

Minutes of the Regular Meeting of the Board of Directors of the
University of Louisville Athletic Association, Inc.

In Open Session

Members of the University of Louisville Athletic Association, Inc., Board of Directors met at 9:10 a.m. on October 13, 2010, in the Jefferson Room of Grawemeyer Hall, with members present and absent as follows:

Present: Dr. James R. Ramsey, Chair
Ms. Sana Abhari
Mr. Robert Benson
Prof. William Cheadle
Mr. Owsley B. Frazier
Prof. Brenda Hart
Dr. Blaine Hudson
Prof. Mary Hums
Mr. Keith Inman
Judge Rebecca Jackson
Mr. Harry Jones
Mr. Tom Jurich, *ex officio*
Prof. Bruce Kemelgor
Mr. Kyle Kuric
Dr. Charlie Moyer
Mr. Sam Rechter
Prof. Joe Steffen
Prof. Gerald Tolson
Dr. Shirley Willihnganz
Prof. Elaine Wise

Absent: Mr. Ed Glasscock
Mr. Wade Houston
Mr. David James

Guests: Prof. Robert Staat
Mr. Michael Seebert
Ms. Mary McKinley, BKD
Ms. Jennifer Williams, BKD

From the
University: Ms. Angela Koshewa
Mr. Mike Curtin
Mr. Kevin Miller
Mr. Kenny Klein
Ms. Alicia Clark
Ms. Susan Howarth
Dr. Anita Moorman
Ms. Susan Magness

Ms. Anne Rademaker
Mr. Gary Friedman
Mr. Jeff Spoelker
Ms. Terri Rutledge
Mrs. Kathleen M. Smith
Mrs. Debbie Dougherty

I. Call to Order

Having determined a quorum present, Chair Ramsey called the meeting to order at 12 Noon. Chair Ramsey welcomed new members Judge Jackson, Prof. Mary Hums and SGA Representatives Sana Abhari and Kyle Kuric.

II. Consent Agenda

- Approval of Minutes, December 17, 2009

From the Finance & Budget Committee

- Approval of Men's Basketball Ticket Prices for 2010
- Approval of 2010-11 Operating Budget

Chair Ramsey read the roll of consent agenda items. Hearing no objections, Mr. Benson made a motion, which Prof. Kemelgor seconded, to approve the consent agenda. The motion passed.

III. Action Item: Election of Officers and Committee Chairs for 2010-11

Chair Ramsey reviewed the slate of officers and committee chairs for 2010-11. Chair Ramsey made a motion, which Dr. Hudson seconded, to approve the following proposed:

Slate of Officers, 2010-11:

James R. Ramsey	Chair
Joe Steffen	Vice Chair
Robert P. Benson, Jr.	Treasurer
Judge Rebecca Jackson	Secretary
Angela D. Koshewa	Legal Counsel
Michael Curtin	Assistant Treasurer
Kathleen Smith	Assistant Secretary

Chairs of Committees of the Board of Directors for 2010-11:

Robert P. Benson, Jr.	Personnel Committee
Ed Glasscock	Finance & Budget Committee

The motion passed.

IV. Information Item: Committees for 2010-11

Chair Ramsey referred to the list of committees for 2010-11. This was provided for information purposes, no action was required.

EXECUTIVE COMMITTEE

James R. Ramsey, Chair
Robert P. Benson, Jr.
Joe Steffen
Sana Abhari
Ed Glasscock
Brenda Hart
Judge Rebecca Jackson
Blaine Hudson
Elaine O. Wise

ACADEMIC PERFORMANCE

Elaine O. Wise, Chair
William Cheadle
Brenda Hart
Mary Hums
Bruce Kemelgor
Joe Steffen
Mary Ann Stenger
Gerald Tolson

PERSONNEL COMMITTEE

Bob Benson, Chair
William Cheadle
Keith Inman
Owsley B. Frazier
Gordon Gahm
Brenda Hart
David James
Rebecca Jackson
Joe Steffen
Mary Ann Stenger
Shirley Willihnganz
Elaine O. Wise

FINANCE & BUDGET COMMITTEE

Ed Glasscock, Chair
Owsley B. Frazier
Mary Hums
Keith Inman
Wade Houston
Blaine Hudson
Harry Jones
Bruce Kemelgor
Charlie Moyer
Kyle Kuric
Sam Rechter
Gerald Tolson
Elaine O. Wise

V. Information Item: Budget Analysis as of June 30, 2010

Noting this analysis was for information purposes only, Chair Ramsey called upon Mr. Miller for an overview of the 2010-11 operating budget and the first quarter. Mr. Miller reviewed the revenue and expenses, commenting on differences between the Original Budget and the Adjusted/Projected Budget. Although football experienced a shortfall in projected revenue, Mr. Miller said the budget worked out better than anticipated.

