	296,201	2,715,364	160,794	160,000	0	552,016	777,601	4,661,976
UofL Corporations	1,193,199	3,582,497	610,646	426,245	171,091	1,174,631	1,108,533	8,266,840
Total	1,489,400	6,297,861	771,440	586,245	171,091	1,726,647	1,886,134	12,928,816
J.B. Speed School of Engineering								
General	3,804,533	13,271,294	1,048,581	1,383,093	69,471	4,923,478	2,380,045	26,880,495
UofL Corporations	2,824,166	1,075,529	324,780	1,701,043	22,456	1,528,339	3,495,427	10,971,740
Total	6,628,699	14,346,823	1,373,361	3,084,136	91,927	6,451,817	5,875,472	37,852,235
Vice President Community Engagement								
General	538,564	0	82,892	0	0	178,422	38,437	838,315
UofL Corporations	321,342	4,874	75,957	0	2,125	124,839	125,143	654,282
Total	859,906	4,874	158,849	0	2,125	303,261	163,580	1,492,597
Vice Provost Student Affairs								
General	3,127,436	21,400	2,307,748	932,388	36,824	1,723,466	15,711,544	23,860,806
UofL Corporations	124,670	21,143	142,953	2,000	0	100,529	2,446,748	2,838,044
Total	3,252,106	42,543	2,450,701	934,388	36,824	1,823,995	18,158,292	26,698,850
Vice President University Advancement								
General	109,425	0	0	0	0	22,160	0	131,585
UofL Corporations	4,491,391	0	740,846	12,500	36,600	1,552,534	2,552,166	9,386,037
Total	4,600,816	0	740,846	12,500	36,600	1,574,694	2,552,166	9,517,622
Central University - Business Activities								
General	0	0	0	0	0	0	23,606,965	23,606,965
UofL Corporations	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	23,606,965	23,606,965
Central University - Finance								
General	0	636,355	355,541	0	0	83,817	20,476,338	21,552,051
UofL Corporations	0	0	0	0	0	0	0	0
Total	0	636,355	355,541	0	0	83,817	20,476,338	21,552,051

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
Central University - Human Resources								
General	296,858	0	40,794	0	0	538,043	(260,347)	615,348
UofL Corporations	72,402	765	765	0	0	24,210	4,592	102,736
Total	369,260	765	41,559	0	0	562,253	(255,755)	718,084
University Total								
General	68,994,871	126,429,263	46,524,827	14,157,960	4,865,516	70,170,400	173,716,063	504,858,900
UofL Corporations	101,186,206	156,281,459	33,465,024	6,937,754	43,162,135	79,125,336	305,818,287	725,976,200
Total	\$170,181,077	\$282,710,722	\$79,989,851	\$21,095,714	\$48,027,651	\$149,295,736	\$479,534,350	\$1,230,835,100

General Fund Expenditures

FY 2018 Operating Budget



EXPENDITURE BUDGET HISTORY BY UNIT, GENERAL FUNDS FY 2014 THROUGH FY 2018

(dollars in thousands)

	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	5 Year % Change
Academic Units						
Arts and Sciences	\$64,698	\$62,186	\$62,404	\$63,778	\$65,014	0.5%
Business	21,016	22,615	22,804	23,161	23,102	9.9%
Education	19,995	20,226	21,327	22,477	20,384	1.9%
Graduate School	5,950	13,191	13,126	13,611	11,689	96.4%
Kent School	4,972	5,324	5,748	6,369	6,646	33.7%
Law	9,499	9,716	9,730	9,860	10,484	10.4%
Libraries	15,706	16,158	16,166	16,476	16,861	7.4%
Music	7,396	7,168	6,769	6,935	7,487	1.2%
Speed School	23,108	23,439	23,872	25,025	26,880	16.3%
Total Academic Units	172,342	180,025	181,946	187,692	188,547	9.4%
Health Sciences						
Dentistry	18,903	18,536	18,996	25,952	27,463	45.3%
EVP Health Affairs	28,047	11,402	12,735	36,156	11,184	-60.1%
Medicine	53,953	53,837	53,101	51,545	43,459	-19.5%
Nursing	6,485	6,233	6,357	6,429	7,186	10.8%
Public Health	5,070	5,060	5,222	5,182	4,662	-8.0%
Total Health Sciences	112,458	95,068	96,411	125,264	93,955	-16.5%

Expenditure Budget History by Unit, General Funds FY 2014 through FY 2018 (cont.)

(dollars in thousands)

	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	5 Year % Change
Support Units						
Athletics	1,788	1,788	1,905	1,905	1,906	6.6%
Audit Services	0	0	0	0	1,171	N/A
Office of the CFO	40,365	41,185	11,428	0	3,252	-91.9%
Office of the COO	75,481	76,935	56,929	62,817	53,542	-29.1%
EVP Research & Innov.	12,403	11,316	11,117	11,153	11,046	-10.9%
EVP Univ. Provost	61,749	65,981	66,113	84,072	70,013	13.4%
Human Resources	2,142	3,118	3,450	0	2,384	11.3%
President	4,472	5,243	6,265	6,446	8,439	88.7%
VP Community Engage.	774	801	824	836	838	8.4%
VP Student Affairs	12,286	16,245	15,357	14,690	23,861	94.2%
VP Univ. Advancement	227	210	226	299	132	-42.1%
Total Support Units	211,688	222,823	173,615	182,217	176,583	-16.6%
Central University						
Business Activities	0	0	24,490	22,837	23,607	N/A
Finance	0	0	34,147	43,171	21,552	N/A
Human Resources	0	0	628	769	615	N/A
Total Central University	0	0	59,265	66,778	45,774	N/A
Total General Funds	\$496,488	\$497,915	\$511,238	\$548,340	\$504,859	1.7%

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ACADEMIC UNITS

UNIVERSITY of LOUISVILLE



ACADEMIC UNIT SUMMARIES

College of Arts and Sciences at a Glance				
Year Established	1907	Academic Regalia Inter-Collegiate Color		White
Facilities and Main Office	Gardiner Hall - 2nd Floor			
<i>Financial Information</i>				
	FY 2015	FY 2016	FY 2017	FY 2018
	Actual	Actual	Budget	Budget
Total General Fund	\$65,365,002	\$67,387,498	\$63,778,106	\$65,014,380
Total Non-General Fund			\$9,447,894	\$7,335,844
Total College of Arts & Sciences			\$73,226,000	\$72,350,224
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit Hour Production	281,397	273,542	269,747	
Degrees				
Degrees Awarded	1,693	1,585	Not available	
Degrees Awarded Change Per Year	21.5%	-6.4%	at printing	
Enrollment by Level				
Undergraduate	9,151	8,618	7,890	
Graduate	956	932	869	
Total	10,107	9,550	8,759	

College of Arts and Sciences

Mission

We believe that an excellent education in the liberal arts and sciences is the best preparation for life and work in a world of increasing diversity and rapid change. It prepares our graduates to be informed critical-thinkers, creative problem-solvers, and confident communicators. Our students learn by doing: they conduct research and express their artistry; include ethical considerations in their thinking and decision-making; and experience the world from perspectives of cultures other than their own.

The University of Louisville is a Carnegie Research I University, and the College of Arts & Sciences is its research hub. Impacting the health and well-being of local, national, and global communities, our faculty and students collaborate across departments to explore the past, improve the present, and plan for the future. We value our role in Louisville and enjoy helping our community. Through cutting-edge methodologies, innovative research and creative activities, engaged teaching, and rigorous scholarship, we are advancing knowledge and preparing the next generation of leaders, thinkers, dreamers, and doers.

Goals

Educational Excellence – Continue to provide exceptional teaching through:

- Implementing measures of teaching excellence that value and promote both student success and an appropriate level of academic challenge;
- Expanding opportunities to implement progressive practices, such as community-engaged learning, and to bring innovative and experimental strategies and technologies into the classroom; and
- Increasing student success.

Research, Scholarship, and Creative Activity – Continue to improve the quality, quantity, and reputation of the scholarly and creative activities by:

- Increasing applications and awards for external funding;
- Boosting the number of peer-reviewed publications, exhibitions, performances, and patents;
- Increasing the number of A&S recipients of competitive campus research awards;

- Producing high-impact applied or engaged research;
- Growing the number of endowed faculty positions;
- Creating new, and building existing, endowed funds and gift funds to support significant research; and
- Developing a recurring pool to fund competitive faculty start-up packages.

Community Engagement

- Continue to promote and improve tracking of community engagement activities

Diversity and Opportunity

- Growing the representation of minorities and women in underrepresented areas

Responsible Stewardship

- Strengthening the position of the College of Arts & Sciences

Instruction

The College of Arts & Sciences offers education in the liberal arts and sciences through the general education program, and through a range of majors in the natural and physical sciences, the social and behavioral sciences, and the arts and humanities. Offering 90 degree programs, we are at the heart of the university's educational mission.

A&S enrolls nearly half of the student body and our faculty teaches the majority of the general education courses. In fact, nearly one-quarter of all credit hours taught by A&S faculty are taught to students pursuing degrees in the professional colleges.

A&S degrees offered

- 38 Bachelor's Degree Programs, offering 53 undergraduate degree options and 52 minors
- 1 Associate's Degree Program
- 2 Undergraduate Certificate Programs
- 13 Doctoral Programs
- 24 Master's Programs
- 12 Dual Degree Programs

- 12 Graduate Certificate Programs

Research

By the numbers

- 744 publications, including 596 peer-reviewed
- Faculty average 667 scholarly publications and creative works per year (based on past 3 years)
- 349 premier venue works (19 in Fine Arts and 3 in Theatre Arts)
- \$6.3 million externally funded grants (based on private investigation only)
- 6 faculty in natural sciences, 5 in humanities, and 2 in social sciences named University or Distinguished Scholars
- In the past five years, Arts & Sciences has raised over \$33.6 million to support scholarly work. Funding sources vary widely, and often the work is collaborative with co-investigators.

Research and creative activity highlights

1. Sara Alvarez, student in Rhetoric and Composition doctoral program, has received the National Council of Teachers of English's Early Career Educator of Color Leadership Award. As part of the award, she will receive a plaque presented at the 2015 annual convention and will present at the 2016 annual convention.
2. Professor Swagato Banerjee (Physics & Astronomy) was selected as a U.S. ATLAS Scholar for 2015-2016. Each year, the U.S. ATLAS Analysis Support Centers at Argonne National Laboratory, Brookhaven National Laboratory, and Lawrence Berkeley National Laboratory host distinguished research scholars in a program to foster physics collaboration and enhance U.S. contributions to the ATLAS physics program. Professor Banerjee will work on upgrades to the ATLAS detector, which will extend the searches for new physics and improve our understanding of the Higgs boson.
3. Faculty members from the Department of English have been the principal investigators on a U.S. State Department grant entitled "Study of the United States Institute for Scholars on Contemporary American Literature" for 15 years. This award averages more than \$280,000 per year and brings 18 post-doctoral fellows from around the world to campus to study contemporary American literature.
4. Professor Jian Du-Caines (Physics and Astronomy) received a NASA award of \$394,555 for her project to examine the statistical characteristics of the tidal short-term variability, how it varies as a function of year, season, latitude and altitude, and quantify the relative importance of various causes for the short-term tidal variability and their respective atmospheric impacts. She also received the NSF

CAREER award for her project entitled “Physical Causes of Multi-Scale Temporal Variability in Atmospheric Tides from the Troposphere to the Dynamo Region.” The award amount is \$500,000 and will be funded through June 2020.

5. Professor Deborah Lutz's (English) recent book, *The Brontë Cabinet: Three Lives in Nine Objects*, is on the Longlist for the PEN/Jacqueline Bograd Weld Award for Biography, for a distinguished biography published in 2015. Additionally, Professor Lutz gave a lecture on Emily Brontë's poetry manuscripts at the research branch of the New York Public Library.

Engaged scholarship highlights

1. Professor Paul Rosen (Psychological & Brain Sciences) directs the Research on ADHD and Children's Emotion Regulation Lab (RACER) Lab, which is dedicated to studying and treating the emotional and behavioral difficulties that are common to children and adolescents with ADHD. The RACER Lab is the only research lab in the Louisville area to provide cutting-edge and evidenced-based research and treatment for children with ADHD.
2. Professor Margaret Carreiro (Biology) and student researchers collaborate with the Louisville Olmsted Parks Conservancy to understand the impacts of invasive plant species in the woodlands of Cherokee Park. The project aims to provide scientific data as a foundation for preserving and managing the biological heritage in our parks and the ecosystem services they provide to our community.
3. Professor Cherie Dawson-Edwards (Criminal Justice) received the Golden Oar Award, which recognizes individuals and teams who positively impact JCPS. She was commended for her guidance, which has been integral in shifting to less punitive consequences in JCPS behavior guidelines and procedures. She also facilitated restorative circles in alternative schools and has led more than 50 hours of district professional development that focuses on restoration and inclusion. She co-chairs an external group called the Equity Council that will serve as a catalyst for educational innovation.
4. The Southern Police Institute has extended law enforcement leadership training to the Navajo tribe and Lebanon.

Significant Accomplishments

- 68 students, or 76%, of the Fulbright award winners at the University of Louisville have come from the College of Arts & Sciences.
- The College's faculty, staff, and students from our 24 departments and over 30 centers and institutes are currently participating in or leading over 200 community engagement programs and projects.
- The UofL chapter of the Society of Physics Students (SPS) has been named an Outstanding Chapter 17 years in a row—one of only a few chapters in the country to have so many consecutive wins. Having seventeen consecutive recognitions is a record in the four-state region

Academic Unit Summaries

2018 Operating Budget



including all of Kentucky and most of Tennessee, Indiana, and Illinois. The Outstanding Chapter award is a designation that is given to fewer than 10 percent of all SPS chapters at universities in the United States.

- Improved communication with:
 - “I think therefore I...” marketing campaign;
 - Improved targeted external communication -
 - *The Thinker*, quarterly electronic newsletter was distributed to 40,000+ alumni,
 - *The Thinker* bi-annual print newsletter was distributed to 6,000+ people, and
 - 2 brochures, one on engagement and one on research -
 - Improved processes and created tools for use by departments, centers, and institutes; and
 - Improved web communication optimized for mobile, and improved visual presentation and functionality.

Budget Changes

- In the FY 2018 budget, the College received additional funding of \$125,000 for general education courses and approximately \$380,000 for strategic initiatives.
- Increases in Distance Education programs such as Justice Administration, Communications, and Psychology total approximately \$550,000.
- The College of Arts and Sciences closed the Intensive English Language Program (IESL), resulting in a budget reduction of \$366,000.

College of Arts and Sciences General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
College of Arts & Sciences								
A&S Academic Advising Center	01109	A&S Academic Advising Center	1,416,463	1,409,285	1,404,640	1,446,811	42,171	3.0%
A&S Academic Advising Center	10754	Advising D.E.	5,003	6,433	0	0	0	0.0%
A&S Air Force ROTC	01128	Air Force ROTC	65,717	68,198	63,175	64,074	899	1.4%
A&S Air Force ROTC	30527	Air Force ROTC Housing&Sub Sch	141,453	115,750	164,000	164,000	0	0.0%
A&S Anthropology	01110	Anthropology	1,168,197	1,092,913	1,192,905	1,178,602	(14,303)	-1.2%
A&S Anthropology	01662	A&S-Anthroplgy MA	55,937	79,246	0	0	0	0.0%
A&S Anthropology	10585	Dis Ed - Anthropology	256,443	470,741	214,000	225,000	11,000	5.1%
A&S Anthropology	10587	Dis Ed - Geography	106,179	149,544	114,000	114,000	0	0.0%
A&S Anthropology	10715	Distance Education-History	228,813	237,552	225,000	272,000	47,000	20.9%
A&S Army ROTC	01129	Army ROTC	59,466	65,977	66,418	67,401	983	1.5%
A&S Army ROTC	30526	Army ROTC Housing&Sub Sch	201,989	0	202,000	202,000	0	0.0%
A&S Biology	01111	Biology	2,775,443	2,930,164	3,013,344	3,032,587	19,243	0.6%
A&S Biology	10694	Biology Lab Fees	35,984	92,493	50,000	55,000	5,000	10.0%
A&S Biology	10710	Biology Distance Education	409,558	147,745	250,000	303,000	53,000	21.2%
A&S Biology	51012	Michael Perlin	0	0	0	7,168	7,168	0.0%
A&S Biology	54106	Christopher Frost	0	0	0	5,002	5,002	0.0%
A&S Biology	54113	Deborah Yoder-Himes	0	0	0	9,462	9,462	0.0%
A&S Chemistry	01112	Chemistry	3,479,526	3,621,375	3,565,367	3,554,294	(11,073)	-0.3%
A&S Chemistry	10693	Chemistry Lab Fees	66,988	144,539	100,000	110,000	10,000	10.0%
A&S Chemistry	10709	Chemistry Distance Edu	284,800	283,073	175,000	181,000	6,000	3.4%
A&S Chemistry	52003	CRIF- ImD3	0	0	0	26,068	26,068	0.0%
A&S Chemistry	52020	CRIF-Cream Center	0	0	0	91,523	91,523	0.0%
A&S Chemistry	50088	NMR Maintenance	2,088	951	20,000	20,000	0	0.0%
A&S Chemistry	50092	Mass Spectrometry Service Ctr	26,919	12,852	232,375	232,375	0	0.0%
A&S Communication	01126	Communications	1,988,727	2,077,774	1,920,208	2,011,095	90,887	4.7%
A&S Communication	01628	Intercultural Communication In	(513)	0	0	0	0	0.0%
A&S Communication	01644	A&S Speech Course Pack	2,633	1,339	0	0	0	0.0%
A&S Communication	01661	A&S-Communications MA	61,926	10,135	0	0	0	0.0%
A&S Communication	10586	Dis Ed - Communications	1,191,094	1,148,325	950,000	1,097,000	147,000	15.5%
A&S Criminal Justice	01296	Justice Admin Instruction	1,432,612	1,624,655	1,740,986	1,711,707	(29,279)	-1.7%
A&S Criminal Justice	01297	Southern Police Institute	164,717	151,388	142,858	158,927	16,069	11.2%
A&S Criminal Justice	02000	Justice PhD Program	24,556	32,242	0	0	0	0.0%
A&S Criminal Justice	10421	Justice Admin - Continuing Ed	938,427	1,249,546	892,100	1,200,000	307,900	34.5%
A&S Criminal Justice	10435	SPI Special Fees	33,140	28,731	40,000	40,000	0	0.0%

Academic Unit Summaries

2018 Operating Budget



Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
A&S Criminal Justice	10588	Dis Ed - Justice Admin	872,133	983,047	792,000	889,000	97,000	12.2%
A&S Criminal Justice	40009	SJA/SPI Dormitory	310,211	304,244	250,000	250,000	0	0.0%
A&S Dean's Office	01108	A & S Administration	1,984,761	2,299,872	2,314,918	2,144,328	(170,590)	-7.4%
A&S Dean's Office	01276	A&S Summer School	0	0	0	250,215	250,215	0.0%
A&S Dean's Office	01279	A&S General Ed Curriculum	16,931	19	0	0	0	0.0%
A&S Dean's Office	01280	A&S Reallocation	45,996	(30,737)	0	95,704	95,704	0.0%
A&S Dean's Office	01281	A&S Technology	63,436	124,223	75,000	75,000	0	0.0%
A&S Dean's Office	01284	A&S Contingency Fund	615,569	785,268	457,286	446,758	(10,528)	-2.3%
A&S Dean's Office	01573	A&S Facilities	76,583	28,595	25,000	25,000	0	0.0%
A&S Dean's Office	01580	Belknap Research Infrastructur	1,389	1,389	0	0	0	0.0%
A&S Dean's Office	01638	A&S International Program	78,951	122,531	0	0	0	0.0%
A&S Dean's Office	01643	A&S China Summer Program	(2,466)	9	0	0	0	0.0%
A&S Dean's Office	01653	A&S Enrollment Pressure	215,773	98,144	0	0	0	0.0%
A&S Dean's Office	02008	A&S 2014-15 Budget Reduction	0	0	(289,105)	0	289,105	-100.0%
A&S Dean's Office	02067	A&S Faculty Reallocation	0	0	411,252	102,190	(309,062)	-75.2%
A&S Dean's Office	10524	Delphi - Online	0	0	63,202	63,840	638	1.0%
A&S Dean's Office	10543	A&S Student Council	9,420	9,805	8,100	8,100	0	0.0%
A&S Dean's Office	10592	Dis Ed - A&S Admin	477,744	377,003	570,745	613,365	42,620	7.5%
A&S Dean's Office	30242	A&S-Dean's Disc Fund	542,196	365,327	0	0	0	0.0%
A&S Dean's Office	30243	College Articulation Program	114,518	118,031	0	0	0	0.0%
A&S Dean's Office	30244	Faculty Recruitment-A&S	11,819	(2,141)	0	0	0	0.0%
A&S Dean's Office	30376	UGA High S&Dual Cred Tui Remim	0	0	56,846	58,731	1,885	3.3%
A&S Dean's Office	30575	A&S VSIP Reserve	0	0	(230,431)	0	230,431	-100.0%
A&S Dean's Office GA	01285	A&S Graduate Student Stipend	4,417,948	4,448,873	4,188,886	4,168,724	(20,162)	-0.5%
A&S Debate Program	01295	A&S Debate Program	142,039	143,713	112,023	116,675	4,652	4.2%
A&S Debate Program	10794	Wilson Wyatt Debate	0	50	0	0	0	0.0%
A&S English	01113	English	4,143,543	4,323,938	3,758,362	3,639,729	(118,633)	-3.2%
A&S English	10651	Dist Ed-English	88,525	116,533	100,000	108,500	8,500	8.5%
A&S English	30245	A & S Henry James Review	21,031	19,737	0	0	0	0.0%
A&S Fine Arts	01114	Fine Arts	2,344,575	2,255,299	2,251,856	2,306,313	54,457	2.4%
A&S Fine Arts	10653	Dist Ed-Fine Arts	197,069	190,235	177,000	194,000	17,000	9.6%
A&S Fine Arts	30237	Lab Fee-Studio Art	15,689	0	0	0	0	0.0%
A&S Geography/Geosciences	01115	Geosciences	1,271,978	1,422,136	1,454,428	1,420,371	(34,057)	-2.3%
A&S Geography/Geosciences	01654	A&S ULCGIS	1,334	8,293	0	0	0	0.0%
A&S Geography/Geosciences	01660	A&S-Geography MS	40,188	105,998	0	0	0	0.0%
A&S History	01116	History	2,147,477	2,014,982	2,008,115	1,848,011	(160,104)	-8.0%
A&S Honor's Program	01130	A&S Honors Program	300,904	338,350	253,553	318,464	64,911	25.6%

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
A&S Honor's Program	10426	Honors Travel Fund	22,849	67,933	45,000	45,000	0	0.0%
A&S Honor's Program	30037	Overseer Mentor Prog	5,398	5,400	5,400	5,400	0	0.0%
A&S Mathematics	01117	Mathematics	3,383,375	3,439,885	3,479,350	3,497,998	18,648	0.5%
A&S Mathematics	01121	Physics	2,243,243	2,268,221	2,436,172	2,427,611	(8,561)	-0.4%
A&S Mathematics	01526	Mathematics Designated Fund	8,218	0	0	0	0	0.0%
A&S Mathematics	10673	Distance Ed-Mathematics	211	495	15,000	25,000	10,000	66.7%
A&S Mathematics	10691	Mathematics Lab Fees	0	0	2,400	2,400	0	0.0%
A&S Modern Languages	01118	Modern Languages	2,713,014	2,910,277	2,564,944	2,471,481	(93,463)	-3.6%
A&S Modern Languages	01670	A&S American Sign Language	421,511	476,460	228,291	474,385	246,094	107.8%
A&S Modern Languages	01940	Grad. Certificate Translation	9,809	0	0	0	0	0.0%
A&S Modern Languages	10457	20th Century Lit Conference	56,107	48,680	39,400	24,000	(15,400)	-39.1%
A&S Modern Languages	10654	Dist Ed-Class/Mod Languages	613,914	540,027	525,000	559,500	34,500	6.6%
A&S Modern Languages	10764	ASL Lab Fee	7,163	13,235	14,000	14,000	0	0.0%
A&S Modern Languages	10767	Interpreter Training Lab Fee	1,545	2,543	2,200	2,200	0	0.0%
A&S Modern Languages	10799	Montpellier Work Exchange	893	16,293	0	0	0	0.0%
A&S Pan African Studies	01119	Pan African Department	992,376	1,043,690	1,076,798	1,226,992	150,194	13.9%
A&S Pan African Studies	01283	A&S Saturday Academy	1,000	0	0	0	0	0.0%
A&S Pan African Studies	01999	Pan-African Ph.D. Program	5,684	12,339	0	0	0	0.0%
A&S Pan African Studies	10626	Distance Ed. -PAS	216,578	270,591	280,000	280,000	0	0.0%
A&S Pan African Studies	10785	PAS International Programs	(8,582)	(8,010)	30,000	30,000	0	0.0%
A&S Pan African Studies	10786	Center on Race and Inequality	9,302	1,912	0	0	0	0.0%
A&S Philosophy	01120	Philosophy	1,123,893	1,091,568	1,078,069	1,141,831	63,762	5.9%
A&S Philosophy	10652	Dist. Ed- Philosophy	312,905	327,751	233,440	233,440	0	0.0%
A&S Philosophy	10672	Distance Ed-Social Change	4,839	7,645	5,000	5,000	0	0.0%
A&S Philosophy	10796	Diversity Literacy	(5,192)	1,996	0	0	0	0.0%
A&S Philosophy	30387	Masolo Fund	9,609	11,736	0	0	0	0.0%
A&S Physics	01292	Moore Observatory	6,853	7,222	6,953	6,953	0	0.0%
A&S Physics	01664	A&S-Physics PhD Program	7,444	45,706	0	0	0	0.0%
A&S Physics	01665	A&S-BS Atmospheric Science	6,331	141,802	0	0	0	0.0%
A&S Physics	10674	Distance Ed-Physics	106,348	84,684	66,000	66,000	0	0.0%
A&S Physics	10713	Physics Lab Fees	26,434	72,292	36,000	36,000	0	0.0%
A&S Political Science	01122	Political Science	2,075,915	2,221,872	2,171,451	2,069,152	(102,299)	-4.7%
A&S Political Science	01293	Paralegal Studies Program	25,989	26,812	26,221	94,327	68,106	259.7%
A&S Political Science	10590	Dis Ed - Political Science	149,621	133,363	140,600	135,000	(5,600)	-4.0%
A&S Political Science	10723	Paralegal D.E.	31,366	59,914	40,000	40,000	0	0.0%
A&S Psychology	01123	Psychology	3,267,037	3,192,883	3,166,445	3,169,170	2,725	0.1%
A&S Psychology	01298	University Honors Program	593,444	649,607	653,652	667,190	13,538	2.1%
A&S Psychology	10589	Dis Ed - Psychology	435,617	488,761	365,000	465,000	100,000	27.4%

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Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
A&S Psychology	30566	CMHD Chapman	10,357	16,680	0	0	0	0.0%
A&S Psychology	51014	Sandra Sephton	0	0	0	8,854	8,854	0.0%
A&S Sociology	01124	Sociology	1,715,909	1,697,834	1,687,888	1,829,297	141,409	8.4%
A&S Sociology	01663	A&S-Sociology PhD Program	36,909	0	0	0	0	0.0%
A&S Sociology	10591	Dis Ed - Sociology	396,738	619,437	423,500	423,500	0	0.0%
A&S Special Projects	01277	Liberal Studies Prog	42,652	50,168	162,010	164,850	2,840	1.8%
A&S Special Projects	01278	Ctr for Humanity and Society	24,443	5,914	43,586	43,469	(117)	-0.3%
A&S Special Projects	01287	Cmte on Academic Publications	4,166	(937)	6,000	6,000	0	0.0%
A&S Special Projects	01288	Univ. Press Of Ky.	0	0	6,480	6,480	0	0.0%
A&S Special Projects	01579	LAS Program	12,291	10,978	0	0	0	0.0%
A&S Special Projects	01605	Anne Braden Institute	24,996	13,905	0	0	0	0.0%
A&S Special Projects	01633	A&S Outreach Programs	29,416	49,767	6,493	6,493	0	0.0%
A&S Special Projects	01634	A&S Programs-Diversity	10,172	17,125	6,492	6,492	0	0.0%
A&S Special Projects	01658	A&S Arts and Culture	14,022	(38)	0	0	0	0.0%
A&S Special Projects	10731	Panama QLU Program	328,248	205,943	382,000	382,000	0	0.0%
A&S Special Projects	10742	A&S LALS Distance Education	7,843	12,240	0	15,000	15,000	0.0%
A&S Special Projects	10790	D E Peace, Justice & Conflict	8,141	10,502	9,400	9,400	0	0.0%
A&S Special Projects	30241	Minority Programs	14,820	3,456	10,013	10,013	0	0.0%
A&S Theatre Arts	01125	Theatre Arts	1,080,416	1,136,262	1,162,416	1,212,254	49,838	4.3%
A&S Theatre Arts	01294	Afr-Amer Theatre Prog Project	41,462	43,714	57,822	58,193	371	0.6%
A&S Theatre Arts	10444	Theatre Arts Rep Company	1,617	2,909	2,000	2,000	0	0.0%
A&S Theatre Arts	10659	Dist Educ-Theatre Arts	144,384	102,505	61,200	95,500	34,300	56.0%
A&S Theatre Arts	10712	Theatre Arts Lab Fees	0	0	300	300	0	0.0%
A&S Theatre Arts	10798	Theatre Arts Ticket Revenue	0	3,524	29,000	9,500	(19,500)	-67.2%
A&S Theatre Arts	30238	Theatre Ticket Sales	65	6,476	0	0	0	0.0%
A&S Urban & Public Affairs	01156	A&S Urban & Public Affairs	214,025	206,397	0	0	0	0.0%
A&S Urban & Public Affairs	01304	A&S Urban & Public Affairs	1,808,985	1,875,774	2,175,668	1,973,752	(201,916)	-9.3%
A&S Urban & Public Affairs	10598	A&S Urban & Public Affairs	31,844	25,903	20,000	20,000	0	0.0%
A&S Urban & Public Affairs	10695	Urban & Pub Affair Lab Fee	14,629	15,432	13,600	13,600	0	0.0%
A&S Urban & Public Affairs	10714	UPA	150,804	122,060	122,000	122,000	0	0.0%
A&S Urban & Public Affairs	S1067	Urban Studies Institute	100,335	44,256	75,000	75,000	0	0.0%
A&S Women's & Gender Studies	01132	Women's Studies	659,286	660,524	712,083	651,045	(61,038)	-8.6%
A&S Women's & Gender Studies	10630	Dist Ed-Women & Gender Studies	227,588	250,454	180,000	180,000	0	0.0%
A&S Writing Center	01289	Writing Center	54,356	50,364	129,368	131,590	2,222	1.7%
A&S Writing Center	01313	General Education Curriculum	14,571	13,362	12,500	12,500	0	0.0%
A&S-Financial Aid	30027	T/R DSA - A&S	46,600	46,600	46,600	46,600	0	0.0%
A&S-Financial Aid	30047	ROTC-Army	53,531	291,916	59,200	59,200	0	0.0%
A&S-Financial Aid	30048	ROTC Matching	61,470	59,700	59,700	59,700	0	0.0%

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
A&S-Financial Aid	30049	Actor's Theatre Scholarship	28,700	28,700	28,700	28,700	0	0.0%
A&S-Financial Aid	30050	Creative Writing Scholarship	19,557	23,500	23,500	23,500	0	0.0%
A&S-Financial Aid	30051	Debate Team Scholars	81,371	75,932	113,900	113,900	0	0.0%
Comparative Humanities	01131	Comparative Humanities	1,124,026	1,048,473	978,489	950,554	(27,935)	-2.9%
Comparative Humanities	10643	Dist Ed-Humanities	335,653	326,236	325,000	325,000	0	0.0%
Comparative Humanities	30367	Humanities Doctoral Program	5,006	4,580	0	0	0	0.0%
Intensive English	10424	IESL-Intnsv Engl as Scnd Lang	309,992	307,655	366,000	0	(366,000)	-100.0%
College of Arts & Sciences Total			65,365,002	67,387,498	63,778,106	65,014,380	1,236,274	1.9%

Academic Unit Summaries

2018 Operating Budget



College of Business at a Glance				
Year Established	1953	Academic Regalia Inter-Collegiate Color		Drab
Facilities and Main Office	College of Business Building			
Financial Information				
	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget
Total General Fund	\$20,371,068	\$22,033,989	\$23,160,820	\$23,101,580
Total Non-General Fund			\$10,126,440	\$4,940,807
Total College of Business			\$33,287,260	\$28,042,387
Performance Metrics				
	AY 2015	AY 2016	AY 2017	
Credit Hour Production	49,617	52,871	54,043	
Degrees				
Degrees Awarded		580	Not available	
Degrees Awarded Change Per Year	-10.7%	6.4%	at printing	
Enrollment by Level				
Undergraduate	1,702	1,978	2,043	
Graduate	266	300	317	
Total	1,968	2,278	2,360	

College of Business

Mission

The mission of the College of Business (COB) is to offer high quality undergraduate and graduate business programs that prepare our students for responsible and rewarding careers. We enhance the intellectual and economic vitality of our city, the region and the broader business community through our academic programs, research, and community outreach activities. We also engage in research that is original and applied that contributes to better understanding of business.

The COB was founded in 1953. The college serves an enrollment of approximately 2,100 undergraduate and 300 graduate students with a faculty of 84 and a staff of 54.

Goals

- It is our vision to be recognized as the leading business school in this region, and one of the leading metropolitan research business schools in the United States. We provide our students a competitive advantage in the job market by offering specialized programs and professional certifications.
- Entrepreneurial thinking and program offerings occupy a special place in the focus of the College of Business.

Instruction

The COB offers a Bachelor of Science in Business Administration degree with majors in Accounting, Computer Information Systems, Equine Business, Finance and Marketing. The COB also offers a Bachelor of Arts in Economics, and a Bachelor of Science in Economics. Graduate programs include several Master of Business Administration (MBA) choices, such as the full-time program, the evening Working Professional MBA, the weekend Working Professional MBA, the Entrepreneurship MBA, and the European MBA. In the fall of 2014, a Joint Executive MBA between the University of Kentucky and University of Louisville was launched. Other Joint MBA programs are available with the Brandeis School of Law (MBA/JD), the Speed School of Engineering (MBA/MEng), and the School of Medicine (MBA/MD). Joint programs can also be structured to meet the needs of students in any college in the university. Other graduate programs include a Master of Accountancy degree, and a Ph.D. degree in entrepreneurship.

New distance education classes are being offered in Economics, Management, and Accounting. Our enrollments continue to grow.

Research

Several faculty are widely recognized, national thought leaders in their disciplines. We engage in original research that is widely cited by other academics.

Significant Accomplishments

- Our undergraduate students adhere to the high admission and graduation standards. Our academic standards match the standards of the best public universities in the country.
- Our graduate students have the highest admission test scores and undergraduate credentials of any school in Kentucky – standards that are nationally competitive.
- Our doctoral students in entrepreneurship have achieved success as young teacher scholars. The incoming class had an average GMAT score of 698. This ranks well with our peers in the ACC.
- The COB is actively engaged in such endeavors as the Signature Partnership, the development and sponsorship of the “Thrivals Day” at IdeaFest, and numerous endeavors related to business development—such as, Venture Connectors, EnterpriseCorp, Nucleus, and the Cardinal Venture Fund II. We have launched an initiative with Portland Elementary School. The goal of this partnership is to stress the importance of education beyond high school.
- The COB has 18 active international partnerships that provide an opportunity for students from abroad to study at UofL, and for our students to live and study abroad. Opportunities for international learning experiences are available to all undergraduate and graduate students.
- The COB has developed innovative new programs that enhance the reputation of the COB and the university, while producing revenues that provide funds to support our core programs at the graduate and undergraduate levels.
- The COB continues to invest financial resources into our classrooms, student study space, and student career center with the latest technology such as smartboards, short-throw projectors, and desktop and application virtualization in our computer labs.

Budget Changes

- For FY 2018, the general fund budget decreases by \$59,000 primarily due to a \$1 million reduction to the UofL/UK Joint MBA due to lower than anticipated enrollment, offset by approximately \$750,000 for strategic initiatives, in part, for additional faculty.

College of Business General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
College of Business								
COB-Advising Ctr	01151	Advising Center-COB	626,736	638,237	639,993	642,721	2,728	0.4%
COB-CIS - Comp Info Sys	01305	Computer Info Systems	1,626,816	1,851,486	1,824,934	1,870,432	45,498	2.5%
COB-CIS - Comp Info Sys	10741	Dist Educ-CIS	22,300	0	0	84,000	84,000	0.0%
COB-CIS - Comp Info Sys	10760	CIS: MOS Exam Prep	194	0	0	0	0	0.0%
COB-Economics	01154	Dept Of Economics	1,989,501	2,068,582	2,233,934	2,272,741	38,807	1.7%
COB-Economics	10784	Dist Ed-Economics	50,184	78,295	170,000	146,000	(24,000)	-14.1%
COB-Economics	30504	Student Help-ECON/MKT	10,806	10,747	10,304	0	(10,304)	-100.0%
COB-Entrepreneurship	01302	Entrepreneurship	659,910	652,343	675,445	612,834	(62,611)	-9.3%
COB-Equine	10436	Equine Steward Accreditation	4,726	2,714	20,000	20,000	0	0.0%
COB-Equine	10458	Ky Equine Industry Pari-Mutuel	275,672	(0)	0	0	0	0.0%
COB-Equine	10459	Equine Trust Program	0	50	0	0	0	0.0%
COB-Equine	17002	Ky Equine Industry Pari-Mutuel	0	218,553	400,000	400,000	0	0.0%
COB-Equine	17003	Equine Trust Program	0	0	60,000	120,000	60,000	100.0%
COB-Finance	01301	Finance	1,653,598	1,629,212	2,092,107	1,906,110	(185,997)	-8.9%
COB-Financial Aid	30028	T/R DSA-COB	50,924	55,500	55,500	55,500	0	0.0%
COB-Management	01155	Management	1,912,254	1,817,337	1,772,437	1,788,606	16,169	0.9%
COB-Management	01548	Entrepreneurship PhD	95,418	208,485	245,882	253,178	7,296	3.0%
COB-Management	10758	Dist-Educ-Mgmt	17,226	55,087	110,000	99,000	(11,000)	-10.0%
COB-Management	30502	Student Help-CIS/MGT	7,647	9,846	10,304	0	(10,304)	-100.0%
COB-Marketing	01303	Marketing Department	1,270,397	1,665,298	1,632,107	1,598,326	(33,781)	-2.1%
COB-Marketing	10599	Dist Ed-Marketing	0	0	15,000	30,800	15,800	105.3%
COB-School of Accountancy	01153	School Of Accountancy	2,387,677	2,450,950	2,630,523	2,744,276	113,753	4.3%
COB-School of Accountancy	10438	Louis A Grief Tax Institute	34,104	274	0	0	0	0.0%
COB-School of Accountancy	10727	Dist Ed-Finance	45,962	53,308	120,000	110,000	(10,000)	-8.3%
COB-School of Accountancy	10800	Dist Ed-Accountancy	0	12,703	60,000	140,000	80,000	133.3%
COB-School of Accountancy	30503	Student Help-ACCT/FIN	6,237	10,516	10,304	0	(10,304)	-100.0%

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Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
COB-School of Accountancy	30561	Certificate in Accounting	0	0	0	0	0	0.0%
College of Business	01150	Business School-Administration	1,300,302	1,178,766	936,682	1,449,488	512,806	54.7%
College of Business	01300	COB-Technology	123,262	126,398	127,345	0	(127,345)	-100.0%
College of Business	01554	COB Honors Program	20,274	20,827	0	0	0	0.0%
College of Business	01560	Faculty Research Fund	572,148	514,495	0	0	0	0.0%
College of Business	01569	Equine Education Improvements	732,486	718,303	728,725	708,366	(20,359)	-2.8%
College of Business	01572	Career Ctr Technology Tools	54,671	64,688	0	0	0	0.0%
College of Business	01584	COB TRAVEL FUND	60,533	74,592	0	0	0	0.0%
College of Business	01640	COB Faculty Summer Salaries	272,033	273,416	271,126	271,126	0	0.0%
College of Business	01941	COB Lecturer Salaries	296,140	361,030	227,681	313,604	85,923	37.7%
College of Business	10530	Family Business Center	237,180	382,190	278,000	258,000	(20,000)	-7.2%
College of Business	10548	COB-Student Council	8,136	7,417	7,100	7,100	0	0.0%
College of Business	10597	Dist Ed-COB	21,521	49,164	50,000	200,000	150,000	300.0%
College of Business	10610	Miami/Europe	263,731	175,213	400,000	250,000	(150,000)	-37.5%
College of Business	10675	Global MBA Program	125,196	129,269	175,000	175,000	0	0.0%
College of Business	10706	Technology-Career Managmnt Fee	320,534	372,809	360,000	375,000	15,000	4.2%
College of Business	10768	Graduate Business Course Fee	0	0	6,000	1,500	(4,500)	-75.0%
College of Business	10769	Prof MBA Tuition-COB Share	461,242	379,572	500,000	500,000	0	0.0%
College of Business	10770	IMBA Tuition COB Differential	266,367	293,574	600,000	420,000	(180,000)	-30.0%
College of Business	10771	MACC Tuition COB Differential	21,262	79,454	80,000	60,000	(20,000)	-25.0%
College of Business	10772	Joint MBA Tuition-COB Share	0	72,169	67,500	114,000	46,500	68.9%
College of Business	10774	IMBA/MBA Intl Trip Fund	367,314	425,066	450,000	450,000	0	0.0%
College of Business	10775	FTMBA Tuition-COB	648,673	1,077,818	900,000	1,200,000	300,000	33.3%
College of Business	10776	UofL Grad Post MBA Tuit-COB	0	0	3,000	3,000	0	0.0%
College of Business	10777	Non UofL Grad Post MBA Tui-COB	0	0	3,000	3,000	0	0.0%
College of Business	10778	Certificate in Accounting	(360)	37,542	39,000	127,500	88,500	226.9%
College of Business	10782	UK-UL Joint Executive MBA Prog	406,738	669,284	1,012,500	500,000	(512,500)	-50.6%
College of Business	10792	UK Portion of ExecMBA Program	0	754,452	1,012,500	500,000	(512,500)	-50.6%
College of Business	30353	ULMER CAREER MANAGEMENT CENTER	523,394	306,959	310,349	320,372	10,023	3.2%
College of Business	30576	COB VSIP Reserve	0	0	(173,462)	0	173,462	-100.0%
College of Business	39083	COB - Discretionary Funds	520,000	0	0	0	0	0.0%
College of Business Total			20,371,068	22,033,989	23,160,820	23,101,580	(59,240)	-0.3%

School of Dentistry at a Glance				
Year Established	1887	Academic Regalia Inter-Collegiate Color		Lilac
Facilities and Main Office	501 South Preston Street			
<i>Financial Information</i>				
	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget
Total General Fund	\$27,050,391	\$28,780,127	\$25,952,373	\$27,463,400
Total Non-General Fund			\$22,323,936	\$24,276,270
Total School of Dentistry			\$48,276,309	\$51,739,670
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit Hour Production	28,632	28,864	27,131	
Degrees				
Degrees Awarded		172	Not available	
Degrees Awarded Change Per Year	-4.1%	4.9%	at printing	
Enrollment by Level				
Undergraduate	161	151	157	
Graduate	570	575	567	
Total	731	726	724	

School of Dentistry

The University of Louisville School of Dentistry (ULSD), founded in 1887, educates students, conducts research, and provides quality dental care to the community to fulfill the School's urban and statewide missions. The School serves 480 D.M.D. students, 60 Dental Hygiene students, 59 postgraduate students/residents in 7 residency programs, and 16 M.S. in Oral Biology students and has approximately 150 full- and part-time faculty and 200 staff.

