

UNIVERSITY OF LOUISVILLE

**Single Audit Reports and the
Schedule of Expenditures of Federal Awards
for the Year Ended
June 30, 2007**

UNIVERSITY OF LOUISVILLE

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UNIVERSITY OF LOUISVILLE

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2007

Federal Grant or Program Title	CFDA #	Pass Through Entity #	Fiscal 2007 Expenditures
STUDENT FINANCIAL AID CLUSTER			
UNITED STATES DEPARTMENT OF EDUCATION			
Federal Supplemental Educational Opportunity Grants	84.007		\$ 681,606
Federal Family Education Loans	84.032		91,965,944
Federal Work Study Program	84.033		939,736
Federal Perkins Loan Program	84.038		9,988,455
Federal Pell Grant Program	84.063		8,811,227
Academic Competitiveness Grant	84.375		414,412
National Science and Mathematics Access to Retain Talent (SMART) Grants	84.376		<u>251,283</u>
SUBTOTAL UNITED STATES DEPARTMENT OF EDUCATION			<u>113,052,663</u>
DEPARTMENT OF HEALTH AND HUMAN SERVICES			
Health Professions Student Loans, Including Primary Care Loans and Loans for Disadvantaged Students	93.342		7,014,923
Nursing Student Loans	93.364		<u>400,124</u>
SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES			<u>7,415,047</u>
TOTAL STUDENT FINANCIAL AID			<u>120,467,710</u>
RESEARCH AND DEVELOPMENT CLUSTER			
DEPARTMENT OF AGRICULTURE			
Agricultural Research - Basic and Applied Research	10.001		126,254
Grants for Agriculture Research - Competitive Research Grants	10.206		26,753
Through the Michigan State University	10.206	61-4280A	984
Forestry Research	10.652		<u>28,249</u>
SUBTOTAL DEPARTMENT OF AGRICULTURE			<u>182,240</u>
DEPARTMENT OF DEFENSE			
Department of Defense Contracts			
Through Academy for Educational Development, Inc.	12.000	3125-NA-S-01	802
Through University of Kentucky Research Foundation	12.000	3046945600-07-056	53,628
Procurement Technical Assistance for Business Firms	12.002		10,029
Basic and Applied Scientific Research	12.300		340,585
Through EG&G Services	12.300	NONE	3,741
Through Innovative Productivity, Inc.	12.300	IPI-02-5200-C05, 06	23,188
Through Jewish Hospital	12.300	DOD, ONR SUB	1,049,502
Military Medical Research and Development	12.420		493,286
Through Accella Learning, LLC	12.420	DOD W81XWH-05-2-007	89,274
Basic Scientific Research	12.431		1,030,773
Through Kentucky Science and Technology Corp.	12.431	NONE	6,899
Through Tulane University	12.431	TUL-522-05/06	777,236
National Flagship Language Program Grants to U.S Institutions of Higher Education	12.550		439
Basic, Applied, and Advanced Research in Science and Engineering	12.630		1,306
Air Force Defense Research Sciences Program	12.800		805,530
Mathematical Sciences Grants Program	12.901		22,906
Research and Technology Development	12.910		981,181
Through EWA Government Systems, Inc.	12.910	EWAGSI-06-01-0001	<u>407,695</u>
SUBTOTAL DEPARTMENT OF DEFENSE			<u>6,098,000</u>

See notes to the schedule of expenditures of federal awards

UNIVERSITY OF LOUISVILLE

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2007 (CONTINUED)

Federal Grant or Program Title	CFDA #	Pass Through Entity #	Fiscal 2007 Expenditures
DEPARTMENT OF INTERIOR			
Conservation Grants Private Stewardship for Imperiled Species	15.632		83,633
Landowner Incentive through State of Kentucky	15.633	NONE	25,490
Technical Preservation Services	15.915		472
Upper Mississippi River System Long Term Resource Monitoring Program Through University of Illinois Urbana-Chicago	15.978	2003-02302-2	<u>69,869</u>
SUBTOTAL DEPARTMENT OF INTERIOR			<u>179,464</u>
DEPARTMENT OF JUSTICE			
National Institute of Justice Research, Evaluation, and Development Project Grants Through Eastern Kentucky University	16.560	EKU 06-195,196 &05-348	602,150
Through University of Kentucky Research Foundation	16.560	UKRF 05-481,06-221	181,086
Corrections Research and Evaluation and Policy Formulation	16.602		<u>41,898</u>
SUBTOTAL DEPARTMENT OF JUSTICE			<u>825,134</u>
DEPARTMENT OF TRANSPORTATION			
Air Transportation Centers of Excellence through Northwestern University	20.109	0980 350 R415 755	17,951
Highway Planning and Construction through University of Kentucky Research Foundation	20.205	FRT 111	<u>25</u>
SUBTOTAL DEPARTMENT OF TRANSPORTATION			<u>17,976</u>
DEPARTMENT OF TREASURY			
Treasury Contract through University of Kentucky Research Foundation	21.000	3046951400-06-197	<u>105,269</u>
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION			
NASA Contracts	43.000	VARIOUS	250,829
EPSCoR Aerospace - Education Services Program	43.001		279,701
Through Space Telescope Science Institute	43.001	HST-GO-09877.10-A	39,031
Through Western Kentucky University	43.001	VARIOUS	249,445
Technology Transfer	43.002	NNA,NNJ,NAG 2	1,459,652
Through Western Kentucky University	43.002	VARIOUS	<u>3,032</u>
SUBTOTAL NATIONAL AERONAUTICS AND SPACE ADMINISTRATION			<u>2,281,690</u>
NATIONAL SCIENCE FOUNDATION			
Engineering Grants	47.041		751,424
Through Hosting.com, Inc.	47.041	IIP-0637563 STTR	17,323
Through Rensselaer Polytechnic Institute	47.041	J11621	46,098
Mathematical and Physical Sciences	47.049		668,570
Through Duke University	47.049	06-SC-NSF-1078	9,003
Geosciences	47.050		72,521
Computer and Information Science and Engineering	47.070		544,606