VI. Action Item: Approval of Audited Financial Statements for Year Ending June 30, 2010

Vice President Curtin reviewed the audited financial statements, stating the external auditing firm of BKD issued a clean, unqualified report. Mr. Curtin thanked BKD and the University Controller's office for their collaborative efforts. He also thanked Kevin Miller and Alicia Clark in the Athletics Office for their dedicated work and cooperation. Mr. Curtin fielded questions from board members.

Ms. McKinley analyzed the financial data in detail, noting there were no significant changes or footnotes. She observed the excellent cooperation received from the University. Chair Ramsey

thanked Vice President Curtin and Ms. McKinley for their thorough review. Dr. Hudson made a motion, which Mr. Jones seconded, to approve the

Athletic Director's recommendation that the Board of Directors approve the attached audited financial statements for the period ending June 30, 2010, as presented under Governmental Accounting Standards Board (GASB) 34 (copy attached).

The motion passed.

VII. Action Item: approval of Bank Resolution

Vice President Curtin explained this resolution related to investment of custodial and safekeeping accounts that will aid in the recruiting process and allow for expedited payments. Mr. Miller stated the Audit Services department has reviewed to ensure appropriate safeguards are in place. Prof. Kemelgor made a motion, which Dr. Hudson seconded, to approve the

RESOLUTION

RESOLVED THAT:

- (1) PNC ("Bank") is designated a depository of funds of the University of Louisville Athletic Association, Inc. ("ULAA").**
- (2) This resolution applies to all accounts opened by the University's Controller's Office at the Bank under ULAA's name.**
- (3) The following officers and employees of ULAA, listed below, are authorized to obtain Treasury Management Services, sign checks on, or withdraw funds from, the Accounts and the Bank is authorized to pay and charge the Accounts for checks or withdrawal requests:
Michael J. Curtin, Assistant Treasurer
R. Kevin Miller, Executive Senior Associate AD
Tom Jurich, Vice President
Alicia Clark, Associate AD (Imprest Cash Custodian)**
**The following officers and employees of ULAA, listed below, are authorized to open and/or close Accounts on behalf of ULAA:
Michael J. Curtin, Assistant Treasurer
R. Kevin Miller, Executive Senior Associate AD**
- (4) The officers and employees named in paragraph (3) above have provided specimen signatures herein and are authorized to sign signature cards and Accounts agreements.**
- (5) The Assistant Secretary of ULAA's Board of Directors is authorized and directed to deliver for and on behalf of the University, a certificate of this resolution to the bank.**
- (6) The Bank is authorized to rely upon this Resolution until the bank has received written notice of any amendment or recession of the resolution.**

The motion passed.

VIII. Report of the Personnel Committee

Mr. Benson reported the Personnel Committee met on October 8, 2010 to conduct its annual performance review of Athletic Director, Tom Jurich. The Committee is unanimous that 2009-10, with its challenges and opportunities, was an exceptional year for Athletic Director Jurich. Mr. Benson referenced the written assessment provided by Finance & Committee Chair Michael Seebert and asked that it be included in the minutes:

Finance & Budget Chair Seebert's written assessment:

As chair of the budget and finance committee, I offer the following comments and observations. During the period of review, Mr. Jurich has done an excellent job of leading his team with respect to fiscal management. An annual audit of his organization was conducted and no major findings were reported. His department is on sound financial footing in a time of a sluggish local and national economy. He has created an environment that encourages strong revenue generation along with prudent management of expenses. This past year has been particularly challenging with respect to budget planning and management. The athletic department has never had a year when it had to manage through completing a major addition to the football stadium, hiring a new football coaching staff, and moving to a new basketball arena after over 50 years at Freedom Hall. These changes have forced his team to become more adept at dealing with budget unknowns and uncertainties. Mr. Jurich has successfully managed his organization through this period of massive change while continuing to offer a competitive and entertaining product on the various fields of play. While his body of work at the university is extremely impressive, his work during this past year will position the athletic department to reach even greater heights in the future. On behalf of the finance and budget committee, I would like to congratulate Mr. Jurich and his team for a job well done.