Dr. T. Gerard Bradley, BDS, MS, Dr. Med. Dent., joined the School as Dean on August 1, 2016. Dr. Bradley is a Board-Certified Orthodontist, who spent 18 years at Marquette University, most recently as Associate Dean for Research and Graduate Studies, before coming to Louisville.

The school completed its accreditation site visits in September 2015. All programs at the School of Dentistry are fully accredited without reporting requirements through 2022.

Goals

- Develop a contemporary dental health curriculum with emphasis on new and emerging areas.
- Foster a school-wide climate of professional development that values teaching and instructional best practices.
- Embed within the curriculum a strong emphasis on the delivery of patient-centered care.
- Ensure that all ULSD academic programs promote research and scholarship.
- Expand ULSD's research enterprise by building on our national and international reputation, and develop new areas and specialties with a goal of enhancing ULSD's national and international prominence.
- Increase research, scholarship, and creative activities in the clinical departments and foster increased collaboration between the basic and clinical sciences.
- Expand opportunities for students to participate in research, scholarship, and creative activities.
- Work in partnership with public and private entities to provide local and global community-based experiences for faculty, staff and students. These experiences include academic exchanges, research collaborations, and community engagement activities and initiatives through the provision of oral health literacy and clinical services, as well as continuing dental education courses (CE).
- Prepare patient-centered, culturally competent oral health professionals who will have a lifelong ethic of service as leaders in the health, cultural, social, and economic aspects of their communities.
- Promote community engaged research, scholarship, and creative activity among faculty and students.

Instruction

- During 2016, the partnership between Jagellonian University in Krakow, Poland and the University of Louisville School of Dentistry continued as two dental students from each University spent two weeks at the sister institution. Students engaged in curricular sharing, “shadowing” dental students and faculty, and participating in cross-cultural activities.
- The DMD Class of 2016 was the third graduating class that represented the new enrollment of 120 per class.

Research

- Two Professors in the Department of Oral Immunology and Infectious Diseases were awarded National Institute of Health Research Project (R21) grants.
- Five summer research students presented their findings at the 2017 International Association for Dental Research meeting in San Francisco. Two other students presented posters at the Hinman Student Research Conference in Memphis. Two students presented at the Kentucky Academy of Sciences.
- A faculty member in the Department of Surgical and Hospital Dentistry was awarded a U01 grant focusing on promotion of oral health in persons with disabilities.

Significant Accomplishments

- During 2015-16, the University of Louisville School of Dentistry Richard L. Miller Oral Health Clinic in Elizabethtown again increased its service delivery to persons with HIV via the hiring of additional dental providers and caseworkers for the patient population who are served at that location. Funding from the Commonwealth of Kentucky via the Ryan White Part B Grant supported this expansion.
- Developed a new strategic plan 2017-2020, which was approved by faculty assembly February 23 2017.

The School of Dentistry has signed an agreement with the Red Bird Clinic in Beverley, Kentucky. This cooperative agreement will allow dental students to travel to this clinic in a rural, underserved area to provide direct patient care to local residents

Budget Changes

- The FY 2018 general fund budget increases by \$1.5 million due to debt service payments that were previously budgeted centrally, and a reallocation of resources to better position the school to achieve its mission.

Academic Unit Summaries

2018 Operating Budget



School of Dentistry General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
School of Dentistry								
Dent - Admin	01199	Dental Administration	1,306,445	3,085,279	1,798,923	2,213,785	414,862	23.1%
Dent - Admin	01355	Dean Research Program	216,929	189,748	200,000	203,436	3,436	1.7%
Dent - Admin	01457	Dental Applicat Fees	952	648	0	0	0	0.0%
Dent - Admin	01458	DTL-Dean's Disc Fund	1,565	520,540	0	0	0	0.0%
Dent - Admin	10574	Dental Instrument Rental	3,065,631	3,308,745	3,487,000	3,337,265	(149,735)	-4.3%
Dent - Admin	10687	Grad Ped Dent Residency Fee	52,054	55,524	56,000	56,000	0	0.0%
Dent - Admin	10688	Grad Periodontic Residency Fee	62,465	62,465	63,000	63,000	0	0.0%
Dent - Admin	10689	Grad Endodontic Residency Fee	41,643	41,643	42,000	42,000	0	0.0%
Dent - Admin	10690	Grad Orthodontic Residency Fee	76,346	90,227	84,000	84,000	0	0.0%
Dent - Admin	10751	Grad Prosthodontic Residency F	41,643	41,643	42,000	42,000	0	0.0%
Dent - Admin	10779	Dist Ed- Dental Admin	400	699	600	600	0	0.0%
Dent - Admin	10780	Dist Ed-Dental Hygiene	5,007	6,189	6,000	6,000	0	0.0%
Dent - Admin	30044	General Dentistry Scholarship	221,346	221,900	221,900	221,900	0	0.0%
Dent - Admin	30072	Tuit Rem-Omfs Reside	73,500	73,500	73,500	73,500	0	0.0%
Dent - Birth Defects Res Ctr	01210	Birth Defects Research Center	353,750	597,474	613,065	0	(613,065)	-100.0%
Dent - Clinic Support	01209	Academic Support	4,926,069	4,377,011	1,547,562	1,083,999	(463,563)	-30.0%
Dent - Research	01206	Research	65,731	3,347	15,000	107,985	92,985	619.9%
Dent - Student Affairs	01208	Student Affairs-DTL	598,153	577,885	596,777	629,526	32,749	5.5%
Dent - Student Affairs	10553	Dental - Student Council	20,275	25,530	21,400	21,400	0	0.0%
Dent - Surgical & Hospital	01201	Mol Cel Cran Biol Sc	412,376	369,798	581,846	0	(581,846)	-100.0%
Dent - Surgical & Hospital	01205	Surgical & Hospital	1,437,751	1,411,996	1,761,263	2,745,642	984,379	55.9%
Dent - Surgical & Hospital	01207	Dental Clinic Supprt	3,575,239	3,681,524	3,464,834	3,453,487	(11,347)	-0.3%
Dent - Surgical & Hospital	02063	Oral Immunol & Infect Diseases	0	437,815	1,158,160	1,619,581	461,421	39.8%
Dent - Surgical & Hospital	10575	Sterilizer Service Program	142,913	215,439	174,100	194,053	19,953	11.5%
Dent - Surgical & Hospital	52005	CRIF-Birth Defects Center	0	0	0	204,192	204,192	0.0%
Dent-Gen Dentistry & Oral Med	01202	Gen Dentistry & Oral Medicine	5,307,342	5,072,235	5,547,393	5,902,771	355,378	6.4%
Dent-Gen Dentistry & Oral Med	10420	Continuing Ed - Dentistry	253,209	243,909	254,800	225,086	(29,714)	-11.7%
Dent-Oral Health & Rehab	01204	Oral Health & Rehabilitation	4,011,339	3,363,701	3,281,053	3,990,877	709,824	21.6%
Dent-Ortho, Ped & Spec Care	01200	Ortho Ped & Special Care	780,318	703,712	860,197	941,315	81,118	9.4%
School of Dentistry Total			27,050,391	28,780,127	25,952,373	27,463,400	1,511,027	5.8%

College of Education and Human Development at a Glance				
Year Established	1968	Academic Regalia Inter-Collegiate Color		Light Blue
Facilities and Main Office	College of Education and Human Development Building - Room 124			
<i>Financial Information</i>				
	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget
Total General Fund	\$21,327,161	\$21,850,174	\$22,477,121	\$20,384,201
Total Non-General Fund			\$4,057,711	\$4,576,963
Total College of Education			\$26,534,832	\$24,961,164
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit Hour Production	58,983	59,398	65,477	
Degrees				
Degrees Awarded		834	Not available	
Degrees Awarded Change Per Year	-3.8%	-7.9%	at printing	
Enrollment by Level				
Undergraduate	2,493	2,460	2,173	
Graduate	1,097	1,163	1,325	
Total	3,590	3,623	3,498	

College of Education and Human Development

Mission

The College of Education and Human Development (CEHD) embraces the University's mission as a metropolitan research university committed to advancing the intellectual, cultural, and economic development of our diverse communities and citizens. We promote the highest levels of learning and social, emotional, and physical health and well-being for all children, individuals, and families. Our mission is to advance knowledge and understanding across our disciplines and constituencies and to develop leaders in education, who will inform policy, improve practice, strengthen communities, and address pressing social concerns. We seek to continuously improve the quality of life for all in our metropolitan community, the Commonwealth of Kentucky, and the nation.

About the CEHD

The College of Education and Human Development has six departments:

- Educational Leadership, Evaluation and Organizational Development
- Counseling and Human Development
- Health and Sport Sciences
- Early Childhood and Elementary Education
- Middle and Secondary Education
- Special Education

The CEHD's Education Resource and Technology Center provides technology support to students, faculty, and staff and maintains the college's computer labs. The Office of Research and Innovation offers grant funding and contract services for faculty in the College. CEHD students receive advising and support services from the Office of Education Advising and Student Services. Gheens Science Hall and Rauch Planetarium and the Early Learning Campus are also part of the CEHD. Both serve the University and local community.

CEHD Facts

- Number of degree programs, certifications, endorsements, extensions, rank programs, specializations, concentrations, etc., in the CEHD is over 75
- Number of full-time term, tenure-track, and tenured faculty is 109

- Number of full-time staff, including the Kentucky Autism Training Center and the Planetarium is 71; the Early Learning Campus has 41 employees
- Approximately 45% of CEHD faculty have been hired in the past five years
- Total number of peer-reviewed publications in 2015, was 177, surpassing the Scorecard goal of 100
- CEHD has the largest graduate enrollment (1,189) and 3rd largest undergraduate enrollment (1,934) at UofL (Spring 2017)

Recent Administrative and Faculty Appointments

Dr. Meg Hancock is a new Interim Department Chair for the Department of Health and Sport Sciences. Dr. Michelle Foster, the Henry Heuser, Jr. Endowed Chair in Urban Education Partnerships, joined the CEHD in fall 2016. She will work with community organizations, schools, and individuals to create partnerships that support and enhance the work of the college and university regarding the Signature Partnership Initiative as well as other programs that fall under the Nystrand Center of Excellence in Education.

Notable CEHD Goals for Academic Year 2018

- Increase number of doctoral students in the CEHD
- Increase undergraduate and graduate student enrollment and retention
- Provide structures in the form of Communities of Practice that implement and advocate for high quality programs and student services support; integration of teaching, scholarship and service; community engagement to support high quality teaching and learning; expand the Clinical Model of Teacher Preparation in Jefferson County Public Schools and the Ohio Valley Educational Cooperative
- Uphold and advocate for inclusion, equity, diversity, and access in teaching, scholarship, service and community engagement; demonstrate a commitment to democratic ideals, inclusion, and cultural competence, and increase efforts to recruit and retain diverse faculty and K-12 student teachers
- Strengthen community partnerships, better engage CEHD alumni and increase the rate of giving to the CEHD; raise awareness and visibility of the college and its work
- Create metrics and outcomes for CEHD's new Strategic Plan, (2016)
- Continue to maintain accreditations and engage in continuous improvement and quality assurance to the public

CEHD Strategic Plan Priorities

- Educational Excellence
- Research, Scholarship, and Creative Activity
- Community Engagement
- Diversity, Equity, and Social Justice
- Effective and Responsible Stewardship

Instruction

The CEHD's clinical model of teacher preparation has received local and national attention. It follows the clinical model of preparation for medical education, and students (teacher candidates) are taught classes on site in our partner schools. They are matched with an experienced mentor teacher to apply what they learn in a P-12 classroom. This model produces teachers with continuous practical experience who are well equipped to manage classrooms and ensure the highest level of instruction for children. Many of our teacher education graduates have been recognized for their work through the WHAS ExCEL Awards, Hilliard Lyons Excellence Awards, and the Kentucky Teacher of the Year Award, as well as many who have received National Board for Professional Teaching Standards (NBPTS) certification. In addition, three 2016 graduates of the MAT program were winners of the prestigious Fulbright Scholarship.

The CEHD's Endorsement, English as a Second Language, recently became available 100% online--making it accessible for teachers across the state. The M.Ed. in Teacher Leadership is now 100% online as well. Teachers can complete other endorsements within the M.Ed. program, which allow them more career options and the flexibility to meet the needs of their school districts.

International Learning

CEHD students participated in International Learning Experiences in Belize, Peru, Ireland, Manchester, UK, and Amsterdam. Teacher education students traveled to local villages in the southern part of Belize to teach children who ranged in age from kindergarten to high school. Students in educational leadership programs studied K-12 leadership systems in Peru. Students in the Department of Health and Sport Sciences traveled to Amsterdam to gain onsite experience in managing large-scale sporting events by volunteering at the European Athletic Championship. The trip to Ireland gave students the opportunity to study cultural influences on counseling and psychology and art therapy at the University College Cork and the Crawford College of Arts and Sciences at the Cork Institute of Technology.

Students in the CEHD's Organizational Leadership and Learning, Higher Education Administration, and Human Resource and Organizational Development programs traveled to Manchester, England to attend the University Forum for Human Resource Development 2016 Annual Conference.

2017 International Learning Experiences for students, faculty, and staff are scheduled for Belize, Ireland, Portugal, and Poland.

Research

The Louisville Writing Project (LWP) received a \$20,000 College-Ready Writers Program grant. The grant supported 12 to 16 experienced middle and high school Writing Project teacher leaders through an Advanced Institute that began in summer 2016 and continued through the 2016 school year.

Dr. Tom Tretter, Department of Middle and Secondary Education, Dr. Justin McFadden, Department of Early Childhood and Elementary Education, and Brian Robinson, Speed School of Engineering, received a \$205,000 grant from the Kentucky Department of Education Math-Science Partnership Program for the Assessments of Science Enabling Successful Students project. They will work with 50 teachers and administrators in school districts located in western Kentucky. They will target the development of well-structured science classroom formative assessments that are aligned with new state science standards and the Next Generation Science Standards.

Funding Rates for the CEHD:

- 111 proposals were submitted FY 2016
- 43 new awards were funded during FY 2016
- Total awards for FY 2016 is \$5,001,060
- 8 first-time PI's were involved in getting grants
- 51 Graduate Assistantships: 39 funded by the CEHD, 8 grant funded, 4 university funded

Significant Accomplishments

Council for the Accreditation of Educator Preparation (CAEP) and Kentucky Education Professional Standards Board (EPSB) Accreditation

The CEHD was the first college of education in Kentucky to undergo CAEP accreditation (formerly NCATE). Findings from the accreditation team will be shared by CAEP in August 2017 and by EPSB in late spring 2017. Overall, the college's teacher preparation programs received positive feedback from the accreditation team at the fall 2016 onsite visit. The accreditation team was particularly impressed by the hospitality shown by faculty, staff, students, and alumni of the CEHD; how well prepared and organized the CEHD was for the visit; partnerships with P-12 districts and schools (JCPS and OVEC); and the commitment to high standards demonstrated by everyone they encountered throughout the review process.

Center for Instructional Behavior Research in Schools

The CEHD is proud to announce that the UofL Board of Trustees formally approved the new Center for Instructional Behavior Research in Schools (CIBRS) in 2016. Dr. Terry Scott, Professor and Distinguished University Scholar, directs CIBRS. CIBRS provides behavioral supports and intervention methods to understand and prevent student failure and dysfunction, pre-school through post-secondary education.

Department of Health and Sport Sciences

The CEHD's Department of Health and Sport Sciences, which was based in Crawford Gym and the HPES building is now located in the SAC East. It is the first time that all faculty, staff, and programs are under one roof. They now have state-of-the-art classrooms and labs for students and faculty to teach and conduct research. Students, staff, and faculty are ecstatic about their new home.

Budget Changes

- The FY 2018 budget decreases by \$2.1 million because the CEHD does not intend on filling positions that became vacant in the Educational Leadership, Evaluation and Organizational Development and Health and Sports Sciences departments. This alone results in a budget reduction of nearly \$800,000.
- In addition, due to changes in contracts and shifting expenses to other sources within the CEHD, the Cardinal Success program budget is reduced by \$365,000.

College of Education and Human Development Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
College of Education and Human Development								
ED Early Childhood & Elem Educ	01165	Teaching & Learning	1,076,062	1,169,594	1,131,955	1,234,388	102,433	9.0%
ED Early Childhood & Elem Educ	10593	Dist Ed-EDTL	79,415	106,552	171,000	230,000	59,000	34.5%
ED-Academic Support	01174	ED-Academic Support	707,870	697,545	865,299	672,413	(192,886)	-22.3%
ED-Ctr for Math & Science Ed.	01160	CTR FOR MATH & SCIENCE ED	153,987	91,210	117,032	90,620	(26,412)	-22.6%
ED-Dean Administration	01159	Education-Administration	1,173,499	1,132,759	1,236,225	1,007,344	(228,881)	-18.5%
ED-Dean Administration	10595	DIST ED-ED ADMIN	325,268	332,924	252,000	290,000	38,000	15.1%
ED-Dean Administration	30015	Fees Rem- Education	119,992	118,914	122,600	91,950	(30,650)	-25.0%
ED-Dean Administration	30073	JCPS Teach Grd Prg	270,372	252,780	271,100	203,350	(67,750)	-25.0%
ED-Dean Administration	30255	Ed-Dean's Disc Funds	289,442	312,000	0	0	0	0.0%
ED-Early Chld.Res.Ctr.	01169	Intd Ctr Res Erl Chd	295,337	202,309	246,779	179,206	(67,573)	-27.4%
ED-Early Learning Campus	01624	Early Childhood Develop. Ctr	135,238	192,400	205,939	207,882	1,943	0.9%
ED-Early Learning Campus	10722	Early Learning Campus	1,936,641	1,746,617	1,677,600	1,677,600	0	0.0%
ED-Ed. Resource Tech Center	01179	Ed Resourc & Tech Center	631,198	620,308	349,833	308,813	(41,020)	-11.7%
Ed-EDTL-KATC	01227	State Autism Training Center	183,224	197,558	211,681	210,217	(1,464)	-0.7%
ED-Educ Ldshp Eval & Orgzl Dev	01175	Leder.,Fnd.,Hr Ed	1,425,436	1,393,983	1,582,200	1,271,700	(310,500)	-19.6%
ED-Educ Ldshp Eval & Orgzl Dev	01622	Workforce Leadership	71,819	50,793	104,780	19,900	(84,880)	-81.0%
ED-Educ Ldshp Eval & Orgzl Dev	10596	Dist Ed-ELFH	782,008	825,977	1,393,000	1,552,000	159,000	11.4%
ED-Educ Ldshp Eval & Orgzl Dev	10668	Workforce Completr Degree Prog	342,359	353,534	415,000	300,000	(115,000)	-27.7%
ED-Educ Ldshp Eval & Orgzl Dev	10740	WHRE Panam	4,453	0	0	0	0	0.0%
ED-Educ Ldshp Eval & Orgzl Dev	10759	M.S HR/OLL-Ft. Knox	77,067	89,227	38,307	38,880	573	1.5%
ED-Educ Ldshp Eval & Orgzl Dev	10803	Competency Based Educ (CBE)	0	0	78,300	78,300	0	0.0%
ED-Education Advising Ctr.	01170	ED-Academic Advising	1,062,019	1,119,480	1,092,384	1,010,719	(81,665)	-7.5%
ED-Education Advising Ctr.	10549	Education - Student Council	674	596	1,500	1,000	(500)	-33.3%
ED-Education Counsel. Psych.	01163	Educ & Counsel Psych	1,455,312	1,322,502	1,500,615	1,525,496	24,881	1.7%
ED-Education Counsel. Psych.	10603	Dis Ed-ECPY	10,306	(0)	44,800	57,440	12,640	28.2%
ED-Education Counsel. Psych.	10793	Cardinal Success Prg-Off-Site	252,143	593,599	364,799	0	(364,799)	-100.0%
ED-Education Instruction	01173	ED - Instruction	807,904	862,886	944,244	933,400	(10,844)	-1.1%
ED-Education Research	01162	CEHD Education Research	377,487	230,157	291,945	160,632	(131,313)	-45.0%
ED-ELFH-EDAD Coop.Program	10470	UL/WKU & ELOD/ED.D	178,959	21,441	155,000	212,500	57,500	37.1%
ED-ELFH-FORD/GE/UPS Program	10441	ELFH/GE/Off campus program	78,617	208,650	625,000	717,700	92,700	14.8%
ED-Fort Knox Programs	01176	Fort Knox Program	157,682	131,823	186,817	165,040	(21,777)	-11.7%
ED-MTRP	01168	Min Teach Recruitment Program	99,804	104,056	11,080	0	(11,080)	-100.0%
ED-Nystrand Ctr Excel in Ed	01172	NYSTRAND CTR EXC ED	736,818	893,904	208,637	136,800	(71,837)	-34.4%
ED-Nystrand Ctr Excel in Ed	10788	TEAD field and clinical fee	56,188	55,435	60,000	60,000	0	0.0%

Academic Unit Summaries

2018 Operating Budget



Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
ED-Teach & Learn Special Edu	01167	EDTL-Spec. Educ.	1,137,477	1,148,754	1,269,994	1,312,740	42,746	3.4%
ED-Teach&Learn Midl & Sec Edu	01166	EDTL-Mid & Sec. Edu	1,242,766	1,438,322	1,535,513	1,269,035	(266,478)	-17.4%
ED-UofL / JCPS Partnership Prg	01171	JCPS/UL Part Program	19,848	20,557	21,733	0	(21,733)	-100.0%
Expressive Therapies	10791	Art Therapy Tuition	227,209	13,040	0	0	0	0.0%
Health and Sports Sciences	01177	HPES	2,297,386	2,395,381	2,365,466	1,877,236	(488,230)	-20.6%
Health and Sports Sciences	10594	Dist Ed-HPES	665,174	948,080	788,000	800,000	12,000	1.5%
Health and Sports Sciences	10707	HSS Course Fee	106,302	97,769	106,000	100,000	(6,000)	-5.7%
Health and Sports Sciences	10802	HSS Lab Fee	0	4,624	45,000	35,000	(10,000)	-22.2%
Learning Improvement Ctr	01178	ECPY Clinical Training	2,789	1,614	4,364	0	(4,364)	-100.0%
Rauch Planetarium	10445	GSH & Rauch Planetarium	273,614	350,519	383,600	344,900	(38,700)	-10.1%
College of Education and Human Development Total			21,327,161	21,850,174	22,477,121	20,384,201	(2,092,920)	-9.3%

School of Interdisciplinary and Graduate Studies at a Glance				
Year Established	1918	Academic Regalia Inter-Collegiate Color		Dark Blue
Facilities and Main Office	Houchens Building - Room 105			
<i>Financial Information</i>				
Fiscal Year	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget
Total General Fund	\$13,996,655	\$12,060,576	\$13,611,126	\$11,688,509
Total Non-General Fund			\$0	\$63,427
Total Graduate School			\$13,611,126	\$11,751,936
<i>Performance Metrics</i>				
Academic Year	AY 2015	AY 2016	AY 2017	
Credit Hour Production	0	26	10	
Degrees				
Degrees Awarded	2	5	Not available	
Degrees Awarded Change Per Year	-60.0%	150.0%	at printing	
Enrollment by Level				
Graduate	20	28	30	

School of Interdisciplinary and Graduate Studies

The School of Interdisciplinary and Graduate Studies (SIGS) provides administrative support, professional development and student academic services for post-baccalaureate instructional programs leading to certificates, master's and doctoral degrees. In addition, it is the enrollment unit for interdisciplinary master's and doctoral programs. SIGS supports the efforts of all University of Louisville academic units in providing programs leading to advanced degrees in the arts, humanities, social sciences, natural sciences, education, engineering, biomedical and health sciences, business, and engineering. This includes the current offerings of advanced studies in 74 areas at the master's level, 35 at the doctoral level, and one specialist degree program.

Goals SIGS will continue to implement a "Community Engagement Academy", which is the fourth leg of the PLAN Academy program. The other legs include the GTA Academy, the Grant Writing Academy, and the Entrepreneurship Academy. We will also continue to partner with the Libraries to offer the Publication Academy for graduate students.

- Promote Diversity Initiatives program to increase participation of under-represented students, including a new "Presidential Diversity Scholars Program", which we marketed in Spring 2017 and will award to ten students entering in Fall 2017.
- Developing a financial literacy program for graduate students, using materials provided by the Council of Graduate Schools with implementation of the program in Fall 2017.
- Working with Institutional Research, develop an extramural assessment procedure for graduate programs.
- Continue to manage tuition remission awards for CAR funded graduate students and oversee a tuition match program for students whose stipends are funded by non-CAR sources.

Instruction

The role of the School of Interdisciplinary and Graduate Studies is to advocate for graduate education and graduate students across the university. SIGS provides financial support and professional development for post baccalaureate students, and fosters creation of graduate interdisciplinary programs. The unit, through the Graduate Council, establishes minimum guidelines for graduate education and oversees policies for administering graduate education at the University of Louisville. SIGS also partners with the academic units to help those units recruit, retain, and prepare students for successful careers.

SIGS provides support to students and faculty who wish to create interdisciplinary graduate training tracks under the umbrella of the two master's and one doctoral interdisciplinary graduate degree programs housed in SIGS, and provides fellowships to seed faculty-initiated tracks in these programs. Currently there are five interdisciplinary tracks: the Master of Arts in Interdisciplinary Studies with a Concentration in Bioethics and Medical Humanities, Master of Arts/Sciences in Sustainability, a Doctoral Program in Interdisciplinary Studies with a Specialization in Bioinformatics, a Doctoral Program in Interdisciplinary Studies with a Specialization in Translational Neuroscience, and a Doctoral Program in Translational Biomedical Engineering. SIGS also offers a mechanism for students to develop an ad hoc degree program, in consultation with a faculty steering committee, under the auspices of the interdisciplinary graduate programs. In the 2016-2017 academic year, there were 22 students enrolled across the active interdisciplinary tracks and in the ad hoc programs. One student graduated in the Summer, 2016 term and nine students are candidates for graduation in the Spring, 2017 term.

Research

SIGS is the host unit for the National Science Foundation Graduate Research Fellowship Program (GRFP), which is a highly competitive scholarship program available to doctoral students. In 2016-2017, SIGS had two resident GRFP fellows. Also in 2016-2017, SIGS awarded 62 University Fellowships, as well as 61 tuition-only awards. SIGS sponsors the Graduate Student Honor awards for fall and spring commencement and the Faculty Mentor Awards for the spring Commencement. We also sponsor research prizes for Research!Louisville and CODRE.

Significant Accomplishments

Community Engagement:

During the 2016-2017 began the Community Engagement Academy, which is a year-long series of sessions that will allow students to participate in community engagement opportunities throughout the Louisville community, highlighting a strong partnership with the Parklands of Floyds Fork. This year, we explored additional partnerships, including the Council for Developmental Disabilities, and the Backside Learning Center at Churchill Downs, and we plan on working with those agencies in next year's academy. We also hope to partner with the new Consortium on Transdisciplinary Social Justice Research.

Diversity and Opportunity:

One of SIGS' goals is to create recruitment and retention programs for diverse student populations. The objectives of these programs are to: 1) Monitor the academic and social progress of our under-represented graduate student population; 2) Develop and identify proactive interventions for improvements in areas that will help increase student retention rates; 3) Provide one-on-one consultation and referrals; and 4) Encourage a network of support for students across academic disciplines. The Minority Association of Graduate Students (M.A.G.S.) was created two years ago in support of the aforementioned objectives, and is thriving.

SIGS also developed the Presidential Diversity Scholars Program, which will be implemented in the 2017-18 academic year. This is a partnership with units and programs who fund URM doctoral students; we will provide up to ten students with an additional \$1,000 in professional development funding, as well as professional development through the PLAN and "Check-in and Connect."

This year, SIGS took the lead on the Provost's initiative to send a team of faculty and graduate students to the ACC Conference on Women of Color in Academe in Blacksburg, VA.

New Graduate Program Initiatives

SIGS reached out to the Associate Deans for Graduate Education in the Speed School, the School of Medicine and the College of Arts and Sciences and asked that they query their faculties about the feasibility and interest in starting new Professional Science Masters (PSM) Programs. Initiated by the Sloan Foundation in 1997, these programs provide workforce training in STEM areas through a partnership between institutions of higher education and industry.

Budget Changes

- For FY 2018, the general fund budget decreases by \$1.9 million due to a change in financial aid for graduate student assistantships. Tuition for non-resident students who receive a graduate teaching or research assistantship is now waived in order to attract more out-of-state students. This is a practice used at most large research institutions.

School of Interdisciplinary and Graduate Studies General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
School of Interdisciplinary and Graduate Studies								
SIGS Administration	01196	SIGS Administration	884,766	864,571	922,327	1,318,148	395,821	42.9%
SIGS Administration	01460	SIGS Projects	49,930	42,460	31,169	31,169	0	0.0%
SIGS Administration	01997	Humanities/Social Sci Buyouts	0	0	40,000	30,000	(10,000)	-25.0%
SIGS Administration	30125	SIGS Admissions	383,721	453,519	441,547	0	(441,547)	-100.0%
SIGS Interdisciplinary Program	30522	Interdisc Pgm-Bioethics	67,582	69,815	2,430	61,986	59,556	2450.9%
SIGS Student Awards	30523	SIGS - Tuition Match	928,249	886,095	0	0	0	0.0%
SIGS Student Awards	30534	Sponsored Rsch Tuition Awards	42,302	0	0	0	0	0.0%
SIGS Student Awards	30535	Dissertation Completion Award	4,025	0	0	0	0	0.0%
SIGS Student Awards	30536	Strategic Plan Tuition Awards	13,390	0	0	0	0	0.0%
SIGS Student Awards	30543	Ethnic Minority Tutition Award	22,872	0	0	0	0	0.0%
SIGS Student Tuition Awards	01197	SIGS- Graduate Stipends	2,442,277	2,393,124	2,573,927	2,799,475	225,548	8.8%
SIGS Student Tuition Awards	10550	Graduate - Student Council	14,363	22,658	18,800	18,800	0	0.0%
SIGS Student Tuition Awards	30009	Fees Rem-PhD Tuition	1,891,217	1,641,151	2,014,014	7,396,331	5,382,317	267.2%
SIGS Student Tuition Awards	30018	Fees Rem-Grad Dental	98,600	97,076	103,500	0	(103,500)	-100.0%
SIGS Student Tuition Awards	30023	Fees Rem Gra Med Neu	51,500	63,206	54,100	0	(54,100)	-100.0%
SIGS Student Tuition Awards	30057	Fee Remitted GA Kent	66,844	97,509	70,244	0	(70,244)	-100.0%
SIGS Student Tuition Awards	30062	Fees Remitted - GA A&S	4,036,922	3,007,671	4,238,822	0	(4,238,822)	-100.0%
SIGS Student Tuition Awards	30063	Fee Rem-GA COB	291,900	332,207	306,500	0	(306,500)	-100.0%
SIGS Student Tuition Awards	30065	Fees Rem - GA EDHD	568,632	596,296	549,284	0	(549,284)	-100.0%
SIGS Student Tuition Awards	30067	Fees Rem-GA Medicine	584,200	358,189	613,400	0	(613,400)	-100.0%
SIGS Student Tuition Awards	30068	Fees Rem-GA Music	349,736	343,904	367,236	0	(367,236)	-100.0%
SIGS Student Tuition Awards	30069	SPD Fees Rem. - GA	871,402	479,120	915,002	0	(915,002)	-100.0%
SIGS Student Tuition Awards	30209	SIGS Student Res& Travel Award	31,000	27,850	32,600	32,600	0	0.0%
SIGS Student Tuition Awards	30360	Fees Rem.-GA Nursing	158,062	153,550	165,962	0	(165,962)	-100.0%
SIGS Student Tuition Awards	30372	Fees Remitted-GAs Public Hlth	143,162	134,806	150,262	0	(150,262)	-100.0%
SIGS Student Tuition Awards	30624	EDHE NR Waiver	0	(4,202)	0	0	0	0.0%
School of Interdisciplinary and Graduate Studies Total			13,996,655	12,060,576	13,611,126	11,688,509	(1,922,617)	-14.1%

Academic Unit Summaries

2018 Operating Budget



Kent School of Social Work at a Glance				
Year Established	1936	Academic Regalia Inter-Collegiate Color	Citron	
Facilities and Main Office	Oppenheimer Hall			
Financial Information				
	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget
Total General Fund	\$6,017,582	\$6,115,939	\$6,369,231	\$6,645,702
Total Non-General Fund			\$2,576,102	\$2,833,186
Total Kent School			\$8,945,333	\$9,478,888
Performance Metrics				
	AY 2015	AY 2016	AY 2017	
Credit Hour Production	14,491	15,471	15,603	
Degrees				
Degrees Awarded		261	Not available	
Degrees Awarded Change Per Year	28.5%	5.2%	at printing	
Enrollment by Level				
Undergraduate	199	197	182	
Graduate	565	526	535	
Total	764	723	717	

Kent School of Social Work

The Raymond A. Kent School of Social Work, founded in 1936, seeks to prepare competent social workers who practice from a strong professional value base to serve the metropolitan mission of the University. These social workers are educated to practice with individuals, families, and communities and to promote social justice. In the context of a research institution, the Kent School is committed to knowledge development that informs the practice of social work, recognizing the importance of collaboration among differing disciplines to solve complex social problems. The School offers BSW, MSSW, and Ph.D. degrees, as well as multiple specializations in the graduate program. One specialization, Couple & Family Therapy, is one of only two programs accredited by the Commission for Accreditation for Marriage and Family Education (COAMFTE) offering combined training in CFT and Social Work.

Since 2007, the School has offered a BSW program. The undergraduate program educates students for generalist practice at entry level positions in social work; thereby enabling them to practice competently, under professional supervision, with a variety of client systems, and at all system levels. It also prepares students for entry into advanced graduate work in social work. There are currently close to 200 BSW students at the Kent School.

The MSSW program, the oldest of all of the program offerings, is designed to help students achieve their maximum potential through a carefully structured curriculum of foundation and specialized courses. Kent students may participate in dual degree programs with the Brandeis School of Law (J.D.), the Department of Pan African Studies (M.A.), the Louisville Presbyterian Theological Seminary (M.Div.), the Department of Bioethics and Medical Humanities (M.A.), and the Department of Women's and Gender Studies (M.A.). In addition to face-to-face classes, students may obtain their MSSW degree through an online program, utilizing practicum placements in their own regional social service and medical agencies. It is a high quality program that maintains cohorts to build virtual communities of students from all over the country. The Kent School has a current enrollment of more than 450 graduate students.

The Ph.D. in Social Work, begun in 1997, is intended to produce top quality (1) teachers and researchers for social work education programs and (2) administrators, policy analysts, program planners, and program evaluators for human service organizations. The Kent School graduates between 5 to 10 doctoral students each year.

In 2011, the School hired Dr. Karen Kayser to fill the LaRocca Chair in Oncology Social Work. It is the first chair of its kind in the country and helps the Kent School become a school of destination for students and faculty who want to learn and conduct research in psychosocial oncology. It also supports the strategic interests of the James Graham Brown Cancer Center at the University of Louisville to become a nationally recognized comprehensive cancer center.

Goals

The goals of the Kent School of Social Work over the next few years include increasing the number of BSW graduates and MSSW campus student enrollments. We are considering the feasibility of developing a fully online BSW degree program to create greater access to undergraduate education. Additionally, the faculty and staff continue to strive to increase research and scholarship, achieve annual diversity goals by increasing enrollment of minorities, and increase annual philanthropy to the School.

Instruction

The Kent School has developed a partnership with the Delphi Center to create a Teaching Academy to support our large number of part-time faculty. It will become a requirement to teach for the school in order to enhance instructional quality. Many faculty are also working with the Center to be recognized for very high standards developed by Quality Matters for online syllabi. Several faculty members have already received recognition for this difficult achievement for their online courses.

Research

The Kent School continues to expand its research on trauma informed counseling and services under the leadership of Dr. Bibhuti Sar as Principal Investigator of federally funded studies. Dr. Anita Barbee, the Kent School's Distinguished University Professor also continues to assist in engaging various community service agencies as well as state and local institutions. Dr. Armon Perry secured a \$5 million grant to study American Fatherhood and Dr. Crystal Collins-Camargo continues to receive grant awards to further her research on public and private child welfare partnerships.

Kent School has a growing and robust research enterprise. Our research portfolio is approximately \$15 million a year. Additionally, published scholarship of the School faculty continues to increase with research that makes a difference in Louisville, Kentucky and abroad.

Significant Accomplishments

The Kent School continues to provide more than \$2 million of contributed service to residents of Kentucky through its Practicum Program. In serving Kentucky in 2016, the School had more than 350 MSSW students in practicum placements throughout Kentucky in more than 230

agencies in 16 counties. In addition, MSSW placements served Indiana and the School had approximately 140 MSSW students in practicum placements serving residents across the United States. The minimum total amount of time contributed to the community for all MSSW students was more than 193,500 hours.

The Kent School also had approximately 85 BSW students in 45 agencies serving Kentucky and several neighboring states.

The Kent School remains a leader within the university for diversity in student enrollment, including 18% of the BSW program, 17% of the MSSW program, and 13% of the Ph.D. program's enrollment are African American. In the BSW program, 11% are non-white; 2% are non-white in the MSSW program; and 26% are non-white in the Ph.D. program.

Budget Changes

- For FY 2018, the general fund budget for the Kent School increases by \$276,000, or 4.3 percent, primarily due to additional funding for faculty positions.

Academic Unit Summaries

2018 Operating Budget



Kent School of Social Work General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Kent School of Social Work								
BSW Instruction	01614	Kent School-BSW Instruction	689,966	730,838	715,489	767,075	51,586	7.2%
Joint PhD in Social Work	01353	Jnt Soc Work Phd Program	428,109	434,766	495,636	456,486	(39,150)	-7.9%
Kent - Instruction	01180	Kent-Instruction	2,000,966	1,660,238	1,659,748	1,620,314	(39,434)	-2.4%
Kent School Admin	01349	KNT Sch Adm/Student Services	197,408	212,486	203,281	204,020	739	0.4%
Kent School Admin	01350	KNT Sch Field Instruction	252,513	167,451	96,787	88,069	(8,718)	-9.0%
Kent School Admin	01351	Kent Administration	613,558	812,613	827,276	997,474	170,198	20.6%
Kent School Admin	01352	Kent - Assoc Dean Res	280,310	273,017	293,206	218,900	(74,306)	-25.3%
Kent School Admin	01468	Kent Discretionary	16,529	514	0	0	0	0.0%
Kent School Admin	01679	KNT Social Work Oncology	146,141	115,710	92,323	165,555	73,232	79.3%
Kent School Admin	10529	Kent School Workshops	31,661	96,360	70,000	98,500	28,500	40.7%
Kent School Admin	10556	Kent School Student Council	4,811	3,584	5,600	5,600	0	0.0%
Kent School Admin	10644	Dist Ed-Kent Admin	67,571	186,640	180,000	172,360	(7,640)	-4.2%
Kent School Admin	10645	Dist Ed-Kent Instruction	1,056,036	1,044,278	1,500,000	1,626,498	126,498	8.4%
Kent School Admin	30058	Kent School Scholars	212,000	212,000	212,000	212,000	0	0.0%
Kent School Admin	30373	Kent Technology Fund	11,830	10,707	0	0	0	0.0%
Kent School Admin	30391	Credit For Learning Program	0	134,608	5,034	0	(5,034)	-100.0%
Kent School Admin	30521	KNT 2008 Weber Award	4,144	2,969	0	0	0	0.0%
Kent School Admin	30549	KNT GA BSW	4,029	17,159	12,851	12,851	0	0.0%
Kent School of Social Work Total			6,017,582	6,115,939	6,369,231	6,645,702	276,471	4.3%

Brandeis School of Law at a Glance				
Year Established	1846	Academic Regalia Inter-Collegiate Color	Purple	
Facilities and Main Office	Wilson W. Wyatt Hall - 2301 South Third Street			
<i>Financial Information</i>				
	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget
Total General Fund	\$9,966,328	\$9,742,458	\$9,859,719	\$10,484,336
Total Non-General Fund			\$3,005,248	\$2,571,131
Total School of Law			\$12,864,967	\$13,055,467
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit Hour Production	10,168	9,496	8,971	
Degrees				
Degrees Awarded		123	Not available	
Degrees Awarded Change Per Year	7.8%	-0.8%	at printing	
Enrollment by Level				
Graduate	354	323	300	

Louis D. Brandeis School of Law

As the fifth oldest continually operating law school in the nation, the University of Louisville's Brandeis School of Law, founded in 1846, has a long tradition of commitment to its students. The school provides students with outstanding contemporary instruction and abundant practical opportunities to enhance skills and gain experiences both in and outside of ordinary legal practice.