See notes to the schedule of expenditures of federal awards

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2007 (CONTINUED)

Federal Grant or Program Title	CFDA #	Pass Through Entity #	Fiscal 2007 Expenditures
Biological Sciences	47.074		399,658
Through Carnegie Institute	47.074	0629959	3,225
Through Georgia Institute of Technology	47.074	R7195-G1	21,120
Through Oregon State	47.074	S0632A-A	54,865
Through University of Kentucky Research Foundation	47.074	UKRF 4-69018-05-490	1,245,584
Through Yale University	47.074	Y-06-0012	83,746
Social, Behavioral, and Economic Sciences	47.075		79,706
Education and Human Resources	47.076		48,704
Through University of Kentucky Research Foundation	47.076	UKRF EPSCOR	795,224
Through University of Tennessee	47.076	OR1102-001.01	150,042
SUBTOTAL NATIONAL SCIENCE FOUNDATION			4,991,419
DEPARTMENT OF VETERANS AFFAIRS			
Veterans Affairs Contracts	64.000		31,876
Veterans State Hospital Care	64.016		61,623
Sharing Specialized Medical Resources	64.018		473,799
Through VA Boston Healthcare System	64.018	V002415-00047	74,562
SUBTOTAL DEPARTMENT OF VETERANS AFFAIRS			641,860
ENVIRONMENTAL PROTECTION AGENCY			
Environmental Protection Agency Contracts			
Through AWWA Research Foundation	66.000	PFA 4031	50,060
Through Battelle Memorial Institute	66.000	NONE	1,105
Through Health Effects Institute	66.000	4751-RFA05-2/06-12	8,902
Surveys, Studies, Investigations, Demonstrations and Training Grants and Cooperative Agreements (Sec 104 (3)(b) of the Clean Water Act through Western Kentucky University	66.436	NONE	9,811
Nonpoint Source Implementation Grants			
Through State of Kentucky	66.460	VARIOUS	366,346
Through University of Kentucky Research Foundation	66.461	EPA 401	405
Surveys, Studies, Investigations and Special Purpose Grants	66.606		74,683
Through Western Kentucky University	66.606	WKURF 522409-04-01	8,575
Pollution Prevention Grants Program	66.708		24,623
Hazardous Waste Management State Program Support	66.801		988
SUBTOTAL ENVIRONMENTAL PROTECTION AGENCY			545,498
DEPARTMENT OF ENERGY			
Department of Energy Contracts	81.000		281
Through Sandia Corporation, Inc.	81.000	PO#579213	76,244
Basic Energy Sciences	81.049		2,558,986
Regional Biomass Energy Programs	81.079		1,127,322
Through University of Kentucky Research Foundation	81.079	3046991100-07-218	2,203
Renewable Energy Research and Development	81.087		50,730
National Industrial Competitiveness through Energy, Environment, and Economics	81.105		2,132
University Reactor Infrastructure and Education Support	81.114		237
State Energy Program Special Projects	81.122		382,926
SUBTOTAL DEPARTMENT OF ENERGY			4,201,061

See notes to the schedule of expenditures of federal awards

UNIVERSITY OF LOUISVILLE

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2007 (CONTINUED)