Mr. Benson observed coaching leadership recruited by Tom has flourished on the field and in the classroom. The overall success of UofL's intercollegiate athletic program has been validated by so many sports competing at national levels of excellence. While Mr. Benson distributed FAR Wise's written assessment of Mr. Jurich, he asked Prof. Wise to read the assessment into the record.

Prof. Wise read the following assessment:

In my role as Faculty Athletics Representative, my primary concerns are issues of compliance, academic integrity, and student-athlete well-being. However, I am also charged to serve as a liaison between Athletics and Academics. In that capacity, I have examined the Athletic Director's goals for the preceding academic year and have compared them with his accomplishments. In almost every category, Tom Jurich ranks as exceptional, having met or surpassed his goals, and having demonstrated extraordinary leadership, in spite of set-backs in football and unfortunate publicity.

As FAR, I can attest to his personal high ethical principles, his commitment to the academic mission of the University, his dedication to the professionalism of the athletic department, and his concern for the welfare of every student-athlete. Although he is the mastermind behind the building of many new facilities, he is even more committed to the achievement

of gender equity, to the graduation of every student-athlete we recruit, and to the integration of athletics into the University's mission.

Tom Jurich is an articulate, generous and creative manager of people and resources, whose experience, inner drive, and expansive vision make others want to work for him and with him. As AD, he finds extraordinary coaches, builds an energetic and devoted staff, provides the resources and encouragement they need, and through his own personal stamina and passion, gives them the opportunity to prove that his trust in them is earned. His goal is to create unity of purpose among all who accept his challenge – through a shared vision of what a model institution in Division 1A (BCSD) college athletics should accomplish.

To that end, he has continuously nurtured the improved academic success of our student athletes. As of the last release of APR (Academic Progress Rate) data, all of our teams have exceeded the APR cutoff of 925, and our Graduation Success Rate, on the last release was 74%. Tom has responded to the needs of Athletics Academic Advising by adding counselors and a learning specialist, as well as improving facilities for study and quality tutoring. He knows all of the student-athletes, and they respect him.

One of my roles as FAR and the university liaison to the NCAA, is to advise the Athletics Director on matters that concern the academic integrity of our athletics program and on the well-being of our student-athletes. In light of the FAR/CAP charge, I share with him any concerns I or CAP may have, based on student-athlete progress toward degree reports as well as on our annual review of Academic Advising Services. I send him my end of year assessment of the work of the Senior Associate AD for Advising so that he can include it in his annual review of his staff. Tom's door is always open to me, and my phone calls are always answered. He listens and ruminates, and then he investigates and takes appropriate action.

This last year, CAP proposed a new process to review competition schedules for all of our teams in order to assess the academic impact of missed class time on team progress-toward-degree and on team APR. The results are shared with the Senior Associate AD for Academic Services and the AD. We also reviewed practice schedules to assess what impact they might have on student-athlete choice of major, as we were engaged in a three year review of the Athletics Academic Advising Services.

As part of the review process, CAP piloted a new Student-Athlete End of year Questionnaire in Spring 2009, which was slightly revised and then given to all student-athletes in Spring 2010. The results of the pilot are included in the Three Year Review Report, which I shared with Tom when it was completed – and will summarize for the full ULAA board at this next meeting. I look forward to sitting down with him and Julie in the next few weeks to discuss the report's recommendations and the subsequent feedback from the Spring 2010 End of Year Questionnaire completed by 405 student-athletes.

In summary, it is my pleasure to work with our Athletic Director to promote a shared vision of academic excellence and dedication to gender equity, in the context of rules compliance and athletic prominence. He is a true leader in the service of “one” university – and a boon to the city, the Commonwealth, the Big East Conference, and college athletics.

Mr. Benson thanked Prof. Wise and stated the Personnel Committee concurred with Prof. Wise’s eloquent assessment of Athletic Director Tom Jurich. Mr. Jurich thanked the Committee and Prof. Wise for their assessment, recognizing that success of the Athletic Department has been a team effort. Mr. Jurich received a round of applause.