The Brandeis School of Law is fully accredited by the American Bar Association, and is a member of the Association of American Law Schools. It includes more than 300 students, 27 faculty, and 24 staff members. The J.D. Program requires the completion of 90 credit hours, which can be accomplished on either a full-time or part-time basis. The full-time program is a three-year course of study for students who are able to devote virtually all of their time to the study of law. The part-time program is designed to meet the needs of students who have outside obligations, and is generally completed in four or five years.

While at the Brandeis School of Law, students experience small classes and thoughtful professors and staff members who care deeply about each student's professional development. Many opportunities exist for students to contribute to a professor's cutting-edge research or participate in one of three student-edited law journals that address the most important legal issues of the day. Law students excel in national moot competitions and engage in dozens of student organizations, including the Student Bar Association. Being located in Louisville allows students to help community members in externships and clinics, and to engage with the city's bench and bar. All of this is accomplished while being consistently recognized as a Best Value Law School.

Dual-Degree Programs

UofL students have the opportunity to build on their law degrees by combining the study of law with another academic or professional discipline. UofL Law offers eight dual-degree programs:

- J.D./M.A. Juris Doctor/Master of Arts in Bioethics and Medical Humanities
- J.D./M.A. Juris Doctor/Master of Arts in Humanities
- J.D./M.A. Juris Doctor/Master of Arts in Political Science
- J.D./M.B.A. Juris Doctor/Master of Business Administration
- J.D./M.Div. Juris Doctor/Master of Divinity
- J.D./M.S.S.W. Juris Doctor/Master of Science in Social Work
- J.D./M.P.A. Juris Doctor/Master of Public Administration
- J.D./M.U.P. Juris Doctor/Master of Urban Planning

Goals

The Brandeis School of Law adopted a strategic plan in April 2014. The plan includes a revised mission statement and sets out goals and a detailed set of strategies in the following areas:

Education and Curriculum

- Ensure students develop skills, knowledge, and values for lifelong effectiveness in solving problems and seeking justice.

Research

- Produce and support research and scholarship that have a high level of impact on scholarship (the academic body of knowledge and ideas), law, public policy, and/or social institutions.

Interdisciplinary Inquiry

- Develop a strong program of interdisciplinary education, scholarship, and service.

Community Engagement

- Actively engage the community in addressing public problems, resolving conflicts, seeking justice, and building a vibrant and sustainable future through high-quality research, innovative ideas, and application of research to solve public problems and serve the public.

Diversity

- Actively engage diverse participants in an academic community that is strengthened by its diversity and its commitment to social justice, opportunity, sustainability, and mutual respect.

Resources

- Increase resources, including developing new sources of funding that enable the Law School to fulfill the critical aspects of its mission and to achieve its goals and strategies, while also adhering to the Law School's long-standing commitment to students' access to a relatively affordable J.D. program.

Instruction

Skills Training and Clinical Opportunities

Students begin skills training at UofL Law in their first year with the development of legal analysis. One course, Lawyering Skills, is devoted entirely to the skills of legal writing, analysis, advocacy and legal research. In their second and third years, students can select courses, seminars, extramural advocacy competitions, and externships designated as “skills experiences.” These courses are specifically designed to provide substantial instruction in professional lawyering skills, including trial and appellate advocacy, dispute resolution, counseling, interviewing, negotiating, problem solving, factual investigation, organization and management of legal work, and drafting. In addition, the law school offers a litigation skills certificate and a transactional skills certificate, equipping students with appropriate experience to excel in their chosen careers.

Third-year students working at the Robert and Sue Ellen Ackerson Law Clinic serve as the primary contact for, and represent clients who are, victims of domestic violence in Jefferson Family Court, or clients who have forcible detainer (eviction) cases before Jefferson District Court. Third-year students also partner with the MBA entrepreneurship competition teams in developing award-winning business plans. Upper-level students who pursue a clinical externship acquire valuable experiences and legal skills by working with judges and lawyers in various settings, including representing clients through organizations like Legal Aid or Kentucky Refugee Ministries; preparing and trying cases through criminal justice agencies such as the Commonwealth Attorney’s Office or the Public Defender’s office; or working on tax law with the local Internal Revenue Service office.

International Study

The faculty of the University of Louisville Louis D. Brandeis School of Law reaffirms that study of the legal systems and cultures of other countries enhances students’ legal education. The Law School has developed relationships with certain foreign law schools, and students who study abroad at these partner schools may qualify for tuition or other advantage. However, students are also free to arrange for study at non-partner institutions.

Academic Success

The Academic Success Office offers a number of appropriate and effective resources that help students navigate through the arduous courses of study required in law school from year one through succeeding on the first attempt on taking the state bar exam.

Led by the Director of Academic Success and Bar Preparation, the Office directs a unique “Structured Study Group (SSG)” program for all first-year law students that includes a top ranked upper-classman leading a weekly session that helps guide the team towards first-year success. The Office also sponsors continuing orientation workshops on a variety of topics, including note taking, case briefing, outlining, time management, and exam preparation---skills necessary for optimal performance in the first year of law school. In addition, the Office directs programs that counsels students on individual skill development, academic counseling, and an impressive resource library easily accessible to all students.

Starting in 2016, the Office offers a course specifically focused on increasing vital skills necessary to pass the state bar exam on the first attempt. This upper-level class laser-focuses on issue spotting, analytical exam writing, and multiple choice test-taking skills that are demanded by bar examiners in all states. Offered in the last semester of law school, this course promises students a “jump-start” to their bar preparation with a demanding and thorough practice approach that includes both essays and over a thousand multiple-choice practice questions that mirror bar exams in Kentucky and other jurisdictions.

Research

Twenty-one faculty members published approximately 78 works in 2016.

A few examples include:

- Professor Jamie Abrams was invited to speak at five academic conferences, and Time Magazine profiled a book in which Professor Abrams authored a chapter.
- Professor Tony Arnold was invited to be a Visiting Scholar at UCLA Law School, ranked #17 among U.S. law schools, in fall 2016. Professor Arnold surpassed the mark of 10,000 total downloads on SSRN in 2016 and ranks in the top 1% of all authors on SSRN for all-time downloads.
- Professor Justin Walker’s article, *The Execution of the Innocent in Military Tribunals: Problems from the Past and Solutions for the Future*, was published by the West Virginia Law Review. He was invited by a federal judge to help edit a treatise on the American Law of Judicial Precedent, which is being authored by Bryan Garner and thirteen leading federal judges.
- Professor Weaver made fourteen presentations including presentations in France, Sweden, Hungary, and Japan. One of the premier university presses, Oxford University Press, published Professor Weaver’s article, *Defamation and Democracy*, which examines the ways that three different countries handle defamation issues.

The law school also participates in several grants including the Collaboration and Advocacy to provide Safety and Empowerment (CASE) Grant. Since 2010, The Law Clinic in collaboration with the Legal Aid Society (LAS) and the Center for Women and Families (CWF), with generous funding from the Office on Violence Against Women (OVW), has successfully served over 800 victims of domestic violence in Jefferson County through the CASE Project. Now with the award of another three-year grant in fall 2014, the work can be expanded by: including the Kentucky Association of Sexual Assault Programs (KASAP) as a strategic partner; providing holistic legal services to victims of domestic violence and sexual assault in not only Jefferson County, but also Bullitt, Oldham, and Shelby Counties; and implementing outreach to college and university survivors of sexual assault and provide legal representation in Title IX Administrative Hearings. The Law Clinic was awarded a new Legal Assistance for Victims grant beginning in fall 2017.

Significant Accomplishments

- Bar passage rate of Brandeis graduates exceeded state average.
- Ranked #1 by U.S. News and World Report as Most Efficient School and named an over-performing law school by the Tax Blog.
- The Ackerson Law Clinic has helped over 1,300 clients with domestic violence issues.
- Law Review ranked in top 20% of law reviews.
- Won the intrastate mock trial competition two years in a row.
- Donated over 7,100 hours in public service.
- Law School faculty won the University's Multicultural Teaching Award the last two years.
- Awarded the 2016 Brandeis Medal to United State Supreme Court Justice Elena Kagan.

Budget Changes

- The FY 2018 general fund budget increases by \$624,000 primarily due to a \$500,000 increase in scholarship funding.
- Most other budgetary changes reflect a reallocation of resources to better position the school to achieve its mission.

Brandeis School of Law General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Brandeis School of Law								
Law - Clinic	01945	Law Clinic	108,688	70,654	70,817	117,074	46,257	65.3%
Law - Student Services	01988	Law-Student Services	177,325	217,039	200,570	214,483	13,913	6.9%
Law - Student Services	10711	Law School Orientation Fee	4,368	4,654	9,000	0	(9,000)	-100.0%
Law Administration	01182	Law Administration	730,541	759,729	724,772	687,180	(37,592)	-5.2%
Law Administration	01473	Law-Dean's Disc Fund	59,934	14,423	0	0	0	0.0%
Law Administration	01946	Law Resource Center	180,717	201,716	207,900	216,914	9,014	4.3%
Law Administration	01989	Law-Communications	60,167	85,937	80,208	92,097	11,889	14.8%
Law Administration	02079	Law School Diversity Funds	0	6,196	20,000	20,000	0	0.0%
Law Administration	10519	Labor Law & Estate Planning	35,442	6,503	30,000	0	(30,000)	-100.0%
Law Administration	10546	Law - Student Bar Association	17,268	19,302	18,800	18,800	0	0.0%
Law Administration	10576	U of L Law Review	6,212	10,006	15,000	15,000	0	0.0%
Law Administration	10664	Law Journal Annual Symposium	56,293	0	5,000	0	(5,000)	-100.0%
Law Administration	10681	CLE Programs School of Law	0	0	5,000	0	(5,000)	-100.0%
Law Administration	10708	Distance Education-Law	23,357	4,481	15,000	15,000	0	0.0%
Law Administration	30581	LAW VSIP Reserve	0	0	(141)	0	141	-100.0%
Law Instruction	01183	Law - Instruction	3,757,413	3,729,462	4,076,371	3,869,975	(206,396)	-5.1%
Law Instruction	01359	Law - Hlth Serv Center	155,142	158,528	158,794	162,627	3,833	2.4%
Law Instruction	01360	Law & Entrepren Program	144,194	128,188	113,472	117,749	4,277	3.8%
Law Library	01184	Law - Library	2,497,713	2,446,457	2,501,658	2,521,504	19,846	0.8%
Law-Admissions	01356	Law Professional Development	184,655	187,437	221,279	223,609	2,330	1.1%
Law-Admissions	01357	Law School Admission	236,524	268,120	251,318	298,885	47,567	18.9%
Law-Financial Aid	30045	N/R Scholarship-Law	328,300	131,675	237,100	237,100	0	0.0%
Law-Financial Aid	30053	Law School Scholarship	505,897	561,442	385,700	885,700	500,000	129.6%
Law-Financial Aid	30054	Law Sch Minority Scholarship	138,112	77,146	138,600	138,600	0	0.0%
Law-Financial Aid	30627	Law School Out-of-State Waiver	200,286	341,315	0	0	0	0.0%
Law-Moot Court	01947	Moot Court	40,000	30,302	0	36,000	36,000	0.0%
Law-Office of Professional Dev	01545	Law Public Service Program	24,317	16,183	0	0	0	0.0%
Law-Other Instruction	01703	Law-Summer Teaching	0	0	0	35,524	35,524	0.0%
Law-Other Instruction	02084	Law Part-time Instruction	0	0	0	65,070	65,070	0.0%
Law-Reallocation	02007	Law School Reallocation Pools	0	0	63,722	157,451	93,729	147.1%
Law-Reallocation	02083	Administration Rellocation	0	0	0	21,270	21,270	0.0%
Law-Technology	01270	Law Technology Fund	258,709	242,613	269,779	283,724	13,945	5.2%
Law-Technology	10703	Law School Technology Fees	34,755	22,949	40,000	33,000	(7,000)	-17.5%
Brandeis School of Law Total			9,966,328	9,742,458	9,859,719	10,484,336	624,617	6.3%

University Libraries

The University Libraries (UL) serve as the University's intellectual center, fulfilling the information and research needs of a diverse population through collections of more than 2.3 million volumes. The University Libraries include Archives and Special Collections, the Dwight Anderson Music Library, the Law Library, the Margaret M. Bridwell Art Library, the Sidney I. Kornhauser Health Sciences Library, and the William F. Ekstrom Library. An active member of the Association of Research Libraries (ARL), UofL ranks 93rd in the ARL Investment Index.

Notable University Libraries Numbers

- 3 million users enter University Libraries facilities annually
- 294 database subscriptions are maintained in support of user research
- 88,133 journals are available online
- 60,930 e-books are available online
- 1,022,434 full text articles were requested by users

Goals

- Serve as a campus leader in resource information and data management.
- Continue developing strong partnerships with other campus units.
- Upgrade and enhance physical spaces where appropriate.
- Maintain a close dialogue with groups such as our student and faculty advisory boards, the Student Government Association, and the Faculty Senate.
- Pursue alternative funding to provide a rich set of resources and services to our users.

Research

- University Libraries faculty continued to publish articles, reviews, reports, etc., with many published in peer-reviewed journals.
- University Libraries completed the transition to the new ThinkIR institutional repository as the host site for electronic theses and dissertations produced by U of L graduate students. Implementation of the new system was a joint endeavor with SIGS.

- Archives and Special Collections continued to add new items to its Digital Collections making more of these collections available to researchers and increasing awareness of the materials.
- The Libraries have acquired online back files of many journal titles. Previously, these older issues were only available in print physically in the libraries and not digitally available.
- Kornhauser Health Science Library hosted the national traveling exhibit: *“Every Necessary Care and Attention”: George Washington and Medicine*.

Significant Accomplishments

- Ekstrom Library opened its newly renovated first floor to wide acclaim. The project moved the University Writing Center from the third floor to the first floor. A major goal of the project was to co-locate and increase the visibility of the various academic support programs offered in Ekstrom. The project added nearly 250 student seats and added a new central support desk. The project also brought new lighting, power and furniture for student areas.
- The Bridwell Art Library added a new service desk and upgraded power availability in its seating areas.
- University Library faculty gave 895 information literacy presentations to 17,992 participants.
- The Music Library received considerable press coverage related to the discovery in its archival collections of Mildred Hill’s original score of “Good Morning to You” which transitioned into the world-famous “Happy Birthday to You” song.
- Ekstrom Library began a large bound journal weeding and relocation project to create space for the new Faculty Technology Innovation Lab that will be located on the third floor of Ekstrom.
- The Libraries implemented the OCLC WorldShare Management System as its online catalog and library automation system.
- James Procell was appointed Director of the Dwight Anderson Music Library July 2015.

Community Engagement

- Signature Partnership: Wayside 100 Project: Wayside Christian Mission College and Career program contributing to the educational, social and economic potential of the homeless.
- Alfred Binet Education School: provide job skills and experience for special needs students.
- Simmons College of Kentucky Partnership: library service provided to Simmons faculty and students.

Academic Unit Summaries

2018 Operating Budget



- The Stern J. Bramson Award for outstanding photographic achievement: awarded annually to a high school student from a local public, private or parochial school.
- Kornhauser Health Sciences Library received a grant from the National Network of Libraries of Medicine/ Greater Midwest Chapter, to provide health information services and resources to underserved members of the public as well as underserved health professionals.
- Kornhauser Health Sciences Library manages the Louisville Medical Consortium providing consistent information resources for HSC students rotating through the seven teaching hospitals.
- NNLM/GMR Outreach Library Program to provide information services to underserved groups of health professionals.

Budget Changes

- The FY 2018 budget increases by \$384,000 due to an additional \$200,000 in faculty funding and the residual from covering prior year credits.

University Libraries General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Libraries								
LIB - Admin	01361	University Libraries	13,527,903	13,832,157	13,769,702	13,990,175	220,473	1.6%
LIB - Admin	01475	Library-Dean's Disc Fund	599,962	0	0	0	0	0.0%
LIB - Admin	02041	LIB 2014-15 Procurement Credit	0	0	(1,693)	0	1,693	-100.0%
LIB - Admin	10602	DIST ED-LIBRARY	648,290	1,085,583	1,200,000	1,200,000	0	0.0%
LIB - Admin	30582	LIB VSIP Reserve	0	0	(162,597)	0	162,597	-100.0%
LIB - Kersey Library	01104	Kersey Scientific Library	3	6	0	0	0	0.0%
LIB - Kornhauser Library	01103	Health Sciences Library	1,519,006	1,524,919	1,525,252	1,525,252	0	0.0%
LIB - Music Library	01105	Music Library	130,787	131,042	131,009	131,009	0	0.0%
LIB-Archives & Special Collect	01106	LIB=Archives & Special Collect	18,892	28,945	11,753	11,753	0	0.0%
LIB-Archives & Special Collect	01107	Oral History	1,398	2,596	3,006	3,006	0	0.0%
LIB-Archives & Special Collect	10577	Photographic Archives	3,968	(16)	0	0	0	0.0%
Libraries Total			16,450,209	16,605,232	16,476,432	16,861,195	384,763	2.3%

School of Medicine at a Glance				
Year Established	1837	Academic Regalia Inter-Collegiate Color	Green	
Facilities and Main Office	School of Medicine Abell Admin Building - 323 East Chestnut Street			
Financial Information				
	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget
Total General Fund	\$57,709,138	\$70,190,257	\$51,544,511	\$43,458,941
Total Non-General Fund			\$395,932,226	\$395,705,809
Total School of Medicine			\$447,476,737	\$439,164,750
Performance Metrics				
	AY 2015	AY 2016	AY 2017	
Credit Hour Production	34,027	33,812	32,800	
Degrees				
Degrees Awarded		249	Not available	
Degrees Awarded Change Per Year	8.3%	-4.6%	at printing	
Enrollment by Level				
Graduate	1,246	1,257	932	

School of Medicine

The School of Medicine, founded in 1837, includes 848 full-time faculty, 57 part-time faculty, and 1,087 gratis faculty as well as 1,233 staff and 617 medical students, 259 graduate students, and 650 residents. Our mission is to improve the health of our patients and our communities through excellence and leadership in education, patient care, research, and community engagement. Our core values are:

- Being a diverse academic community committed to integrity, excellence, innovation and accountability
- Demonstrating compassion and collegiality in all we do
- Achieving individual and shared goals
- Being a great place to learn and work

Goals

- We will integrate learning across disciplines and professions to prepare physicians and scientists for 21st century challenges.
 - Streamline collaboration and strengthen programs across the undergraduate, graduate, and post graduate educational continuum for physicians and scientists
 - Continuously strengthen and evolve programs across the educational continuum that exceed on-going accreditation expectations
 - Implement patient centered inter-professional education and collaboration
 - Support, measure, recognize and reward teaching and educational leadership and scholarship
 - Develop educational outcomes that prepare future physicians & scientists for current 21st century challenges
- We will perform transformative research that positively impacts the health and welfare of our communities.
 - Align resources & priorities to increase National Institutes of Health (NIH) ranking by 2020
 - Translate our research findings to improve the health and well-being of our local and global communities
 - Diversify research funding from all public and private sources
 - Build and leverage research strengths in cancer, neurosciences, cardiovascular medicine, regenerative medicine, environmental health, metabolic disorders, infectious diseases and vaccine development as well as identify emerging opportunities for growth, such as health services research and precision medicine
 - Increase multidisciplinary research across departments, centers, schools and other institutions

- The University of Louisville Physicians (ULP) will provide an exceptional patient experience and high quality outcomes.
 - Build a robust Patient Referral Network across all U of L Physician (ULP) practices
 - Invest in the development and retention of primary care physicians
 - Strengthen and leverage the ULP brand and image for an exceptional patient experience and high quality outcomes
 - Streamline and strengthen ULP organization and operations to promote success
 - Leverage clinical partnerships to enhance the future success of ULP and meet the health needs of our patients
- We will integrate community engagement and diversity into all pillars of our mission as a means of achieving excellence & improving health.
 - Integrate community engaged scholarship as key elements of education, research and clinical care
 - Develop appropriate and effective community engagement incentives, rewards and recognition for faculty, staff and students
 - Facilitate partnerships within and across local, regional, national and global communities to achieve common health goals
 - Advocate for improved health at the local, state and national level
 - Integrate diversity and inclusion as a core means of achieving excellence

Instruction

- In fall 2014, the School's curriculum was transformed from a traditional discipline-based format to fully integrated, organ-system-based courses. A new fully integrated second year medical school curriculum was implemented in fall 2015.
- Medical students are now required to receive narrative assessment on areas other than content or skills mastery, in the preclinical years in any course with small groups or laboratory experiences that involve regular faculty-student interaction. It includes feedback and observations related to behavior, attitudes, interpersonal skills, interactions with peers and faculty, and professionalism.
- The School has incorporated more formal faculty development sessions to prepare faculty to teach the new curriculum.
- Incorporation of more self-directed learning opportunities for medical students in the newly designed curriculum allows students ample opportunities during their preclinical years to practice and master the skills associated with lifelong learning. This has been accomplished by:
 - Decreasing the number and percentage of lecture hours in the preclinical years (less than 50% of the curriculum is lecture format).
 - Increasing self-directed learning opportunities by introducing problem-based learning sessions into the curriculum beginning spring 2015 for first and second year students that include all of the components of the Liaison Committee on Medical Education definition

of self-directed learning: self-assessment of learning needs; independent identification, analysis, and synthesis of relevant information; and appraisal of the credibility of information sources.

- Recruiting and developing 32 faculty members for problem-based learning sessions who are equipped to teach and assess in formats required for effective student mastery of the skills necessary for lifelong learning.
- Expansion of the clinical skills curriculum for first year students with recruitment of 52 clinical educators that covered 493 clinical skills sessions and represented 600 student contact hours.

Research

- The Department of Pharmacology and Toxicology research and training programs include vaccine discovery and development in partnership with the Brown Cancer Center and plant-based pharmaceutical discovery and development in partnership with the Owensboro Cancer Research Program. They have active partnerships with Wenzhou Medical University, Cairo University, and Jilin University as well as a pending partnership agreement with AinShams University.
- Dr. Mariusz Z. Ratajczak, Director of the Stem Cell Program at the James Graham Brown Cancer Center, received the Gold Cross of Merit from his native country Poland for his work in stem cell research and transplantation. The Cross of Merit is awarded by the government of Poland to citizens who have gone beyond the call of duty in their work for the country and society as a whole.
- A three-pronged approach to the treatment of paralysis following spinal cord injury has received a patent based on the research of Susan Harkema, Ph.D., and Claudia Angeli, Ph.D., of UofL's Kentucky Spinal Cord Injury Research Center, along with researchers at UCLA and elsewhere. The treatment method centers on the use of epidural stimulation to activate the spinal cord, along with physical training and medications that when combined, allow individuals with spinal cord injury to have voluntary control of body movements and improve heart, lung and other autonomic functions.

Budget Changes

- The FY 2018 general fund budget decreases by \$10.2 million mostly due to repositioning hospital affiliation expenditures as non-general funds.

School of Medicine General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
School of Medicine								
Anatomical Science/Neurobiology	01212	Anatom Sc & Neurbiology	2,197,102	2,264,073	2,426,680	2,292,312	(134,368)	-5.5%
Anatomical Science/Neurobiology	01406	Bequeathal Program	48,190	49,996	24,834	56,590	31,756	127.9%
Anatomical Science/Neurobiology	10433	Microscope Rental	4,250	0	0	0	0	0.0%
Anatomical Science/Neurobiology	30257	Reserve for Fac & Staff Equity	0	0	2,169,000	69,908	(2,099,092)	-96.8%
Anesthesiology	01218	Anesthesiology	832,713	1,338,454	1,166,311	1,187,144	20,833	1.8%
Anesthesiology	H0009	Hospital Anesthesiology	423,342	436,821	432,125	0	(432,125)	-100.0%
Anesthesiology	HA05A	KOH Hosp Based Serv Anesthesio	0	1,014,660	0	0	0	0.0%
A&S Chemistry	52003	CRIF- ImD3	0	0	0	14,415	14,415	0.0%
Autism Center	01565	Weiskopf CEC Autism Service	15,519	14,670	15,071	14,132	(939)	-6.2%
Autism Center	30551	Autism SD Family Support Proj	10,284	8,836	0	3,381	3,381	0.0%
Biochemistry&Molecular Genetic	01213	Biochemistry	1,876,592	1,694,437	2,205,908	1,628,922	(576,986)	-26.2%
Biochemistry&Molecular Genetic	01214	Microbiology	1,257,826	1,405,277	1,321,121	1,288,140	(32,981)	-2.5%
Biochemistry&Molecular Genetic	01402	Gen & Mol Med Research	168,366	279,641	170,843	173,864	3,021	1.8%
Biochemistry&Molecular Genetic	H0044	Hospital-Biochemistry	217,530	525,448	447,475	0	(447,475)	-100.0%
Biomedical Engineering	01241	Biomedical Engineering	(7,627)	(6,345)	(20,465)	0	20,465	-100.0%
Biomedical Engineering	H0029	Hospital Renovations Account	99,146	59,705	150,000	0	(150,000)	-100.0%
Biomedical Engineering	H0046	Biomedical Eng/Hospital Assco	585,009	609,004	595,002	0	(595,002)	-100.0%
Cancer Center	01236	Ky Afr American Against Cancer	10,689	8,365	7,879	10,946	3,067	38.9%
Cancer Center	01237	Oncology Res Som	250,466	257,986	258,541	258,613	72	0.0%
Cancer Center	01238	Cancer Center	109,033	112,215	112,312	117,087	4,775	4.3%
Cancer Center	01409	Kentucky Cancer Program	663,133	706,826	718,843	684,289	(34,554)	-4.8%
Cancer Center	01410	Cancer Outr W Loui Program	98,199	101,841	107,792	105,249	(2,543)	-2.4%
Cancer Center	01411	Breast Cancer Research	145,881	147,017	153,173	98,053	(55,120)	-36.0%
Cancer Center	10732	IT-Brown Cancer Center	9,211	5,759	0	0	0	0.0%
Cancer Center	30547	Faculty Release Funds	1,441	0	0	0	0	0.0%
Cancer Center	52017	CRIF-Cancer Center	0	0	0	48,924	48,924	0.0%
Cancer Center	S9013	Molecular Modeling Facility	13,793	8,073	0	0	0	0.0%
Cancer Center	S9014	Biophysics Lab	918	657	0	0	0	0.0%
Cancer Center	S9015	Nuclear Mag Res Facility-Nmr	(11,295)	7,272	0	0	0	0.0%
Cardiothoracic Surgery	02005	Cardiothoracic Surgery	254,546	250,097	262,680	256,102	(6,578)	-2.5%
Cardiothoracic Surgery	HA02B	KOH Cardivas CT Surgery	154,888	291,950	0	0	0	0.0%
Cardiovascular Innovation Inst	01610	Cardiovascular Innovation Inst	464,881	557,164	547,058	548,794	1,736	0.3%
Cardiovascular Innovation Inst	52027	Cardiovascular Innovation Inst	0	0	0	23,356	23,356	0.0%
Clinical Trials Unit	HC01A	KOH Clinical Trials Unit	0	0	31,270	0	(31,270)	-100.0%
Continuing Med Ed Prof Develop	10520	Continuing Education-Medicine	215,444	244,915	160,000	238,000	78,000	48.8%

Academic Unit Summaries
2018 Operating Budget



Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Dent - Clinic Support	01209	Academic Support	0	0	0	254,356	254,356	0.0%
Dent - Surgical & Hospital	01207	Dental Clinic Supprt	0	0	0	29,679	29,679	0.0%
Department of Urology	01575	Department Of Urology	106,900	119,379	113,349	98,360	(14,989)	-13.2%
Department of Urology	HA10C	KOH Spec Med Urology	0	31,189	0	0	0	0.0%
Diagnostic Radiology	01231	Diagnostic Radiology	978,032	941,192	1,099,882	1,109,492	9,610	0.9%
Diagnostic Radiology	01992	MR Research Facility	850	182	0	0	0	0.0%
Diagnostic Radiology	HA05B	KOH Hosp Based Serv Radiology	0	270,755	0	0	0	0.0%
Emergency Medicine	01220	Emergency Medicine	80,360	210,347	207,593	206,901	(692)	-0.3%
Emergency Medicine	H0013	Hospital Emergency Med	532,798	546,493	614,645	0	(614,645)	-100.0%
Executive VP - Health Affairs	01463	Hospital Rent	0	0	0	259,696	259,696	0.0%
F&G Med-Admin	01219	Family/Community Medicine	1,570,568	1,580,742	1,465,571	1,257,312	(208,259)	-14.2%
F&G Med-Admin	01242	Ky Residency Program	3,926,027	3,616,799	3,284,655	3,208,027	(76,628)	-2.3%
F&G Med-Admin	01412	Prim Cr Res Prg Glasgow	1,415,448	1,400,278	1,325,552	985,996	(339,556)	-25.6%
F&G Med-Admin	H0012	Hospital Family Practice	551,740	567,721	483,272	0	(483,272)	-100.0%
F&G Med-Admin	HA12B	KOH Primary Care Family Med	561,326	623,925	0	0	0	0.0%
Genetics - Aging	H0004	Hospital Biochemistry	160,469	165,179	163,940	0	(163,940)	-100.0%
Inst for Cellular Therapeutics	52016	CRIF-ICT	0	0	0	36,403	36,403	0.0%
Integr Prog in Biomed Sci	01413	Integrated Prog-Biological	598,346	649,390	695,858	623,725	(72,133)	-10.4%
Integr Prog in Biomed Sci	H0034	Hospital Int Pgm In Biomed Sc	75,857	88,753	88,086	0	(88,086)	-100.0%
Ky Spinal Cord Injury Res Ctr	01243	Neurological Surgery	227,574	448,274	268,633	240,583	(28,050)	-10.4%
Ky Spinal Cord Injury Res Ctr	01244	Neuroscience Research	197,616	195,943	172,999	178,903	5,904	3.4%
Ky Spinal Cord Injury Res Ctr	52001	CRIF-Kscirc	0	0	0	275,637	275,637	0.0%
Ky Spinal Cord Injury Res Ctr	D0258	Residual-Translational Researc	18,057	0	0	0	0	0.0%
Med- Dept Admin	01221	Medicine	3,104,314	3,171,054	3,407,740	2,961,269	(446,471)	-13.1%
Med- Dept Admin	01582	Cardiology Research Initiative	737,295	872,216	848,380	826,136	(22,244)	-2.6%
Med- Dept Admin	52004	CRIF-Inst Molecular Cardiology	0	202,210	1,235	150,000	148,765	12045.7%
Med- Dept Admin	H0015	Hospital-Medicine	562,569	1,928,898	1,362,647	0	(1,362,647)	-100.0%
Med- Dept Admin	HA02A	KY One Cardiology	700,873	3,347,285	0	0	0	0.0%
Med- Dept Admin	HA04A	KOH Diabetes Medicine	35,893	297,830	0	0	0	0.0%
Med- Dept Admin	HA08A	KOH Oncology Medicine	500,708	1,014,511	0	0	0	0.0%
Med- Dept Admin	HA10A	KOH Spec Med Medicine	223,644	1,393,388	0	0	0	0.0%
Med- Dept Admin	HA11A	KOH Transplant Medicine	101,381	344,174	0	0	0	0.0%
Med- Dept Admin	HA12A	KOH Primary Care Medicine	15,313	45,233	0	0	0	0.0%
Med-Card_Diab & Obesity Ctr	S0094	DOC Service Center	(92,708)	9,770	0	0	0	0.0%
Med-Card-Inst of Moleclr Cardi	01222	Cardiovascular Disease Rsch	498,122	457,106	226,084	129,721	(96,363)	-42.6%
Med-General Internal Medicine	01436	Inst. For Bio, Health&Law	263,761	245,742	247,430	252,353	4,923	2.0%
Medicine - School Admin	01247	AHES-HSC	701,820	683,361	633,568	725,099	91,531	14.4%
Medicine - School Admin	01403	Medical Administration	1,568,847	1,252,705	510,444	2,676,618	2,166,174	424.4%

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Medicine - School Admin	01404	ACB Acad Usage Fee	0	4	66,000	0	(66,000)	-100.0%
Medicine - School Admin	01405	Cancer Cent Use Fee	0	0	89,800	0	(89,800)	-100.0%
Medicine - School Admin	02042	MED 2014-15 Procurement Credit	0	0	(95,213)	0	95,213	-100.0%
Medicine - School Admin	10552	Medical - Student Council	20,516	29,437	21,800	29,278	7,478	34.3%
Medicine - School Admin	10752	Visiting Med Student Fee	2,314	7	1,953	0	(1,953)	-100.0%
Medicine - School Admin	30031	T/R DSA-Medicine	25,000	26,000	97,300	25,252	(72,048)	-74.0%
Medicine - School Admin	H0039	Hospital-Gen Clinic Res Ctr	320	19,195	25,402	0	(25,402)	-100.0%
Medicine-Financial Aid	30041	Ocr Medical Scholarship	62,000	62,000	62,000	60,216	(1,784)	-2.9%
Medicine-Financial Aid	30046	N/R Scholarship-Med	508,400	508,400	508,400	493,768	(14,632)	-2.9%
Med-Liver Research	20069	High Fructose Feed Coppr Def	3,656	8,504	0	0	0	0.0%
Med-Liver Research	20074	Gut-Derived Toxin & Non-alcohc	0	14,270	0	0	0	0.0%
Med-Pulmonary	HA03A	KOH Critical Care Medicine	852,815	160,980	0	0	0	0.0%
Med-Pulmonary	X0826	COPD,Program of Excellence	2,956	(13,925)	1,354	26,877	25,523	1885.0%
Med-Renal	01521	Kidney Disease Ctr Jump Start	40,420	22,296	43,423	34,261	(9,162)	-21.1%
Med-U of L Bone Marrow Trans	HA08E	KOH Oncology BMT	117,730	402,949	0	0	0	0.0%
Microbiology	01659	Microbiology-CPM/BSL Faculty	352,299	356,558	462,547	258,369	(204,178)	-44.1%
Microbiology	H0005	Hospital Microbiology	481,634	456,358	501,535	0	(501,535)	-100.0%
Neurological Surgery	H101B	KY One Neurological Surgery	0	544	0	0	0	0.0%
Neurological Surgery	HA01B	KY One Neurological Surgery	999,312	1,157,603	0	0	0	0.0%
Neurology	01224	Neurology	895,807	969,472	976,883	642,912	(333,971)	-34.2%
Neurology	H0020	Hospital Neurology	462,711	601,419	606,080	0	(606,080)	-100.0%
Neurology	HA01A	KY One Neurology	807,862	331,499	0	0	0	0.0%
Neurology	HA09B	KOH Outreach Neurology	0	342,182	0	0	0	0.0%
Neuroscience Training	01478	Neuroscience Training	95,601	78,883	78,096	49,052	(29,044)	-37.2%
Neuroscience Training	H0040	Hospital Neuroscience Training	113,033	146,718	152,263	0	(152,263)	-100.0%
Neuroscience Training	S9012	Genomics Facility	7,379	(12,589)	0	0	0	0.0%
Ob/Gyn Administrative Support	01223	Obstetrics And Gynecology	869,102	876,114	1,072,675	891,570	(181,105)	-16.9%
Ob/Gyn Administrative Support	H0050	Maintain Procedures OB/GYN	1,688,430	1,173,457	18,720	0	(18,720)	-100.0%
Ob/Gyn Administrative Support	HA12C	KOH Primary Care OB/GYN	0	35,035	0	0	0	0.0%
Ob/Gyn Administrative Support	HA15A	KOH Women's Health OG/GYN	0	156,044	0	0	0	0.0%
Ob/Gyn Gyn Oncology	HA08D	KOH Oncology OB/GYN	31,602	108,357	0	0	0	0.0%
Ob/Gyn-REI	H0019	Hospital Ob/Gyn UL	38,100	37,596	37,344	0	(37,344)	-100.0%
Office of Medical Education	10697	Medical School Technology Fee	539,046	312,747	320,000	536,000	216,000	67.5%
Ophthalmology/Visual Sciences	01225	Ophthalmology	1,048,681	1,204,220	1,152,551	1,077,060	(75,491)	-6.5%
Ophthalmology/Visual Sciences	01407	Ophthalm & Visual Science	109,987	118,003	124,963	119,933	(5,030)	-4.0%
Ophthalmology/Visual Sciences	HA01D	KOH Neurosc Ophthalmology	0	147,972	0	0	0	0.0%
Orthopedic Surgery	01235	Orthopedic Surgery	221,003	214,571	221,000	220,708	(292)	-0.1%
Orthopedic Surgery	HA06A	KOH Musculoskeletal Orthopedic	185,566	589,135	0	0	0	0.0%

Academic Unit Summaries

2018 Operating Budget



Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Orthopedic Surgery	S1076	Orthoped Bioengineering Lab Sc	(684)	1,521	18,000	0	(18,000)	-100.0%
Otolaryngology & Comm Disorder	01408	Audiology	483,395	703,950	528,993	759,747	230,754	43.6%
Otolaryngology & Comm Disorder	01657	Speech Pathology	252,301	232,922	211,580	208,393	(3,187)	-1.5%
Otolaryngology & Comm Disorder	02076	Otolaryngology	0	307,264	298,031	295,145	(2,886)	-1.0%
Otolaryngology & Comm Disorder	HA10E	KOH Speciality Medicine Otolar	0	323,068	0	0	0	0.0%
Pathology	01217	Pathology	1,285,389	1,512,432	1,537,012	1,389,095	(147,917)	-9.6%
Pathology	51002	G. Rafael Fernandez-Botran	0	0	0	1,426	1,426	0.0%
Pathology	HA05C	KOH Hosp Based Serv Pathology	0	302,688	0	0	0	0.0%
Pathology	S0082	Pathology Core Lab	58,969	37,162	68,000	68,000	0	0.0%
Peds-Admin	01226	Pediatrics	1,221,754	1,207,051	1,242,749	1,264,027	21,278	1.7%
Peds-Admin	H0051	Maintain Procedure Ped Neon	531,002	522,315	530,444	0	(530,444)	-100.0%
Peds-Admin	H0052	Maintain Procedure Infectious	9,958	10,786	7,191	0	(7,191)	-100.0%
Peds-Child Adolescent Psychiat	01983	Peds-Child Psychiatry	249,883	255,935	247,920	252,778	4,858	2.0%
Peds-ID	02002	Infectious Disease	8,575	0	0	0	0	0.0%
Peds-Res Institute	01228	GMMR-Pediatrics	75,160	75,187	76,016	104,096	28,080	36.9%
Peds-Res Institute	01229	GMMR-McFerron Chair	2,397	2,840	2,656	2,657	1	0.0%
Pharmacology	01215	Pharmacology	1,804,465	2,252,834	2,136,929	1,803,127	(333,802)	-15.6%
Pharmacology	10628	Dist Ed-Pharmacology	28,759	0	900	0	(900)	-100.0%
Pharmacology	HA08F	KOH Clinical Trail Expansion	25,414	219,611	0	0	0	0.0%
Physiology	01216	Physiology	1,972,460	2,077,438	2,254,459	2,026,084	(228,375)	-10.1%
Psych-Chair's Office & Admin	01230	Psychiatry & Mh	1,701,261	1,743,026	1,704,446	1,694,554	(9,892)	-0.6%
Psych-Chair's Office & Admin	HA01C	KOH Neurosc Psychiatry	89,132	414,558	0	0	0	0.0%
Radiation Oncology	01232	Radiation Oncology	337,487	235,660	283,933	273,238	(10,695)	-3.8%
Radiation Oncology	HA08C	KOH Oncology Radiation	418,288	479,832	0	0	0	0.0%
Research Resources Center	01240	Research Resource Center	842,856	802,844	936,251	724,785	(211,466)	-22.6%
Research Resources Center	01647	Bio-Safety Lab Vivarium Opns	183,893	163,678	221,969	160,717	(61,252)	-27.6%
Research Resources Center	H0025	Hospital Research Services	1,539,484	1,732,954	1,849,566	0	(1,849,566)	-100.0%
Rural Health Care	01246	Rural Health Care	910,717	741,580	647,873	703,562	55,689	8.6%
Sch of Publ Hlth & Info Sci	HA14A	KOH School of Public Health	0	664,857	0	0	0	0.0%
Surgery	01233	Surgery	1,887,871	1,571,971	1,651,033	1,633,168	(17,865)	-1.1%
Surgery	HA03B	KOH Critical Care Surgery	200,080	273,768	0	0	0	0.0%
Surgery	HA08B	KOH Oncology Surgery	522,105	616,820	0	0	0	0.0%
Surgery	HA10B	KOH Spec Med Surgery	429,011	539,728	0	0	0	0.0%
Surgery - AHES-Training	01234	AHES-Surgery Training	21,198	21,206	25,668	22,599	(3,069)	-12.0%
Surgery - General	52018	CRIF-Price Institue	0	0	0	2,000	2,000	0.0%
Surgery - General	HA11B	KOH Transplant Surgery	0	383,925	0	0	0	0.0%
School of Medicine Total			57,709,138	70,190,257	53,713,511	43,458,941	(10,254,570)	-19.1%

School of Music at a Glance				
Year Established	1932	Academic Regalia Inter-Collegiate Color		Pink
Facilities and Main Office	School of Music Building			
Financial Information				
	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget
Total General Fund	\$6,904,123	\$7,006,178	\$6,934,917	\$7,486,613
Total Non-General Fund			\$2,249,311	\$1,330,138
Total School of Music			\$9,184,228	\$8,816,751
Performance Metrics				
	AY 2015	AY 2016	AY 2017	
Credit Hour Production	10,983	11,396	10,908	
Degrees				
Degrees Awarded	85	76	Not available	
Degrees Awarded Change Per Year	13.3%	-10.6%	at printing	
Enrollment by Level				
Undergraduate	338	341	308	
Graduate	58	99	60	
Total	396	440	368	

School of Music

The School of Music is committed to providing innovative musical leadership and expanding its role as an outstanding cultural and educational resource for the university and community. Our programs reflect diversity, innovation, and creativity as well as a commitment to excellence throughout our artistic, educational, research, and professional activities.