Federal Grant or Program Title	CFDA #	Pass Through Entity #	Fiscal 2007 Expenditures
DEPARTMENT OF EDUCATION			
Vocational Education - National Programs through University of Minnesota	84.051	M3049087705	30,940
Fund for the Improvement of Post Secondary Education	84.116Z		50,062
National Institute on Disability and Rehabilitation Research	84.133E		
Through University of Pittsburgh	84.133E	070151-3	63,090
Through University of Michigan	84.133E	3000631862	75,558
Fund for the Improvement of Education	84.215R		2,164
Rehabilitation Services, Demonstrations and Training Programs	84.235S		50,926
Education Research, Development and Dissemination	84.305K		271,250
Special Education B251Technology and Media Services for Individuals with Disabilities	84.327		381
Advanced Placement Program	84.330C		10,852
Gaining Early Awareness and Readiness for Undergraduate Programs			
Through Kentucky Council on Post-Secondary Education	84.334	CPE GEAR UP	3,540
Teacher Quality Enhancement Grants through Western Kentucky University	84.336B	WKURF 543604-06-50	41,084
Improving Teacher Quality State Grants through State of Kentucky	84.367A	M-04174561/M04103354	18,924
SUBTOTAL DEPARTMENT OF EDUCATION			618,771
DEPARTMENT OF HEALTH AND HUMAN SERVICES			
Department of Health and Human Services Contracts	93.000		10,802
Biological Response to Environmental Health Hazards	93.113		2,616,696
Applied Toxicological Research and Testing	93.114		7,844
Oral Disease and Disorders Research	93.121		3,400,874
Through University of Florida Research Foundation	93.121	Subcontract: UF03061	96,370
Through University of Texas at Austin	93.121	1 U01 DE017793-01	86,746
Injury Prevention and Control Research and State and Community Based Programs			
Through University of Pennsylvania	93.136	109207-1	94
Through University of Pittsburgh	93.136	110585-1	24,607
Research Related to Deafness and Communication Disorders	93.173		655,452
Through Communication Disorders Technology Inc.	93.173	2 R44 DC006338-02A1	40,986
Through Vanderbilt University	93.173	16940-S1	224,975
Research on Healthcare Costs, Quality and Outcomes			
Through St. Vincent Research Foundation	93.226	Home HF Care	62,145
Through Jewish Hospital	93.226	051230	82,135
National Center on Sleep Disorders Research	93.233		1,673,121
Through Case Western Reserve University	93.233	1R01HL08307501 REVIS	37,435
Through University of California, Los Angeles	93.233	2000GHK625	200,770
Through University of North Dakota	93.233	UND Project 10042	23,387
Mental Health Research Grants	93.242		695,800
Through Indiana University	93.242	IU#0311936	13,384
Through University of Kentucky Research Foundation	93.242	4-64589-02-186	56,946
Through University of Pittsburgh	93.242	106612-3	22,778
State Planning Grant - Health Care Access for the Uninsured through State of Kentucky	93.256	M-05029362	6,776
Occupational Safety and Health Research Grants	93.262		671,630
Through Ohio State University	93.262	NONE	49,609
Alcohol Research Career Development Awards for Scientists and Clinicians	93.271		114,969
Alcohol Research Programs	93.273		2,225,624
Drug Abuse Research Programs	93.279		365,746
Through Yale University	93.279	A05599/DKP1089725	35,108
Centers for Disease Control & Prevention: Investigations and Technical Assistance	93.283		779,059
Through Association of Teachers of Preventive Medicine	93.283	NONE	72
Through VA Medical Center	93.283	VA249-P-0117	1,349
Discovery and Applied Research	93.286		99,911
Through University of Arizona	93.286	Y453041	6,418
Bioengineering Research	93.287		143
Nursing Research	93.361		32
Research Infrastructure	93.389		9,814,535
Through University of Kentucky Research Foundation	93.389	3049009000-07-154	66,208

See notes to the schedule of expenditures of federal awards

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2007 (CONTINUED)