IX. Report of the Academic Performance Committee

Prof. Wise distributed a report of the Committee on Academic Performance (copy attached). The report summarized functions and duties of the Committee during academic year 2009-10. She noted the Committee conducted its annual reviews of Compliance Operations and of Student-Athlete Exit Interviews as well as Annual and Three Year Reviews of Athletic Academic Advising Services. The Committee planned and hosted the fourteenth annual Red and Black Banquet for Student-Athletes, an event that continues to be a huge success with over 200 student-athletes participating with more than 80 faculty members-mentors. She referenced other accomplishments of the Committee, including but not limited to:

1. Revision of the travel letter for student-athletes to present to instructors
2. Designation and inauguration of an End of year Questionnaire
3. Designation of a new Missed Class Time Policy and schedule of competitions
4. Design protocols for effective communication channels for student-athlete academic issues
5. Completion of an extensive Third Year Review of Athletics Academic Advising
6. Support for the work of Athletics Learning Specialist in Athletics Advising
7. Participation in the Student Athlete Advisory Committee meetings

In addition to serving as FAR, she serves as chair of the Committee on Academic Performance and serves the Provost as her Liaison to Athletics. She confers with the Provost at least four times per year.

As FAR, she performs many tasks as well as attending annual meetings of the Faculty Athletics Representative Association, Big East Compliance and Rules Seminars, Big East Winter meetings with Athletics Directors and Senior Woman Administrators.

Attached to the minutes are a comprehensive report from the FAR and a Summary of Recommendations from the Three Year Review of Athletic Academic Advising Services.

Chair Ramsey thanked Prof. Wise for her extensive report and her service as Faculty Athletics Representative.

X. Report of the Athletic Director

Mr. Jurich provided an update on the following

- Papa Johns expansion has gone well. The facility exceeded expectations. Feedback from the university and the community has been fantastic. Sales and crowds are great.
- Fall sports are doing well – soccer is ranked nationally; men’s soccer is currently #1 in the country
- Women’s field hockey is ranked 8th in the country
- Several teams: Men’s and Women’s Golf, and Cross country teams are doing well
- Football Coach Charlie Strong has “hit the ground running.” Coach Strong is very detail minded, has expertise in every capacity of coaching and has done an incredible job. Coach Strong is very committed to his students graduating; he has energized our fan base. His team building skills will lead to a successful program
- The boat house is on target; the property, located near Tumbleweed, is leased for 99 years
- KFC Yum! Center is a great facility thanks to the tireless efforts of Jim Host
- Baseball facilities need to be expanded – they are nationally ranked almost every year and are either going to or hosting NCAA tournaments each year. With respect to baseball, Tom would like to add 1500 seats – platforms are built and would like to add a press box and a terrace similar to the football stadium. The locker room also needs upgrading.
- Softball is nationally ranked almost every year - the facility needs a press box, additional seating and concessions area.
- One of the donor pledges for the academic center fell through, but Tom hopes to make the location at the south end zone underneath the terrace as the student center. Tom expressed a desire to give each student-athlete every opportunity for success.
- Men’s basketball’s APR results were great – they received a perfect score – the highest possible ranking! Great accomplishment!
- Tom recognized President Ramsey’s leadership role on several Big East committees.

Mr. Jurich thanked his staff for their excellent work during a very difficult couple of years. They faced a lot of challenges and have done a wonderful job. Chair Ramsey thanked Mr. Jurich for an excellent report.

XI. Report of the Chair

Chair Ramsey showed a video about the University’s accomplishments. Dr. Ramsey said the Council on Postsecondary Education (CPE) requested data on graduation rates, etc. The CPE collected this data, analyzed it and submitted it to the Legislative Research Commission. In the ten categories of this report, UofL ranked #1 in the Commonwealth in nine of the categories. In the tenth category, UofL ranked 2nd. Dr. Ramsey then called upon Anita Moorman for an update on the current NCAA self-study accreditation process. Highlights of Ms. Moorman’s report include:

Overview

- Program required for all Division I institutions
- Requirements listed in NCAA Bylaw 22
- 10-year cycle

- Cycle 3, Class 1 began in Fall 2008
- UofL is in Class 3, Cycle 4 which will begin Fall 2011

Overview – Operating Principles

- Governance and Commitment to Rules Compliance
 - Institutional Control: Presidential Authority and Shared Responsibilities
 - Rules Compliance
- Academic Integrity
 - Academic Standards
 - Academic Support
- Gender/Diversity and Student-Athlete Well-Being
 - Gender Issues
 - Diversity Issues
 - Student-Athlete Well-Being

Ms. Moorman summarized the process, Cycle 3 expectations, and 2003 deficiencies.