Established in 1932, the School of Music has created a major impact on the cultural life of Louisville and the Commonwealth of Kentucky. Its faculty and administration provide leadership and talent for such groups as the Louisville Orchestra, the Kentucky Opera Association, and Chamber Music Society. Performing groups representing the Chamber Music Society of Louisville and the Speed Museum Endowed Music Series offer public concerts at the School of Music. In addition, each year there are dozens of concerts and recitals performed by faculty, students, and student organizations. The School also sponsors jazz, dance and pre-collegiate music programs in the Dance Academy and Music Preparatory programs, offers the School of Music String Academy for high-achieving high school orchestral string students with support from the Starling Foundation, hosts a Music Therapy Clinic for the community, and provides the region's only university-based Suzuki String Teacher Education program. In addition, the School of Music is responsible for the university Cardinal Marching Band program whose members include both music majors and majors from around the other academic units on campus.

The School of Music holds accreditation since 1938 by the National Association of Schools of Music and houses one of only 80 approved music therapy programs by the American Music Therapy Association. Degree programs are offered which lead to the Bachelor of Arts with an emphasis in music (general jazz, and digital media arts tracks); the professional degree Bachelor of Music with an emphasis in music education, performance, music history, music theory, composition, music therapy or piano pedagogy; Master of Music with emphasis in performance, music history, music theory, composition, conducting, jazz, or piano pedagogy; and Master of Music Education. The Jamey Aebersold Jazz Studies program hosts an annual Jazz Fest each February while the Music Composition area organizes the annual New Music Festival in November. Other annual events include the residency of the annual winning composer of the Grawemeyer Award for Music Composition and the University of Louisville Classical Guitar Festival and Competition.

The School serves 365 graduate and undergraduate music majors as well as other undergraduate students fulfilling the requirements of the university General Education requirements. Academic courses in music literature and history as well as music performance are offered for both non-music majors as well as music majors, including ensembles such as the Singing Cardsmen and Black Diamond Choir, which are especially organized to accommodate the interests and schedules of the general university student. A faculty of 70 full- and part-time faculty

members and a comprehensive staff of 14 serve the instructional needs of the students and support the educational and cultural mission of the School.

Recent changes in the School of Music include the addition of enhanced multi-media production facilities to accommodate new programs in music composition, live streaming of music concerts on the School of Music YouTube channel, expansion of Music Therapy Clinic services, and a reorganization of the school to a department structure. Continuing international initiatives include collaborations with European music conservatories in Poland and Spain, as well as universities in Germany, Colombia, and Brazil. Markers of the school's success in 2017 included invited performances at national and international venues for the Wind Ensemble and Cardinal Singers, including performances at the American Bandmasters Association national meeting and American Choral Directors Association national meeting in Minneapolis, New York City's Carnegie Hall, as well as international performances in Berlin, Warsaw, and Beijing.

Goals

The School of Music is committed to:

- Provide music students with opportunities to develop artistry and expertise as emerging professionals in their chosen field of music study.
- Enhance the artistic culture of the university and community through music performances, collaborations with other performing arts organizations, and support for broad applications of music study including the music education and music therapy professions.
- Perform, study, promote, and develop understanding of music by living composers.
- Advance the art of music globally through our faculty composers, performers, teachers, therapists, and researchers.
- Provide musical performance and study opportunities to a diverse population of students across the campus to encourage students to make music activities an enduring part of their lives.
- Engage with other disciplines and programs within the university to benefit the educational and scholarly mission of our school and enhance the reputation and opportunities for our school.

Instruction

Enrollment of undergraduate music majors in the School of Music have recently established new highs. As a limited access program, this success is attributable to outstanding staff and faculty initiatives in outreach to prospective students. Programs for pre-collegiate students sponsored by the school attract hundreds of prospective choral and voice, string, wind band, piano and jazz students annually. Graduate students have new academic programs available in Electronic Music Composition, String Pedagogy, and Wind Band Pedagogy. Students in jazz studies, music therapy, and music composition have participated in recent international exchanges, and all music students have opportunities to request special Summer Study Grant funding provided by music student fees to support educational activities in a variety of national and international settings throughout the summer months.

Research

Notable research and scholarship initiatives, music composition, and performance initiatives carried out in the School of Music in FY 2017 include national and international presentations on Orff pedagogy, applications of music therapy in the NICU, and enhancing neural development in infants by parental singing. Still others include music therapy in intergenerational settings, analyses of contemporary operas composed by Grawemeyer award-winning composers, the pedagogy of the College Board AP Music Theory examination, use of apps in music therapy applications, studies on Franz Schubert, a variety of jazz, electronic and concert music compositions, adaptations, and recordings. Performers representing the School of Music are engaged with a range of music making which spans early music to the most current practices in music composition and performance. Music student ensembles are equally engaged with music making representing all historical, stylistic and genres at a very high level of artistry. Notably, the Cardinal Singers choral ensemble is internationally ranked among mixed choirs and is frequently invited to international choral festivals and competitions to represent the finest standards of choral singing in the United States.

Budget Changes

- In FY 2018, the general fund budget increases by \$550,000 primarily due to the allocation of additional funds for faculty in support of the school's efforts to maintain accreditation.

School of Music General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
School of Music								
Academic & Professional Studie	51020	Jerry Tolson	0	0	0	2,950	2,950	0.0%
Choral Activities	01364	Choral Activities	904	2,453	0	10	10	0.0%
Community Music CE	10428	Non-credit Dance	108,887	120,992	107,000	80,000	(27,000)	-25.2%
Conducting	01366	Music - Instrumental	217,964	93,055	119	31,109	30,990	26042.0%
Jazz Studies	01581	Jazz Studies	279,231	3,012	1,098	1,098	0	0.0%
Marching Band	01370	Marching Band	294,200	211,194	170,482	186,253	15,771	9.3%
Music Administration	01185	Tech Support	20,152	8,161	10,000	10,000	0	0.0%
Music Administration	01186	Music Administration	1,347,595	1,366,715	1,372,496	1,379,153	6,657	0.5%
Music Administration	01363	Instrument Repair& Replacement	39,475	(1,576)	5,125	5,000	(125)	-2.4%
Music Administration	01481	L Fees,Unclm Key Dp	0	64	0	0	0	0.0%
Music Administration	01482	Faculty Recruit	21,050	2,112	2,000	2,000	0	0.0%
Music Administration	01960	MUS 2012-13 Budget Reduction	0	0	(44,200)	0	44,200	-100.0%
Music Administration	01990	Academic &Professional Studies	0	1,128,071	1,212,742	1,240,598	27,856	2.3%
Music Administration	10427	Community Music Program	168,700	169,128	200,000	185,000	(15,000)	-7.5%
Music Administration	10547	Music - Student Council	200	(170)	900	1,200	300	33.3%
Music Administration	10701	Music Non-Major Fees	10	0	8,700	8,700	0	0.0%
Music Administration	10702	Music Major Fees	28,227	28,643	109,000	118,500	9,500	8.7%
Music Administration	10736	DE for Music History	0	1,573	51,400	45,017	(6,383)	-12.4%
Music Administration	10737	DE Administration Fee 10%	545	0	6,730	5,038	(1,692)	-25.1%
Music Administration	39086	Music - Discretionary Funds	18,510	1,500	0	0	0	0.0%
Music Composition	02069	Music Compositions	0	1,550	0	10	10	0.0%
Music Composition	50991	Marc Satterwhite	0	0	0	500	500	0.0%
Music Education	01268	Music - Education	387,357	2,952	5,100	1,582	(3,518)	-69.0%
Music History	01368	Music History	179,233	1,921	49,362	10	(49,352)	-100.0%
Music Instruction	01187	Music - Instruction	1,205,282	1,180,183	764,318	1,102,298	337,980	44.2%
Music Instruction	30585	MUS VSIP Reserve	0	0	(303,973)	0	303,973	-100.0%
Music Theory	01367	Music Theory	494,331	2,147	41,219	10	(41,209)	-100.0%
Music Therapy	02068	Music Therapy General Funds	0	1,394	0	10	10	0.0%
Music Therapy Clinic CE	10757	Music Therapy	25,394	29,425	20,000	35,000	15,000	75.0%
Music-Financial Aid	30030	T/R DSA-Music	32,000	33,600	33,600	33,600	0	0.0%
Music-Financial Aid	30042	Music School Scholarship	484,558	487,734	513,300	558,300	45,000	8.8%
Music-Financial Aid	30043	Marching Band Scholarship	232,152	263,700	330,500	265,994	(64,506)	-19.5%
Music-Financial Aid	30055	KY Reg Awards-Music	25,050	28,800	29,000	29,000	0	0.0%
Orchestral Activities	02070	Orchestral Activities	0	3,121	0	10	10	0.0%

Academic Unit Summaries

2018 Operating Budget



Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Performance Studies	01365	Music - Applied Studies	1,136,092	8,360	10	10	0	0.0%
Performance Studies	01991	Performance Studies	0	1,816,248	2,236,859	2,155,259	(81,600)	-3.6%
Performance Studies	50989	Stephen Mattingly	0	0	0	986	986	0.0%
Performance Studies	51000	Matthew Nelson	0	0	0	2,378	2,378	0.0%
Studio Performance & Pedagogy	10700	Music Recital Fees	0	0	2,000	0	(2,000)	-100.0%
Wind Band Activites	01369	Division of Bands	157,023	10,118	30	30	0	0.0%
		School of Music Total	6,904,123	7,006,178	6,934,917	7,486,613	551,696	8.0%

School of Nursing at a Glance				
Year Established	1979	Academic Regalia Inter-Collegiate Color		Apricot
Facilities and Main Office	Health Sciences Campus, K-Wing - 555 South Floyd Street - Suite 3019			
<i>Financial Information</i>				
	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget
Total General Fund	\$8,661,829	\$8,102,698	\$6,429,370	\$7,186,077
Total Non-General Fund			\$2,681,797	\$3,107,734
Total School of Nursing			\$9,111,167	\$10,293,811
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit Hour Production	18,391	17,976	18,605	
Degrees				
Degrees Awarded		267	Not available	
Degrees Awarded Change Per Year	8.6%	-7.9%	at printing	
Enrollment by Level				
Undergraduate	1,454	1,394	1,125	
Graduate	140	145	163	
Total	1,594	1,539	1,288	

School Nursing

The School of Nursing, founded in 1974, offers programs leading to a Bachelor of Science (BSN), Master of Science (MSN), Doctor of Nursing Practice (DNP), and a PhD in Nursing. The strategic aim of the School is to transform health care through creation of new knowledge, provision of care, community and professional service, policy development, and workforce development. With 51 full-time tenured, tenure track, and term faculty and 22 staff, the school advises, mentors, and educates nearly 1,300 students. University of Louisville School of Nursing faculty, staff and students are *Called by compassion, destined to discover*.

The School continues to grow its global presence with study abroad experiences for students in Belize, Botswana, Croatia, Ecuador, Haiti, Ireland, Scotland, and Tanzania. Aggressive stewardship of our alumni and other key stakeholders and partners continues to increase philanthropic support.

Instruction

Three options are currently available for students seeking a bachelor's degree in nursing: 1) the pre-licensure traditional BSN in Louisville; 2) the traditional BSN extension in Owensboro; and 3) a fully online registered nurse completion RN-BSN.

The Master's Entry into Professional Nursing (MEPN) began in summer 2015. It replaced the second degree accelerated BSN (for students with a bachelor's degree or higher in another major). Graduates of the MEPN will earn both a bachelor and master degree in nursing.

Students pursuing the Doctor of Nursing Practice (DNP) will graduate with an advanced practice nursing degree as a nurse practitioner. There are currently five advanced practice registered nurse (APRN) population options: Adult Acute care, Adult/Gerontology, Family, Neonatal, and Psychiatric Mental Health. Students with earned master's or doctoral degree in nursing may also complete a post-graduate certificate in any of the APRN options. In addition, graduate students may opt to obtain a certificate in health professions education by taking additional course work. A post-masters DNP option is available for students holding an APRN master's degree.

The BSN and MSN programs are fully accredited by the Commission on Collegiate Nursing Education through December 31, 2021. The SON will be seeking accreditation for the DNP one year after the first cohort of students is enrolled.

Graduate students enter the PhD Program via either BSN to PhD or MSN to PhD options in order to conduct research and assume faculty roles. *US News and World Report* in 2016 ranked the UofL graduate nursing program 88 out of the top 100 schools ranked in the country.

The School of Nursing faculty value evidence-based curricula and innovative pedagogical strategies implemented in the high-energy atmosphere of the Health Sciences Campus. The faculty members are also active nurse scientists with individual research programs that address translational and bio behavioral nursing research to promote and restore health and function across the lifespan. The School's strategic plan includes targets to help the University become a premier metropolitan research university through nursing and inter-professional research, teaching, and service. The School is very active in community service locally, and faculty partner with community organizations including the Jefferson County Public Schools. Nurse practitioner faculty members also practice as partners with the School of Dentistry, School of Medicine, Louisville Metro Public Health & Wellness, and the Kentucky Racing Health Services Center at Churchill Downs.

Research

- Faculty are building the school's research and scholarship with grants and publications in asthma, environmental health, end of life care, burn prevention, successful aging, motivational interviewing, and simulation pedagogies, to name a few.
- Our endowed chair obtained significant federal funding for two studies: Home Healthcare Professionals Hazard Training through Virtual Simulation and Asthma in Older Adults: Identifying Phenotypes and Factors Impacting Outcomes.

Significant Accomplishments

- The School of Nursing continues to host the annual Florence Nightingale Awards in Excellence to honor talented registered nurses throughout the state of Kentucky and southern Indiana.
- The School continues to graduate large numbers of licensed registered BSN nurses and graduate prepared nurse practitioners who go on to obtain national certification, along with PhD graduates who will teach and/or conduct research.

Budget Changes

- The FY 2018 general fund budget increases by \$756,000 primarily due to \$500,000 associated with a program, now being established on a permanent budget basis, to train nursing students in Owensboro.
- In addition, repositioning hospital affiliation expenditures as non-general funds.

Academic Unit Summaries

2018 Operating Budget



School of Nursing General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
School of Nursing								
Nursing Administration	01250	Nursing Administration	816,686	774,398	694,733	830,936	136,203	19.6%
Nursing Administration	01251	Nursing-Education	2,781,159	2,910,475	2,908,847	3,104,945	196,098	6.7%
Nursing Administration	01484	Nursing-Dean's Disc Fund	657,357	576,149	53,880	0	(53,880)	-100.0%
Nursing Administration	01961	NUR 2012-13 Budget Reduction	0	0	(90,677)	0	90,677	-100.0%
Nursing Administration	10555	Student Council - Nursing	7,545	7,735	8,300	8,300	0	0.0%
Nursing Administration	10600	Dist Ed-Nursing Admin	22	0	43,000	29,500	(13,500)	-31.4%
Nursing Administration	10601	DIST ED-NURSING	359,530	261,589	378,000	261,077	(116,923)	-30.9%
Nursing Administration	10748	NSG Instructional Course Fee	427,374	465,278	366,500	489,232	122,732	33.5%
Nursing Administration	10749	Nursing Clinical Course Fee	145,184	110,482	146,000	146,000	0	0.0%
Nursing Administration	H0026	Hospital Nursing School	166,593	556,081	155,565	0	(155,565)	-100.0%
Nursing Education	01534	Nursing Academic Affairs	794,950	821,163	803,655	803,804	149	0.0%
Nursing Education	01641	Learning Resource Ctr-SON	939	30	5,000	0	(5,000)	-100.0%
Nursing Education	01642	Office of Student Services-SON	8,783	12,102	10,000	0	(10,000)	-100.0%
Nursing Education	10686	NUR U/G Clinical Lab Fee	24	17,075	50,000	50,000	0	0.0%
Nursing Education	10705	Graduate Nursing Clinical Fee	12,107	4,807	10,000	10,000	0	0.0%
Nursing Education	10730	NUR U/G Clinical Lab Fee-OMHS	(59,654)	0	0	0	0	0.0%
Nursing Education	10750	Acute Care NP Cln Course Fee	0	0	1,400	1,372	(28)	-2.0%
Nursing Education	30533	Owensboro Medical HEA Systems	860,193	799,386	129,276	630,412	501,136	387.6%
Nursing Education	HA07A	KOH Nursing	1,097,000	241,989	0	0	0	0.0%
Nursing Research	01371	Nursing Oncology Res	126,057	84,879	189,816	190,171	355	0.2%
Nursing Research	01529	Nursing Research	459,981	459,080	566,075	630,328	64,253	11.4%
School of Nursing Total			8,661,829	8,102,698	6,429,370	7,186,077	756,707	11.8%

School of Public Health and Information Sciences at a Glance				
Year Established	1919-1924; 2002	Academic Regalia Inter-Collegiate Color	Salmon Pink	
Facilities and Main Office	School of Public Health and Information Sciences - 485 E. Gray Street			
<i>Financial Information</i>				
	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget
Total General Fund	\$5,226,057	\$5,459,686	\$5,181,969	\$4,661,976
Total Non-General Fund			\$8,734,492	\$8,266,840
Total School of Public Health			\$13,916,461	\$12,928,816
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit Hour Production	3,894	4,462	5,137	
Degrees				
Degrees Awarded	67	67	Not available	
Degrees Awarded Change Per Year	19.6%	0.0%	at printing	
Enrollment by Level				
Undergraduate	48	119	171	
Graduate	179	176	183	
Total	227	295	354	

School of Public Health and Information Sciences

The original School of Public Health at the University of Louisville was open from 1919 to 1924, making it one of the first schools of public health in the United States. The School of Public Health and Information Sciences (SPHIS) officially reopened as a unit of the University of Louisville in September 2002. The school's mission is to advance knowledge for the public's health in the increasingly complex and interconnected world of the 21st century, which is accomplished through activities in three cornerstone areas:

- *Research.* We create knowledge by seeking new discoveries and understanding through scientific exploration. We communicate our findings.
- *Teaching.* We share knowledge with students committed to and prepared for learning in a facilitated environment. Our learners are our students, our faculty, and our staff. We commit to preparing our learners for success.
- *Service.* We apply knowledge through quality services to the communities of which we are a part – the University, Louisville Metro, Kentucky, the United States, and their respective environs.

SPHIS is accredited by the Council on Education for Public Health (CEPH), an independent agency recognized by the US Department of Education to accredit schools and programs of public health. The school received reaccreditation in 2013 for a period of seven years, the maximum length of time CEPH will reaccredit schools of public health. We are one of two accredited schools of public health in Kentucky, and the only school in the nation that combines the disciplines of public health and information sciences.

Goals

We seek to fulfill our mission by meeting the following goals:

1. Provide educational and academic excellence through a responsive, challenging and supportive educational environment characterized by high standards, commitment to quality and student success.
2. Build a public health and information science research enterprise by focusing energy and resources to enhance the scholarly agenda, thereby striving toward national prominence.
3. Foster a diverse, open and accessible school of public health and information sciences with an integrated system of access and intercultural understanding that promotes and supports race and gender diversity, inclusivity, equity and open communication.
4. Promote collaboration and community/state partnerships by developing and integrating interdisciplinary activities associated with

teaching, research and service. Support existing partnerships and engage new partners to contribute to the educational, social and economic progress of the region and state.

5. Focus on school effectiveness and service through systematic quality improvement, assessment, CEPH self-study and accreditation, and a dedication to fulfill the mission and vision of SPHIS.

The school is undergoing a period of sustained growth under the direction of Dean Craig Blakely, PhD, MPH. Dean Blakely has outlined four overarching priorities for the SPHIS since his tenure began in April 2013.

- Establish global health presence
- Establish undergraduate program in public health
- Build a strong health policy focus
- Become visibly engaged in West Louisville

Instruction

Public health is a dynamic and growing field of study and is a great match for students who want to make a difference in local or global communities. The school prepares the next generation of public health professionals through its five academic departments: Bioinformatics and Biostatistics, Environmental and Occupational Health Sciences, Epidemiology and Population Health, Health Management and Systems Sciences, and Health Promotion and Behavioral Sciences.

Student enrollment has grown significantly since the launch of the undergraduate program in 2014. SPHIS has two undergraduate degrees: a bachelor of science (BS) in public health for students focused on public health practice and a bachelor of arts (BA) in public health for liberal arts studies. We operate a Public Health Living-Learning Community (PHLLC) to bring together students wishing to pursue a career in public health in a dynamic, engaging environment. This residential community fosters exploration of this career path through courses, programming, services and connections to campus and community resources.

The school has created new degree concentrations in health policy and global health (with an emphasis in maternal and child health) to attract more graduate students. Future plans include the creation of an executive master of health administration (MHA) and a master of data analytics, among other programs. SPHIS is also actively pursuing an online certificate program in Clinical Investigation Sciences and training in biostatistics. Several international letters of intent are in place with universities and governmental agencies in order to pursue global partnerships that may benefit our faculty and students as well as the public's health.

Research

- \$2.8 million from NIH to study whether children with higher exposure to fly coal ash and metals experience negative health effects. The study started in May 2015 and will continue for five years, ending in January 2020.
- \$5.7 million from CDC to establish a Center of Excellence in Youth Violence Prevention. The project begins September 2015 and will continue for five years. The first initiative of the Youth Violence Prevention Research Center (YVPRC) will be to develop, implement, and evaluate a community-level social norming campaign to change the norms of violence among youth using mass and social media.
- \$440,000 from NIH (Eunice Kennedy Shriver National Institute of Child Health & Human Development) to study how specific genetic factors coupled with lifetime exposure to cigarette smoking and secondhand smoke may influence a woman's ability to conceive. Funding was awarded in August 2016 and continues for three years.

Significant Accomplishments

Significant accomplishments of the last year include:

- The Department of Bioinformatics and Biostatistics has established a new degree collaboration with Kentucky State University. The initiative allows KSU undergraduates majoring in math to study seven semesters (3.5 years) at KSU, and three semesters (1.5 years) at UofL, earning a BA/BS in math at KSU and a master of science (MS) in biostatistics from UofL.
- SPHIS faculty are engaged in community-based participatory research to address public health concerns such as coal ash, youth violence, and health disparities.
- In 2015, the SPHIS Office of Public Health Practice (OPHP) relocated to The Old Walnut Street development at 1300 W. Muhammad Ali Blvd. The OPHP engages residents and community groups to advance social justice, reduce health disparities, and build capacity for improved health.
- We are proud of our longstanding collaborations with the Kentucky Department for Public Health and the Louisville Metro Department of Public Health and Wellness to improve the health outcomes of our citizens. In 2015, SPHIS worked with Louisville Metro to recruit Dr. Brandy N. Kelly Pryor as Director of the Center for Health Equity and Dr. Joann Schulte as Director of Health for LMPHW and the Board of Health.

Budget Changes

- The FY 2018 general fund budget decreases by approximately \$500,000 mostly due to repositioning hospital affiliation expenditures as non-general funds.

School of Public Health and Information Sciences General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
School of Public Health and Information Science								
Bioinformatics & Biostatistics	01249	Ctr Hlth Srv Pol Res	285,252	338,731	315,451	296,059	(19,392)	-6.1%
Bioinformatics & Biostatistics	01438	Bioinfor. And Biostats	717,947	701,458	713,462	657,388	(56,074)	-7.9%
Env & Occupational Hlth Sci	01440	Env And Occ Hlth Sci	649,800	725,798	736,304	718,262	(18,042)	-2.5%
Epidemiology & Population Hlth	01437	Epidemiology & Population Hlth	953,173	834,579	845,599	738,773	(106,826)	-12.6%
Hlth Mgmt & Systems Sci	01439	KY Healthcare Infrastructure	213,261	388,090	298,757	255,987	(42,770)	-14.3%
Hlth Mgmt & Systems Sci	01607	Health Mgmt and System Science	97,979	100,562	103,594	92,522	(11,072)	-10.7%
Hlth Mgmt & Systems Sci	H0045	Health Mgmt & Systems Science	246,125	246,229	252,138	0	(252,138)	-100.0%
Hlth Promo and Behavioral Sci	01441	Hlth Promo and Behavioral Sci	616,922	728,292	729,774	648,284	(81,490)	-11.2%
Sch of Publ Hlth & Info Sci	01248	Public Health	817,259	784,643	664,237	1,066,538	402,301	60.6%
Sch of Publ Hlth & Info Sci	10662	Public Health Info Science	11,047	8,857	7,900	7,900	0	0.0%
Sch of Publ Hlth & Info Sci	10696	SPHIS Technology Fee	27,107	13,323	20,000	20,000	0	0.0%
Sch of Publ Hlth & Info Sci	10789	SPHIS CPH exam fee	3,227	2,008	0	0	0	0.0%
Sch of Publ Hlth & Info Sci	30532	SPHIS Summer MPH Practicum AID	26,385	8,306	0	0	0	0.0%
Sch of Publ Hlth & Info Sci	30562	SPHIS 7 State Tuition	85,194	84,136	0	0	0	0.0%
Sch of Publ Hlth & Info Sci	52019	CRIF-Clover, Richard	0	0	0	153,057	153,057	0.0%
Sch of Publ Hlth & Info Sci	H0031	Hospital Sch Of Public Health	242,114	241,550	241,591	0	(241,591)	-100.0%
Sch of Publ Hlth & Info Sci	H0035	Hosp Health Promo & Behav Sci	233,267	253,123	253,162	0	(253,162)	-100.0%
The Commonwealth Institute	52031	Commonwealth Inst. of Kentucky	0	0	0	7,206	7,206	0.0%
School of Public Health and Information Science Total			5,226,057	5,459,686	5,181,969	4,661,976	(519,993)	-10.0%

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J.B. Speed School of Engineering at a Glance				
Year Established	1925	Academic Regalia Inter-Collegiate Color	Orange	
Facilities and Main Office	J.B. Speed Hall			
<i>Financial Information</i>				
	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget
Total General Fund	\$23,147,770	\$24,823,043	\$25,024,761	\$26,880,495
Total Non-General Fund			\$13,647,615	\$10,971,740
Total Speed School			\$38,672,376	\$37,852,235
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit Hour Production	47,661	49,372	52,029	
Degrees				
Degrees Awarded		600	Not available	
Degrees Awarded Change Per Year	10.6%	8.1%	at printing	
Enrollment by Level				
Undergraduate	1,933	2,061	2,147	
Graduate	666	651	590	
Total	2,599	2,712	2,737	

J.B. Speed School of Engineering

The mission of the J. B. Speed School of Engineering is to serve the university, the Commonwealth of Kentucky, and the engineering profession by providing high quality engineering education programs; engaging in research and scholarship that advances knowledge; and partnering in the economic development of the greater Louisville region, Kentucky and the nation through workforce development and technology transfer. The vision is to become the preeminent provider of high-quality, accessible, and experiential-based engineering education and a national leader among public, metropolitan engineering institutions in research, scholarship, and creative activity.

Since its founding in 1925 as a memorial to the late James Breckenridge Speed, the J.B. Speed School of Engineering has been recognized as a premier, metropolitan engineering institution. It has been built on a foundation of exemplary faculty and staff, talented and committed students, outstandingly accomplished alumni, and generous donors. It is a special place where the dreams of many for a better life and better world have been empowered. The Speed School community is proud of its long history of accomplishments in engineering education, scholarship, and service to the community.

The school is comprised of approximately 115 staff members, 110 faculty members and lecturers, and an enrollment of approximately 2,700 students (2,100 undergraduate and 600 graduate). The school also receives valuable guidance by a 50-member Industrial Board of Advisors.

Goals

In 2013, Speed School established its 2020 Strategic Plan along with procedures and schedule for accountability and implementation. To date over two thirds of the detailed initiatives associated with the goals below have been completed, and the remaining one third are in progress.

Educational Excellence

Our goal is to produce world-class engineers who are well prepared to meet the challenges of tomorrow with competitive critical thinking and problem solving skills, the ability to communicate and interact within a diverse society, immersion in current engineering practices, and a lifelong quest for new knowledge. To achieve this goal, we will:

- Attract motivated, prepared and talented students into all degree programs
- Engage students with state-of-the-art accredited engineering curricula
- Provide outstanding experiential learning and professional development experiences

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- Improve the delivery of student services to increase student retention and graduation rates
- Foster the continuous improvement of teaching and learning and support the scholarship of teaching

Research, Scholarship and Creative Activity

Our goal is to create and translate knowledge, educate future generations of researchers, apply our research findings to improve the overall quality of life, and communicate and share our research success for the betterment of society at large. To achieve this goal, we will:

- Significantly increase research and innovation activity
- Establish areas of research excellence in:
 - Energy and sustainability
 - Advanced manufacturing and logistics
 - Engineering human health
 - Cyber enabled discovery
- Grow existing strength in materials science and engineering, including nanoscience, to underpin overall research capability
- Translate research results to realize commercial value
- Greatly increase national recognition of Speed School research activities

Community Engagement

Our goal is to be a driving force for change in the community by applying our engineering expertise to the solution of real-world problems. To achieve this goal, we will:

- Increase the number of partnerships and collaborations with both private and public institutions
- Serve as the public square where the engineering community and the public can gather for programs, lectures, and conversations about the engineering challenges of our times
- Improve communication and marketing efforts to better engage the community
- Improve the quality and quantity of interactions between the school and its alumni to better utilize their unique perspectives on the needs of the engineering community
- Strengthen outreach activities to strengthen the pipeline of prepared student into engineering

Diversity and Opportunity

Our goal is to maintain a diversity of background and perspective of faculty, staff and students, and provide increased opportunities for all. To achieve this goal, we will:

- Increase the percentage of underrepresented students and faculty in the school, with particular focus on attracting a more diverse population of races and ethnicities, women, and first generation college students.
- Improve professional and career services for students and alumni
- Enhance the role and impact of student groups and professional societies to encourage lifelong learning and service
- Increase the number of international learning opportunities for students and faculty
- Implement professional development and mentoring programs for faculty and staff

Responsible Stewardship

Our goal is to effectively steward our valuable human resources, financial assets, equipment, infrastructure, information, and technology, to provide the highest quality of teaching, research and professional service. To do this, we will:

- Streamline academic, research and administrative processes to increase productivity
- Increase annual gifts and endowments to the school to support the strategic plan
- Work with the University to improve our engineering campus, facilities, classrooms and laboratories
- Ensure that our unique educational environment remains affordable to all qualified students
- Implement more modern and effective evaluation and rewards systems that promote and encourage faculty and staff productivity

Instruction

The J. B. Speed School of Engineering offers ABET-accredited Baccalaureate programs in Bioengineering, Chemical Engineering, Civil Engineering, Computer Engineering and Computer Science, Electrical Engineering, Industrial Engineering, and Mechanical Engineering. It also offers ABET-accredited Master of Engineering degrees in these disciplines. The School offers an online graduate Master of Engineering in Engineering Management program. It offers Master of Science degree programs in Chemical, Civil, Electrical, Industrial, and Mechanical Engineering, and Computer Science, as well as Ph.D. programs in Chemical Engineering, Electrical Engineering, Mechanical Engineering, Industrial Engineering, Computer Science and Engineering, and Civil Engineering. The school also has a collaborative degree program with GE

Appliances offering onsite graduate-level engineering classes at Appliance Park here in Louisville. The Master of Engineering in Engineering Management is also offered in Panama City, Panama.

Highlights

- Speed School is the first, and only, engineering school to have ABET accredited programs at both the baccalaureate and masters levels.
- Speed School enrolled 525 first-time freshmen in Fall 2016, the single largest incoming class in school history. A similar size freshman class is projected for Fall 2017.
- Total enrollment exceeds 2,700 students, the largest in school history.
- With an average ACT composite score well above 29 for incoming freshmen, the school continues to attract the best and brightest students from the Commonwealth of Kentucky and beyond.
- The school implemented a new freshman hands-on “Intro to Engineering” course in which students actually design, build and test a complex engineering system.
- Speed School has seen growth in its online offerings, particularly in the Masters in Engineering Management – Online program.
- Jobs for engineering graduate remain strong, Over 98 percent of Speed BS graduates found employment (or went on to pursue graduate education) within 90 days of graduation.

Research

The J. B. Speed School of Engineering operates a comprehensive research program, supported largely by extramural funding from government agencies and industry. The strategic plan shown above calls for research excellence in energy and sustainability, advanced manufacturing and logistics, engineering human health, and cyber-enabled discovery, with growth and strength in materials science and engineering including nanoscience to underpin overall research capability. In order to meet these goals, the school maintains a variety of state-of-the-art research centers, institutes, and laboratories. The GE Factory Automation Laboratory, located in the Vogt Building, houses the Haas Technical Education Center and the Rapid Prototyping Center focused on state-of-the-art advances in additive manufacturing techniques. The Micro/Nano Technology Center (MNTC), located in the Shumaker Research Building, operates a 10,000 square foot clean room facility that provides capability for the manufacture of integrated circuits (ICs), discrete microelectronic devices, MEMS devices such as sensors and actuators, and various electro-optic devices. The Conn Center for Renewable Energy, established in 2009, seeks to enhance global energy and economic security and maintain US technological leadership in developing and deploying advanced energy technologies.

The Kentucky Pollution Prevention Center (KPPC) is a state-mandated technical assistance resource center to help businesses, industries and other organizations stay environmentally sustainable and competitive. Additional centers and laboratories throughout the school focus on a variety of cutting edge research areas including ergonomics, stream restoration, electro-optics, cyber security, civil infrastructure, and more.

Highlights

- Overall research expenditures in fiscal year 2016 climbed nearly 30% to over \$10 million.
- UofL and GE continue to collaborate through FirstBuild, opened in July 2014. This 35,000 square foot, state-of-the-art facility serves as a beta site for the planned Institute for Product Realization that will be developed on the 40-acre site south of Speed School over time. FirstBuild features a unique co-creation model for the rapid development and production of home appliances, and offers tremendous opportunities for student/faculty/industry collaboration.
- Speed School operates a 15,000 student maker space and workshop areas for outreach and summer programs called the Engineering Garage (EG).
- The \$3.76 million NSF National Nanotechnology Coordinated Infrastructure Grant involves eight key facilities at UofL and UK. This Multi-scale Manufacturing and Nano Integration Node (MMNIN), will enhance and upgrade advanced manufacturing equipment at UofL and UK research facilities, add staff to help train and support up to 500 additional external users, provide seed money for research projects in key advanced manufacturing areas, and engage more minorities and women in nanoscale science, engineering and technology.

Significant Accomplishments

Community Engagement

- Speed School's Outreach Program has "Engineering Is Elementary" and "In the Middle of Engineering" Programs in numerous local elementary and middle schools in Jefferson and Oldham Counties with over 7,000 students involved.
- Speed continues to support student maker spaces at Nativity Academy, West End School, and Central High School and provides free afternoon tutoring from engineering students.
- Speed's Outreach Program offers numerous summer engineering camps at Speed School and partners to support additional camps in local schools.
- Student engineering design teams continue to earn top 10 finishes in national competitions. River City Rocketry, won First Prize at the

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2017 NASA Student launch completion, competing against top engineering schools in the nation.

- In addition, they and other organizations such as Society of Women Engineers (SWE) and National Society of Black Engineers (NSBE) participate in STEM outreach efforts.
- The Speed Alumni Council sponsored the 4th Annual Golf Classic for Outreach and raised over \$12,000 in support of Speed School outreach programs.
- The Office for Career Services hosts two career fairs per year that attracted over 100 different employers and over 500 students.
- Speed offers an Engineering Living Learning Community (common dorm) for over 120 freshman in 2016. The school plans to expand the program to over 200 students in 2017.

Diversity, Opportunity & Social Justice

- Speed School established a new LGBT engineering student organization, called Speed Spectrum in 2016.
- Speed School continues to expand minority engineering scholarship programs with the addition of new sponsors and donors.
- Speed School offers a week-long diversity celebration with a wide variety of speakers, events and awards, as well as a Women's Leadership Conference for Speed students and area high school students.
- Speed continues to seek to increase the diversity of its student body through programs such as the Brown-Forman INSPIRE Summer Enrichment Program for high school students, and the highly-immersive resident 2-week program called the Brown-Forman Academy that aims to help admitted Speed freshmen improve their chances for success prior to arrival on campus.

Creative and Responsible Stewardship

- The school has completed a major architectural study to develop master plan for the Speed campus to better accommodate research labs, classrooms, student areas, teaching labs and capstone design project areas.
- Working with the Industrial Board of Advisors (IBA), Speed School seeks out new ideas and enhancements to implement plans for achieving excellence.
- Speed School, through its Kentucky Pollution Prevention Center (KPPC), continues to provide pollution prevention and energy management technical assistance services to industrial and commercial facilities throughout Kentucky.

Budget Changes

- The FY 2018 general fund budget is increased by \$1.9 million due to steadily increasing enrollment numbers in the Speed School. This funding has enabled it to make key term faculty hires, as well as strategic faculty hires in, among others, the areas of Advanced Manufacturing and Sustainability.
- The new Speed Engineering Co-op Course Fee was approved last fiscal year, and goes into effect in FY 2018. This fee is expected to generate \$273,000 in additional revenue to meet the administrative effort of placing an increasing number of students in co-op programs. The cost to students will, in part, be offset by reducing the number of required co-op credit hours from two to one.

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Speed School of Engineering General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
J.B. Speed School of Engineering								
Center for Ergonomics	01145	Center for Ergonomics	66,133	92,281	112,307	114,345	2,038	1.8%
Ky Pollution Prevention Ctr	01147	Ky Pollution Program	35,821	31,177	43,239	43,239	0	0.0%
Ky Pollution Prevention Ctr	10460	Partners Pollution Prevention	274,867	(1,544)	0	0	0	0.0%
Ky Pollution Prevention Ctr	17004	Partners Pollution Prevention	0	237,024	360,000	360,000	0	0.0%
Spd WKU Murray Engineering Pro	30089	WKU/UofL Eng Program	152,967	76,485	118,257	121,875	3,618	3.1%
Spd-Acad Student Support Srv	01141	SPD-Office of Academic Affairs	1,608,573	1,747,327	1,691,416	1,620,157	(71,259)	-4.2%
Spd-Acad Student Support Srv	10544	Speed - Student Council	23,907	24,674	20,300	20,300	0	0.0%
Spd-Acad Student Support Srv	10617	Dis Ed-Speed Dean's Office	49,655	179,471	160,000	160,000	0	0.0%
Spd-Acad Student Support Srv	10699	Spd-Technology Fee	149,154	151,129	194,000	194,000	0	0.0%
Spd-Acad Student Support Srv	10746	SPD-GE Edison Program	237,505	233,479	302,400	302,400	0	0.0%
Spd-Acad Student Support Srv	10807	Spd-Co-op Fee	0	0	0	273,900	273,900	0.0%
Spd-Bio-Engineering	01385	Bio-Engineering	1,438,594	1,817,466	1,683,918	1,900,986	217,068	12.9%
Spd-Bio-Engineering	10729	Dist Ed-SPD Bio	0	0	5,000	5,000	0	0.0%
Spd-Bio-Engineering	30389	SPD-Bio Res Release	2,026	307	0	0	0	0.0%
Spd-Business Center	01135	SPD-Business Center	548,041	533,624	571,511	663,727	92,216	16.1%
Spd-Chemical Engineering	01136	Chemical Engineering	1,371,312	1,730,547	1,859,770	1,840,981	(18,789)	-1.0%
Spd-Chemical Engineering	10755	Dist Educ-SPD Chemical	2,349	4,457	10,000	10,000	0	0.0%
Spd-Chemical Engineering	30282	RR-Chemical Engineering	12,200	52,045	0	0	0	0.0%
Spd-Civil & Environmental Eng	01137	Civil & Env Engineering	1,400,468	1,547,036	1,640,129	1,701,377	61,248	3.7%
Spd-Civil & Environmental Eng	01535	The Stream Institute	155,179	180,400	181,593	187,048	5,455	3.0%
Spd-Civil & Environmental Eng	10658	Dist Educ-Civil & Environ Engr	82,474	57,000	53,800	53,800	0	0.0%
Spd-Civil & Environmental Eng	30283	RR-CEE Engineering	160,437	30,740	0	0	0	0.0%
Spd-Comp Eng. & Comp Sci.	01138	CECS	2,232,537	2,390,162	2,407,876	2,353,840	(54,036)	-2.2%
Spd-Comp Eng. & Comp Sci.	10649	Dist Ed-SPD Comp Engr/Science	64,994	350,557	240,000	240,000	0	0.0%
Spd-Comp Eng. & Comp Sci.	30284	RR-CECS	68,412	26,386	0	0	0	0.0%
Spd-Comp Eng. & Comp Sci.	54114	Huacheng Zeng	0	0	0	10,000	10,000	0.0%
Spd-Conn Center	01609	SPD-Conn Center	1,198,847	982,388	1,092,115	1,097,382	5,267	0.5%
Spd-Conn Center	50029	SPD-Inst Advanc Mtrls-Renew En	96,122	95,012	100,000	100,000	0	0.0%
Spd-Ctr for Infrastructure Res	10581	Center for Infrastructure	112,949	72,617	75,000	75,000	0	0.0%
Spd-Development	30361	SPD Enhancement Fund	160,652	57,276	12,887	29,910	17,023	132.1%
Spd-Elec & Computer Eng.	01140	ECE	2,286,566	2,362,408	2,317,486	2,358,044	40,558	1.8%
Spd-Elec & Computer Eng.	10738	Dist Ed-SPD ECE	150	3,795	5,000	7,000	2,000	40.0%
Spd-Elec & Computer Eng.	30286	RR-ECE	58,520	63,524	0	0	0	0.0%
SPD-Electroopt Ics Inst	52023	CRIF-Electr Optics Res & Nano	0	0	0	15,596	15,596	0.0%

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Spd-Electrooptics Inst	01533	SPD-Electrooptics Inst	66,098	86,721	21,595	0	(21,595)	-100.0%
Spd-Electrooptics Inst	S0097	SPD-Huson Nanotechnology Facil	(18,330)	36,966	68,566	0	(68,566)	-100.0%
Spd-Eng. Fundamentals	01142	SPD - Eng. Fundamentals	1,291,973	1,477,840	1,533,850	1,595,135	61,285	4.0%
Spd-Eng. Fundamentals	10783	SPD-Eng. Fund Dist Educ	160	26,095	47,255	73,556	26,301	55.7%
Spd-Eng. Fundamentals	30498	Spd-Eng. Fund Research Rel.	24,824	19,590	0	0	0	0.0%
Spd-Financial Aid	30040	Spd-Undergrad Scholarships	211,506	203,472	210,500	210,500	0	0.0%
Spd-Industrial Engineering	01144	Industrial Engineering	1,232,785	1,154,913	1,313,196	1,351,781	38,585	2.9%
Spd-Industrial Engineering	10679	SPD-IE German Ph.D Program	75,213	176,659	0	0	0	0.0%
Spd-Industrial Engineering	10680	SPD-Panama MEEM Program	150,864	121,908	172,000	172,000	0	0.0%
Spd-Industrial Engineering	10719	Dist Educ-SPD Industrial Engr	148,117	241,443	252,000	252,000	0	0.0%
Spd-Industrial Engineering	10804	Spd-Distance Ed IE Undergrad	0	0	60,000	60,000	0	0.0%
Spd-Industrial Engineering	30287	RR-Industrial Engineering	79,201	18,260	0	0	0	0.0%
Spd-LoDi	01384	SPD-Logistics & Dist	198,875	177,495	216,888	220,234	3,346	1.5%
Spd-Mechanical Engineering	01139	Mechanical Engineering	2,449,712	2,599,973	2,747,013	2,790,134	43,121	1.6%
Spd-Mechanical Engineering	10733	Dist Educ-SPD Mechanical	4,009	33,232	17,000	17,000	0	0.0%
Spd-Mechanical Engineering	30285	RR-Mechanical Engineering	44,823	54,359	0	0	0	0.0%
Spd-Mechanical Engineering	51015	Hui Wang	0	0	0	8,500	8,500	0.0%
Spd-Micro/Nano Tech Cntr	01530	SPD-Lutz Microfabrication L.	209,336	171,669	157,121	170,561	13,440	8.6%
Spd-Micro/Nano Tech Cntr	10698	Spd-Cleanroom Lab Fee	0	0	1,500	1,500	0	0.0%
Spd-Micro/Nano Tech Cntr	S0083	Microtechnology Service Center	141,957	56,509	65,000	100,400	35,400	54.5%
SPD-OIP	01146	SPD Interdispln Program	120,885	298,741	259,194	1,347,771	1,088,577	420.0%
Spd-Rapid Prototyping Center	01148	Spd-Additive Manufacturing Res	291,209	309,575	296,747	300,543	3,796	1.3%
Spd-Rapid Prototyping Center	52030	Rapid Prototyping Center	0	0	0	18,318	18,318	0.0%
Spd-Rapid Prototyping Center	S0093	Spd-Rapid Prototyping SC	153,039	172,400	250,000	250,000	0	0.0%
Spd-Speed Info. Technology	01381	SPD-Information Technology	526,504	505,110	483,812	361,714	(122,098)	-25.2%
Spd-Speed Info. Technology	S0091	Dahlem Supercomputer Lab ServC	(10,588)	16,800	0	0	0	0.0%
Spd-Speed School of Engr Admin	01133	SPD Administration	981,478	1,075,073	1,293,520	1,418,941	125,421	9.7%
Spd-Speed School of Engr Admin	01383	Eng Ed Enhance Prog	98,283	291,186	300,000	300,000	0	0.0%
Spd-Speed School of Engr Admin	01488	SPD Faculty Recruit	277	8,976	0	0	0	0.0%
Spd-Speed School of Engr Admin	30288	SPD Cost Sharing	408,650	247,875	0	0	0	0.0%
Spd-Speed School of Engr Admin	30289	SPE Strategic Fund	41,172	30,839	0	0	0	0.0%
Spd-Speed School of Engr Admin	30290	SPD Dean's Discretionary F	146,041	70,000	0	0	0	0.0%
Spd-Speed School of Engr Admin	30399	SPD-Res Adm Research Release	28,282	10,112	0	0	0	0.0%
J.B. Speed School of Engineering Total			23,147,770	24,823,043	25,024,761	26,880,495	1,855,734	7.4%

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SUPPORT UNITS

SUPPORT UNIT SUMMARIES

Audit Services and Institutional Compliance

Mission

To provide independent and objective assurance and consulting services designed to add value and help the university accomplish its goals and objectives by bringing a systematic, disciplined approach for evaluating and improving the effectiveness of risk management, controls, and governance processes.