Federal Grant or Program Title	CFDA #	Pass Through Entity #	Fiscal 2007 Expenditures
Cancer Cause and Prevention Research	93.393		1,426,704
Cancer Detection and Diagnosis Research	93.394		178,044
Through Vanderbilt University	93.394	3 U01 CA091664-05S1	17,024
Cancer Treatment Research	93.395		1,971,236
Through American College of Radiology	93.395	RTOG C0116	22,861
Through Apoinmune	93.395	1 R43 CA109866-01A1	178,183
Through Children's Oncology Group	93.395	U10 CA985443-02	119,737
Through Duke Clinical Research Institute	93.395	Z5031,Z0011,Z9001	9,136
Through Energetic Genomics Corporation	93.395	1 R44 CA103437-01A1	44,595
Through IA, Inc.	93.395	1 R43 CA106059-01A1	798
Through Indiana University Purdue	93.395	GOG-0210	33
Through University of Kentucky Research Foundation	93.395	3046988600-06-385	57,219
Through University of Michigan	93.395	SWOG NIH CA32102	555
Cancer Biology Research	93.396		986,154
Cancer Research Manpower	93.398		349,859
Through University of New Mexico	93.398	7285	21,857
Cancer Control Research	93.399		154,249
Through NASBP Foundation, Inc.	93.399	STAR	2,032
Through John Wayne Cancer Institute	93.399	Vax #JWCI	18,549
Through University of California-Davis	93.399	K007841	36,353
Promoting Safe and Stable Families through State of Kentucky	93.556	PO2 736 0600000883 1	152,717
Social Services Research and Demonstration	93.647		243,342
Through University of Kentucky Research Foundation	93.647	UKRF 4-68419-05-196	24
Chafee Foster Care Independence Program through University of Kentucky Research Foundation	93.674	3046932900-06-247	96,433
Cell Biology and Biophysics Research	93.827		71,723
Heart and Vascular Diseases Research	93.837		7,728,971
Through Ension Corporation	93.837	N01-HV-48189	1,391
Through Duke University	93.837	1 U01 HL63747 01A2	57,773
Through Indiana University	93.837	Bridge to Fontan	11,757
Through VitaTech, LLC	93.837	SBIR2 5R44HL0735803	187,293
Lung Diseases Research	93.838		763,630
Blood Diseases and Resources Research	93.839		895,715
Through Corixa, Inc.	93.839	DAIT BAA 03 41	138,868
Through Thomas Jefferson University	93.839	080-24000-PZ0701	72,998
Through Regenerex, Inc.	93.839	1 R41 HL074579-01A1	12,943
Arthritis, Musculoskeletal and Skin Diseases Research			
Through Cincinnati Children's Hospital Medical Center	93.846	R01 AR050028	4
Through Novera, LLC	93.846	1 R43 AR052984-01	60,159
Through University of Minnesota	93.846	5 R01 AR45829-02	2,545
Diabetes, Endocrinology, and Metabolism Research	93.847		1,510,460
Digestive Diseases and Nutrition Research	93.848		410,142
Through VitaTech, LLC	93.848	1 R43 DK071354-01	36,464
Kidney Diseases, Urology and Hematology Research	93.849		446,932
Through Joslin Diabetes Center	93.849	5 R01 DK067638-03	118,172
Through Regenerex, Inc.	93.849	1 R41 DK074331-02	112,528
Through University of Michigan	93.849	F011640	1,900
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		4,459,400
Through New Jersey Medical School	93.853	1 U01 NS43975-01	35,756
Through University of California, Los Angeles	93.853	UCLA SUB1760 G HB020	156,849
Through University of Miami	93.853	2 U01 NS40406-04	7,726
Through University of North Dakota	93.853	7 R01 NS045829-03	19,058
Biological Basis Research in the Neurosciences	93.854		949
Allergy, Immunology and Transplantation Research	93.855		2,422,750
Microbiology and Infectious Diseases Research	93.856		385,557
Pharmacology, Physiology, and Biological Chemistry Research	93.859		942,141
Through University of Arizona	93.859	EY9532	109,212
Population Research	93.864		400,686
Center for Research for Mothers and Children	93.865		587,652
Through Children's Mercy Hospital	93.865	5 U01 HD044239-03	80
Through Rainbow Babies and Childrens Hospital	93.865	OICN050200	91,968
Aging Research	93.866		708,567
Through University of Kentucky Research Foundation	93.866	UKRF304801920007089	26,361

See notes to the schedule of expenditures of federal awards

UNIVERSITY OF LOUISVILLE

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2007 (CONTINUED)

Federal Grant or Program Title	CFDA #	Pass Through Entity #	Fiscal 2007 Expenditures
Vision Research - Retinal and Choroidal Diseases Research	93.867		3,076,452
Through Johns Hopkins University	93.867	5 U10 EY014660-02	40,805
Through Pennsylvania College of Optometry	93.867	PCO 54801	4,520
Through Potentia, Inc.	93.867	STTR 1#1 R41 EY01751	35,751
Through Regenerex, Inc.	93.867	1 R41 EY015336-01	118,757
Through University of Pennsylvania	93.867	5-45507	32,485
Through University of Texas at Houston	93.867	4U10EY012471	26,643
Through Medical College of Wisconsin	93.867	PHS GRANT	39,233
Medical Library Assistance	93.879		293,226
Health Care and Other Facilities	93.887		2,333,150
Block Grants for Prevention and Treatment of Substance Abuse	93.959		29,492
Preventive Health Services - Sexually Transmitted Diseases Control Grants	93.977		89,021
Preventive Health Services - Sexually Transmitted Diseases Research, Demonstrations and Public Information and Education Grants	93.978		<u>13,343</u>
SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES			<u>59,488,188</u>
DEPARTMENT OF HOMELAND SECURITY			
Hazard Mitigation Grant	97.039		88,518
Pre-Disaster Mitigation Disaster Resistent Universities	97.063		34,809
Homeland Security Grant Program	97.067		<u>6,323</u>
SUBTOTAL DEPARTMENT OF HOMELAND SECURITY			<u>129,650</u>
UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT			
John Ogonowsky Farmer-to-Farmer Program through Clemson University	98.009	1005-7558-224-200512	<u>45,617</u>
TOTAL RESEARCH AND DEVELOPMENT			<u>80,351,837</u>
OTHER PROGRAMS			
DEPARTMENT OF AGRICULTURE			
Department of Agriculture Contracts	10.000		7,777
Special Supplemental Nutrition Program for Women, Infants, and Children (WIC)			
Through State of Kentucky	10.557	M-06094411	170,319
Through Junior League Of Louisville	10.557	M-05142265	<u>549</u>
SUBTOTAL DEPARTMENT OF AGRICULTURE			<u>178,645</u>
DEPARTMENT OF DEFENSE			
Department of Defense Contracts	12.000		
Through Kentucky Trailer Corporation	12.000	HCCC	74,168
Through Academy of Applied Science	12.000	2006 KJSHS	9,770
Through Fort Rucker Directorate of Contracting	12.000	W9124G-04-P-0310	149,832
Through Vangent Corporation	12.000	GS10F0167J 06-021	16,726
Planning Assistance to States	12.110		69,120
Basic Scientific Research through Academy of Applied Science	12.431	NONE	<u>9,481</u>
SUBTOTAL DEPARTMENT OF DEFENSE			<u>329,097</u>