Committee Philosophy

- Program is designed to help institutions improve
- Essential elements of the process
 - Progress report
 - Must make progress on stated objectives and identified deficiencies in 2003 Plan for Improvement
- Conduct a Self-Study
 - Accurate examination of the program with broad representation
- Plan for Improvement 2013
 - Update Plan for Improvement for 2013

Cycle 3 Expectations

- Increased Accountability
 - Completion of Cycle 2 plans for improvement
 - “No plan” areas for Cycle 3
 - Plans must be implemented prior to completion of self-study process
 - No longer acceptable to just offer a plan without some active progress toward implementation

Rules Compliance Identified Deficiencies in 2003 Self-Study

- Operating Principle 1.3 (now 1.2) requires clear and unambiguous commitment to rules compliance as a critical element in all personnel matters
 - Job descriptions and performance evaluations do not contain a clear and unambiguous commitment to rules compliance
 - Job descriptions, letter of appointment or contract, and performance-evaluation criteria for individuals inside and outside athletics who have assigned rules compliance responsibilities must contain a clear and unambiguous commitment to rules compliance

- If such language is not included in the documents, the documents must be modified to include such language

Academic Integrity Identified Deficiencies in 2003 Self-Study

- Operating Principle 2.2 requires athletics academic support services be approved and reviewed periodically by academic authorities outside athletics
 - Assistant Athletics Director review of academic support services and presentation of annual report on advising process and procedures to Committee on Academic Performance does not meet the 2.2 requirement
 - Must submit evidence of periodic, external review of athletics academic support services by academic authorities outside of athletics department

Response to Deficiencies in Academic Integrity

- Process for Review of Athletic Academic Advising
 - Committee on Academic Performance
 - 9 faculty (6 elected in university-wide elections, 2 appointed by President from list provided by Faculty Senate and the FAR) FAR is the Chair. Meets 10-12 times per year
- Two forms of Assessment
 - Assessment of Student Athlete Performance
 - Assessment of the Associate AD for Academic Services, Academic Services staff, and operations of Academic Services

Assessment Process for Academic Services

- Three Times per year (FAR, Provost, Associate AD Academic Services, 2 Asst AD)
 - Review of SA GPA and Progress toward Degree
 - Analyze Cohort Report of retention and graduation rates over 5 year period
- Monthly reports by FAR to Faculty Senate
- Annual report to CAP (performance reviews, review of tutoring program and tutors, assessment of facility/equipment)
- Oversight of Exit Interviews (Assoc AD for Academic Services) (FAR invited to participate). Annual report to CAP
- Annual review of Assoc AD for Academic Services (FAR participates and review is reported to ULAA)
- Twice per year, FAR reports to ULAA
- Every three years – CAP engages in extensive formal review and makes recommendations to ULAA

Gender and Minority Reminders & Recommendations in 2003 Self-Study

- Plans for improvement in the area of gender equity and minority opportunities must extend a minimum of 5 years in length
- Must have an active plan in these areas at all times
- Note: Operating Principle 3.2 now focuses on Diversity (more broadly defined) than minority

- Race, ethnicity, creed, color, national origin, age, disability, sexual orientation, gender identity, religion, marital status, education, income, geographic location, and work experience

Student Welfare Identified Deficiencies in 2003 Self-Study

- No specific deficiencies or peer-recommendations in the 2003 self-study or NCAA review
- Note: Exit interviews and Policies cut across multiple Operating Principles

Upon Chair Ramsey's request, Dr. Moorman discussed the NCAA Knight Commission Report. It is the goal of the Knight Commission on Intercollegiate Athletics to ensure intercollegiate athletic programs operate according to the educational mission of their colleges and universities. She explained this is a separate, ad hoc committee. In the 2003 self-study process, financial integrity and fiscal integrity were included. Since then, they were removed by the NCAA so the current Cycle 3 doesn't include financial analysis, something the Knight Commission has criticized. She said the committee will look at recommendations specific to the University and will evaluate. She did not anticipate any problem areas. Chair Ramsey thanked Dr. Mooreman for an excellent update.