This mission is supported by 10 professional and support staff, organized into four functional units:

- Audit Services provides an independent internal audit function, focusing on risk-identification and management, revenue enhancement, and expense reduction. Audit projects are performed in compliance with the Institute of Internal Auditors International Standards for the Professional Practice of Internal Audit. Three professional staff are certified internal auditors, with areas of expertise including information technology, and fraud investigation.
- The Information Security Office is the university's resource for guidance on information security compliance and administration of the university's information security program. It oversees information security policies and standards; provides compliance oversight and risk assessments; coordinates information security efforts, incident response and user awareness. It works in conjunction with other compliance officials to maintain regulatory compliance and to protect the confidentiality, integrity, and availability of university information assets.
- The Institutional Compliance Office is responsible for the administration of the university policy and procedure library, university sanction check process, and the university compliance hotline initiative. The Office works with the university's compliance officials to coordinate a consistent compliance risk assessment process.
- The Privacy Office is responsible for HIPAA compliance initiatives at the University, including training, risk assessment, risk management efforts, and is responsible for incident response protocols.

Goals

1. To perform risk based audits and reviews with the objectives of providing reasonable assurance that:
 - a. processes are efficient and effective in assisting units in meeting objectives, missions, and strategic goals,

- b. resources are safeguarded and used in a manner that preserves and enhances the university’s financial health, and
 - c. activities are performed in an ethical and compliant manner.
2. To promote a culture of excellence by assisting units:
 - a. identify financial, operational, compliance, information security, or performance risks, and develop cost-effective management strategies
 - b. participate in process/business reengineering design and implementation groups and information technology upgrades.
 3. Promote knowledge of internal controls, compliance, information security, and fraud prevention by participating in awareness and training activities offered to university employees (staff, faculty, and administration).
 4. Promote a culture of excellence by developing and managing incident response protocols, hotline reporting tools, and ensuring university policies are collated and easily accessible.

Significant Accomplishments

Audit Services has implemented a continuous auditing/monitoring program focused in the high-risk, high volume area of payment services. Exception reports have been developed identifying transactions in payroll and accounts payable areas that are validated by functional areas. This process helps the university safeguard its assets by ensuring high risk transactions are valid and accurate.

The Information Security Office continued to proactively increase training, giving in-person training for over 750 staff/faculty and students. In addition, the office facilitated and managed the ongoing facility clearance program, and completed a successful facility self-assessment and DSS audit resulting a raising the University’s clearance compliance level rating to Commendable.

Audit Services and Institutional Compliance General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Audit Services								
Audit Services	01005	Audit Services	743,420	639,165	764,868	654,584	(110,284)	-14.4%
Audit Services	01520	Privacy Office	67,811	78,642	2,902	88,813	85,911	2960.4%
Audit Services	01543	Information Security Office	201,881	220,837	224,796	228,828	4,032	1.8%
Audit Services	01544	Institutional Compliance	247,146	313,369	310,332	198,930	(111,402)	-35.9%
Audit Services Total			1,260,258	1,252,013	1,302,898	1,171,155	(131,743)	-10.1%

Office of the Chief Financial Officer

The Office of the Chief Financial Officer includes the Bursar's Office, the Controller's Office, and Payroll Services.

The Bursar's Office maintains the tuition and fee rate tables for the University. The office collects and deposits student tuition and other funds received by the University. The Bursar's Office maintains official student tuition and loan accounts, provides cashiering services to University staff, students, and departments.

The Controller's Office records and reports the financial status and related financial information of the University and its affiliated and related corporations in accordance with statutory and regulatory requirements. Within the Controller's Office are Treasury Management, University Accounting and Reporting, Accounting Operations, General Ledger, and Tax.

- Treasury - Directs the receipt, control, custody, beneficiary identification and disbursement of funds for UofL and its affiliated and related corporations.
- University Accounting and Reporting - Responsible for the financial reporting of the University of Louisville and its affiliated and related corporations.
- Accounting Operations - Responsible for all University accounts payable, employee reimbursements, travel policies and procedures and the University-contracted travel agency.
- General Ledger - Responsible for posting accounting entries, procedures, corrections and maintenance for the University general ledger.
- Tax - Oversight and management of federal, state, local, and international tax compliance including interface with the respective taxing authorities and agencies for the University of Louisville, and its affiliated and related corporations.

Payroll Services is responsible for the accurate and timely payment of wages and wages-in-kind of University employees, subject to University, local, state, and federal policies, regulations, and laws. It serves all university offices and programs as well as organizations affiliated with the University of Louisville Foundation, Inc., University of Louisville Research Foundation, Inc., and the University of Louisville Athletic Association, Inc.

Budget Changes

- While most changes are a result of the reorganization and subsequent split from the COO, the \$560,000 reduction in the PCI program in the Bursar's office is due to a change in accounting practice to reflect the allocation of these costs to units.

Support Unit Summaries

2018 Operating Budget



Office of the Chief Financial Officer General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Office of the Chief Financial Officer								
Finance-Bursar	01683	Bursar's Office PCI	104,795	(445,537)	100,000	(460,000)	(560,000)	-560.0%
Finance-Payroll	01985	Payroll Services-TALX	21,554	22,200	20,000	23,000	3,000	15.0%
Payroll Services	01932	Payroll Services	712,850	630,022	743,138	521,288	(221,850)	-29.9%
VPF-Bursar	01049	Bursar's Office	829,236	833,363	861,785	774,615	(87,170)	-10.1%
VPF-Bursar	10527	Hope Tax Credit	14,897	14,483	35,000	35,000	0	0.0%
VPF-Financial Admin	01048	Financial Administration	233,038	419,548	62,183	387,041	324,858	522.4%
VPF-Financial Admin	01053	Controller's Office	390,462	518,350	390,943	413,189	22,246	5.7%
VPF-Financial Admin	01556	University Accounting	456,219	411,739	549,033	736,977	187,944	34.2%
VPF-Financial Admin	01558	Accounting Operations	590,973	574,125	587,414	600,758	13,344	2.3%
VPF-Financial Admin	01617	Treasury Operations	216,799	213,511	211,302	220,330	9,028	4.3%
Office of the Chief Financial Officer Total			3,570,822	3,191,803	3,560,798	3,252,198	(308,600)	-8.7%

Office of the Chief Operating Officer

Mission: To provide exemplary leadership, performance accountability, and service excellence throughout the development and implementation of core University business operations.

In January 2017, a reorganization of the Office of the Senior Vice President for Finance and Administration (SVPFA) led to the creation of the Office for the Chief Operating Officer (COO) in order to consolidate and administer the University's business, maintenance, safety and technical operations while increasing customer service and operational accountability. The following major units, along with their various underlying units, report to the COO: Business Services, Facilities Management, Information Technology, Performance Improvement & Business Analytics (PIBA), and Public Safety (ULPD). The office also directs the Finance and Administrative Support Team (FAST) in order to support the employment transactions, financial transactions and financial reporting for all of these units. Approximately 700 individuals work for all of the units that report to the COO.

The Office of Business Services works to manage all of the operational units that deliver commercial and professional services to faculty, staff, students and visitors. Parking and Transportation, Risk Management, Purchasing & Procurement, Print and Copy Management, Card Services, Mail Services, Campus Leasing and Contract Services (which includes UofL's Campus Stores, Dining Services, vending and the Commonwealth Credit Union), are all supported in a manner to promote operational efficiencies and improve campus productivity.

The Office of Facilities Management includes the University Planning, Design, and Construction (UPDC), Environmental Health and Safety (DEHS), and Physical Plant departments. University Planning, Design, and Construction (UPDC), is responsible for all design and construction phase activities for new capital construction and major renovation projects. Environmental Health and Safety (DEHS) is responsible for biological, radiation and workplace safety coordination and training, as well as for emergency management and communication, and hazardous material and chemical collection and disposition. Physical Plant is responsible for the operation and maintenance of agency facilities, whether owned or leased. Physical Plant staff consist of all major skilled trades, custodial and grounds personnel.

The Office of Information Technology (IT) provides a wide scope of technology services and solutions. IT teams of Advanced Computing, Communications, IT Infrastructure, Enterprise Security and Technology Support Services, utilize Agile and ITIL methodology to guide all information technology service delivery. Information Technology maintains the campus networks, campus-wide voice over IP telephone systems and a variety of enterprise business systems such as PeopleSoft Finance, Human Capital Management and Campus Solutions. IT

provides an extensive array of advanced research computing and state-of-the-art support with their Help Desk and direct support services for students, faculty and staff.

The Office of Performance Improvement and Business Analytics (PIBA) works to deliver streamlined business processes, transformative technology solutions, effective project management and responsive data analytics. The units under PIBA develop and implement continuous quality improvements for numerous administrative operations in order to increase productivity and service excellence at every level. These include the areas responsible for business operations, the finance and human resources ERP solutions, project management, business intelligence, digital communication and other quality improvement initiatives.

The Department of Public Safety maintains public peace and safety while safeguarding the assets of the institution and its faculty, staff, students and visitors. The department includes the University of Louisville Police Department (ULPD) as affiliated with Louisville Metro Police Department. It additionally handles medical and fire emergencies, event security, various safety programs, police escort services, facility access, emergency communications and security monitoring on all UofL campuses.

Goals

- Optimize the university's resources by maximizing cost efficiencies and strengthening fiscal controls while increasing technological innovation and collective process redesign.
- Increase alternative and sustainable revenue streams through entrepreneurial efforts as part of the institution's economic development and financial health.
- Deliver exemplary professional services to everyone in the UofL community as we also foster effective collaboration.
- Develop, maintain, and protect the university's infrastructure in a manner that supports and encourages our academic, research and clinical growth.
- Advance the university's decision-making by leveraging improved business intelligence solutions, accurate and timely data, and predictive analytics.

Significant Achievements

New ventures

- New partnership with Commonwealth Credit Union. The largest state-chartered credit union in Kentucky became the university's preferred credit union and added a new location on the Belknap campus.
- New UofL Dining Services contract with Aramark Corporation. Estimated value over \$75 million over the life of the contract. New venues in the past 5 months include two fully licensed Starbucks Cafés (on HSC, Belknap), Aramark's Twisted Taco – an American Mexican Cantina (Belknap), an Au Bon Pan Café Bakery (HSC) and a Panda Express (Belknap).
- New Bookstore/Campus Store contract with Follett Corporation. Estimated value over \$9.5 million over the life contract. Partnership increased online options, opened a renovated store at the HSC and launched a completely new venue with improved retail and more technology offerings at the Swain Student Activities Center.

Almost a quarter billion dollars in construction projects

- Swain Student Activity Center – The \$40 million renovation project is a capital makeover to the current 365,000 square footage of space, including a 32,775 square foot expansion. The plan for all three levels of the building features façade changes, removal of the exterior entry ramp and interior escalators, updated lobbies, lounges and restaurants, and an overhaul of the campus bookstore.
- Pediatric Medical Office Building – The new, eight storied, 170,000 square foot building will house all of the UofL Physicians pediatric specialty clinical practices, with a large general pediatrics location on the ground floor. The eight-story building will have seven clinical floors plus a lab, pharmacy and radiology services, rooftop garden and conference area.
- Belknap Student Success Building – A new, state-of-the-art, 161,000 square foot academic building that will enhance learning and provide services to students. Expected to open for the fall 2018 semester, the four-story, \$80 million academic building will serve undergraduate students on the Belknap Campus by housing 17 classrooms, as well as science labs and group study areas, gathering spaces and food service options.
- Papa John's Stadium Expansion – The \$55 million project will add to the fan seating options and enhance the student-athlete experience. Funded entirely by UofL Athletics through private donations, the project does not utilize any student fees, university, state or federal subsidies. The 70,000 square foot expansion includes 10,000 square footage for improvements to the team conditioning, training and therapeutic support.

Protecting our community

- ULPD Communication Center Audit by the Federal Bureau of Investigation resulted in zero errors found.
- Initiated Zone Resource Officers – Police Officers assigned to northwest area of campus for increased interaction with students and commercial businesses, and to monitor area for assault incidents.
- Purchased bullet-resistant vests for all police officers and instituted a mandatory wear policy for officer safety.

Leveraging technology / Improving business processes

- Encryption and redaction of database information for employee social security numbers and banking information within three essential enterprise systems.
- Implemented new capabilities in financial systems, which included a new mobile interface. Instituted new digital thresholds on purchase orders and payment requests to provide enhanced fiscal controls. Also activated online payment request functionality to provide for electronic processing/approvals.
- Accomplished programming and account processing for retro merit pay and faculty/staff equity pay increases, and federally mandated FLSA changes for biweekly and monthly paid employees.
- Increased access to online self-service tools – Implemented Preferred Name Options for HR and other campus enterprise systems, created new web-based options for paycheck withholding for federal and state (KY, IN) taxes, replaced paper forms for employee benefit changes with an online tool.
- Implemented a secure, online self-service process for employees to configure their paycheck direct deposit information. Makes distribution of funds to multiple institutions or varied accounts possible as well as system generated security alerts to users.
- Established a business and technical relationship with Amazon Web Services for university-wide use of Amazon Cloud.
- Created and implemented an online and mobile-enabled Business Operations portal that features a new onboarding process and new hourly timesheet application. Onboarding eliminated the need for a redundantly populated 36-page hiring packet and streamlined the hiring process for student assistants and temporaries. Time-capture is a paperless and workflow-enabled system allowing hourly employees to digitally record time worked and leave taken. These improvements saved thousands of hours, as well as substantial paper.

Budget Changes

- For FY 2018, several vacant positions were cut or redistributed within the COO or to the units once part of – Audit, Finance, and Human Resources. This redistribution removed several prior budget reductions, as well as reallocation pools, and resulted in just over \$3 million in discretionary funds yet to be assigned for FY 2018.

The Office of the Chief Operating Officer General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
The Office of the Chief Operating Officer								
Athletic Facilities	01418	Cardinal Park M&O	200,729	248,554	0	0	0	0.0%
Business Services	01699	Business Services Office	0	0	0	168,277	168,277	0.0%
Business Services	10631	Procurement Card	398,173	367,924	850,000	459,719	(390,281)	-45.9%
Business Services	40005	Bookstore Operations	95,122	118,174	247,500	96,758	(150,742)	-60.9%
Business Services - Aux -Prkng	40007	University Parking	1,737,146	2,637,740	2,457,119	2,659,632	202,513	8.2%
Business Services - Card Svcs	30339	Campus Card	248,750	259,359	246,588	183,101	(63,487)	-25.7%
Business Services - Mail Svcs	01047	Mail Services	562,096	575,593	594,581	569,190	(25,391)	-4.3%
Business Services - Mail Svcs	10465	Bulk Mailing	268	(13,128)	0	0	0	0.0%
Business Services - Purchasing	01044	Purchasing Office	807,211	753,892	897,561	690,737	(206,824)	-23.0%
Business Services - Purchasing	01045	Central Receive & Distribution	140,861	100,365	169,367	186,817	17,450	10.3%
Business Services - Purchasing	01046	Property & Inventory Control	117,266	167,155	167,699	168,033	334	0.2%
Business Services - Purchasing	10670	EProcurement	197,354	300,372	0	0	0	0.0%
Business Services - Purchasing	50085	Central Stores-Stockroom	670	173,142	60,000	60,000	0	0.0%
Business Services - Risk Mgmt	01041	Risk Management	264,785	253,083	253,792	166,411	(87,381)	-34.4%
Business Services-Aux-Dining	40004	Contract Vending	553	52,015	5,000	5,000	0	0.0%
Business Services-Print/Copy	51069	Design And Printing Services	449,209	102,082	100,000	100,000	0	0.0%
Cardinal Card & Procard Office	30477	Cardinal Card Clearing Online	46	3,497	0	0	0	0.0%
Contract Admin	40002	Food Service Contract	33,155	65,727	0	0	0	0.0%
COO	01036	F.A.S.T.	647,299	512,886	617,358	1,043,046	425,688	69.0%
COO	01040	COO Operating	306,276	315,476	318,221	165,765	(152,456)	-47.9%
COO	01687	COO Discretionary Funds	0	16,288	0	3,045,849	3,045,849	0.0%

Support Unit Summaries
2018 Operating Budget



Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
COO	01904	VPBA Budget Reduction	0	0	(18,098)	0	18,098	-100.0%
COO	01968	IS3 2012-13 Budget Reduction	0	0	(469,334)	0	469,334	-100.0%
COO	02028	VPBA 2014-15 Budget Reduction	0	0	(208,249)	0	208,249	-100.0%
COO	02054	VPBA 2014-15 Procurement Credi	0	0	(4,828)	0	4,828	-100.0%
COO	02062	Office Sr. VP Fin & Admin	262,207	1,561,210	679,900	405,739	(274,161)	-40.3%
COO	02078	Strategic Pos Reallocate Pool	0	0	1,260,756	0	(1,260,756)	-100.0%
COO	30596	IS3 VSIP Reserve	0	0	(58,535)	0	58,535	-100.0%
Facilities-Env Hlth & Safety	01060	DEHS Administration	1,713,858	1,471,988	1,611,042	1,411,959	(199,083)	-12.4%
Facilities-Env Hlth & Safety	01420	Infectious Waste Disposal	40,359	45,309	43,000	37,830	(5,170)	-12.0%
Facilities-Env Hlth & Safety	01421	Hazard Material Management	79,169	120,861	129,849	155,464	25,615	19.7%
Facilities-Env Hlth & Safety	01422	Industrial Hygiene	11,071	10,008	16,000	15,520	(480)	-3.0%
Facilities-Env Hlth & Safety	01577	Biological Safety	43,028	153,660	9,000	33,688	24,688	274.3%
Facilities-Env Hlth & Safety	10469	Asbestos Removal	26,616	21,618	0	0	0	0.0%
Facilities-Env Hlth & Safety	10656	Radiation Safety	45,514	50,926	66,800	66,800	0	0.0%
Facilities-Phys Plant Ops	01063	Belknap Administration	3,714,060	3,778,549	4,315,601	3,628,074	(687,527)	-15.9%
Facilities-Phys Plant Ops	01064	Belknap Int/Ext Maint	1,588,616	1,724,390	1,565,855	1,595,169	29,314	1.9%
Facilities-Phys Plant Ops	01065	Belknap Elect Operation	1,455,669	1,544,704	1,299,096	1,314,604	15,508	1.2%
Facilities-Phys Plant Ops	01066	Belknap Mechanic Oper	2,121,289	2,377,930	1,639,235	1,912,235	273,000	16.7%
Facilities-Phys Plant Ops	01067	Belknap Energy Mgt	269,933	295,942	290,918	122,259	(168,659)	-58.0%
Facilities-Phys Plant Ops	01068	Belknap General Maint	1,145,175	1,074,180	1,277,458	1,129,419	(148,039)	-11.6%
Facilities-Phys Plant Ops	01069	Belknap Svc To Aux	(232,181)	(317,063)	(150,048)	(166)	149,882	-99.9%
Facilities-Phys Plant Ops	01070	Belknap Custodial Services	4,128,708	4,256,448	4,665,062	4,182,373	(482,689)	-10.3%
Facilities-Phys Plant Ops	01071	Belknap Grounds Maintenance	1,062,335	1,112,315	886,705	992,236	105,531	11.9%
Facilities-Phys Plant Ops	01072	Physical Plant Safety Program	20,608	22,068	20,000	18,241	(1,759)	-8.8%
Facilities-Phys Plant Ops	01073	Belknap Repairs & Renovations	(83,090)	(1,177,809)	(616,221)	(1,169,875)	(553,654)	89.8%
Facilities-Phys Plant Ops	01074	HSC Administration	729,181	764,279	878,374	954,495	76,121	8.7%
Facilities-Phys Plant Ops	01075	HSC Building M&O	3,085,395	3,288,732	4,146,761	3,283,669	(863,092)	-20.8%
Facilities-Phys Plant Ops	01076	HSC Custodial Services	2,681,327	2,931,326	3,299,533	2,906,115	(393,418)	-11.9%
Facilities-Phys Plant Ops	01077	HSC Ground Maintenance	195,339	287,695	216,705	161,601	(55,104)	-25.4%
Facilities-Phys Plant Ops	01079	Shelby Administration	40,953	42,201	50,092	2	(50,090)	-100.0%
Facilities-Phys Plant Ops	01080	Shelby Building M&O	137,123	141,350	120,973	109,861	(11,112)	-9.2%
Facilities-Phys Plant Ops	01081	Shelby Custodial Services	96,156	95,538	100,978	120,773	19,795	19.6%
Facilities-Phys Plant Ops	01082	Shelby Grounds M&O	0	0	6,854	6,854	0	0.0%
Facilities-Phys Plant Ops	01084	President's Home	0	0	5,000	5,000	0	0.0%
Facilities-Phys Plant Ops	01414	Belknap Maintenance Reserve	133,092	366,931	257,319	58,914	(198,405)	-77.1%
Facilities-Phys Plant Ops	01519	Cardinal Stadium M&O	949,109	1,086,531	1,135,270	1,135,951	681	0.1%
Facilities-Phys Plant Ops	01613	HSC Repairs & Renovations	(85,796)	(34,330)	(2,334)	21,276	23,610	-1011.6%

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Facilities-Phys Plant Ops	01648	Bio-Safety Lab Facility Opns	545,930	540,496	553,746	466,267	(87,479)	-15.8%
Facilities-Phys Plant Ops	01649	Louisville Scholar House M&O	123,929	126,003	126,406	59,438	(66,968)	-53.0%
Facilities-Phys Plant Ops	01650	Shelby Bio Safety Lab M&O	487,752	498,969	501,327	510,745	9,418	1.9%
Facilities-Phys Plant-Proj	01415	Class Equip Replm Fund	0	0	5,000	0	(5,000)	-100.0%
Facilities-Phys Plant-Proj	02060	PP 2014-15 Procurement Credit	0	13	(34,909)	0	34,909	-100.0%
Facilities-Plan Design & Const	01085	Unv Plan Dsgn & Construction	657,201	693,861	696,282	375,225	(321,057)	-46.1%
Facilities-Plan Design & Const	02006	Space Management Systems	30,411	20,655	31,550	15,455	(16,095)	-51.0%
Finance	02052	VPF 2014-15 Procurement Credit	0	0	(975)	0	975	-100.0%
Finance	30262	Discretionary Funds-VPF	60,000	0	80	0	(80)	-100.0%
Finance	30600	IS7 Fringe Reserve	0	0	(18,675)	0	18,675	-100.0%
Finance-Bursar	10615	Elavon	(0)	566,287	0	0	0	0.0%
Finance-Bursar	30479	HigherOne	0	0	41,130	0	(41,130)	-100.0%
HSC Administration	01570	HSC CII M&O	738,773	698,012	0	0	0	0.0%
Human Resources	01057	Staff Development Program	146	0	24,022	0	(24,022)	-100.0%
Human Resources	02055	VPHR 2014-15 Procurement Cred	0	0	(1,062)	0	1,062	-100.0%
Info Tech - Auxiliaries	10583	LAMC Networking	68,534	78,950	110,600	0	(110,600)	-100.0%
Info Tech - Auxiliaries	10667	Clearwire EBS Lease	112,027	210,937	240,000	128,800	(111,200)	-46.3%
Info Tech - Auxiliaries	10781	IT Communication Services	249,490	862,233	(209,300)	(1,188,455)	(979,155)	467.8%
Info Tech - Auxiliaries	40044	ITECH EXPRESS	121,267	100,372	103,000	0	(103,000)	-100.0%
Info Tech - Other	02057	VPIT 2014-15 Procurement Credi	0	0	(20,480)	0	20,480	-100.0%
Info Tech - Service Ctr	S1071	Workstation Replacement	(41,771)	(2,420)	0	0	0	0.0%
Info Technology - Gen Funds	01089	VP Information Technology	1,484,046	1,445,803	1,499,104	489,785	(1,009,319)	-67.3%
Info Technology - Gen Funds	01334	Res Network (VBNS)	236,626	258,313	240,000	226,000	(14,000)	-5.8%
Info Technology - Gen Funds	30355	Technology Support Services	6,802,909	6,872,971	7,062,989	5,364,878	(1,698,111)	-24.0%
Info Technology - Gen Funds	30392	Hardware/Software Maintenance	6,841,183	6,518,693	2,537,207	4,656,000	2,118,793	83.5%
Info Technology - Gen Funds	S1070	Contract Technology Support	69,867	181,987	36,000	36,000	0	0.0%
IT Communication Services	S0009	Communications Services	61,054	0	0	0	0	0.0%
IT Technology Support Services	10442	KYVL Voyager West Hup Hosting	2,583	0	0	0	0	0.0%
IT Technology Support Services	30629	STEC Projects	552,474	181,007	0	0	0	0.0%
Parking Administration	X0045	Vehicle Tow Charges	(719)	(3,262)	0	0	0	0.0%
Payroll Services	X0030	Payroll Overpayments	1,339	0	0	0	0	0.0%
Perf Imprvemnt & Bus Analytics	01696	PIBA	0	0	0	355,733	355,733	0.0%
Perf Imprvemnt & Bus Analytics	01697	Business Intelligence	0	0	0	169,737	169,737	0.0%
Perf Imprvemnt & Bus Analytics	01934	Business Ops	429,692	446,715	439,829	979,742	539,913	122.8%
Perf Imprvemnt & Bus Analytics	01949	Project Management	1,554	0	0	476,526	476,526	0.0%
Perf Imprvemnt & Bus Analytics	01980	PIBA Communications	4,314	0	0	248,221	248,221	0.0%
Perf Imprvemnt & Bus Analytics	30362	Enterprise Systems	401,520	475,574	466,127	1,092,664	626,537	134.4%

Support Unit Summaries

2018 Operating Budget



Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Physical Plant	30343	Recycling Program	11,791	0	0	0	0	0.0%
Police	01086	Contractual Security	(3,101)	(12,286)	19,642	0	(19,642)	-100.0%
Police	17001	KLEFPF	0	0	0	184,000	184,000	0.0%
Police	30185	Dept of Public Safety	4,399,960	4,391,837	4,371,665	4,496,487	124,822	2.9%
Police	30338	Acces Secur/Alarm Sy	26,194	21,171	24,076	14,000	(10,076)	-41.9%
Sr. VP for F&A Office	01039	VPBA Technology Support	315,653	252,478	306,879	0	(306,879)	-100.0%
Sr. VP for F&A Office	01424	VPB CAR Discretionary	0	3	4,228	0	(4,228)	-100.0%
VP Business Affairs	30335	Discretionary Funds VPBA	15,000	0	0	0	0	0.0%
VP Finance	01606	VPF Professional Development	395	0	0	0	0	0.0%
VP Finance	01627	VPF Budget Process Redesign	0	787	0	0	0	0.0%
VP Finance	01668	Kentuckiana Metroversity	281	0	0	0	0	0.0%
VP Finance	02026	VPF 2014-15 Budget Reduction	0	503	0	0	0	0.0%
VP Finance	02064	Strothman Extended Service	27,489	0	0	0	0	0.0%
VP Finance	02065	University-wide Cmtee. Lunches	1,168	0	0	0	0	0.0%
VP Finance	10795	ACC Fiscal Officers Conference	0	(180)	0	0	0	0.0%
VP Finance - Reserve	30573	Financial Aid Reserve	625,227	0	0	0	0	0.0%
VP Human Resources	01673	Discretionary Fund	0	140,420	0	0	0	0.0%
VP Human Resources	10762	Retirement Fee Revenue	628	19	0	0	0	0.0%
VP Human Resources	S1073	Temporary Services	560	0	0	0	0	0.0%
VP Information Technology	01465	Disc Fund-VP Info	0	32	0	0	0	0.0%
VPF - Budget	01984	BFP Professional Development	349	0	0	0	0	0.0%
VPF-Bursar	30545	Bursar-Credit Cards	3,051	1,474	0	0	0	0.0%
VPF-Controller	40038	Housing Series F	0	495	0	0	0	0.0%
VPF-Financial Admin	10718	PCI-DSS	1,419	(2,563)	0	0	0	0.0%
Office of the Chief Operating Officer Total			57,049,987	59,674,044	54,606,763	53,541,663	(1,065,100)	-2.0%

Executive Vice President Health Affairs

The Executive Vice President for Health Affairs (EVPHA) is the chief operating officer of the Health Sciences Center (HSC) and is responsible for 16 centers and institutes and four schools (Dentistry, Medicine, Nursing, and Public Health and Information Sciences). The EVPHA reports to the President and serves as one of four members of the President's executive team. The EVPHA is responsible for the relationships with executive leadership at UofL's affiliated hospitals, the Louisville Metro Health Department, and other health-related organizations, and for ensuring that the Health Sciences Center and UofL Health Care is a regional center of excellence for education, research and patient care. The office provides strategic oversight and planning for the KentuckyOne Health partnership, University Medical Center, Inc., UofL Health Care, and University of Louisville Physicians (ULP). The office also determines the allocation of resources received from KentuckyOne Health. The EVPHA is responsible for space allocation and capital planning for the HSC.

Budget Changes

- The FY 2018 general fund budget decreases by \$24.9 million mostly due to repositioning hospital affiliation expenditures as non-general funds.

Support Unit Summaries

2018 Operating Budget



Executive Vice President for Health Affairs General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
EVP Health Affairs								
Campus Health Services	10579	Campus Health Services	4,503,366	4,359,490	4,410,200	2,773,528	(1,636,672)	-37.1%
Campus Health Services	10678	Campus Health-Promo/Educ Svcs	300,280	340,479	311,800	360,322	48,522	15.6%
Campus Health Services	30478	PEACC Program	124,312	187,185	168,557	211,374	42,817	25.4%
Clinical Trials Unit	01652	Clinical Rsrch Svcs & Support	(356,777)	360,500	359,987	367,984	7,997	2.2%
Clinical Trials Unit	HC01A	KOH Clinical Trials Unit	3,930,638	2,735,078	2,749,905	0	(2,749,905)	-100.0%
Executive VP - Health Affairs	01198	Executive VP-Health Affairs	669,218	723,035	574,041	539,435	(34,606)	-6.0%
Executive VP - Health Affairs	01399	Clinical Contracts Division	0	0	12,857	0	(12,857)	-100.0%
Executive VP - Health Affairs	01401	Hosp Clinical Subsidy	1,900,000	1,425,000	1,790,000	0	(1,790,000)	-100.0%
Executive VP - Health Affairs	01462	QCCT Expenditures	637,788	2,670,948	0	0	0	0.0%
Executive VP - Health Affairs	01463	Hospital Rent	6,522,044	5,754,988	1,500,000	5,477,039	3,977,039	265.1%
Executive VP - Health Affairs	02004	VSIP Holding	0	0	810,919	5,808	(805,111)	-99.3%
Executive VP - Health Affairs	02050	EVPHA 2014-15 Procurement Cre	0	0	(734)	0	734	-100.0%
Executive VP - Health Affairs	30611	UMC, Inc Additional Rent	2,696,357	1,694,448	0	0	0	0.0%
Executive VP - Health Affairs	H0028	Hospital Administration	53,058	39,628	503,585	0	(503,585)	-100.0%
Executive VP - Health Affairs	H0048	Hospital-EVP Health Affairs	1,474,582	1,695,197	1,045,393	0	(1,045,393)	-100.0%
Executive VP - Health Affairs	HA000	Kentucky One Holding	0	0	20,828,145	0	(20,828,145)	-100.0%
Executive VP - Health Affairs	HC000	KOH Research Infrastructure	5,625,000	0	0	0	0	0.0%
Get Health Now	X0284	Health Management Administrati	580,340	716,991	514,321	621,743	107,422	20.9%
Get Health Now	X0829	GHN Fitness Facility	573,810	462,723	572,891	572,891	0	0.0%
Office of Diversity & Inclusio	30473	RWJ Summer Med/Dent Ed Fin Aid	51,433	54,764	0	55,000	55,000	0.0%
Office of Diversity & Inclusio	30524	Post Bac Pre-Med Cert Program	221,099	196,813	4,142	139,116	134,974	3258.7%
Office of Diversity & Inclusio	30539	T/R RWJ Out of State Tuition	87,496	85,696	0	60,000	60,000	0.0%
EVP Health Affairs Total			29,594,044	23,502,963	36,156,009	11,184,240	(24,971,769)	-69.1%

Executive Vice President Research and Innovation

Mission and Function

The Executive Vice President for Research and Innovation (EVPRI) is responsible for developing the institutional research policy, coordinating and providing oversight of research, scholarship and creative activity. In addition, the office oversees the identification, marketing and licensing of intellectual property developed at UofL in order to enhance economic development initiatives. The EVPRI has oversight of, and is responsible for, the development of translational research to help move discoveries from the conceptual stage through development of products and opportunities for the good of humanity. It serves as a university-wide resource for identifying and capitalizing on intramural and extramural resources for research and scholarship for UofL faculty, staff and students. The office serves as the liaison with granting agencies, develops policies and procedures to assure compliance with granting agency policies and procedures, and identifies funding for faculty research interests by matching faculty with potential funding sources and/or contract opportunities.

In regards to its history, the department for graduate studies and research operated under the auspices of a Vice Provost beginning in the 1970's. The Research Department became a separate department in 1997 with the installation of a Senior Vice President for Research. In 2011, it became the Office of the Vice President for Research and Innovation. It now has approximately 100 employees.

Significant Changes

- Creation of a Research and Innovation Center in downtown Louisville on the J.D. Nichols campus.
- Reorganization of current EVPRI personnel experienced with innovation and commercialization led by an Associate Vice President for Research and Innovation.
- A newly created Clinical Trials Unit reporting to the Executive Vice President for Health Affairs, with functional ties to the EVPRI.
- Reorganization of the EVPRI grants management and grants financial accounting personnel. This partnership enables team members to work with a project from the proposal composition stage through to the project's end.

Goals

- Develop improvements in the grants/projects management systems through team-based project management, such as implementation of new software modules to provide electronic processing of all phases of grants management.
- Enhance efforts for projects in community engagement with both public and private funding.
- Provide a platform for sharing under-utilized resources and a starting point for interdisciplinary collaborations.

Support Unit Summaries

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- Support student diversity through the Louis Stokes Alliance for Minority Participation along with other state and regional institutions; employee diversity with the EVPRI units' council that promotes moral, equitable and just practices.
- Lead communication efforts among the university's separate offices charged with compliance review and oversight of streamlining the processes for privacy, safety and other regulatory issues.

Research

Many EVPRI personnel are accomplished researchers, with many hundreds of research grants and contracts, professional publications, inventions, startup companies and mentored professional students. They continue to lead and to give back to society through their leadership.

This includes:

- Continued success with new proof of concept projects through the Coulter Foundation Award, the NIH REACH program, and the NSF ICorps program and the NSF AWARE:ACCESS program for entrepreneurs from underrepresented minorities.
- Redevelopment of EVPRI's website and portal pages enabling better access for researchers, investors, and community partners to the information on UofL research, innovation programs, and support.
- Brought live the iRIS software suite for the electronic management of grants' projects.
- Continued engagement with ACC colleges and universities for information exchanges on research collaborations.

Significant Accomplishments

- Continued development of research centers, institutes and core laboratories.
- Maintenance of Carnegie R1 Research University, the highest activity status.
- Recognition as a Carnegie Community Engagement University.

Budget Changes

- For FY 2018, the general fund budget decreases by \$108,000 primarily due to an across-the-board budget reduction that was applied to all units.

Executive Vice President for Research and Innovation General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
EVP Research and Innovation								
Exec VPR - Grants Management	01395	Grants Management OP	984,441	1,131,050	1,833,627	1,802,097	(31,530)	-1.7%
Exec VPR - Ind. Contracts	01399	Clinical Contracts Division	635,533	662,510	664,036	605,290	(58,746)	-8.8%
Exec VPR - KIESD	01396	KIESD Office - Operating	118,413	113,029	126,526	128,064	1,538	1.2%
Exec VPR - LoDI	01397	LODI	298,730	298,995	315,095	316,485	1,390	0.4%
Exec VPR - Res Integrity	01400	Research Integrity	355,020	415,762	420,316	428,143	7,827	1.9%
Exec VPR - Research	01016	Research Admin Operating	895,310	946,597	1,070,303	1,134,841	64,538	6.0%
Exec VPR - Research	01391	Research Administration	654,861	697,497	907,918	569,163	(338,755)	-37.3%
Exec VPR - Research	01392	VP Res Matching Funds	2,146,903	1,935,299	1,856,355	1,772,689	(83,666)	-4.5%
Exec VPR - Research	01393	Research Support	0	40,000	140,004	102,976	(37,028)	-26.4%
Exec VPR - Research	01425	Grants & Contracts Accounting	603,061	613,176	0	0	0	0.0%
Exec VPR - Research	01564	Research Faculty Initiatives	0	145,000	157,730	1	(157,729)	-100.0%
Exec VPR - Research	01645	Ctr F/Predictive Medicine-BSL	1,103,570	952,652	1,070,016	1,282,422	212,406	19.9%
Exec VPR - Research	01937	Export Control - Operating	116,670	139,741	152,947	156,135	3,188	2.1%
Exec VPR - Research	30019	VP Research Aid	7,291	28,800	28,800	28,800	0	0.0%
Exec VPR - Research	30066	Fees Rem-GA Grad	5,267	156,225	366,432	366,432	0	0.0%
Exec VPR - Research	30537	CPM Start up Funds	11,435	34,598	36,876	36,876	0	0.0%
Exec VPR - Research	30602	EVPR&I VSIP Reserve	0	0	(67,651)	0	67,651	-100.0%
Exec VPR - Research	30631	EVPRI Stuvtural Indemnity	0	110,000	131,447	56,794	(74,653)	-56.8%
Exec VPR - Research	52028	Center for Predictive Medicine	0	0	0	222,155	222,155	0.0%
Exec VPR - Research	55000	Research Council Grant	1,316,733	1,490,350	0	110,962	110,962	0.0%
Exec VPR - Research	S0095	CPM Shared Resources	16,284	60,326	40,000	40,000	0	0.0%
Exec VPR - Sponsored Prog Dev	01394	Sponsored Programs Dev	281,875	345,210	429,543	353,179	(76,364)	-17.8%
Office of Industry Engagement	01398	Technology Tranfser Office	1,133,890	1,237,851	1,189,519	1,071,465	(118,054)	-9.9%
Office of Industry Engagement	02061	Office of Industry Engagement	212,974	269,616	283,580	292,987	9,407	3.3%
VP for Strategy/Gen Counsel	01003	Univ Counsel Office	0	0	0	167,553	167,553	0.0%
EVP Research and Innovation			10,898,264	11,824,286	11,153,419	11,045,509	(107,910)	-1.0%

Executive Vice President and University Provost

The Executive Vice President and University Provost (Provost) serves as the chief academic officer of the University and is second in overall authority. The provost is a member of the senior leadership team of the university. All academic deans and the Dean of Students report to the provost.