See notes to the schedule of expenditures of federal awards

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2007 (CONTINUED)

Federal Grant or Program Title	CFDA #	Pass Through Entity #	Fiscal 2007 Expenditures
ENVIRONMENTAL PROTECTION AGENCY			
Contract through SAIC	66.000	SW05-TTSS-RFP011	9,271
Congressionally Mandated Projects	66.202		323,811
Surveys, Studies, Investigations, Demonstrations and Training Grants and Cooperative Agreements - Section 104(3)(b) of the Clean Water Act	66.436		376,011
Nonpoint Source Implementation Grants	66.460		246,668
Through State of Kentucky	66.460	NONE	2,403
Surveys, Studies, Investigations and Special Purpose Grants	66.606		209,412
Environmental Policy and Innovation Grants	66.611		5,133
Pollution Prevention Grants	66.708		13,692
Through State of Kentucky	66.708	0700007230	3,547
Hazard Waste Management Program Support	66.801		5,118
Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements	66.814		92,768
SUBTOTAL ENVIRONMENTAL PROTECTION AGENCY			1,287,834
DEPARTMENT OF ENERGY			
State Energy Program through State of Kentucky	81.041	Various	139,766
Renewable Energy Research and Development	81.087		65,243
State Energy Program Special Projects	81.119		6,413
SUBTOTAL DEPARTMENT OF ENERGY			211,422
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT			
Community Development Block Grants - Economic Development Initiative	14.246		874,588
Demolition and Revitalization of Severely Distressed Public Housing through City of Louisville	14.866	Hope VI	1,604
SUBTOTAL DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT			876,192
DEPARTMENT OF HOMELAND SECURITY			
State and Local Homeland Security Exercise Support	97.006		73,960
Homeland Security Grant Program through State of Kentucky	97.067		128,053
Metropolitan Medical Response System	97.071		19,280
SUBTOTAL DEPARTMENT OF THE HOMELAND SECURITY			221,293
DEPARTMENT OF JUSTICE			
Federal Equitable Sharing Agreement	16.000		618
Grants to Combat Violent Crimes Against Women on Campuses	16.525		2,412
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560		302,525
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants			
Through State of Kentucky	16.580	M-04175433	41,696
Through National Institute of Justice	16.580	2005-DD-BX-1216	207,042
Public Safety Partnership and Community Policing Grants	16.710		1,817,980
Special Data Collections and Statistical Studies	16.734		9
Protecting Inmates and Safeguarding Communities Discretionary Grant Programs			
Through DB Consulting, Inc.	16.735	NONE	145,566
SUBTOTAL DEPARTMENT OF JUSTICE			2,517,848
DEPARTMENT OF LABOR			
WIA Section 503 Incentive Grants through State of Kentucky	17.267	NONE	21,275

See notes to the schedule of expenditures of federal awards

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2007 (CONTINUED)

Federal Grant or Program Title	CFDA #	Pass Through Entity #	Fiscal 2007 Expenditures
NATIONAL SCIENCE FOUNDATION			
Engineering Grants	47.041		804
Mathematical and Physical Sciences	47.049		13,851
Biological Sciences	47.074		5,399
Education and Human Resources	47.076		734,364
Through University of Kentucky Research Foundation	47.076	3048032200-07-250	2,289
SUBTOTAL NATIONAL SCIENCE FOUNDATION			756,707
DEPARTMENT OF VETERANS AFFAIRS			
Department of Veterans Affairs Contracts	64.000		5,738
Sharing Specialized Medical Resources	64.018		60,990
SUBTOTAL DEPARTMENT OF VETERANS AFFAIRS			66,728
DEPARTMENT OF STATE			
Congressionally Mandated Projects	19.000		132,424
Educational Exchange - Fulbright American Studies Institute	19.418		284,545
Cooperative Grants	19.420		298
SUBTOTAL DEPARTMENT OF STATE			417,267
DEPARTMENT OF TRANSPORTATION			
Department of Transportation Contract through Northrup Grumman, Inc.	20.000	Northrup-G/TRIMARC	121,998
Highway Training and Construction through University of Kentucky Research Foundation	20.205	465780-03-010	130,629
Highway Training and Education through State of Kentucky	20.215	BOWD	30,303
Federal Transit - Capital Investment Grants through State of Kentucky	20.500	KY-03-0089-00	150,888
SUBTOTAL DEPARTMENT OF TRANSPORTATION			433,818
LIBRARY OF CONGRESS			
Library of Congress Contract through Emory University	42.000	Emory 5-29915-C4 LC	11,214
Library of Congress Contract through Open World Leadership Center, Inc.	42.000	OWLC_0531, 638	101,302
SUBTOTAL LIBRARY OF CONGRESS			112,516
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION			
Aerospace Education Services Program			
Through Kentucky Space Grant Consortium	43.001	WKU 516171-07-68	2,280
Through Northern Kentucky University	43.001	NK 2007-006, 2005-105	273,532
SUBTOTAL NATIONAL AERONAUTICS AND SPACE ADMINISTRATION			275,812
NATIONAL FOUNDATION ON ARTS & HUMANITIES			
Promotion of the Humanities - Public Programs	45.164		198
Grants to States through Kentucky Department for Libraries and Archives	45.310	VARIOUS	154,068
SUBTOTAL NATIONAL FOUNDATION ON ARTS & HUMANITIES			154,266