Chair Ramsey recognized board members with expiring terms: Angela Lewis-Klein, Mike Seebert, OJ Oleka, Prof. Bob Staat, and Bill Stone. Resolutions of appreciation will be presented to the individuals at a later date. Prof. Steffen made a motion, which Prof. Kemelgor seconded, to approve the

Angela Lewis-Klein, Michael Seebert, O. J. Oleka, Prof. Robert Staat, and Bill Stone

WHEREAS, Angela Lewis-Klein, Michael Seebert, O. J. Oleka, Prof. Robert Staat, and Bill Stone have served the University of Louisville Athletic Association with distinction as members of the ULAA Board of Directors, and provided substantial service to the Board during their terms;

WHEREAS, in their capacities as members of the Board, they have served faithfully and well, including on all committee assignments;

WHEREAS, they represented the position of their constituencies with balance and conviction at all times, and served as advocates for the welfare of university students and faculty;

WHEREAS, they provided invaluable advice to the President, shared their insight and experience with the University of Louisville leadership team, and always gave their loyal support to the University thereby winning the deep respect of their fellow Board members;

NOW THEREFORE BE IT RESOLVED, that the Board of Directors of the University of Louisville Athletic Association, Inc. hereby expresses its sincere admiration and deep appreciation to Angela Lewis-Klein, Michael Seebert, O. J. Oleka, Prof. Robert Staat, and Bill Stone for their many contributions and able performance as Directors of the University of Louisville Athletic Association, Inc; and

BE IT FURTHER RESOLVED, that personalized copies of this Resolution, appropriately signed, be presented to Angela Lewis-Klein, Michael Seebert, O. J. Oleka, Prof. Robert Staat, and Bill Stone.

Prof. Staat thanked the board for the opportunity to serve and thanked Prof. Wise for her leadership on the Committee on Academic Performance. He encouraged Mr. Kuric and his fellow team mates to continue their academic and athletic success.

Mr. Seebert thanked the President for the honor to serve on the board for so many years. He thanked Mr. Jurich for his dedication to providing excellent facilities for the student-athletes, with special reference to the Jim Patterson Baseball Stadium.

XII. Adjournment

Mr. Jones made a motion, which Prof. Wise seconded, to adjourn the meeting at 1:20 p.m. The motion passed.

BOARD ACTION:

Passed X
Did not pass
Other

Asst. Secretary *Katalin M. Smith*

**RECOMMENDATION THE BOARD OF DIRECTORS OF THE
UNIVERSITY OF LOUISVILLE ATHLETIC ASSOCIATION, INC.
REGARDING THE 2010-11 OPERATING BUDGET**

**Finance & Budget Committee – June 8, 2010
Board of Directors – October 13, 2010**

RECOMMENDATION:

**The Athletic Director recommends that the Board of Directors
approve the 2010-11 Operating Budget for the University of Louisville Athletic Association,
Inc. in the form attached.**

BOARD ACTION:

Passed X
Did not pass
Other

Asst. Secretary *Katalin M. Smith*

**RECOMMENDATION TO THE BOARD OF DIRECTORS OF THE
UNIVERSITY OF LOUISVILLE ATHLETIC ASSOCIATION, INC.
CONCERNING MEN'S BASKETBALL TICKET PRICES**

**Finance & Budget – June 8, 2010
Board of Directors – October 13, 2010**

Recommendation:

The Athletic Director recommends:

That the Board of Directors approve for Men's Basketball the following season ticket package:

	<u>2009-10</u> (21 games)	<u>2010-11</u> (23 games)
Exhibition Games	\$64 (2@ \$32)	\$70 (2 @ \$35)
Regular Games	\$480 (15 @ \$32)	\$560 (16 @ \$35)
Minardi Classic	\$35 (1@ \$35)	\$35 (1 @ \$35)
NCAA Exempt Event	\$105 (3@ \$105)	\$140 (4 @ 35)
Total	\$684	\$805

BOARD ACTION:

Passed _____ X _____

Did not pass _____

Other _____

Asst. Secretary *Katalin M. Smith*