Also reporting to the provost, in support of the academic units and students in general, are vice and associate provosts who are responsible for the following areas, which are further described below: academic affairs, academic planning and accountability, budget and planning, the Delphi Center, diversity and international affairs, faculty affairs, and strategic enrollment management and student success.

Goals

- Improve the undergraduate experience, retention, and six-year graduation rate.
- Increase the number of interdisciplinary graduate programs and develop programs and processes to enroll more out-of-state students.
- Enhance faculty and staff development, develop administrative leadership programs, develop and improve orientation programs, and offer coaching/mentoring opportunities.
- Design and begin implementation of a strategic enrollment management plan to increase enrollment university-wide.
- Lead and participate in a new, university-wide budget development process for clarity, transparency and shared responsibility.
- Oversee a new plan for strategic use of Belknap Campus facilities to accommodate progressive increases in enrollment, including the completion of the new classroom building.

Significant Accomplishments

- Earned National Top Producer of Fulbright Scholars designation, then followed up with a record-breaking Fulbright class of 15 students and alumni, more than all other Kentucky colleges or universities.
- Earned a gold STARS ranking, the only such ranking for a Kentucky university, from the Association for the Advancement of Sustainability in Higher.
- Designated as one of only sixteen schools nationally (and the only university in the south) to be America's "Best of the Best" LGBTQ-friendly universities in the Campus Pride Index.

- Six-year graduation rate for first-time, full-time, baccalaureate degree-seeking students entering Fall 2010 was 52.8%.
- First-year retention rate for first-time, full-time, baccalaureate degree-seeking students entering Fall 2015 was 79.7%.
- Average ACT of first-time, full-time, baccalaureate degree-seeking students entering Fall 2016 was 25.5.
- Beginning October 1, 2016, implemented an ad hoc plan to increase overall enrollment by 600 students in Fall 2017.
- Restructured the office to eliminate two vice provosts.

Academic Affairs

In early 2017, the unit was reorganized by merging undergraduate and graduate affairs under the leadership of a vice provost for academic affairs, who is responsible for general education and undergraduate programs as well as interdisciplinary and graduate programs.

- Undergraduate affairs provides university-wide service and support for undergraduate programs and policies, general education (assessment and curriculum), undergraduate research, and the honors program. Recent actions involving undergraduate affairs have been the completion of the 2007 QEP “Ideas to Action,” development of the 2017 QEP on student success in major/career alignment, and revision of the general education program, now titled “Cardinal Core.”
- Graduate affairs provides university-wide service and support for graduate degrees, academic success and programs that complement the curriculum. The vice provost for academic affairs serves as the provost’s liaison to the Office of the Dean of the School of Interdisciplinary and Graduate Studies (SIGS), the Graduate Council (faculty), the Graduate Student Council, academic advisors, and academic support programs for graduate students.

Academic Planning and Accountability (OAPA)

OAPA serves as the official institutional data repository and provides academic planning, and institutional effectiveness support for the university. The office works to provide understanding and context of the university’s academic/administrative units, programs, and campus populations; to ensure continuous, systematic assessments to inform institutional improvement; and to ensure compliance and accountability to federal, state, and accrediting agencies, as well as the public. In this role, OAPA consists of the following offices under the direction of the Vice Provost for Institutional Research, Effectiveness and Analytics:

- Accreditation and Academic Planning (AAP), whose function includes the coordination of all institutional and discipline-specific accreditation processes. The unit disseminates information on accreditation, coordinates the academic program approval and review processes, and on a regular basis completes the necessary reports to the Southern Association of Colleges and Schools (SACS), the Council on Postsecondary Education and others as required.
- Institutional Effectiveness (IE), whose function includes is responsible for coordinating campus-wide and assisting unit-level assessment of academic programs and administrative processes to support the University's quality improvement efforts.
- Institutional Research and Planning (IRP), whose function is collecting, maintaining, analyzing, interpreting, and disseminating data regarding characteristics, operations, and policies of the University of Louisville (UofL). IRP is the repository for official University data, and produces timely, accurate and methodological appropriate statistical analyses regarding UofL in response to requests for information from key constituency groups both within and outside the University.

In addition to the standard areas of responsibility listed above, the unit worked with the Kentucky Council on Postsecondary Education (CPE) to align the 2016-2021 statewide strategic agenda with the university's 2020 strategic plan and 21st Century University Initiative, and with SACSCOC and university leadership to address matters related to the university's reaffirmation of accreditation and issues of institutional governance.

Budget and Financial Planning (BFP)

BFP oversees the planning, development, and implementation of the university's budget each fiscal year. The office is also responsible for the creation of the Operating Budget book, monthly reports to leadership and all university units, fiscal and policy analysis, revenue and expense forecasts, budget management, state financial disclosure reporting, capital project funding oversight, and assistance with numerous other projects from leadership as well as the units. In FY2018, the budget and planning office will also take over the strategic planning function for the provost as a result of the planned reduction of the vice provost for academic strategy.

The Delphi Center for Teaching and Learning (Delphi)

The Delphi Center provides programming, learning opportunities, and other resources to university faculty, staff, students, and community members to encourage excellence in teaching and foster a spirit of learning. The Delphi Center is made up of the following.

- The Teaching Innovation Learning Lab (TILL) opened in August 2016 with a mission to explore and test innovative teaching methods that will drive success for the 21st century student. This technology-enabled active learning space will prepare faculty to teach in the new academic building set to open in 2018.

- Adaptive Learning, for which the Delphi Center is managing a \$515,000 grant from the Association of Public and Land Grant Universities (APLU) to promote the adoption of adaptive learning at the university, with an initial focus on improving student success in general education courses. Adaptive learning methods are designed to reduce dropout rates, improve student outcomes, and better establish deeper learning, especially over the long-term.
- Other aspects include Online Learning, Faculty Development, Instructional Design and Technology, Blackboard Support, Event and Conference Center at ShelbyHurst Campus, and Professional Development

Diversity and International Affairs

Led by the Vice Provost for Diversity and International Affairs, the unit strives to foster an inclusive learning environment for students and a fair and equitable workplace climate for faculty and staff so that everyone can achieve academically and professionally. Working collaboratively with students, faculty, staff and administrators, the university has increased the numbers of students and faculty of color and acquired national recognition by receiving Insight into Diversity's Higher Education Excellence in Diversity Awards for four consecutive years and has been listed as one the top 25 LGBT-Friendly Campuses. The following centers/offices report to the Vice Provost for Diversity and International Affairs.

- The Cultural Center, the International Center, the LGBT Center, the Muhammad Ali Institute for Peace and Justice, the Women's Center, and the Office for Diversity Education and Inclusive Excellence.

Faculty Affairs

Faculty Affairs works with the provost and academic deans to oversee all faculty personnel actions and to provide faculty and administrator professional development programs. These programs and services include: orientation and professional development for new faculty and deans; coordinating and advising related to the university's promotion and tenure process; assisting with hiring, retention, reviews, sabbatical and medical leaves; and leadership programs for department chairs and other administrative leaders.

Strategic Enrollment Management and Student Success (SEMSS)

A division of more than ninety professional staff in four offices, SEMSS supports students, faculty, staff and alumni of the University through recruiting, undergraduate admissions, student records and financial assistance to all university students and their academic units. The division was created in 2016 by separating from undergraduate affairs so that the focus could be on university-wide strategic enrollment management and coordination of student success services. The Enrollment Management Office provides support for both

administrative offices and the university's student services hub. It manages security for the student information system and provides intensive persistence support for at-risk students. The following offices report through the vice provost:

- First Year Initiatives (FYI) promote new student engagement and success at UofL by providing enriching curricular and co-curricular opportunities that help students develop fundamental skills, knowledge, and personal connections essential from their first year through graduation. FYI staff members coordinate many programs and initiatives, including Welcome Week, Book-in-Common, Student Tutorial On-Line Module Program, and the CARDS Connection program.
- Metropolitan College (MC) is a joint education-workforce/economic-development initiative of the University of Louisville (UofL), Jefferson Community and Technical College (JCTC), Louisville Metro, the State of Kentucky, and founding business partner UPS. Since its inception in 1998, this innovative partnership has helped thousands of students, with the help of a team of Student Development Counselors, pursue a free education and on-the-job training while reducing the UPS annual turnover rate of new hires from 100% to less than 20%.
- Registrar's Office, which oversees registration, transcript services, and diploma delivery, retains academic records of students and alumni, and manages and assists with commencement and residency appeals.
- Resources for Academic Achievement (REACH) supports the academic success of a diverse undergraduate student population through academic support services and retention programs that encourage students to be independent and successful learners.
- Student Financial Aid Office (SFAO), which manages all federal, state, institutional and private student aid funds, administering more than \$300 million in total awards, maintaining the university's compliance with federal and state regulations. The office has thirty full-time employees.
- Undergraduate Admissions coordinates the recruitment of undergraduate students, including transfer students, active military and veteran students. The office processes more than 10,000 applications to the university, manages transfer equivalency determinations and is responsible for official University publications including admissions pamphlets, University catalogues, bulletins, forms and brochures.
- Undergraduate Advising Practice (UAP) collaborates with academic advisors, faculty, advising directors, administrators and other campus partners to create and implement best practices in advising to support the university's undergraduate student success goals and initiatives

Budget Changes

- For FY 2018, the general fund budget decreases by \$447,000 primarily due to the budget reset.
- Though there are cuts to many programs, several are part of a reorganization creating the Office of the Vice President for Enrollment Management and Student Success as well as Coordinated Business Services, which are new in FY 2018.
- Need-based financial aid also increases by \$351,000.

Support Unit Summaries

2018 Operating Budget



Executive Vice President and University Provost General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
EVP and University Provost								
Admissions	01028	Admissions	2,492,673	2,594,685	2,365,341	2,628,087	262,746	11.1%
Admissions	01029	Minority Recruitment	240,680	260,334	313,721	324,346	10,625	3.4%
Admissions	01030	Admissions Publications	202,889	195,633	82,482	82,482	0	0.0%
Admissions	01309	ULtra	253,438	267,315	279,985	275,825	(4,160)	-1.5%
Admissions	01496	Undergraduate Application Fees	0	112	0	0	0	0.0%
Admissions	10478	Orientation Fees	522,222	492,603	431,000	431,000	0	0.0%
Admissions	10479	University Testing	189,624	201,003	160,800	160,800	0	0.0%
Admissions	10637	Veterans Student Services	(2,286)	(5,021)	4,600	4,600	0	0.0%
Admissions	10655	Orientation Offset	30,000	0	30,000	30,000	0	0.0%
Admissions	10685	AP Summer Institute	140,615	113,349	155,000	155,000	0	0.0%
Admissions	30376	UGA High S&Dual Cred Tui Remim	81,587	107,980	179,900	179,900	0	0.0%
Audit Services	01936	Conflict of Interest	5,179	0	0	0	0	0.0%
Audit Services	30632	Audit Prior Yrs Budget Cuts	0	0	(22,517)	0	22,517	-100.0%
Cultural Center	01010	Cultural Center	587,660	625,753	676,494	430,317	(246,177)	-36.4%
Cultural Center	01328	Black Family Conference	5,063	0	2,834	0	(2,834)	-100.0%
Cultural Center	30221	Black Diamond Choir	32,516	28,046	28,932	28,097	(835)	-2.9%
Cultural Center	30410	Cultural Center Student Prog	8,022	(4)	0	0	0	0.0%
Cultural Center	30531	Programming Porter Scholars	12,811	(28)	0	0	0	0.0%
Cultural Center	30546	Garden Commons	1,500	0	0	0	0	0.0%
Cultural Center	30567	African Amer Male Initiative	9,980	(16)	0	0	0	0.0%
Cultural Center	30635	Hispanic/Latino Initiatives	0	4,830	5,000	4,850	(150)	-3.0%
Delphi Center	01014	Delphi-Credit Course Support	336,838	347,522	344,937	362,959	18,022	5.2%
Delphi Center	01307	Delphi - Telecourses	77,978	80,105	80,194	81,500	1,306	1.6%
Delphi Center	01333	Delphi - Technology	408,283	401,597	395,497	397,999	2,502	0.6%
Delphi Center	01620	QEP Ideas to Action	535,697	556,046	567,922	458,690	(109,232)	-19.2%
Delphi Center	01689	University Training	0	18,338	0	0	0	0.0%
Delphi Center	10417	Delphi-Professional Developmnt	627,814	667,448	1,000,000	850,000	(150,000)	-15.0%
Delphi Center	10456	Delphi-Conference & Facilities	882,031	940,352	1,000,000	1,100,000	100,000	10.0%
Delphi Center	10461	Delphi - General & Admin	555,028	609,656	772,298	460,210	(312,088)	-40.4%
Delphi Center	10524	Delphi - Online	4,087,140	4,438,259	5,345,121	4,757,935	(587,186)	-11.0%
Delphi Center	10614	Delphi - Options	65,411	45,893	70,000	0	(70,000)	-100.0%
Delphi Center	30126	Delphi - Teaching & Learning	166,688	170,239	170,425	150,434	(19,991)	-11.7%
Delphi Center	30626	Dist E State Certification Fee	37,701	107,251	215,301	119,878	(95,423)	-44.3%
Enrollment Management	01026	Enrollment Management	99,633	248,069	87,999	173,276	85,277	96.9%

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Enrollment Management	01027	Commencement	292,951	279,894	300,000	300,000	0	0.0%
Enrollment Management	01698	Office of the UPSEMSS	0	0	0	432,320	432,320	0.0%
Enrollment Management	30553	Yellow Ribbon Graduate	24,561	0	0	0	0	0.0%
Enrollment Management	30554	Yellow Ribbon Undergraduate	126,874	0	0	0	0	0.0%
Fin Aid Operating	01032	Financial Aid Administration	1,866,684	1,728,998	2,017,445	2,105,878	88,433	4.4%
Financial Aid	10638	Pell Administrative Allowance	25,160	24,195	27,000	27,000	0	0.0%
Financial Aid	30001	Aid Federal Matching	532,117	513,288	576,722	576,722	0	0.0%
Financial Aid	30008	T/R Supervis Teach-KRS 2845	345,093	346,910	400,000	400,000	0	0.0%
Financial Aid	30024	Trustees Acad Schol	16,282,049	17,150,948	16,142,100	16,142,100	0	0.0%
Financial Aid	30035	University Grants	187,929	215,410	437,200	437,200	0	0.0%
Financial Aid	30036	Martin Luther King Scholars	15,182	3,445	83,300	83,300	0	0.0%
Financial Aid	30039	Woodford R Porter Fund	6,113,111	6,504,911	7,740,000	7,740,000	0	0.0%
Financial Aid	30059	Commonwealth Scholars	871,718	947,105	999,300	999,300	0	0.0%
Financial Aid	30075	T/R State KRS 2841	47,127	63,936	39,000	39,000	0	0.0%
Financial Aid	30076	T/R State KRS 2842	113,178	65,054	111,000	111,000	0	0.0%
Financial Aid	30077	T/R State KRS 505	135,238	170,969	139,000	139,000	0	0.0%
Financial Aid	30078	T/R State KRS 515	1,058,641	1,016,282	917,734	917,734	0	0.0%
Financial Aid	30079	T/R State KRS 507	127,605	171,423	183,000	183,000	0	0.0%
Financial Aid	30084	T/R State KRS 2847	447,039	344,299	371,000	371,000	0	0.0%
Financial Aid	30356	NEED BASED FINANCIAL AID	2,929,447	3,026,271	3,779,200	4,130,613	351,413	9.3%
Financial Aid	30394	National Scholars Program	4,511,071	5,225,269	4,451,400	4,451,400	0	0.0%
Financial Aid	30482	Cardinal Covenant	2,627,980	2,263,749	2,668,100	2,668,100	0	0.0%
Financial Aid	30485	Transfer Scholarships	135,031	122,400	158,400	158,400	0	0.0%
Financial Aid	30640	Student Persistence Fund	0	0	0	100,000	100,000	0.0%
Institutional Research	01323	Inst Research & Plan	1,476,731	1,672,447	1,654,806	836,500	(818,306)	-49.5%
Institutional Research	02081	SACS/Accredition	0	29,672	0	307,542	307,542	0.0%
Institutional Research	02082	OAPA	0	198,827	0	316,976	316,976	0.0%
Institutional Research	30507	Quality Management-QMS	(21,254)	0	0	0	0	0.0%
Institutional Research	30569	Institutional Effectiveness	7,507	257,509	0	250,266	250,266	0.0%
Institutional Research	30613	Academic Analytics	0	31,500	0	0	0	0.0%
Institutional Research	30625	Blackboard Analytics	(3,611)	(0)	0	0	0	0.0%
International Affairs	01013	International Affairs	516,679	584,217	612,461	608,054	(4,407)	-0.7%
International Affairs	01329	Intrnlization Program	23,846	25,112	24,714	22,286	(2,428)	-9.8%
International Affairs	10611	Fee - Rem-Inst Eur Stu	12,228	30,953	27,818	25,056	(2,762)	-9.9%
International Affairs	30020	Tr Frgn Exc Agreemts	116,995	109,474	130,800	64,940	(65,860)	-50.4%
International Affairs	30271	Internatl Ctr Isic	(13,816)	1,716	0	0	0	0.0%
International Affairs	30272	International Scholars Fee	(1,368)	(6,376)	14,000	13,579	(421)	-3.0%
International Affairs	30281	Foreign Student Fees	25,772	43,587	41,977	27,747	(14,230)	-33.9%

Support Unit Summaries
2018 Operating Budget



Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
International Affairs	30565	Sister Cities Young Scholars	(2,295)	(325)	0	0	0	0.0%
McConnell Center	01330	McConnell Ctr Pol Leadership	170,553	107,301	176,308	592,657	416,349	236.1%
Metropolitan College - UL	01347	Metropolitan College - U of L	240,250	240,250	229,450	240,250	10,800	4.7%
Muhammad Ali Institute	01386	Muhammad Ali Institute	253,160	300,681	284,021	214,216	(69,805)	-24.6%
Muhammad Ali Institute	30413	Muhammad Ali Scholars	5,053	9,672	0	0	0	0.0%
Office of Planning and Budget	01007	Vice Provost - Budget	589,993	578,528	345,078	279,274	(65,804)	-19.1%
Office of Planning and Budget	01321	Budget and Financial Planning	627,961	590,076	662,483	513,046	(149,437)	-22.6%
Office of Planning and Budget	01931	Position Management	162,024	167,767	175,497	114,703	(60,794)	-34.6%
Office of the Provost	01008	Office Of The Provost	1,926,179	2,010,531	1,970,438	1,661,912	(308,526)	-15.7%
Office of the Provost	01336	Faculty Personnel	64,343	56,444	100,000	226,899	126,899	126.9%
Office of the Provost	01538	Gen Ed Assessment	28,525	47,024	58,099	58,099	0	0.0%
Office of the Provost	01646	Paul Weber Awards	30,000	0	50,000	0	(50,000)	-100.0%
Office of the Provost	01688	Academic Strategic Rsv Fund	0	1,365,000	0	216,493	216,493	0.0%
Office of the Provost	01694	EVPUP Coord Business Services	0	0	0	681,786	681,786	0.0%
Office of the Provost	02049	Provost 2014-15 Procurement Cr	0	0	(1,409)	0	1,409	-100.0%
Office of the Provost	02077	Prov VSIP Strategic Disc Funds	0	450,260	644,537	25,000	(619,537)	-96.1%
Office of the Provost	02080	Operational Reserve Fund	0	237,866	350,000	52,000	(298,000)	-85.1%
Office of the Provost	30014	T/R-J B Speed Museum	2,562	9,411	2,200	10,000	7,800	354.5%
Office of the Provost	30104	Ombudsman Office	69,195	86,071	71,875	69,132	(2,743)	-3.8%
Office of the Provost	30277	Disc Funds - Provost	0	164,821	0	0	0	0.0%
Office of the Provost	30279	Fac & St Exc Dev Initiative	38,226	54,524	50,000	0	(50,000)	-100.0%
Office of the Provost	30538	Faculty Grievance	209	415	0	16,148	16,148	0.0%
Office of the Provost	30558	Deans & VPS Recruitment	496,992	274,839	526,576	208,061	(318,515)	-60.5%
Office of the Provost	30568	Enrollment Pressure	0	0	100,000	0	(100,000)	-100.0%
Registrar	01031	Registrar's Office	1,166,213	1,167,553	1,206,190	1,253,610	47,420	3.9%
Registrar	01495	Univ Catalogues & Bulletins	94	1	0	0	0	0.0%
Registrar	10480	Diploma Replacement	4,692	5,400	4,000	4,000	0	0.0%
Sustainability	01669	Sustainability Office	125,407	119,253	122,064	124,335	2,271	1.9%
Sustainability	30563	Climate Action Plan	176,828	181,500	180,424	0	(180,424)	-100.0%
UGA Administration	01195	UGA Administration	939,783	1,137,615	971,210	891,789	(79,421)	-8.2%
UGA Administration	01308	REACH Learning Resources	694,735	633,442	617,237	649,457	32,220	5.2%
UGA Administration	01310	Reach	822,077	989,146	1,042,350	1,047,676	5,326	0.5%
UGA Administration	01312	Undergraduate Initiative	51,276	50,121	68,495	68,495	0	0.0%
UGA Administration	01539	Freshman First Year Initiative	292,670	334,205	349,320	337,708	(11,612)	-3.3%
UGA Administration	01540	Office of Advising Practice	549,286	703,510	589,292	577,242	(12,050)	-2.0%
UGA Administration	10504	Reach Virtual Math Center	8,803	5,863	12,700	12,700	0	0.0%
UGA Administration	30070	Fees Remitted GA-UGA	185,269	146,944	167,300	145,000	(22,300)	-13.3%
UGA Administration	30634	MLK Scholars	0	64,126	0	250,000	250,000	0.0%

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Vice Provost for Div & Eq Opp	01326	Affirmative Action Fund	780	25,916	14,231	14,724	493	3.5%
Vice Provost for Div & Eq Opp	02118	LGBT Program	0	0	0	170,340	170,340	0.0%
Vice Provost for Div & Eq Opp	10809	Diversity Training Initiatives	0	0	0	5,000	5,000	0.0%
Vice Provost for Div & Eq Opp	30197	Diversity Initiative	324,933	271,965	224,247	386,954	162,707	72.6%
Vice Provost for Div & Eq Opp	30364	DIVERSITY SUPPORT FUND	43,938	23,921	0	0	0	0.0%
Vice Provost for Div & Eq Opp	30384	Diversity-Presidents's Plan	0	16,100	43,847	27,910	(15,937)	-36.3%
Women's Center	01015	Women's Center	245,201	254,588	261,879	232,651	(29,228)	-11.2%
EVP and University Provost Total			63,984,818	69,563,069	70,460,682	70,013,465	(447,217)	-0.6%

Human Resources

The Office of Human Resources strives to be an administrative center of excellence whose fundamental purpose is to help campus administrators recruit, retain, recognize, and reward top quality faculty and staff; to advance processes that promote a quality work-life and shared responsibility; and to create programs that enhance the university’s culture and make UofL an employer of choice. The areas reporting to the Associate Vice President for Human Resources are employment and compensation; salary administration; benefits administration; staff development and employee relations; health management; employee assistance programs; and equal employment opportunity and affirmative action.

The Associate Vice President for Human Resources also serves as the University Equal Opportunity Officer, Title VII and Title IX Administrator, and ADA Administrator and is responsible for ensuring the University’s compliance with all relevant Federal and State EEO statutes and regulations.

In FY 2017, Human Resources was part of the Sr. Vice President for Finance and Administration. With the reorganization to the COO, Human Resources was once again split out as a standalone unit.

Human Resources General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Human Resources								
Human Resources	01058	University Personnel	1,835,545	1,676,071	1,940,923	1,818,719	(122,204)	-6.3%
Human Resources	01426	Staff Recognition Program	22,570	25,877	30,000	23,000	(7,000)	-23.3%
Human Resources	10612	Classified Ads	18,409	(3,067)	0	0	0	0.0%
Human Resources	10613	Background Check&Classified Ad	(25,297)	22,077	0	0	0	0.0%
Human Resources	17006	Retirement Fee Revenue	0	282,764	486,875	391,793	(95,082)	-19.5%
Human Resources	X0841	ADA Accommodations	29,825	38,106	0	150,000	150,000	0.0%
Human Resources Total			1,881,051	2,041,827	2,457,798	2,383,512	(74,286)	-3.0%

Office of the President

The Board of Trustees has statutory authority to provide governance and policy direction for the University. The President has delegated authority from the University's Board of Trustees to lead the University, as policy adopted by The Redbook, in matters involving broad academic and fiscal operations, including the implementation of all policy approved by the Board of Trustees.

The Office of the President consists of the President and three Executive Vice Presidents (EVP & University Provost, EVP for Health Affairs, and EVP for Research & Innovation).

The offices reporting directly to the President are: Executive Vice President and University Provost, Executive Vice President for Health Affairs, Executive Vice President for Research and Innovation, Chief Financial Officer, Chief Operating Officer, Vice President for Advancement, Vice President for Athletics and Athletic Director, Vice President for Community Engagement, Vice President for Strategy and General Counsel, and the Office of Communication and Marketing and Governmental Relations. The President's executive team, which administers all academic, fiscal, philanthropic, and athletic activities of the University of Louisville, consists of the President, EVP and University Provost, EVP for Health Affairs, and the EVP for Research and Innovation.

Budget Changes

- For FY 2018, the general fund budget increases by \$2 million primarily due to a reduction in previous non-general fund support through the University of Louisville Foundation.
- The Office of the President underwent a small reorganization within the office of Communications and Marketing. This provides a more defined structure to the activities in the department.

Support Unit Summaries

2018 Operating Budget



Office of the President General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Office of the President								
Admin Support Services	01317	U of L Retiree Assoc	0	0	2,300	2,300	0	0.0%
Admin Support Services	01318	Admin Support Services Center	452,493	453,215	428,022	277,446	(150,576)	-35.2%
Admin Support Services	30223	Cardinal Advertising	40,000	40,000	40,000	20,000	(20,000)	-50.0%
Admin Support Services	X0539	Grants & Memberships	69,109	64,184	65,623	65,000	(623)	-0.9%
Boards - Institutional Support	01337	University Boards	33,699	58,775	2,261	30,000	27,739	1226.8%
Commissions	X0553	Racial Equality	101,872	69,531	89,863	46,382	(43,481)	-48.4%
Commissions	X0554	Status On Women	74,796	87,575	89,863	46,382	(43,481)	-48.4%
Faculty Senate	01004	Univ Faculty Senate	81,995	83,338	95,843	96,836	993	1.0%
Faculty Senate	01037	Staff Senate	22,890	22,285	29,657	29,657	0	0.0%
Faculty Senate	01038	Staff Grievance	8,063	8,395	9,879	9,874	(5)	-0.1%
Office of Communication & Mktg	01100	New & Public Information	881,672	894,243	883,215	0	(883,215)	-100.0%
Office of Communication & Mktg	01101	University Communications	720,745	835,543	943,469	0	(943,469)	-100.0%
Office of Communication & Mktg	01504	Intrgrated Mktg Plan	189,045	283,267	175,614	0	(175,614)	-100.0%
Office of Communication & Mktg	01505	HSC News & Public Information	1,656	1,526	29,196	0	(29,196)	-100.0%
Office of Communication & Mktg	01509	News Bureau	535,085	696,201	709,485	0	(709,485)	-100.0%
Office of Communication & Mktg	01511	Information Centers	238,871	203,444	178,139	0	(178,139)	-100.0%
Office of Communication & Mktg	01513	WUOL FM Radio	30,992	39,997	72,737	0	(72,737)	-100.0%
Office of Communication & Mktg	02108	HSC C&M	0	0	0	472,862	472,862	0.0%
Office of Communication & Mktg	02109	Marketing	0	0	0	464,046	464,046	0.0%
Office of Communication & Mktg	02110	Multi Media	0	0	0	339,855	339,855	0.0%
Office of Communication & Mktg	02111	Digital Media	0	0	0	303,704	303,704	0.0%
Office of Communication & Mktg	02112	C&M Account Management	0	0	0	841,490	841,490	0.0%
Office of Communication & Mktg	02113	Creative Services	0	0	0	244,880	244,880	0.0%
Office of Communication & Mktg	02114	Media Relations	0	0	0	229,584	229,584	0.0%
Office of Communication & Mktg	02115	Comm & Marketing Admin	0	0	0	332,602	332,602	0.0%
Office of Communication & Mktg	X0039	Unified C-J-Ad Contr	2,704	0	0	0	0	0.0%
Office of the President	01001	Office Of President	1,476,050	1,971,182	1,266,930	3,011,665	1,744,735	137.7%
Office of the President	01314	Comm For Interco Athletics	3,974	5,725	6,730	0	(6,730)	-100.0%
Office of the President	01316	Pres Comm/Recognition Program	80,243	29,379	50,664	20,000	(30,664)	-60.5%
Office of the President	01512	Special Projects	396,099	511,316	3,264	0	(3,264)	-100.0%
Office of the President	01901	President Budget Reduction	0	0	(13,200)	0	13,200	-100.0%
Office of the President	01966	IS1 2012-13 Budget Reduction	0	0	(45,400)	0	45,400	-100.0%
Office of the President	02003	02003 - CPA Review Services	0	20,426	0	0	0	0.0%

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Office of the President	02022	President 2014-15 Budget Reduc	0	0	(38,300)	0	38,300	-100.0%
Office of the President	02048	President 2014-15 Procurement	0	0	(13,093)	0	13,093	-100.0%
Office of the President	10787	Military Initiatives	58,454	423,765	0	0	0	0.0%
Office of the President	30265	Executive Searches	20,993	0	0	0	0	0.0%
Office of the President	30268	Disc Funds-President	0	50,000	0	0	0	0.0%
Office of the President	30594	IS1 VSIP Reserve	0	0	(61,881)	0	61,881	-100.0%
Office of the President	X0840	Student African American Progr	0	11,084	0	5,000	5,000	0.0%
VP for Strategy/Gen Counsel	01003	Univ Counsel Office	769,133	1,024,511	838,769	849,191	10,422	1.2%
VP for Strategy/Gen Counsel	10660	Employee Immigration	36,249	(1,255)	0	0	0	0.0%
VP for Strategy/Gen Counsel	30633	Gen Counsel Prior Yr Bud Cut	0	0	(9,513)	0	9,513	-100.0%
VP for Strategy/Gen Counsel	X0540	Prof Fees-Legal Services	485,324	703,701	615,561	700,000	84,439	13.7%
Office of the President Total			6,812,206	8,591,354	6,445,697	8,438,756	1,993,059	30.9%

Vice President Community Engagement

The Office of Community Engagement coordinates the interaction of university faculty, staff, and students for the mutual benefit of the community and the university. This office facilitates the use of university resources to support university and community partnerships, and engages new partners to contribute to the educational, health, social and economic progress of the metropolitan area, nation, and global community.

The office supports and advances community engagement to enrich the learning experience of students and to enhance the teaching and research of faculty. Specifically, it facilitates the community based learning experience of UofL students at community organizations through internships, field experience/practicum, clinic experience, research, service-learning, or volunteer opportunities.

The Office of Community Engagement oversees the University's Signature Partnership Initiative with West Louisville, as well as the University's College Connection Initiatives, including Project Upward Bound Programs I and II, Talent Search, and Youth Toward Excellence Program.

Established in 2006, the Office of the Vice President for Community Engagement has thirteen employees.

Goals

- Measure the impact of faculty, staff and student involvement in collaborative partnerships,
- Identify partnerships to define and demonstrate engaged scholarship/research,
- Identify partnerships that provide evidence of community impact based on established rubric,
- Monitor progress of community engagement plans with administrative and academic units, and
- Increase the number of partnership activities of the Signature Partnership Initiative.

Research

- Promotes faculty involvement in community-based research through the Signature Partnership Initiative.
- Awards bi-annual Faculty Incentive Grant for innovative research.
- Facilitates and promotes ongoing research and Engaged Scholarship.
- Facilitates faculty development for community-based research and teaching.

Significant Accomplishments

- The data collection process developed by OCE is recognized as a national model.
- The template used to develop the community engagement plans for administrative and academic units and the process used to implement the development of these plans was presented at three national and international conferences.
- A record number of faculty participated in professional development workshops on community engagement and engaged scholarship.
- Celebrating the 10th Anniversary of the Signature Partnership with west Louisville, which has gained national recognition.
- Manages the Annual Community Engagement Awards for faculty, staff and students as well as an outstanding community partner.
- Awards innovative Student Philanthropy Grants to local non-profit organizations each semester.
- Facilitates over 100 university-community partnerships.

Vice President for Community Engagement General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
VP Community Engagement								
Upward Bound	30347	Upward Bound	(0)	655	0	0	0	0.0%
VP-Community Engagement	01099	Community Engagement	769,799	852,757	840,636	838,315	(2,321)	-0.3%
VP-Community Engagement	01672	Community Engagement Award	17,364	14,875	0	0	0	0.0%
VP-Community Engagement	02027	VPCE 2014-15 Budget Reduction	0	0	(5,100)	0	5,100	-100.0%
VP Community Engagement Total			787,163	868,287	835,536	838,315	2,779	0.3%

Vice Provost for Student Affairs

The mission of the Office of the Vice Provost for Student Affairs (VPSA) is to provide students with effective services while enrolled at the University of Louisville. We seek to create developmental opportunities that augment their academic experience and enhance the quality of their lives.

When coupled with a challenging academic experience, these services and opportunities facilitate the students' total development so that they attain their educational goals as individuals and are prepared to function successfully as leaders within a diverse, multicultural and international world. In support of this mission, the VPSA provides essential services to the faculty and to the academic units.

Major Divisions of Student Affairs, listed alphabetically, include

The Student Government Association (SGA) consists of an executive branch, judicial branch, a legislative senate and multiple student councils organized around academic colleges. It is charged with representing the student body on issues related to campus programs, services, and governance. SGA also provides programs and services designed to support the student experience.

The UofL Housing and Residence Life program is a self-supporting auxiliary enterprise that generates revenues to fund all expenses associated with the day-to-day operation of seven residence halls. Expenses include staffing and administration, residential programming, daily maintenance and repair, delivery of utilities and technology services, and deferred maintenance and system replacements. Housing and Residence Life staff coordinate housing assignments and billing, select and supervise building staff, maintain the physical facilities, monitor student behavior, and provide student programming and support activities.

The Housing and Residence Life program also manages affiliation agreements with six external housing operations located adjacent to the Belknap and Health Science Campus. These privately owned, but university managed, apartment communities provide upper-class students residence options close to campus. Housing and Residence Life provides a liaison-type link to the campus environment through recruitment, programs, and assistance with student issues and crises. These communities provide approximately 2,300 beds.

Residence hall communities provide students the opportunity to participate in intentional programs and activities designed to help students develop skills that will prepare them to be successful in their eventual career or profession. Students who live on campus have higher year-over-year retention rates and are more successful with their academic career when compared to students who live off-campus.

Goals

- To inspire a vibrant campus life and engaged student body.
- To celebrate tradition and create a sense of purpose.
- To enhance services that meets the needs of students.
- To transform theory into practice to support student learning.

Significant Accomplishments

We continue efforts to develop a more vibrant campus community through creating opportunities for more students to live on campus, developing additional campus food and service options, supporting increased social and recreational programming, and providing additional service and leadership opportunities.

Student Affairs continues to set the bar and increase numbers on the following:

- Percentage of students living on campus.
- The number of students attending student activity programs annually.
- The number of individuals using intramural and recreational sports gyms and facilities.
- The Club Programming Committee continues to provide financial support directly to student organizations for campus programming.

Budget Changes

- For FY 2018, the general fund budget increases by \$9.2 million, which along with some other minor changes, is due to the University Housing budget increasing by \$9.5 million. This is due to six new housing facilities that were previously overseen by the University of Louisville Foundation, but are now managed and operated by Campus Housing. These housing units include the recently constructed University Pointe as well as Cardinal Towne, Community Park, and Billy Minardi, Kurz, and Bettie Johnson halls. Transferring the management of the residences from a private contractor to Campus Housing will result in an annual savings to ULF of approximately \$400,000. Additional rent and fee revenues to Campus Housing will cover the costs of managing the new units.

Support Unit Summaries

2018 Operating Budget



Vice President for Student Affairs General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
VP Student Affairs								
Counseling Services	01597	Counseling Center	434,863	543,623	484,719	568,288	83,569	17.2%
Dean of Students	01020	Dean of Students	697,423	694,627	501,961	503,974	2,013	0.4%
Dean of Students	10734	University Mobile	0	385	2,000	0	(2,000)	-100.0%
Dean of Students	30345	Misc Income-Std Life	0	600	0	0	0	0.0%
Dean of Students	30433	Stud Grievance Officer	(754)	0	0	0	0	0.0%
Dean of Students	40015	Resident Education & Prog	724,442	652,315	815,559	664,756	(150,803)	-18.5%
Disability Resources	01604	Disability Services	283,601	274,738	249,583	251,773	2,190	0.9%
Disability Resources	10482	Disabled Student Services	0	63,171	75,000	75,000	0	0.0%
Housing and Residence Life	40011	Facilities	2,897,715	2,731,710	2,340,895	2,922,636	581,741	24.9%
Housing and Residence Life	40013	Conferences & S-T Housing	(228,480)	(179,937)	(83,951)	(130,892)	(46,941)	55.9%
Housing and Residence Life	40017	Desk Operations & Security	583,432	599,157	633,842	681,915	48,073	7.6%
Housing and Residence Life	40019	Information Technology	918,450	738,481	1,013,003	673,737	(339,266)	-33.5%
Housing and Residence Life	40021	Assignments & Billing	194,457	179,098	299,822	205,517	(94,305)	-31.5%
Housing and Residence Life	40023	Marketing & Assessment	33,341	41,138	98,356	96,904	(1,452)	-1.5%
Housing and Residence Life	40026	Housing Reserve	171,839	100,000	110,000	112,000	2,000	1.8%
Housing and Residence Life	40029	Fiscal Affairs	154,492	158,466	157,789	162,786	4,997	3.2%
Housing and Residence Life	40031	RSA & NRRH	47,407	47,122	44,000	44,800	800	1.8%
Housing and Residence Life	40033	Housing Administration	1,900,338	1,345,219	1,067,026	852,939	(214,087)	-20.1%
Housing and Residence Life	40041	Community Park	0	307	0	0	0	0.0%
Housing-Affiliated properties	40046	Housing-Billy Minardi	0	0	0	479,304	479,304	0.0%
Housing-Affiliated properties	40047	Housing-Bettie Johnson	0	0	0	3,622,478	3,622,478	0.0%
Housing-Affiliated properties	40048	Housing - Kurz Hall	0	0	0	2,299,761	2,299,761	0.0%
Housing-Affiliated properties	40051	Housing - Community Park	0	0	0	2,282,070	2,282,070	0.0%
Housing-University Point	40049	Housing - Cardinal Towne	0	0	0	280,663	280,663	0.0%
Housing-University Point	40056	Housing - University Poine	0	0	0	280,663	280,663	0.0%
International Service Learning	10474	Int'l Service Learning	444,206	701,668	675,000	675,000	0	0.0%
Intramurals	01593	Intramurals/Recreation	522,107	542,133	549,221	559,651	10,430	1.9%
Intramurals	10476	Intramural Fees	244,885	226,695	225,000	225,000	0	0.0%
Intramurals	10747	Student Recreation Center	808,438	846,300	858,750	861,464	2,714	0.3%
Intramurals	30229	Community Center	0	509	0	0	0	0.0%
Intramurals	30436	Intramural Facility	148,137	143,369	148,505	149,736	1,231	0.8%
Leadership	30422	Leadership - Special Proj	20,814	20,672	21,025	21,025	0	0.0%
Leadership	30435	Student Leadership	50,629	0	0	0	0	0.0%
SGA-Student Activities	10558	Student Activities	423,756	443,521	149,403	149,403	0	0.0%

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
SGA-Student Activities	10716	SAB Red Barn	11,919	11,927	12,000	12,000	0	0.0%
Student Government	01600	Student Govt Assoc	35,000	14,040	0	0	0	0.0%
Student Government	10541	Student Government Association	187,626	160,413	71,497	93,497	22,000	30.8%
Student Government	10542	Student Senate	47,103	44,432	9,200	9,200	0	0.0%
Student Government	10557	Student Radio WLCV	3	0	0	0	0	0.0%
Student Government	10559	SGA-CPC	115,487	104,291	48,000	48,000	0	0.0%
Student Government	10717	Graduate Student Travel	36,755	39,522	20,000	20,000	0	0.0%
Student Government	10728	Student Initiatives	83,475	84,841	15,000	15,000	0	0.0%
Student Government	10743	SGA Student Program Budgets	2,742	0	600,000	600,000	0	0.0%
Student Involvement	01594	Student Activities	728,023	849,629	908,134	910,407	2,273	0.3%
Student Involvement	10620	SAC Special Projects	68,443	51,868	35,000	35,000	0	0.0%
VP Student Affairs	01018	Office VP/Student Affairs	1,155,488	971,032	1,482,790	1,478,173	(4,617)	-0.3%
VP Student Affairs	30226	Project Fund - Student Affairs	174,734	159,294	168,463	185,598	17,135	10.2%
VP Student Affairs	30346	Disc Funds-VP Std Af	95,508	21,302	0	0	0	0.0%
VP Student Affairs	30429	Student Affairs Project Fund	10,000	10,000	10,000	10,000	0	0.0%
VP Student Affairs	30468	Fees Rem GA VP Student Affairs	97,854	84,000	84,000	84,000	0	0.0%
VP Student Affairs	30486	Fees Remitted-SGA SAB	60,000	60,000	60,000	60,000	0	0.0%
VP Student Affairs	30493	Fees Remitted-SGA T/R	69,400	69,400	69,400	69,400	0	0.0%
VPSA-Asst VP Stu Life-SA	30510	Club Programming Committee	8,289	5,764	0	0	0	0.0%
VPSA-Career Development Center	01034	University Career Center	574,019	580,880	609,765	608,180	(1,585)	-0.3%
VPSA-Career Development Center	10481	Career Fair	32,466	25,100	50,000	50,000	0	0.0%
VP Student Affairs Total			15,069,871	14,262,822	14,689,757	23,860,806	9,171,049	62.4%

Vice President University Advancement

The Office of University Advancement (VPUA) works to further public understanding and recognition of the University of Louisville and its mission—to be the Commonwealth’s premier metropolitan research university and develop public, political, and financial support for the institution. The Office of University Advancement works directly with the University of Louisville Foundation to actively promote and encourage private philanthropy for the advancement of the University of Louisville.