See notes to the schedule of expenditures of federal awards

UNIVERSITY OF LOUISVILLE

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2007 (CONTINUED)

Federal Grant or Program Title	CFDA #	Pass Through Entity #	Fiscal 2007 Expenditures
DEPARTMENT OF EDUCATION			
Special Education through State of Kentucky	84.027A	M-06133229,231, 05194839	628,910
TRIO - Upward Bound	84.047A		419,591
Vocational Education - National Centers for Career and Technical Education			
Through University of Minnesota	84.051	R3049126101	95,180
Probationary Teacher Training through State of Kentucky	84.057A	M-05194851	6,396
Fund for the Improvement of Post-Secondary Education	84.116		243,685
Rehabilitation Services - Vocational Rehabilitation Grants to States			
Through Eastern Kentucky University	84.126A	EKU 07-115	9,065
Byrd Honors Scholarship Program through State of Kentucky	84.185	KYED - BYRD	58,500
Safe and Drug-free Schools and Communities - State Grants through State of Kentucky	84.186A	M-06201924	24,470
National Professional Development Program	84.195N		154,652
Fund for the Improvement of Education	84.215K		398,693
Through Louisville Metro Public Schools	84.215.X	NONE	127,013
Tech-Prep Education through State of Kentucky	84.243	M-06143463	12,191
Eisenhower Professional Development Grants			
Special Education - State Program Improvement Grants for Children with Disabilities			
Through State of Kentucky	84.323.A	M-05196827	103,842
Special Education - Personnel Preparation to Improve Services for Children with Disabilities	84.325A.K		367,143
Through Pennsylvania College of Optometry	84.325U	57201	59,276
Gaining Early Awareness and Readiness for Undergraduate Programs			
Through Kentucky Council on Post-Secondary Education	84.334.A,S	VARIOUS	395,680
Child Care Means Parents in School	84.335A		3,475
Teacher Quality Enhancement Grants through Western Kentucky University	84.336B	WKURF 543604-06-37	29,760
Transition to Teaching	84.350A		210,006
Improving Teacher Quality State Grants			
Through Kentucky Council on Postsecondary Education	84.367B	M-06046898	95,545
Striving Readers through Danville Schools	84.371A	NONE	108,193
National Writing Project through National Writing Project Corporation	84.928	92-KY03	39,931
SUBTOTAL DEPARTMENT OF EDUCATION			3,591,197
DEPARTMENT OF HEALTH AND HUMAN SERVICES			
Healthy Marriage Promotion and Responsible Fatherhood Grants	93.086		283,634
Model State Supported Area Health Education Centers	93.107		609,037
Maternal and Child Health Services Block Grant through State of Kentucky	93.110	VARIOUS	87,270
Biological Response to Environmental Health Hazards	93.113		9,253
Applied Toxicological Research and Testing	93.114		216,401
Project Grants and Cooperative Agreements for Tuberculosis Control through State of Kentucky	93.116	P02 7280700005967 1	6,581
Oral Diseases and Disorders Research	93.121		134,981
Coordinated Services and Access to Research to Women, Infants, Children & Youth	93.153		256,702
Human Genome Research	93.172		7,144
Nursing Workforce Diversity	93.178		82,072
Health Education and Training Centers	93.189		173,679
Allied Health Projects	93.191		102,470
Family Planning Services	93.217		29,782
Substance Abuse and Mental Health Services - Projects of Regional and National Significance			
Through State of Kentucky	93.243	VARIOUS	18,101
Mental Health Clinical and AIDS Service-Related Training Grants through Volunteers of America	93.244	CSAT-SAMHSA	87,979
Alcohol Research Programs	93.273		116,225
Centers for Disease Control & Prevention: Investigations and Technical Assistance	93.283		136,278
Through State of Kentucky	93.283	VARIOUS	488,258
Through University of Kentucky Research Foundation	93.283	VARIOUS	99,178
Advanced Education Nursing Traineeships	93.358		35,317
Nursing Research	93.361		182,151
Research Infrastructure	93.389		7,979
Through American Physiological Society	93.389	NONE	9,783
Cancer Detection and Diagnostic Research	93.394		2,677
Cancer Treatment Research	93.395		501
Cancer Research Manpower	93.398		45,261
Temporary Assistance			
Through State of Kentucky	93.558	P02 721 M-06069807 2	23,135
Through Eastern Kentucky University	93.558	EKU 07-309	118,666

See notes to the schedule of expenditures of federal awards

UNIVERSITY OF LOUISVILLE

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2007 (CONTINUED)

Federal Grant or Program Title	CFDA #	Pass Through Entity #	Fiscal 2007 Expenditures
Head Start through Metro Louisville Public Schools	93.600	JCPS 060166	25,313
Child Welfare Services Training Grants	93.648		197,580
Adoption Opportunities	93.652		140,041
Foster Care Title IV-E through Eastern Kentucky University	93.658	EKU-VARIOUS	906,626
Social Services Block Grant through State of Kentucky	93.667	M-05165461	154,031
Chafee Foster Care Independence Program			
Through University of Kentucky Research Foundation	93.674	3048039900-07-239	85,739
Medical Assistance Program through State of Kentucky	93.778	M-06231638	126,398
Heart and Vascular Diseases Research	93.837		98,068
Through University of Texas Medical Branch	93.837	CADRE	65,562
Lung Disease Research	93.838		157,033
Blood Diseases and Resources Research	93.839		1,618
Arthritis, Musculoskeletal and Skin Diseases Research	93.846		27,699
Diabetes, Endocrinology and Metabolism Research	93.847		14,855
Kidney Diseases, Urology and Hematology Research	93.849		23,873
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		64,932
Allergy, Immunology and Transplantation Research	93.855		23,539
Microbiology and Infectious Diseases Research	93.856		1,898,961
Center for Research for Mothers and Children	93.865		312,117
Through Rainbow Babies and Childrens Hospital	93.865	HHNSN275200403367C	139,319
Vision Research	93.867		298,078
Grants for Residency Training in General Internal Medicine and/or Pediatrics	93.884		117,465
Health Care and Other Facilities	93.887		43,134
National Bioterrorism Hospital Preparedness Program	93.889		376,164
Resource and Manpower Development in the Environmental Health Sciences	93.894		201,721
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	93.918		627,558
Comprehensive Breast and Cervical Cancer Early Detection Programs through State of Kentucky	93.919	M-02113337	1,281
Ryan White HIV/AIDS Dental Reimbursements	93.924		722,464
HIV/AIDS Surveillance through State of Kentucky	93.944	M-04183304	30,000
Preventive Health Services - Sexually Transmitted Diseases Research, Demonstrations and			
Public Information through Louisville Metro County Health Department	93.978	128913	35,766
Preventive Health and Health Services Block Grant through State of Kentucky	93.991	M-06086728	75,415
Maternal and Child Health Services Block Grant through State of Kentucky	93.994	M-06084092	24,306
Bioterrorism Training and Curriculum Development Program	93.996		938,920
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SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES			11,326,071
			<hr/>
TOTAL OTHER PROGRAMS			22,777,988
			<hr/>
TOTAL EXPENDITURES OF FEDERAL AWARDS			\$ 223,597,535
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See notes to the schedule of expenditures of federal awards

UNIVERSITY OF LOUISVILLE

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS YEAR ENDED JUNE 30, 2007

1. BASIS OF PRESENTATION

The accompanying schedule of expenditures of federal awards of the University of Louisville (University) has been prepared in the format as set forth in U.S. Office of Management and Budget (OMB) Circular A-133, Audits of States, Local Governments and Non-Profit Organizations. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements. The purpose of the Schedule is to present a consolidated summary of those expenditures of the University for the year ended June 30, 2007, which has been financed by the U.S. Government (Federal awards). For purposes of the Schedule, Federal awards include all Federal assistance and procurement relationships entered into directly and indirectly between the University and the Federal government and sub-awards from non-federal organizations made under federally sponsored agreements.

The accounting principles followed by the University and used in preparing the Schedule are as follows:

The schedule of expenditures of federal awards includes amounts expended by the University and its affiliated corporation, the University of Louisville Research Foundation, Inc.

Deductions (expenditures) for direct costs are recognized as incurred using the accrual method of accounting and the cost accounting principles contained in OMB Circular A-21, *Cost Principles for Educational Institutions*. Under those cost principles, certain types of expenditures are not allowable or are limited as to reimbursement. Moreover, expenditures include a portion of costs associated with general University activities (facilities and administrative costs) which are allocated to awards under negotiated formulas commonly referred to as indirect cost rates.

2. SUBRECIPIENTS

Of the federal expenditures presented in the schedule, the University provided federal awards to subrecipients as follows:

FEDERAL FUNDS PROVIDED TO SUBRECIPIENTS	CFDA #	Fiscal 2007 Expenditures
Research and Technology Development	12.910	\$ 61,153
Conservation Grants Private Stewardship for Imperiled Species	15.632	3,092
Upper Mississippi River System Long Term Resource Monitoring Program	15.978	67,504
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560	114,040
International Visitors Program	19.000	22,300
Highway Training and Education	20.215	11,215
EPSCoR Aerospace - Education Services Program through Western Kentucky University	43.001	43,986
Engineering Grants	47.041	807
Mathematical and Physical Sciences	47.049	121,229
Geosciences	47.050	16,015
Computer and Information Science and Engineering	47.070	26,288
Biological Sciences	47.074	226,980
Congressionally Mandated Projects	66.202	250,484
Nonpoint Source Implementation Grants	66.460	205,730
Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects	66.500	30,910
Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements	66.814	42,065
State Energy Program	81.041	8,759
Office of Science Financial Assistance Program	81.049	180,000
Regional Biomass Energy Programs	81.079	455,558
Electricity Delivery and Energy Reliability, Research, Development and Analysis	81.122	287,333
Special Education through Kentucky Education Cabinet	84.027	51,254
Online Emergency/Probationary Teacher Training through State of Kentucky	84.057	5,922
Fund for the Improvement of Postsecondary Education	84.116	202,447
Fund for the Improvement of Education	84.215	52,533
Special Education - State Program Improvement Grants for Children with Disabilities	84.323A	79,462
Model State - Supported Area Health Education Centers	93.107	450,730
Maternal and Child Health Federal Consolidated Programs	93.110	9,044
Biological Response to Environmental Health Hazards	93.113	81,192
Oral Disease and Disorders Research	93.121	582,380
Research Related to Deafness and Communication Disorders	93.173	15,853
Health Education and Training Centers	93.189	137,321
Occupational Safety and Health Program	93.262	401,901
Centers for Disease Control & Prevention: Investigations and Technical Assistance	93.283	31,316
Nursing Research	93.361	9,232
National Center for Research Resources	93.389	2,600,821
Cancer Cause and Prevention Research	93.393	169,555
Cancer Treatment Research	93.395	98,546
Heart and Vascular Diseases Research	93.837	605,433
Kidney Diseases, Urology and Hematology Research	93.849	120,920
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	902,190
Microbiology and Infectious Diseases Research	93.856	34,777
Biomedical Research and Research Training	93.859	187,352
Retinal and Choroidal Diseases Research	93.867	53,517
Bioterrorism Training and Curriculum Development Program	93.996	322,991
TOTAL FEDERAL FUNDS PROVIDED TO SUBRECIPIENTS		\$ 9,382,137

3. NON-CASH FINANCIAL ASSISTANCE

The following loans received by students and outstanding loan balances are included in the *Schedule of Expenditures of Federal Awards* in accordance with OMB Circular A-133, *Audits of States, Local Governments, and Non-profit Organizations*.

Loans received by students for the period ending June 30, 2007 were as follows:

	<u>CFDA #</u>	<u>Total</u>
Federal Family Educational Loan Program (FFELP)	84.032	\$ 91,965,944
Federal Perkins Loan Program	84.038	1,692,343
Health Professions Primary Care - Medical	93.342	248,091
Health Professions Student Loans - Dental	93.342	371,096
Nursing Student Loans	93.364	81,850
Loans to Disadvantaged Students - Medical	93.342	38,287
Loans to Disadvantaged Students - Dental	93.342	<u>35,161</u>
Total Non-Cash Financial Assistance		<u><u>\$ 94,432,772</u></u>

Outstanding loan balances at June 30, 2007 were as follows:

	<u>CFDA #</u>	<u>Total</u>
Federal Perkins Loan Program	84.038	\$ 8,296,112
Health Professions Student Loans - Medical	93.342	7,029
Health Professions Primary Care - Medical	93.342	2,620,441
Health Professions Student Loans - Dental	93.342	3,348,985
Nursing Student Loans	93.364	318,274
Loans to Disadvantaged Students - Medical	93.342	265,924
Loans to Disadvantaged Students - Dental	93.342	<u>66,168</u>
Total Student Loans Outstanding		<u><u>\$ 14,922,933</u></u>

University of Louisville
Schedule of Findings and Questioned Costs (Continued)
Year Ended June 30, 2007

7. The Organization's major programs were:

<u>Cluster/Program</u>	<u>CFDA Number</u>
Student Financial Aid Cluster	84.007, 84.032, 84.033, 84.038, 84.063, 84.375, 84.376, 84.063, 93.342, 93.364
Research and Development	Various

8. The threshold used to distinguish between Type A and Type B programs as those terms are defined in OBM Circular A-133 was \$3,000,000.

9. The Organization qualified as a low-risk auditee as that term is defined in OBM Circular A-133? Yes No

Views of Responsible Officials and Planned Corrective Action Plan – Management concurs with the finding and recommendation. The University of Louisville submits the enrollment information to the National Clearinghouse, which completes the NSLDS Enrollment Reporting on the University’s behalf. The University has submitted the enrollment information to the National Clearinghouse within the 60 day required time frame, but due to a time lag in scheduled reports being sent from the National Clearinghouse to NSLDS, a few students were reported outside of the 60 day requirement.

In response to this year’s audit finding, the Student Financial Aid Office met with Registrar’s Office staff again and the decision was made to increase the frequency of our reporting to the National Clearinghouse to monthly. Every month after the Registrar’s Office receives confirmation that the National Clearinghouse has accepted the enrollment report, the Registrar’s Office will notify the Student Financial Aid Office. The University will log into NSLDS and request an Ad Hoc report be sent to the National Clearinghouse if there is not a regular report scheduled to be sent out within a few days. This new NSLDS procedure should ensure the enrollment updates are reported to NSLDS within the required 60 day time frame.