Advancement’s mission is to build enduring relationships through engagement, philanthropy and stewardship to advance the teaching, research and service mission of the University of Louisville.

Our Values: Integrity, Supporting Culture, and Excellence

FY 2017 Goals

1. Raise \$150,000,000 million for university programs and projects
2. Increase alumni giving rate to 14.1%
3. Increase overall annual fund academic donor acquisition to 24,744
4. Increase overall annual fund dollars raised to \$4,783,206
5. Increase overall donor retention rate to 67%
6. Continue commitment to excellence in staff hiring, retention, accountability and productivity

Significant Accomplishments

Advancement leadership believes success depends on the capacity, passion, and commitment of staff. For that reason, they invest resources to ensure they recruit, retain, and train the best people in the business. There is a deep commitment to staff training that includes leadership development. Our results have proven our efforts are worth the investment. Advancement is also committed to staff diversity and in fiscal year 2016, Advancement surpassed their diversity goals. Below are the highlights of these initiatives:

- Diversity Goals:
 - FY 2016 development staff diversity goal of 9% with year end actual being 18%
 - FY 2016 overall staff diversity goal of 13% with year end actual being 15%

- Overall staff retention greatly improved in FY 2016; goal of 85% and year-end actual being 84%
- Staff training
 - The unit increased the number of professional development plans among staff, hosted a two-day fundraising workshop for development officers and hosted the Educational Advisory Board (EAB) training for staff on how to increase annual fund giving. Three senior staff attended an EAB conference in Washington DC to learn about the new research on best practices for Advancement. In addition, three senior leaders participated in personal coaching to improve self-awareness and leadership skills.
- Staff Recruitment
 - To improve hiring, the Advancement Talent Management committee developed a new interviewing and selection process that includes a more intensive review of the following: review quality of writing, social media, behavioral interviewing, in-basket tasks, thorough reference checks and improved constituent feedback process.

FY16 Goals Recap

1. Raise \$238,865,574 million for university programs and projects (actual \$241,956,933)
2. Increase the number of alumni donors to 12,239 and reach the 14.6% giving rate (actual 11,905 undergrads; 14.1%)
3. Increase overall annual fund academic donor acquisition to 23,696 (actual 24,742)
4. Increase overall annual fund dollars raised to \$4,783,205 (actual \$4,629,492)
5. Increase overall retention rate to 72% (actual 66%)
6. Increase percentage of funds given to endowment to 54% (actual 39.9%)
7. Build an A+ Alumni Association
8. Continue commitment to excellence in staff hiring, retention, accountability and productivity

Support Unit Summaries

2018 Operating Budget



Budget Changes

- For FY 2018, VPUA is moving entirely to non-general funds. The \$131,000 budget is funded from positions split between Advancement and two others – Student Affairs and the College of Arts and Sciences.

Vice President for University Advancement General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
VP University Advancement								
VP Student Affairs	01018	Office VP/Student Affairs	0	0	41,211	41,950	739	1.8%
VPUA-Admin	01087	VP for Univ Advancement	15	0	0	0	0	0.0%
VPUA-Admin	02056	VPUA 2014-15 Procurement Credi	(12,439)	(12,439)	(12,439)	0	12,439	-100.0%
VPUA-Development	10592	Dis Ed - A&S Admin	0	0	29,255	89,635	60,380	206.4%
VPUA-Development	H0047	Deval-Administration	204,478	231,689	241,029	0	(241,029)	-100.0%
VP University Advancement Total			192,054	219,250	299,056	131,585	(167,471)	-56.0%

Central University – Business Activities

Central University Business Activities includes university-wide expenses paid from a central pool. Examples of these expenses include utilities, general insurances, and leases.

Budget Changes

- For FY 2018, the general fund budget decreases by \$296,000 primarily due to lower anticipated utility expenses.

Central University – Business Activities General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Central University - Business Activities								
Business Affairs - Oper Expens	X0521	Columbia Settlement	0	0	162,000	0	(162,000)	-100.0%
Business Affairs - Oper Expens	X0830	Procurement Savings	0	0	(390,353)	0	390,353	-100.0%
University - Bus Serv Purchasi	X0546	Leases	758,158	758,537	753,816	1,179,900	426,084	56.5%
University-Bus Serv-Insurance	01078	HSC Utilities	8,545,691	8,606,600	10,766,123	9,234,816	(1,531,307)	-14.2%
University-Bus Serv-Insurance	01083	Shelby Utilities	709,170	665,720	601,256	631,649	30,393	5.1%
University-Bus Serv-Insurance	01416	Belknap Utilities	10,940,328	10,221,774	8,620,642	8,931,721	311,079	3.6%
University-Bus Serv-Insurance	30326	Risk Mgmt Ins Recov	(10,400)	(110,699)	0	0	0	0.0%
University-Bus Serv-Insurance	X0520	Insurance	1,982,326	2,110,306	2,288,850	2,527,800	238,950	10.4%
University-Bus Serv-Insurance	X0522	Small Claims	0	0	4,200	4,200	0	0.0%
University-Bus Serv-Insurance	X0523	Workman's Compensation Grants	359,300	(0)	0	0	0	0.0%
University-Bus Serv-Insurance	X0538	Medical Center Fee	30,379	30,379	30,379	30,379	0	0.0%
University-Bus Serv-Insurance	X0577	Music Licensing Contracts	15,718	15,650	16,500	16,500	0	0.0%
University-Bus Serv-Insurance	X0839	KSBIT-PL Assessment	505,981	379,485	0	0	0	0.0%
University-Facilities	01550	Capital Renewal/Deferred Maint	1,050,000	1,050,000	1,050,000	1,050,000	0	0.0%
Central University - Business Activities Total			24,886,651	23,727,752	23,903,413	23,606,965	(296,448)	-1.2%

Central University – Finance

Central University—Finance holds reserve funds to meet unforeseen budget emergencies and to provide financial flexibility during the year. Reserves include:

- Budget Reserve Fund,
- Financial Aid Escalator Reserve,
- Staff Reclassification and Faculty Promotion Funds
- Student Center Building Reserve Allocation, and
- Tuition Rate Discount Reserve.

Budget Changes

- Debt service programs and their changes for FY 2018 combined for an increase in debt service of \$5.4 million, primarily due to the three new bond series.
- Several reserves have been removed, consolidating them into single budget reserve fund.

Central University – Finance General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Central University - Finance								
Central Univ - Student	30006	T/R Senior Citizens-KRS 284	460,923	485,308	302,000	302,000	0	0.0%
Central Univ - Student	30011	T/R High E Tuit W-KRS 020.32	513,481	641,022	542,000	542,000	0	0.0%
Central Univ - Student	30210	T/R Voc Ed T-6-KRS 020.33	905,629	1,093,877	137,000	137,000	0	0.0%
Central Univ - Student	30258	Central University Budget Redu	0	0	(600,000)	(13,449,692)	(12,849,692)	2141.6%
Central Univ - Student	30303	Medical Application Fee	391	439	0	0	0	0.0%
Central Univ - Student	X0533	Athletic Subsidy Student Fees	1,979,718	1,987,361	1,401,000	1,975,000	574,000	41.0%
Central Univ-Approp/Transfer	30329	Miscellaneous Revenue	(179,236)	(178,587)	0	0	0	0.0%
Central Univ-Approp/Transfer	30509	Program Budget Overhead Charge	(3,345,252)	(3,231,085)	(4,020,313)	(4,020,313)	0	0.0%
Central University Reserves	01320	Faculty Promotion Fund	0	0	690,128	690,128	0	0.0%
Central University Reserves	01340	Staff Reclass/Promotions	0	0	466,370	385,585	(80,785)	-17.3%
Central University Reserves	01341	Reserve For Adjustments	(63)	48,776	3,094,672	0	(3,094,672)	-100.0%
Central University Reserves	01343	Budget Reserve Fund	0	15	4,007,611	8,600,000	4,592,389	114.6%
Central University Reserves	01651	Reserves for Compensation	0	0	60,604	0	(60,604)	-100.0%
Central University Reserves	01693	Reserve for Fac & Staff Merit	0	0	3,708,081	0	(3,708,081)	-100.0%
Central University Reserves	01950	Reserve Strategic Initiatives	0	245,087	2,362,569	0	(2,362,569)	-100.0%
Central University Reserves	01993	Reserve for Pending Salary Adj	0	14,659	569,491	0	(569,491)	-100.0%
Central University Reserves	02073	21th Cen Seed Funds Restor Med	0	250,000	250,000	250,000	0	0.0%
Central University Reserves	02074	21st Cen Seed Funds Cancer Res	0	250,000	250,000	250,000	0	0.0%
Central University Reserves	02075	Prov strategic VSIP Rellocate	0	864,489	634,305	0	(634,305)	-100.0%
Central University Reserves	30026	Fin Aid Escalator	0	0	752,800	902,800	150,000	19.9%
Central University Reserves	30304	Student Center Bldg Alloc	528,251	535,970	500,000	500,000	0	0.0%
Central University Reserves	30397	Reserve For Fringe Benefits	0	0	4,749,346	0	(4,749,346)	-100.0%
Central University Reserves	30639	Tuition Rate Discount	0	0	2,520,000	2,520,000	0	0.0%
Finance - Debt	01517	CEBRB Series M	2,287,700	1,984,325	0	0	0	0.0%
Finance - Debt	01566	CEBRB Series P	320,313	51,376	0	0	0	0.0%
Finance - Debt	01611	2007 General Receipts Series A	2,983,000	2,982,000	1,996,000	1,999,200	3,200	0.2%
Finance - Debt	01656	2008 General Receipts Series A	6,456,325	6,460,287	4,138,100	1,234,779	(2,903,321)	-70.2%
Finance - Debt	01677	2010 Gen Receipts Series A & B	2,732,020	2,730,514	2,351,810	2,351,810	0	0.0%
Finance - Debt	01682	2011 General Receipts Series A	2,643,150	2,640,650	2,641,250	2,639,850	(1,400)	-0.1%
Finance - Debt	01690	2016 Series A Gen Recpts Bonds	0	0	1,234,776	1,235,644	868	0.1%
Finance - Debt	01691	2016 Series B Gen Recpts Bonds	0	0	810,888	809,500	(1,388)	-0.2%
Finance - Debt	01692	2016 Series C Gen Recpts Bonds	0	0	1,935,551	2,005,100	69,549	3.6%
Finance - Debt	01704	Gen Receipt 2016D	0	0	0	2,108,050	2,108,050	0.0%
Finance - Debt	01705	Gen Receipt 2016E	0	0	0	141,860	141,860	0.0%
Finance - Debt	01706	Gen Receipt 2016F	0	0	0	3,067,000	3,067,000	0.0%

Support Unit Summaries

2018 Operating Budget



Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Finance - Debt	01944	2012 General Receipts Series A	1,725,250	1,720,250	1,722,000	1,715,750	(6,250)	-0.4%
Finance - Debt	X0535	Bond Trustee Fees	41,127	28,620	54,000	54,000	0	0.0%
Finance - Operational Expense	X0001	Audit Fees	48,109	129,818	75,000	90,000	15,000	20.0%
Finance - Operational Expense	X0002	Tax Consulting Fees	10,760	1,800	2,100	5,000	2,900	138.1%
Finance - Operational Expense	X0003	Banking Expense	7,713	9,409	11,500	10,000	(1,500)	-13.0%
Finance - Operational Expense	X0021	Budgetary Control	0	2,277,000	0	0	0	0.0%
Finance - Operational Expense	X0033	Travel Credit Card Activity	473	(0)	0	0	0	0.0%
Finance - Operational Expense	X0828	Swift Prepaid Gift Card Prgm	(2)	(808)	0	0	0	0.0%
Finance - Reserves	X0541	Internal Moving Expenses	19,076	14,998	35,000	0	(35,000)	-100.0%
Finance - Reserves	X0843	Central Fringe Benefit Pool	0	0	(500,000)	0	500,000	-100.0%
Finance - Student	40028	Auxiliary Fund Group	0	52,000	0	0	0	0.0%
Finance - Student	X0005	Bad Debt Expense	1,975,584	3,150,656	2,100,000	2,500,000	400,000	19.0%
Finance - Student	X0569	Student Tuit Collection Fees	0	1,108	0	0	0	0.0%
VP Finance - Reserve	X0594	VSIP Payout	8,604,478	1,310,704	0	0	0	0.0%
Central University - Finance Total			30,718,915	28,552,037	40,985,639	29,057,051	(11,928,588)	-29.1%

Central University – Human Resources

Central University Human Resources comprises health insurance, tuition remission, retirement management, and other central university fringe benefits not already budgeted in the units.

Central University – Human Resources General Fund Expenditure Detail

Department Name	Program	Program Name	FY 2015 Actual	FY 2016 Actual	FY 2017 Budget	FY 2018 Budget	FY 2017-18 Change	
Central University - Human Resources								
Human Resources	01058	University Personnel	0	0	0	8,299	8,299	0.0%
Human Resources	17006	Retirement Fee Revenue	0	0	0	95,082	95,082	0.0%
HR - Benefits	X0271	Tuition Remission-Fac/Staff	333,970	334,325	333,970	333,970	0	0.0%
HR - Benefits	X0272	Employee Direct Bill (Grants)	119,384	142,032	119,384	119,384	0	0.0%
HR - Benefits	X0525	Unemployment Comp. (Grants)	23,800	(3,116)	0	0	0	0.0%
HR - Benefits	X0529	Flex Spending	(0)	0	82,000	82,000	0	0.0%
HR - Benefits	X0551	Health Insurance	(0)	12,184	0	(95,787)	(95,787)	0.0%
HR - Benefits	X0572	Health Insurance PT Fac/staff	0	0	13,400	13,400	0	0.0%
HR - Operational Expense	X0532	Faculty/Staff Directory	14,108	13,369	18,000	18,000	0	0.0%
HR - Operational Expense	X0831	Staff Recognition Gifts	54,308	52,773	41,000	41,000	0	0.0%
Central University - Human Resources Total			545,571	551,567	607,754	615,348	7,594	1.2%

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A grayscale photograph of the University of Louisville's main building, featuring a prominent dome and a portico with columns. The building is set against a light sky with some trees in the background. The image is semi-transparent, allowing text to be overlaid.

BUDGET ANALYSIS

UNIVERSITY of LOUISVILLE

BUDGET TREND DATA

CREDIT HOUR PRODUCTION TREND

Academic Unit	Academic Year					Change AY 13-17	
	2013	2014	2015	2016	2017	Amount	Percent
College of Arts & Sciences	288,152	286,622	281,397	273,542	269,747	(18,406)	-6.4%
College of Business	46,195	44,750	49,617	52,871	54,043	7,848	17.0%
School of Dentistry	25,784	27,384	28,632	28,864	27,131	1,347	5.2%
College of Education & Human Dev.	59,234	61,334	58,983	59,398	65,477	6,244	10.5%
School of Interdiscip. & Grad. Studies	5	0	0	26	10	5	100.0%
Kent School of Social Work	12,910	13,819	14,491	15,471	15,603	2,693	20.9%
Brandeis School of Law	11,286	11,095	10,168	9,496	8,971	(2,315)	-20.5%
School of Medicine	31,902	35,112	34,027	33,812	32,800	899	2.8%
School of Music	11,660	11,018	10,983	11,396	10,908	(752)	-6.4%
School of Nursing	17,608	18,420	18,391	17,976	18,605	997	5.7%
School of Public Health & Info. Sci.	2,903	3,068	3,894	4,462	5,137	2,234	76.9%
J.B. Speed School of Engineering	40,486	43,951	47,661	49,372	52,029	11,543	28.5%
Total Credit Hours	548,123	556,571	558,244	556,684	560,458	12,335	2.3%

Source: UofL Institutional Research

Budget Trends

2018 Operating Budget



AUXILIARY ENTERPRISES REVENUE AND EXPENSES TREND

	Actuals FY 2015		Actuals FY 2016		Budget FY 2017		Budget FY 2018	
	Revenue	Expense	Revenue	Expense	Revenue	Expense	Revenue	Expense
Housing								
Facilities	\$0	\$2,897,715	\$0	\$2,731,710	\$0	\$2,340,895	\$0	\$2,922,636
Conferences & S-T Housing	234,802	(228,480)	241,381	(179,937)	230,000	(83,951)	200,000	(130,892)
Resident Education & Prog	0	724,442	0	652,315	0	815,559	0	664,756
Desk Operations & Security	0	583,432	0	599,157	0	633,842	0	681,915
Information Technology	0	918,450	0	738,481	0	1,013,003	0	673,737
Assignments & Billing	0	194,457	0	179,098	0	299,822	0	205,517
Marketing & Assessment	0	33,341	0	41,138	0	98,356	0	96,904
Housing Reserve	0	171,839	0	100,000	0	110,000	0	112,000
Fiscal Affairs	0	154,492	0	158,466	0	157,789	0	162,786
RSA & NRRH	7,744	47,407	6,758	47,122	4,000	44,000	4,000	44,800
Housing Administration	7,193,755	1,900,338	6,140,703	1,345,219	6,262,341	1,067,026	6,083,098	852,939
Housing Series F	0	0	0	495	0	0	0	0
Community Park	0	0	0	307	0	0	0	0
Housing-Billy Minardi	0	0	0	0	0	0	366,368	479,304
Housing-Bettie Johnson	0	0	0	0	0	0	3,638,528	3,622,478
Housing - Kurz Hall	0	0	0	0	0	0	2,499,288	2,299,761
Housing - Cardinal Towne	0	0	0	0	0	0	610,663	280,663
Housing - Community Park	0	0	0	0	0	0	2,270,788	2,282,070
Housing - University Poine	0	0	0	0	0	0	308,221	280,663
Total Housing	\$7,436,301	\$7,397,432	\$6,388,841	\$6,413,571	\$6,496,341	\$6,496,341	\$15,980,954	\$15,532,037
Auxiliaries								
Food Service Contract	\$39,319	\$33,155	\$30,000	\$65,727	\$0	\$0	\$0	\$0
Contract Vending	115,741	553	187,792	52,015	140,000	5,000	175,000	5,000
Bookstore Operations	323,873	95,122	331,455	118,174	450,000	247,500	483,500	96,758
University Parking	4,619,809	1,737,146	4,552,127	2,637,740	5,149,700	2,457,119	5,352,000	2,659,632
SJA/SPI Dormitory	297,036	310,211	308,720	304,244	250,000	250,000	250,000	250,000
Auxiliary Fund Group	0	0	52,000	52,000	0	0	0	0
ITECH EXPRESS	107,375	121,267	89,968	100,372	103,000	103,000	0	0
Total Auxiliaries	\$5,503,153	\$2,297,455	\$5,552,062	\$3,330,272	\$6,092,700	\$3,062,619	\$6,260,500	\$3,011,390

Auxiliary Enterprises Revenue and Expenses Trend (cont.)

	Actuals FY 2015		Actuals FY 2016		Budget FY 2017		Budget FY 2018	
	Revenue	Expense	Revenue	Expense	Revenue	Expense	Revenue	Expense
Service Centers								
Communications Services	\$0	\$61,054	\$0	\$0	\$0	\$0	\$0	\$0
SPD-Inst Advanc Mtrls-Renew En	108,877	96,122	73,322	95,012	100,000	100,000	100,000	100,000
Pathology Core Lab	122,558	58,969	24,548	37,162	68,000	68,000	68,000	68,000
Microtechnology Service Center	73,193	141,957	165,429	56,509	65,000	65,000	100,400	100,400
Central Stores-Stockroom	55,165	670	60,031	173,142	60,000	60,000	60,000	60,000
NMR Maintenance	5,931	2,088	9,557	951	20,000	20,000	20,000	20,000
Dahlem Supercomputer Lab ServC	0	(10,588)	0	16,800	0	0	0	0
Mass Spectrometry Service Ctr	500	26,919	71,707	12,852	232,375	232,375	232,375	232,375
Spd-Rapid Prototyping SC	92,296	153,039	221,355	172,400	250,000	250,000	250,000	250,000
DOC Service Center	0	(92,708)	0	9,770	0	0	0	0
CPM Shared Resources	25,996	16,284	6,063	60,326	40,000	40,000	40,000	40,000
Huson Nanotechnology Facil	3,000	(18,330)	15,490	36,966	0	68,566	0	0
Urban Studies Institute	120,253	100,335	70,396	44,256	75,000	75,000	75,000	75,000
Design And Printing Services	139,550	449,209	116,591	102,082	100,000	100,000	100,000	100,000
Contract Technology Support	64,283	69,867	37,618	181,987	36,000	36,000	36,000	36,000
Workstation Replacement	5,394	(41,771)	1,798	(2,420)	0	0	0	0
Temporary Services	0	560	0	0	0	0	0	0
Orthoped Bioengineering Lab Sc	0	(684)	0	1,521	18,000	18,000	0	0
Genomics Facility	4,778	7,379	239	(12,589)	0	0	0	0
Molecular Modeling Facility	0	13,793	0	8,073	0	0	0	0
Biophysics Lab	0	918	120	657	0	0	0	0
Nuclear Mag Res Facility-Nmr	0	(11,295)	0	7,272	0	0	0	0
Total Service Centers	\$821,774	\$1,023,787	\$874,265	\$1,002,729	\$1,064,375	\$1,132,941	\$1,081,775	\$1,081,775
Total Auxiliary Enterprises	\$13,761,228	\$10,718,674	\$12,815,168	\$10,746,572	\$13,653,416	\$10,691,901	\$23,323,229	\$19,625,202

Source: Budget and Financial Planning

Budget Trends

2018 Operating Budget



TEN-YEAR SALARY INCREASE TREND BY PERSONNEL CATEGORY

Fiscal Year	Amount of Salary Increase ¹	Percent of Salary Increase	Salary Increase Policy				
			Faculty	Instructional Lump Sum	Administrators	Professional and Administrative	Classified
2018	0	0.0%	0%	0%	0%	0%	0% ²
2017 ³	3,674,000	2.0%	Avg. 2%	2.0% ATB	0%	0% - 2%	0% - 2%
2016 ⁴	6,720,616	3.0%	0% - 6%	3.0% ATB	0% - 3%	0% - 3%	0% - 3%
2015 ⁵	4,059,575	2.0%	0% - 4%	2.0% ATB	0% - 4%	\$600 or 2%	\$600 or 2%
2014	7,964,254	4.0%	0% - 6%	4.0% ATB	0% - 6%	\$1,200 or 4%	\$1,200 or 4%
2013	4,180,534	0.0% ⁶	\$1,200	0%	\$1,200	\$1,200	\$1,200
2012	6,252,345	3.0%	0% - 5%	0.03	0% - 5%	\$1,200 or 3%	\$1,200 or 3%
2011	3,785,361	0.0% ⁷	\$1,200	0%	0%	\$1,200	\$1,200
2010	2,379,367	1.2% ⁸	\$0	0%	0%	0%	0%
2009 ⁹	2,251,622	0.0% ¹⁰	\$700	0%	\$700	\$700	\$700

Notes:

- Salary increase amounts do not include fringe benefits.
- Eligible classified personnel received a salary increase due to the living wage rate increase.
- Salary increase amount in this year does not include permanent "catch-up" adjustments received by selected faculty and staff. Administrators earning greater than \$150,000 are excluded from the salary increase.
- Salary increases for some P&A and Classified staff may have slightly exceeded 3% due to a Staff Senate recommendation about how the merit increase should be distributed.
- Salary increase amount in this year does not include permanent "catch-up" adjustments received by selected faculty.
- \$1,200 to each full time employee with satisfactory performance. President, Provost, Deans and Vice Presidents are excluded.
- \$1,200 to each full time employee with satisfactory performance. Administrators excluded.
- \$700 to each full time employee with satisfactory performance became CAR this year.
- Salary increase amount in this year does not include permanent "catch-up" adjustments received by selected staff.
- \$700 to each full time employee with satisfactory performance.

Avg' = Average; "ATB" = Across the Board

Source: Budget and Financial Planning



UofL CORPORATIONS

UNIVERSITY of LOUISVILLE



UOFL CORPORATIONS

The University of Louisville has relationships with several separate related corporations that support or augment the university's educational missions. These organizational entities are classified as either "affiliated" or "non-affiliated" corporations of the university.

An affiliated corporation is defined by Kentucky statute (KRS 164A.550) as a corporate entity that could not exist or effectively operate without substantial assistance from UofL. Non-affiliated corporations are those entities that do not meet the statutory definition of an affiliated corporation.

The FY 2018 projected budgets for UofL's affiliated and non-affiliated corporations total \$726 million. A number of anticipated grants and contracts in the UofL Research Foundation have not been awarded, and therefore, cannot be budgeted. UofL Corporations' Budgets are shown in Table 23, as separated across the entities. Each corporation is then described in more detail following these tables.

Table 23: UofL Corporations' Budgets

Budget by Corporation	FY 2017 Budget	FY 2018 Budget	FY 17-18 Change
University of Louisville Research Foundation, Inc.	\$482,780,500	\$539,027,600	\$56,247,100
University of Louisville Athletic Association, Inc.	96,400,000	104,505,900	8,105,900
University of Louisville Foundation, Inc.	149,274,800	82,442,700	(66,832,100)
Quality and Charity Care Trust	2,500,000	0	(2,500,000)
Total UofL Corporations' Budgets	\$730,955,300	\$725,976,200	(\$4,979,100)

Source: UofL Corporations, Budget and Financial Planning

UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION, INC.

The University of Louisville Research Foundation (ULRF) is a non-profit corporation affiliated with the University of Louisville. The Research Foundation was established in 1989 for the purpose of promoting and supporting research projects, investigations, clinical operations, and other activities relating to the educational, scientific, literary, health care and public service missions of the university.

Table 24 shows ULRF budgeted revenues and expenditures for FY 2017 and FY 2018. For FY 2018, the ULRF budget totals \$539 million, which is \$56.2 million more than FY 2017. The increase is primarily attributable to serving more patients through the expansion of University of Louisville Physicians (ULP) services, the largest multi-specialty physician practice in the Louisville area. In addition, Hospital Affiliations have been reclassified from general funds to non-general for FY 2018.

Table 24: UofL Research Foundation, Inc. Budget

Revenues	FY 2017	FY 2018	FY 2017-18 Change	
			Amount	Percent
Clinical Operations	\$291,780,500	\$318,534,000	\$26,753,500	9.2%
Sponsored Agreements - Direct	145,095,500	145,095,500	0	0.0%
Sponsored Agreements - F&A	25,000,000	24,000,000	(1,000,000)	-4.0%
Hospital Affiliations *	0	30,493,600	30,493,600	0.0%
Other **	20,904,500	20,904,500	0	0.0%
Total Revenue	\$482,780,500	\$539,027,600	\$56,247,100	11.7%
Expenditures				
Salaries	\$265,502,900	\$279,845,100	\$14,342,200	5.4%
Fringe Benefits	50,977,400	59,361,500	8,384,100	16.4%
Operating Expense	166,300,200	199,821,000	33,520,800	20.2%
Total Expenditures	\$482,780,500	\$539,027,600	\$56,247,100	11.7%

* Prior to FY 2018, KentuckyOne Health revenues and expenses were budgeted as general funds. In FY 2018, they are budgeted as non-general funds to reflect the limitations on how those funds can be spent.

** Other includes items such as grants for financial aid and instruction.

Source: UofL Budget Office, ULRF

ULRF has two primary sources of revenues: 1) clinical operations, and 2) grants and contracts and their associated facilities and administrative (F&A) cost recoveries. In addition, a component which was included in general funds in previous years, hospital related revenues such as Kentucky One Health are now included in the ULRF budget.

Clinical Operations

Of the \$539 million total Research Foundation budget for FY 2018, clinical services revenues total \$318.5 million, or 59 percent. Clinical services revenues come from patient care and laboratories including primary care, radiology, oncology, neonatal care, and cardiology, among a host of others. Clinical services revenues also include financial support from affiliated hospitals for clinical services, academic programs, and house staff (interns and residents).

Table 25 shows clinical services budget amounts by unit for FY 2018.

Table 25: Clinical Budgets by Unit, FY 2018

Clinical Total by Unit	Amount	% to Total	Number of Programs
School of Medicine	\$278,679,695	87.5%	323
Executive VP for Health Affairs	19,427,400	6.1%	13
School of Dentistry	18,586,052	5.8%	33
School of Nursing	1,840,833	0.6%	1
Total	\$318,533,980	100.0%	370

Source: Office of Budget and Financial Planning and EVPHA

The School of Medicine accounts for 87.5 percent of all clinical operations' revenues at the University of Louisville. Within the School of Medicine, about 50 percent of FY 2018 budgeted clinical revenues come from graduate medical education, radiology, neonatology, and pediatric programs.

Clinical services revenues for the School of Dentistry primarily come from the Dental Clinic and graduate residency programs.

All budgeted clinical services revenues for the School of Nursing come from its nursing practice program.

Under EVPHA, clinical services revenues help fund strategic initiatives for the School of Public Health, the HSC Office of Diversity and HSC central administration. Based on the strategic goals of the EVPHA, a portion of these funds may be allocated to other HSC units during the fiscal year.

Sponsored Agreements – Direct

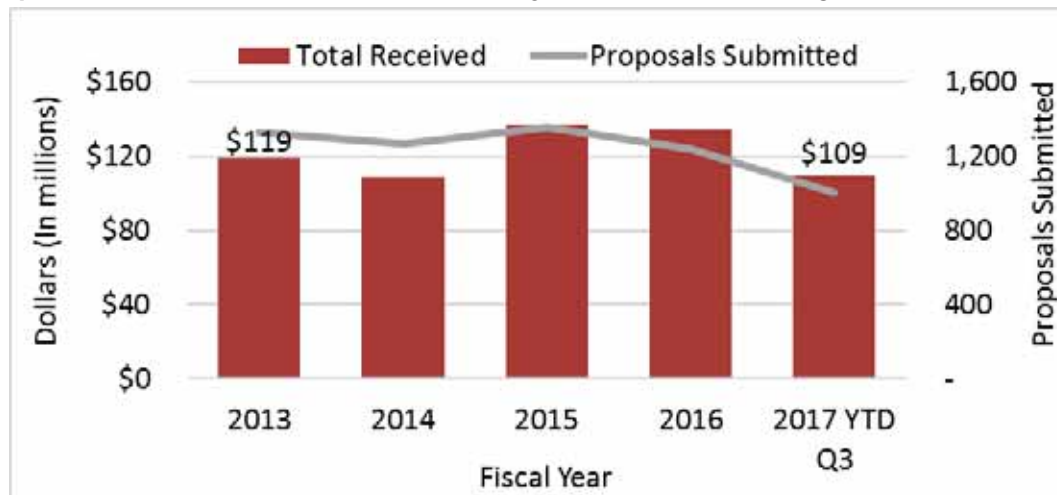
Revenues from sponsored agreements (which are also referred to as grants and contracts) come from awards by government and for-profit and non-profit entities to UofL faculty and researchers. For example, the National Institutes of Health recently awarded the university over \$600,000 in neurological surgery to study altered motor function after spinal cord injuries. Grants and contracts are the second largest component of the ULRF budget, totaling \$145 million in FY 2018.

There are three types of sponsored agreement activities at the university:

- Grants – provide financial assistance for basic research, training or community service projects;
- Contracts – provide financial support for a specific task and are usually initiated by a sponsor; and
- Other Awards – include cooperative agreements, purchase orders and sub-agreements.

Figure I shows sponsored program awards and grant proposal submissions at the University of Louisville for fiscal years 2013 through the third quarter of FY 2017. Typically, there is a lag between proposals and awards. That is, a proposal may be presented in Year 1, but the award might not be received until the subsequent year(s). Though not all proposals are funded, there is a positive correlation between the number of proposals submitted and award dollars.

Figure I: Sponsored Research Awards Received by UofL, FY 2013 through FY 2017 Third Quarter

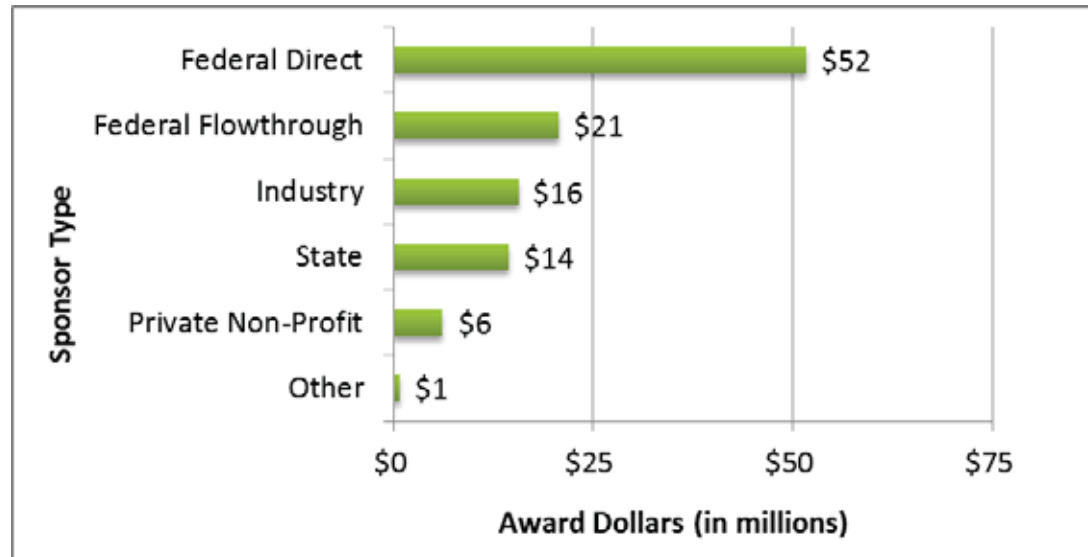


Source: UofL Research Foundation, Inc.

Source of Funds

Figure J shows sponsored research awards to the University of Louisville by sponsor type through the third quarter of FY 2017. Projections for all of FY 2017 clearly show that this will be the highest year for awards since 2011. Federal direct and federal flowthrough funding accounted for \$73 million of funding. Industry awards were the next largest at \$16 million. While federal direct are granted to UofL directly from a government agency, such as NIH or NSF, federal flowthrough occurs when, for example, these agencies award grant money to another institution such as a medical center or university and that institution uses federal grant money to pay the University of Louisville for services.

Figure J: Sponsored Research Funds Received by UofL by Sponsor Type in FY 2017 through Third Quarter



Source: UofL Research Foundation, Inc.

Table 26 breaks out federal sponsored research awards to the University of Louisville. Despite the decrease in funding for FY 2014, total federal awards to the university have increased significantly above FY 2013 levels. Funding from the National Institutes of Health has been the largest and most consistent source of federal awards over this period, averaging about 74 percent of all federal dollars. Funding from the National Science Foundation and from the Health Resources and Services Administration—which awards grants to institutions to improve and expand health care services for underserved people—are the other primary sources of federal awards to UofL.

Table 26: Federal Direct Award Dollars by Agency FY 2013 through FY 2017 Third Quarter

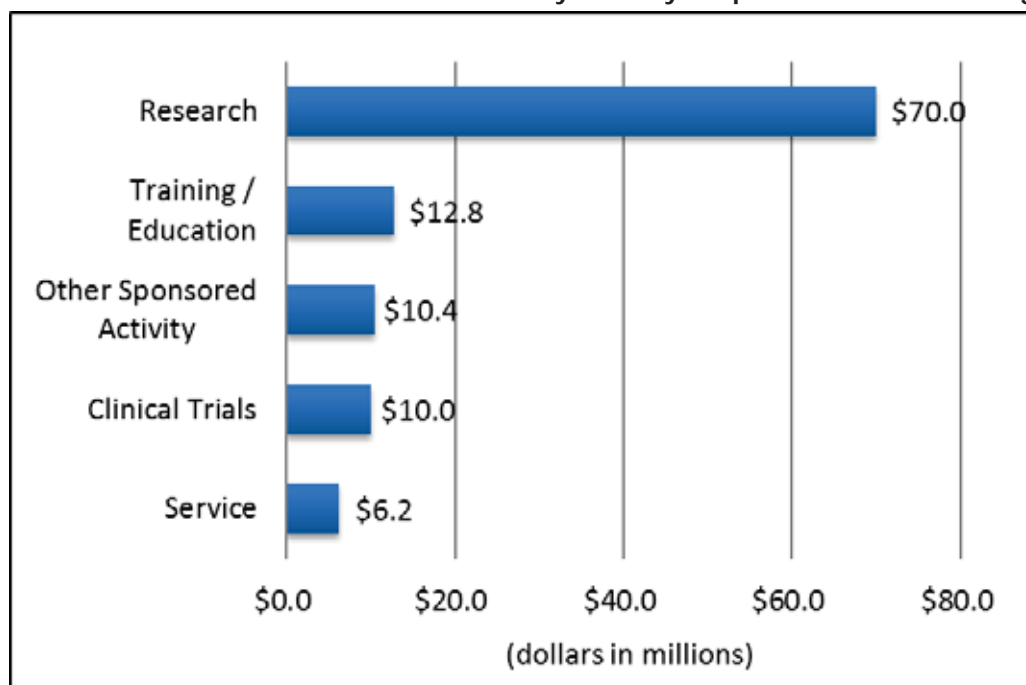
Federal Agency	2013	2014	2015	2016	2017 YTD Q3
National Institutes of Health	\$51,056,706	\$42,618,870	\$48,553,178	\$59,265,186	\$36,152,484
National Science Foundation	3,341,071	1,291,941	3,057,008	6,414,223	3,433,134
Health Resources and Services Administration	3,003,168	3,779,020	3,164,354	3,526,673	2,814,329
Department of Health and Human Services	1,938,330	2,496,030	1,947,436	2,430,078	1,974,156
Department of Education	2,135,882	2,472,498	2,604,710	2,495,615	1,828,176
Department of Defense	1,466,907	1,213,632	4,595,883	2,668,396	1,793,010
Centers for Disease Control	846,000	605,097	275,148	1,302,631	1,183,186
Other Federal	831,623	923,911	1,154,332	1,043,727	1,551,034
National Institute of Justice	-	-	377,187	283,984	652,299
Environmental Protection Agency	363,000	691,702	255,912	125,494	281,659
NASA	2,780	-	444,555	300,000	45,000
Department of Energy	78,000	73,000	-	-	-
Total	\$65,063,467	\$56,165,701	\$66,429,703	\$79,856,007	\$51,708,467

Source: UofL Research Foundation, Inc.

Use of Funds

Figure K shows sponsored program award dollars to the University of Louisville by purpose for FY 2017 through the third quarter. The vast majority, 64 percent, was used to fund research activities. Approximately 13 percent helped fund training and education activities. These training funds typically come from the National Research Service Award (NRSA), a function of the National Institutes of Health, and are designed to train individuals to conduct research and prepare for research careers. Other sponsored activity includes analytical studies and surveys, treatment analysis, and program implementation – specifically state mandated programs. Clinical trials are chiefly pharmaceutical testing and new therapy testing. Service activities include community outreach programs as well as public health screenings.

Figure K: Sponsored Research Award Dollars Received by UofL by Purpose in FY 2017 through Third Quarter



Source: UofL Research Foundation, Inc.

Sponsored Agreements – Facilities and Administrative Cost Recoveries (F&A)

All federally funded grants and contracts allow for the recovery of central administrative and infrastructure costs associated with supporting faculty, researchers, and staff funded by the grants and contracts. The Facilities and Administrative cost recovery rate, or F&A rate, is currently 54 percent.

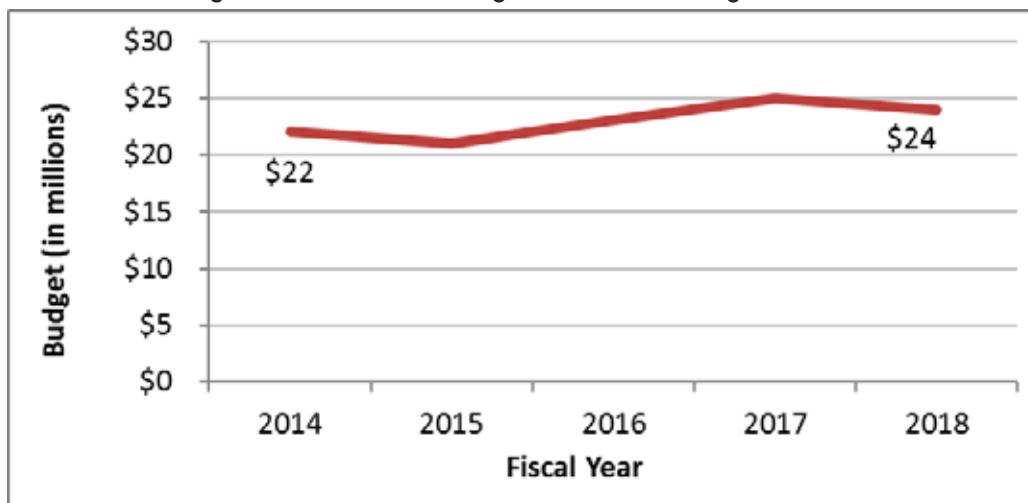
A portion of F&A revenues fund the following research support activities:

- Research Infrastructure Funds (RIF) – Investments in infrastructure that support efforts to secure and maintain extramurally funded research programs, including departmental and individual RIFs.
- University Scholars – This program, initiated by a dean, then reviewed by a full committee, and ultimately approved by the provost and president, is used to recruit and retain faculty who exhibit substantial credentials in research. These credentials are then reviewed in three years to determine if the requirements, such as published works and grants garnered, have been met.
- Debt Service – Debt service payments on university buildings that house research activities.

Of the remaining F&A funds, 80 percent is transferred to the university for indirect expenses incurred in administering and supporting sponsored agreements. The other 20 percent is used by the Research Foundation to fund equipment purchases, research faculty recruiting packages, and other programs in support of the research enterprise.

Figure L shows budgeted F&A cost recoveries from FY 2014 through FY 2018. When a sponsored program or grant is awarded, F&A costs are typically reimbursed as a percentage of the direct costs of the project. The current negotiated federal rate for F&A is 54 percent. Being relatively consistent to the prior two years, F&A revenues in FY 2018 are expected to decrease by \$1 million.

Figure L: UofL F&A Budget FY 2014 through FY 2018



Source: UofL Research Foundation, Inc.

Hospital Affiliations

Beginning with FY 2018, hospital affiliation revenues and expenses are recognized as non-general funds. Prior to that time, these funds were categorized as general funds. Because KentuckyOne Health revenues and expenses have certain restrictions on their use, they identify as non-general funds.

In November 2012, the University, Commonwealth, UMC, and KentuckyOne Health, Inc. (KentuckyOne) revised the joint operating agreement. The arrangement gave KentuckyOne Health oversight of most day-to-day operations of University Hospital, but UMC retained ownership of its assets and continued to operate the Center for Women and Infants. Also under the plan, KentuckyOne Health was to invest \$114.5 million in key university clinical services and departments. Following a series of issues and discussions, all parties agreed to end the arrangement on June 30, 2017.

Although the agreement with KentuckyOne Health concludes at the end of FY 2017, the university will continue to utilize funds received in previous years over the next few fiscal years. These funds are designated for specific purposes and are budgeted for units on the Health Sciences Campus. The majority of these funds will be used for faculty salaries and startup packages:

- \$20.7 million from KentuckyOne Academic Affiliation agreement will sustain and enhance the University's education and research in medicine, dentistry, nursing, and public health.

UNIVERSITY OF LOUISVILLE ATHLETIC ASSOCIATION, INC.

The University of Louisville Athletic Association (ULAA) is a Kentucky not-for-profit corporation organized in 1984 for the purpose of promoting intercollegiate athletic activities of the University of Louisville. ULAA's mission to provide quality intercollegiate athletic programs through a comprehensive sports program requires a financial commitment of both operating and capital resources.

For FY 2018, the total budget for ULAA is \$104.5 million. This is \$8.1 million, or 8.4 percent, higher than the prior year primarily because anticipated post-season travel is now budgeted. In prior years, budget revisions occurred as teams were notified of their selection for post-season competition. Continued growth in marketing and ACC revenues offset the growth in the expenditure budget. Table 27 summarizes ULAA's FY 2018 operating budget.

Table 27: University of Louisville Athletic Association Budget

Revenues	FY 2017	FY 2018	FY 2017-18 Change	
			Amount	Percent
Football Program	\$34,731,000	\$39,702,000	\$4,971,000	14.3%
Papa John's Cardinal Stadium	450,000	450,000	0	0.0%
Basketball	26,723,000	29,446,000	2,723,000	10.2%
Annual Seat Donations	17,225,000	16,900,000	(325,000)	-1.9%
NCAA/Conference Distribution	1,100,000	1,100,000	0	0.0%
Marketing	10,070,000	10,598,000	528,000	5.2%
Earnings from UL Foundation	500,000	500,000	0	0.0%
Gender Equity Commitment	1,320,000	1,320,000	0	0.0%
Other	4,281,000	4,489,900	208,900	4.9%
Total Revenue	\$96,400,000	\$104,505,900	\$8,105,900	8.4%
Expenditures				
Salaries	\$34,831,300	\$36,401,300	\$1,570,000	4.5%
Fringe Benefits	7,476,000	7,396,600	(79,400)	-1.1%
Operating Expense	54,092,700	60,708,000	6,615,300	12.2%
Total Expenditures	\$96,400,000	\$104,505,900	\$8,105,900	8.4%

Source: University of Louisville Athletic Association

ULAA Revenues

- Football revenues increase by \$4.9 million due to \$3.7 million in ACC revenue, \$1 million in ticket sales, and \$500,000 in guarantees.
- Basketball revenues increase by \$2.7 million due to \$1.9 million in ticket sales and \$900,000 in ACC revenue.
- Marketing revenues increase by \$528,000 due to \$400,000 in Learfield revenue and \$128,000 from men's basketball floor seats.

ULAA Expenses

- Salaries increase by \$1.6 million, or 4.5 percent, mostly due to contractual obligations for coaches and staff and increases associated with implementation of the federal Fair Labor Standards Act.
- Operating expenses increase by \$6.6 million from FY 2017 and include:
 - \$3.1 million increase in contingency for post-season expenses, capital replacement reserve and other contingency needs;
 - \$800,000 for a new TV production facility;
 - \$745,000 for increases in financial aid related to cost of attendance, student housing and summer school;
 - \$560,000 for home event game expenses; and
 - \$393,000 for payments to the Arena Authority.

UNIVERSITY OF LOUISVILLE FOUNDATION, INC.

The University of Louisville Foundation, Inc. (Foundation), a non-profit 501(c)(3) corporation, was established in 1970 to support the university's mission. The Foundation invests and manages gifts and endowments to the university. Table 28 shows the ULF budget for FY 2017 and FY 2018. Budgeted revenues and expenses decrease by \$66.8 million year-over-year. Endowments decrease by \$51.6 million, in part, due to a change in the methodology of calculating spending policies and the exclusion of carryover from the adopted budget. Gifts decrease by \$15.8 million from FY 2017 because only anticipated carryover is budgeted in FY 2018.

Table 28: University of Louisville Foundation Budget

Revenues	FY 2017	FY 2018	FY 2017-18 Change	
			Amount	Percent
Gift Funds	\$53,486,600	\$37,671,300	(\$15,815,300)	-29.6%
Restricted Endowment Funds	75,012,600	23,414,200	(51,598,400)	-68.8%
Other Foundation Funds	20,775,600	21,357,200	581,600	2.8%
Total Revenue	\$149,274,800	\$82,442,700	(\$66,832,100)	-44.8%
Expenditures				
Salaries	\$36,046,100	\$20,359,100	(\$15,687,000)	-43.5%
Fringe Benefits	9,124,700	5,182,800	(3,941,900)	-43.2%
Operating Expenses	104,104,000	56,900,800	(47,203,200)	-45.3%
Total Expenditures	\$149,274,800	\$82,442,700	(\$66,832,100)	-44.8%

Source: ULF, Inc.

The Office for Foundation Financial Affairs is responsible for all financial and budgeting operations of the University of Louisville Foundation, Inc. and its corporate affiliates. The office invests and manages funds to support the university's education, research and service goals. The funds are used for scholarships, endowments, research chairs, grants and other academic initiatives.

Prudent investing by the Office for Foundation Financial Affairs allows the university to:

- Retain a leadership position in research, prevention, and treatment by ensuring that the university's medical professionals receive world-class training and support.

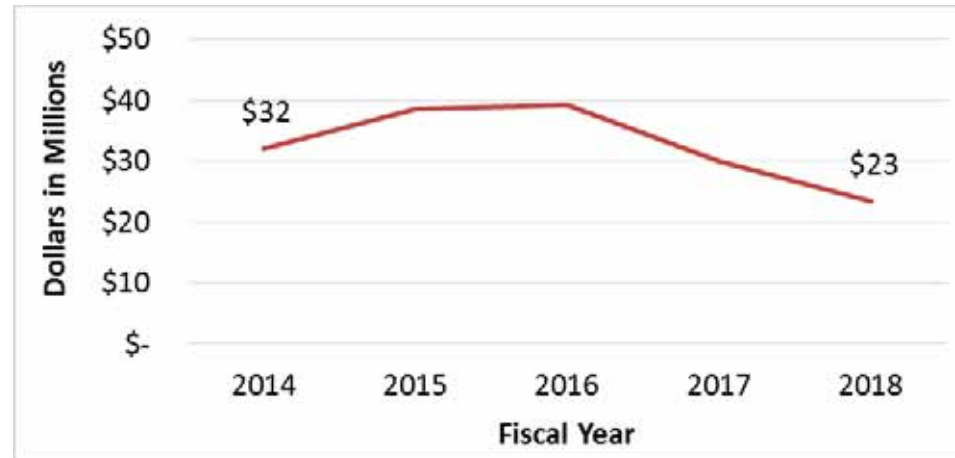
- Produce some of the nation's most prestigious scholars by providing financial support through scholarship programs that serve both financial and enrichment purposes, as well as various academic, athletic, and career pursuits during a time when public financial support for higher education has declined and education costs continue to increase.
- Be an economic engine for the City of Louisville, the Commonwealth of Kentucky, and the region by increasing the number of private sector partnerships, leveraging financial resources, and increasing research and commercialization income.

Gift Funds are contributions to the university that can be spent in their entirety. Pledges from donors, historical analysis of donation trends, and fundraisers' familiarity with the local community and alumni serve as the primary means for estimating future gifts. For FY 2018, current-use gifts are expected to total \$37.7 million. Gift budgets are decreasing by \$15.8 million, or 29.6 percent, in FY 2018.

Restricted Endowment Funds are financial assets contributed to the university by individuals or corporations with the expectation that they provide, in perpetuity, a regular source of funds to the university. Many donors who establish endowments place restrictions on how those funds can be spent. For example, some endowments may only be used on student scholarships. Other endowments may have few, if any, restrictions on their use.

"Spending policy" refers to the portion of an existing endowment that can be spent in a given fiscal year. Spending policy amounts are a function of investment rates of return for the endowment and the market value of the endowment's principal. Fiscal year 2018 spending policy will be funded from endowment carryover. If an endowment does not have sufficient carryover or no carryover at all, the spending policy will be funded by the endowment's earnings. Figure M summarizes endowment spending policy amounts for FY 2014 through FY 2018 for the university.

Figure M: Endowment Spending Policy Amounts, by Fiscal Year



Source: ULF, Inc.

Table 29 shows endowments by spending policy and expense type for FY 2018.

Table 29: FY 2018 University Endowment Allocations by Spending Policy and Expense Type

Expense Type	Spending Policy
Salaries and Fringe Benefits	\$10,665,800
Current Expenses	8,445,100
Scholarships & Fellowships	4,303,300
Total	\$23,414,200

Source: UofL Foundation, Inc.; Office of Budget and Financial Planning

Other Foundation Funds pay fundraising expenses and other advancement activities, including academic program enhancement, margin of excellence initiatives, and Foundation administration.

CAPITAL BUDGET SUMMARY

The Commonwealth of Kentucky requires all state government agencies to maintain a six-year Agency Capital Plan that is updated every two years. The first two years of the plan become the basis for the state biennial capital budget request to the Legislature. The six-year plan includes all contemplated new construction, renovations, research equipment, and information technology system purchases and leases, and property leases. In accordance with state statutes KRS 45.810 and 45.750, legislative authorization is required for the following types of projects regardless of funding source:

- Construction/renovation project of \$600,000 or more;
- Equipment purchase of \$200,000 or more;
- Information technology system cost of more than \$600,000; and
- Any lease more than \$200,000 per year.

Capital projects not authorized in the state's biennial budget may be authorized by the legislative Capital Projects and Bond Oversight Committee during the interim session.

In the 2016-2018 state biennial budget the university received agency bond authorization and issued \$55 million for the expansion of Papa John's Cardinal Stadium. The stadium expansion will enclose the north end of the field and add 10,000 chair back seats, 1,000 club seats, 65 premium loge level seating, 10 field level suites, and office space for football coaches and staff. When construction is complete, total stadium seating capacity will increase to 65,000. The project has begun and is estimated to be complete prior to the beginning of the 2018 football season.



Rendering of Expansion to Papa John's Cardinal Stadium

Two projects approved in the 2014-2016 biennial state budget were the construction on a new Belknap Campus classroom building and a renovated Student Activities Center (SAC), both of which began in FY 2017. The \$80 million classroom facility will be funded by the state and feature state-of-the-art classrooms and learning spaces focused on student success. Maintenance and operating expenses fall to UofL. The \$40 million SAC renovation will enhance the student experience on the Belknap Campus by providing improved dining options, university bookstore, and student-centered meeting and lounge areas.



*Rendering of
Renovated Student Activities Center*



*Rendering of
New Academic Building*

A grayscale photograph of the University of Louisville's main building, featuring a prominent dome and a portico with columns. The building is set against a background of trees. In the foreground, a stone wall with the university's name and a circular seal is visible.

SUPPORT MATERIALS

UNIVERSITY of LOUISVILLE

SUPPORT MATERIALS - BOARD ACTION ITEMS

OPERATING BUDGET FOR FY 2018

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING THE PROPOSED BUDGET FOR FISCAL YEAR 2017-18

Board of Trustees – June 15, 2017

RECOMMENDATION:

The President recommends that the Board of Trustees approve the proposed University operating budget for Fiscal Year 2017-18, as attached.

BACKGROUND:

The proposed General Fund Operating Budget for the University of Louisville is \$504,858,900 for the Fiscal Year 2017-18. This is a decrease of \$43,480,600, or 7.9%, including debt service and contractual obligations.

UofL continues to embrace transparency, campus collaboration, and linkage with the strategic plan in developing a realistic budget. All campus constituencies were briefed throughout the budget development cycle as successive iterations of the proposed budget were developed and discussed.

There are four major themes in the FY 2018 Operating Budget. They served as touchstones for all major budget decisions:

1. Resolving a structural imbalance in the university's operating budget;
2. Keeping college affordable for our students by adding \$1.3 million in financial aid and scholarships;
3. Making strategic allocations that advance the mission of the university in FY 2018; and
4. Establishing a firm financial foundation for FY 2019 and beyond.

Board Action:

Passed: _____

Did not Pass: _____

Other: _____

Assistant Secretary

TUITION FOR ACADEMIC YEAR 2018

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING TUITION INCREASES AND RELATED CHANGES FOR
ACADEMIC YEAR 2017-18

Board of Trustees – June 15, 2017

RECOMMENDATION:

The President recommends that the Board of Trustees make no increases to tuition rates for AY 2017-18. The following table shows the tuition rates for AY 2016-17 that will remain the same in AY 2017-18. This recommendation keeps with the Board of Trustees decision in August 2016 to not increase tuition rates in AY 2017-18.

TUITION RATE INCREASES:

The following Proposed Tuition Schedule lists the recommended tuition rates for AY 2017-18. As indicated, no tuition rate increases are proposed for AY 2017-18.

	AY	AY	Change	
	2017	2018	Amount	Percent
Undergraduate				
<u>Semester Rates</u>				
Resident	5,534	5,534	0	0.0%
Nonresident	13,045	13,045	0	0.0%
Active Duty Military*	250	250	0	0.0%
Graduate				
Resident	6,123	6,123	0	0.0%
Nonresident	12,743	12,743	0	0.0%
Active Duty Military*	250	250	0	0.0%
Law (Full-time Program)				
Resident	10,548	10,548	0	0.0%
Nonresident	19,651	19,651	0	0.0%
Medicine				
<u>Annual Rates</u>				
Resident	38,286	38,286	0	0.0%
Nonresident	58,196	58,196	0	0.0%
Dentistry				
Resident	32,412	32,412	0	0.0%
Nonresident	67,564	67,564	0	0.0%

* per credit hour

	AY	AY	Change	
	2017	2018	Amount	Percent
<u>Semester Rates</u>				
Urban Planning and Public Admin. Programs				
Resident	7,023	7,023	0	0.0%
Nonresident	13,643	13,643	0	0.0%
Online Education (per credit hour)				
Undergraduate	497	497	0	0.0%
Graduate	714	714	0	0.0%
Graduate - Urban Planning & Public Admin	855	855	0	0.0%
Law, full-time	1,098	1,098	0	0.0%
Law, part-time	914	914	0	0.0%
Active Duty Military*	250	250	0	0.0%
<u>Fixed Price, Multi-Year</u>				
Professional MBA Cohort Program				
Resident & Nonresident	32,000	32,000	0	0.0%
Full-time MBA Cohort Program				
Resident & Nonresident	32,000	32,000	0	0.0%
IMBA Cohort Program				
Resident & Nonresident	32,000	32,000	0	0.0%
Executive MBA Program				
Resident & Nonresident	67,500	67,500	0	0.0%
Masters in Accountancy Program				
Resident & Nonresident	21,000	21,000	0	0.0%
Ed.D. Practitioner				
Resident & Nonresident	16,200	16,200	0	0.0%
Certificate Program in Accountancy				
Resident & Nonresident	12,900	12,900	0	0.0%
M.S. in Human Resources and Organization Development				
Resident & Nonresident	16,500	16,500	0	0.0%
Active Duty Military (per credit hour)	250	250	0	0.0%

* Excludes Master's of Engineering in Engineering Management Online fixed price program

The Executive Vice President and University Provost joins the President in making this recommendation.

Board Action:

Passed: _____

Did not Pass: _____

Other: _____

Assistant Secretary

FEEES FOR ACADEMIC YEAR 2018

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING ADJUSTMENTS AND APPROVAL OF VARIOUS FEES
ACADEMIC YEAR 2017-18

Board of Trustees – June 15, 2017

RECOMMENDATION:

The President recommends that the Board of Trustees approve the new fees and adjustments to the existing fees listed below.

Unit	Amount	Description
College of Arts and Sciences		
A&S Technology Fee	Various	\$0.50 per credit hour fee for technology upgrades
Theater Arts Fee	\$0	Request to rescind fee
College of Business		
UK/UofL Executive MBA Program Fee	\$20,000	Request to classify \$20,000 of existing \$67,500 as fee.
School of Nursing		
Certified Nursing Assistant Program Fee	\$500	Fee to cover non-credit course fee for clinical compliance requirement.
Kent School of Social Work		
Kent School Fee	\$25	Course fee to support purchase and maintenance of student placement software.
School of Medicine		
Technology Fee	\$700 / \$1,250	Fee to support the purchase of tech support of preconfigured laptops for each student
Speed School of Engineering		
Bioengineering Course Fee	\$100	Request course fee for BE 605 to cover costs of supplies, chemicals and equipment used.
Office of Enrollment Management		
Undergraduate Application Fee	\$25	Request decrease to undergraduate application fee.
School of Interdisciplinary & Graduate Studies		
Graduate Application Fee	\$65	Request increase to graduate application fee.
Office of the Chief Operating Officer		
University Parking	Various	Parking rate increase for all university permits
Vice Provost for Student Affairs		
University Housing	Various	3% increase in room rates for Fall 2017, Spring 2018, and Summer 2018.

1

The Executive Vice President and University Provost joins the President in making this recommendation.

Board Action:

Passed: _____

Did not Pass: _____

Other: _____

Assistant Secretary

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- ¹ For first-time, full-time, baccalaureate degree-seeking students.
 - ² UofL News. Megean Kincaid. <http://uoflnews.com/post/uofltoday/developing-innovative-teaching-methods-in-the-teaching-innovation-learning-lab/>
 - ³ UofL News. Cindy Hess. <http://uoflnews.com/post/uofltoday/uofl-yields-highest-success-rate-for-fulbright-scholars-among-research-institutions/>
 - ⁴ <http://louisville.edu/artsandsciences/news/all/judson-adams-truman-scholar>
 - ⁵ <http://louisville.edu/medicine/departments/pediatrics/news/uofl-research-team-awarded-more-than-1-6-million-by-nih-to-study-environmental-influences-on-child-health>
 - ⁶ UofL News. Cindy Hess. <http://uoflnews.com/post/uofltoday/uofl-part-of-autism-friendly-business-initiative/>
 - ⁷ UofL News. Hayley Kappes. <http://uoflnews.com/post/uofltoday/nursing-students-give-back-during-spring-break/>
 - ⁸ UofL News. Janet Cappiello. <http://uoflnews.com/post/uofltoday/uofl-shines-spotlight-on-community-engagement-leaders/>
 - ⁹ <http://louisville.edu/president/news-items/oct-2016-presidents-report-pdf>
 - ¹⁰ <http://www.travelandleisure.com/attractions/colleges-universities/most-beautiful-colleges-every-state#kentucky-louisville>
 - ¹¹ Kentucky Long-term Policy Research Center. "Education and the Common Good: Social Benefits of Higher Education in Kentucky." 2001. e-archives.ky.gov/pubs/LPRC/education_and_the_common_good(2001).pdf
 - ¹² Pew Research Center. *The Rising Cost of Not Going to College*. Washington, D.C.: The Pew Research Center, 2014.
Robert Putnam. *Bowling Alone*. 2000.
Association of Governing Boards of Universities and Colleges. "The Business of Higher Education." 2017.
https://www.agb.org/sites/default/files/report_2017_guardians_business_higher_ed_0.pdf
 - ¹³ National Center for Education Statistics. "Digest of Education Statistics." Table 301.10. https://nces.ed.gov/programs/digest/d15/tables/dt15_301.10.asp (accessed: April 19, 2017)
 - ¹⁴ National Center for Education Statistics. "Digest of Education Statistics." Table 301.10. https://nces.ed.gov/programs/digest/d15/tables/dt15_301.10.asp (accessed: April 19, 2017)
 - ¹⁵ American Academy of Arts & Sciences. "A Primer on the College Student Journey." Cambridge, MA. 2016. www.amacad.org/cfue
 - ¹⁶ American Academy of Arts & Sciences. "A Primer on the College Student Journey." Cambridge, MA. 2016. www.amacad.org/cfue
 - ¹⁷ Chronicle of Higher Education. "National College Enrollments Continue to Slide." December 16, 2016.
<https://www.insidehighered.com/quicktakes/2016/12/19/national-college-enrollments-continue-slide>
 - ¹⁸ Center on Budget and Policy Priorities. "Funding Down, Tuition Up." August 16, 2016. <http://www.cbpp.org/research/state-budget-and-tax/funding-down-tuition-up>
 - ¹⁹ State Higher Education Executive Officers Association. "State Fiscal Support for Higher Education, by State, Fiscal Years 2011-12, 2014-15, 2015-16, and 2016-17." 2017. <http://education.illinoisstate.edu/grapevine/tables/>
 - ²⁰ National Conference of State Legislatures. "Performance-based Funding for Higher Education." July 31, 2015.
<http://www.ncsl.org/research/education/performance-funding.aspx>

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- ²¹ National Conference of State Legislatures. "Performance-based Funding for Higher Education." July 31, 2015. <http://www.ncsl.org/research/education/performance-funding.aspx>
- ²² National Conference of State Legislatures. "Performance-based Funding for Higher Education." July 31, 2015. <http://www.ncsl.org/research/education/performance-funding.aspx>
- ²³ Mitchell, Josh. "Soaring Student Debt Prompts Calls for Relief; Real-Estate Agents, Farmers and Others from Outside the Education Sector Lobby for Measures to Reduce the Loan Burden." Wall Street Journal (Online) Sep. 14, 2016. ProQuest. Web. 19 Apr. 2017.
- ²⁴ The College Board. "Trends in Higher Education." Table 2A. https://trends.collegeboard.org/.../2015-trends-college-pricing-source-data-12_16_15.xls
- ²⁵ The Institute for College Access & Success. Project on Student Debt. State by State Data. <http://ticas.org/posd/map-state-data#>
- ²⁶ Kentucky Council on Postsecondary Education. Office of Research and Policy Analysis. "Student Loan Default and Repayment in Kentucky." Sep. 2016.
- ²⁷ Kentucky Council on Postsecondary Education. Office of Research and Policy Analysis. "Student Loan Default and Repayment in Kentucky." Sep. 2016.
- ²⁸ Inside Higher Ed. "Endowments Take a Hit." January 31, 2017. https://www.insidehighered.com/news/2017/01/31/endowment-returns-fell-2016?goal=0_1fcbc04421-0f5839dc04-198610037&mc_cid=0f5839dc04&mc_eid=9053bb9198
- ²⁹ Chronicle of Higher Education. "Moody's Sees Stable Outlook for Higher Ed in 2017." <https://www.insidehighered.com/quicktakes/2016/12/07/moodys-sees-stable-outlook-higher-ed-2017>
- ³⁰ The National Jurist. "Why flat enrollment is great news for law schools." Jan 13, 2017. <http://www.nationaljurist.com/prelaw/why-flat-enrollment-great-news-law-schools>.



UNIVERSITY OF LOUISVILLE®

**RECOMMENDATION TO THE UofL BOARD OF TRUSTEES
AND THE UofL ATHLETIC ASSOCIATION
BOARD OF DIRECTORS CONCERNING
AUTHORIZATION FOR CAPITAL PROJECTS**

Finance & Budget Committee: April 27, 2017
Board of Directors: April 27, 2017
Board of Trustees: June 15, 2017

RECOMMENDATION:

The Athletic Director recommends that the Board of Directors authorize the purchase of facility improvements for athletic facilities on campus with the estimated costs budgeted:

<u>Facility</u>	<u>Funding Status</u>	<u>Project Scope</u>
A. Construction of Balconies at Cardinal Arena	Funded	\$450,000
B. Replace Field Turf Field-Lacrosse	Funded	\$325,000
C. Replace Field Turf Field-Trager Indoor	Funded	\$325,000
D. Video Wall and Ribbon Board upgrade at PJCS; a line scoring display at Patterson Stadium, and a new outdoor video display at the Bass-Rudd Tennis Center	Funded	\$2,000,000

BACKGROUND:

The above projects are being proposed so Athletics can move forward with construction during summer. Please note comments on above expenses:

A. Construction of balconies at Cardinal Arena: Volleyball is moving back to Cardinal Arena after playing at YUM! Center downtown. To increase seating capacity at Cardinal Arena, structural engineers have determined that we can add a balcony at the north and south end walls. Seating capacity will increase approximately 188 seats. Construction is prepared to begin immediately in order to be ready by fall.

Funding: The Cardinal Athletic Fund has a campaign called the “Next Step”. Donations to this account are used to fund facility improvements. \$450,000 will be taken from the account to fund this project.

B and C. Replace Field Turf Field at Lacrosse and the Field Turf Field at Trager Indoor: Field turf fields have a life of 8-10 years and the current fields have met their life expectancy. Both fields will be replaced in the same period of time which provides some savings. It is not anticipated that the base of the fields will need to be replaced.

**RECOMMENDATION TO THE UofL BOARD OF TRUSTEES
AND THE UofL ATHLETIC ASSOCIATION
BOARD OF DIRECTORS CONCERNING
AUTHORIZATION FOR CAPITAL PROJECTS**

Finance & Budget Committee: April 27, 2017
Board of Directors: April 27, 2017
Board of Trustees: June 15, 2017

RECOMMENDATION:

The Athletic Director recommends that the Board of Directors authorize renovation of Jim Patterson Baseball Stadium with the estimated costs budgeted:

<u>Facility</u>	<u>Funding Status</u>	<u>Project Scope</u>
Jim Patterson Baseball Stadium	Private Funding	\$9.5M

BACKGROUND:

The recent success and the increased popularity of the baseball program have resulted in increased attendance that has made it necessary that we review plans to expand Jim Patterson Stadium. The above project is being proposed so Athletics can move forward with fundraising efforts to pursue this project. The project will include the construction of an additional 2,600 seats, additional restrooms, locker room and concession facilities to accommodate this demand. Construction will begin when a funding plan is finalized.

Funding: The University is submitting their six year capital project listing to the State. This project needs to be approved to be added to the University list of future projects. Source of funding will needed to be submitted for approval before the project begins. Sources of funds will include cash, pledges and annual sponsorships.

FINANCE & BUDGET COMMITTEE:

Passed X
Did Not Pass _____
Other _____
Date 4/27/2017

 D
Signature on File _
Assistant Secretary

ULAA BOARD ACTION:

Passed X
Did Not Pass _____
Other _____
Date 4/27/2017

 D
Signature on File _
Assistant Secretary

BOARD OF TRUSTES ACTION

Passed X
Did Not Pass _____
Other _____
Date 6/15/2017

 D
Signature on File _
Assistant Secretary

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING REVISIONS OF *THE REDBOOK* CHAPTER 1

Ad Hoc Committee on Board Governance – June 12, 2017
Board of Trustees – June 15, 2017

RECOMMENDATION:

The Ad Hoc Committee on Board Governance recommends that Chapter 1 of *The Redbook* be revised as attached.

BACKGROUND:

The revised Chapter 1 reflects changes to the Bylaws of the Board of Trustees and Kentucky Revised Statutes, and ensures compliance with SACSCOC in relation to the University's accreditation probation.

COMMITTEE ACTION:

Passed X

Did Not Pass _____

Other _____

Signature on File

 Assistant Secretary

BOARD ACTION:

Passed X

Did Not Pass _____

Other _____

Signature on File

 Assistant Secretary

THE REDBOOK

CHAPTER ONE

Organization and Operation of the Board of Trustees and the Board of Overseers

Article 1.1 The Board of Trustees

Sec. 1.1.1 Membership of the Board

Per KRS 164.821, the government of the University of Louisville is vested in a Board of Trustees appointed for a term set by law pursuant to Section 23 of the Constitution of Kentucky. The Board shall consist of thirteen total members, as follows: (a) ten (10) members appointed by the Governor, at least one (1) of whom shall be a graduate of the university; (b) one (1) member of the teaching faculty of the university who shall be the chief executive of the ranking unit of faculty government; (c) one (1) member of the permanent staff of the university who shall be chief executive of the staff senate; and (d) one (1) student member who shall be president of the student body during the appropriate academic year.

Sec. 1.1.2 Powers of the Board

In accordance with the statutory authority of the Commonwealth of Kentucky and implemented in the By-Laws of the Board of Trustees and the governmental procedures for the University, the Board of Trustees is the governing body of the University of Louisville. Within the limits set by the Federal and State Constitutions and federal and state law, the Board shall actively engage in policy making, be responsible for aiding the University to perform at a high level of excellence, adopt an annual budget and ensure that the financial resources of the University are sufficient to provide a sound educational program, and periodically evaluate the University's progress in implementing its missions, goals, and objectives.

The Board of Trustees shall have the powers set forth in KRS 164.830, including the authority (i) to select, hire, regularly evaluate, and, when appropriate, suspend or remove the President of the University; (ii) to receive and administer University revenues; and (iii) to grant degrees to graduates of the University.

Sec. 1.1.3 Voting by the Board/Conflict of Interest

Each member of the Board of Trustees shall have a full and independent right to vote upon matters coming before the Board, pursuant to the procedures set out in the Board's By-Laws.

Per Section 5.1 of the Board's By-Laws, all trustees shall disclose any known conflict of interest and shall avoid participating in any decision or advocating any subject matter before the Board in which the trustee, a business in which the trustee is an owner or an employee, or a member of the immediate family of a trustee has a conflict of interest. When a trustee learns that a business transaction presents a conflict of interest, that trustee must make an immediate, full disclosure to the Board of his or her interest in the subject and refrain from participating in any discussion of or decision on the issue.

The Chair of the Board of Trustees shall begin each meeting of the Board with the following statement: "As Chair, it is my responsibility to remind all members of the Board of their responsibility to avoid conflicts of interest and appearances of conflict of interest. Each member has received the agenda and related information for this Board of Trustees' meeting. If any Board member knows of any conflict of interest or appearance of conflict of interest with respect to any matter coming before the Board of Trustees at this meeting, please identify the conflict or appearance of conflict at this time."

All trustee conflict of interest statements or similar disclosure documents and any conflict of interest or appearance of a conflict of interest identified by a trustee, whether at a meeting or otherwise, shall be referred to the Board's governance committee for review. If a determination is made that further action is needed, a recommendation for further action will be communicated to the involved trustee(s) and to the Board Chair. If a member of the governance committee has a substantial interest in the matter that has been disclosed, then the matter may instead be referred for review and recommendation to the Board's Executive Committee or to an ad hoc committee of no less than three members of the Board of Trustees.

Sec. 1.1.4 Meetings of the Board

Regular, special, and emergency meetings of the Board shall be held as specified in the Board's By-Laws.

Sec. 1.1.5 Officers and Committees of the Board

The officers of the Board of Trustees shall be a Chair, a Vice-Chair, a Secretary, and a Treasurer, and such other officers and assistant officers as the Board may appoint.

The Board shall have an Executive Committee and such other standing and ad hoc committees as established by the Board pursuant to its By-Laws.

Sec. 1.1.6 Suspension or Removal of University Personnel

- A. Board action to suspend or remove University personnel is required only in those instances: (a) when the appointment of the person in question was approved by formal Board action – not by delegated authority to the President or others, or (b) when Board action is otherwise required pursuant to the provisions of this Redbook. Any person serving at the formal appointment of the Board in an administrative capacity may be suspended or removed from their administrative responsibilities by the President, except that such action is subject to ratification by the Board.
- B. Removal of the President or a faculty member for incompetence, for neglect of or refusal to perform his or her duty, or for immoral conduct shall be taken in accordance with the minimum procedures as set out in KRS 164.360 and KRS 164.830. Additional procedures relating to the termination of faculty members are further set out in this *Redbook*.

Sec. 1.1.7 Removal of Board of Trustees

Pursuant to state law and Article 3 of the Board's By-Laws, individual trustees can be dismissed or, in compelling circumstances, the entire Board of Trustees removed for limited and appropriate reasons and by a fair process that provides for notice and the right to be heard.

Article 1.2 Board of Overseers

Sec. 1.2.1 Membership of the Board

Membership on the Board of Overseers of the University of Louisville shall be by appointment in accordance with the procedures set out in the Bylaws of the Board of Overseers. The membership shall be broadly representative of the public.






Sec. 1.2.2 Purpose

The Board of Overseers shall be a body advisory to the President of the University with specific responsibility to assist the Office of the President in accordance with the following objectives:

- A. To maintain and enhance the quality and efficiency of the University's programs.
- B. To serve as a resource to the President for strategic planning and other special needs.
- C. To strengthen external relations.
- D. To assist the University in identifying and obtaining resources.
- E. To assist the University in recruiting and retaining outstanding students.

Sec. 1.2.4 Organization of the Board

A set of Bylaws shall be established by the Board of Overseers. Such Bylaws shall provide for membership, officers, committees, meetings and such other matters as may be necessary to carry out the duties of the Board of Overseers.

2017 Works In Progress			
*Issue	Yet To Begin	In Progress	Completed
Removal of probation from SACS accreditation		x	
Creation of a balanced budget for 2017-2018		x	
Completion of 2016-2017 with a balanced budget		x	
A&M report on ULF		x	
Preparation for UMC management of University Hospital		x	
Hire CFO for main campus		x	
Implementation and augmentation of modern business practices		x	
2018-19 collaboration for budget transparency		x	
Strategic enrollment growth plan		x	
Creation of a sustainable business model for HSC		x	
Refinancing of the Yum! Center		x	
Hire Enterprise Risk Manager		x	
Hire Dean for Speed School		x	
Hire Dean for Nursing		x	
Creation of a sustainable business model for athletics		x	
Completion of NCAA process		x	
Belknap campus space inventory		x	
†Completed Issues			
Hire CFO for HSC			x
Hire Director for Brown Cancer Center			x
Hire Dean for Law School			x
Creation of new academic affiliation agreement at Jewish and Frazier			x
UofL Board of Trustees empanelled			x
ULF Board fo Trustees empanelled			x
State auditor report on ULF			x
Hire Dean for Business School			x

*Issues listed from highest priority to lowest priority

†Completed listed by most current to oldest

UofL Projects 2017-18
(Prior to Hire of Permanent President)

Project	Anticipated Completion Date
SACS site visit	September 2017
SACS probation lifted	December 2017
SACS ongoing accreditation site visit	April 2018
Key Hires:	
HSC CFO	Starts July 1, 2017
UofL CEO	To hire summer 2017
Director, JGBCC	Started May 2017
Dean, Law School	To start January 1, 2017
Dean, Nursing School	Hire winter 2017
Dean, Speed School	Hire winter 2017
EVP Research & Innovation	Hire winter 2017
VP ERM	Hire winter 2017
VP Community Engagement	To start summer 2017
Decisions about Interim Positions:	
Provost	?
EVPHA	?
CIO	Winter 2017
COO	Summer 2017
Construction:	
Academic Building	Complete June 2018
Swain Center	Complete June 2018
Pediatric Medical Office Building	Complete June 2018
Stadium Expansion	Complete June 2018
Work related to NCAA decision	Complete Fall 2017
Work related to ULF audit	Complete Summer 2018
Design and initiation of a new development campaign	Summer 2018
Design and engagement of a new office of the EVPRI	Summer 2018
Initiate first year of a new budget culture with 48 M less in spending	Spring 2018
Refinance of Yum! Center	July 2017
Approval of 2017-18 budget	June 2017
Approval of 2017-18 ULAA budget	May 2017
Research on new UofL tenure policy opportunities	Summer 2018
Deffered maintenance proposal for campus to state and CPE	Summer 2018
Develop strategy around systems/IT modernization	Summer 2018
Develop plan for 2018-19 tuition	Winter 2017
Develop strategy for student retention and recruitment	Summer 2018
Decrease number of active lawsuits by 25%	Summer 2018
Develop a strategy for long term budget sustainability	Summer 2018
Lay groundwork for Passport's West Louisville project	Winter 2017
Design, obtain approval for and operationalize a bifurcated health system	Summer 2018
Transition management of University Hospital including RCM, IT, Staffing, BCC rebuild, likely major capital projects	Summer 2018
Understand implications to UofL as it relates to sale of Jewish Hospital and Frazier Rehab. Potential for movement of large programs or a JV with the VA.	Winter 2017

All of this occurs in the context of intense public and media scrutiny, very few people (including the BOT) with institutional knowledge, low morale, medical payment reform, very little cash on hand and very little unrestricted cash available to the President.

RECOMMENDATION TO BOARD OF TRUSTEES

June 15, 2017

The President recommends that the following personnel recommendations be approved by the Board of Trustees.

Law

Lars Smith, LL.M., JD, Professor (Tenured) of Law, the Samuel J. Stallings Chair in Law, and Associate Dean for Academic Affairs; additional appointment as Interim Dean of the Louis D. Brandeis School of Law, July 1, 2017 through December 31, 2017. Appointment as Interim Dean is at the pleasure of the Board of Trustees.

Public Health and Information Sciences

Timothy Wiemken, PhD, Assistant Professor (Term) of Medicine (School of Medicine); change of appointment and promotion to Associate Professor (Probationary) of Epidemiology and Population Health (School of Public Health and Information Sciences) effective June 19, 2017.

JB Speed School of Engineering

Gail DePuy, PhD, Professor (Tenured) of Industrial Engineering and Associate Dean for Academic and Student Affairs; additional appointment as Interim Dean of the Speed School of Engineering effective July 1, 2017. Appointment as Interim Dean is at the pleasure of the Board of Trustees.

BOARD ACTION:

Passed X

Did Not Pass

Other


Signature on File
Assistant Secretary

RECOMMENDATION TO BOARD OF TRUSTEES

June 15, 2017
(Previously tabled on May 18, 2017)

The President recommends that the following personnel recommendations be approved by the Board of Trustees.

Administration

Jason Chesney, MD, PhD, Professor (Tenured) of Medicine and Pharmacology and Toxicology and Brinkley Chair in Lung Cancer Research; additional appointment as Associate Vice President for Health Affairs and Director of the James Graham Brown Cancer Center; change of appointment from Brinkley Chair in Lung Cancer Research to James Graham Brown Foundation Chair, May 18, 2017. Appointments as Associate Vice President and Director of the James Graham Brown Cancer Center are at the pleasure of the Board of Trustees.

Daniel Durbin, MPA, West Virginia University; Associate Vice President for Health Affairs and Health Sciences Center Chief Financial Officer, July 1, 2017. Appointment as Associate Vice President is at the pleasure of the Board of Trustees.

Kevyn Merten, PhD, Assistant Vice President for Research and Innovation; promotion to Associate Vice President for Research and Innovation, July 1, 2017. Appointment as Associate Vice President for Research and Innovation is at the pleasure of the Board of Trustees.

Arts and Sciences

Craig Grapperhaus, PhD, Professor (Tenured); additional appointment as Chair of Department of Chemistry, July 1, 2017 through June 30, 2020. Appointment as Department Chair is at the pleasure of the Board of Trustees.

Business

Jay Brandi, PhD, Professor (Tenured) and Interim Chair of Department of Finance; change of appointment to Chair of Department of Finance, July 1, 2017 through June 30, 2022. Appointment as Department Chair is at the pleasure of the Board of Trustees.

Law

Colin Crawford, JD, Harvard Law School; Professor with tenure and Dean of the Louis D. Brandeis School of Law and Associate in the Department of Urban and Public Affairs (College of Arts and Sciences), January 1, 2018. Appointment as Dean is at the pleasure of the Board of Trustees.

Medicine

Dwayne Compton, EdD, Instructor (Term) of Medicine and Executive Director of Diversity Initiatives; change of appointment to Instructor (Term) of Medicine and Associate Dean for Community Engagement and Diversity, July 1, 2017. Appointment as Associate Dean is at the pleasure of the Board of Trustees.

Public Health and Information Sciences

Karunaratna Kulasekera, PhD, Professor (Tenured) and Chair of Department of Bioinformatics and Biostatistics; additional appointment as Assistant Dean for Academic Affairs, July 1, 2017. Appointments as Department Chair and Assistant Dean are at the pleasure of the Board of Trustees.

BOARD ACTION:

Passed _____ X _____

Did Not Pass _____

Other _____


Signature on File
Assistant Secretary

**RESOLUTION OF THE BOARD OF TRUSTEES
REGARDING AUTHORITY TO AMEND
CONTRACT WITH ALVAREZ & MARSAL**

Board of Trustees – June 15, 2017

RESOLVED, the Board of Trustees authorizes the Chairman of the Board to negotiate an amendment of the contract with Alvarez & Marsal to cover additional expenses that are responsive to the information requested by board counsel as a result of the forensic audit of the UofL Foundation, as presented to the Board of Trustees on June 8, 2017.

BOARD ACTION:

Passed X

Not Pass

Other


Signature on File
Assistant Secretary