UNIVERSITY OF LOUISVILLE
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* BFP responsible for programs Z1315, Z1333, Z1334, Z1335, Z1336, Z1337, and Z1341

** Cash is determined using the following formula:
[Total Assets - (190xxx - 195xxx) - Total Liabilities for Period 0 of Next FY]

Y** - Cash to budget determined by Fdn Operations based on carryover policy

Finance Responsibility Matrix

6/30/2014

			Initial Budget		Subsequent Changes			On-Line Transfers		Dept Initiated Transfers			Year End		Department Changes
Program	Fund	Description	Reviews, Approves Budget	Initial Budget Load	Maintains Budget	Reviews, Approves Enters	Approp. Linked	Within Program	Between Similar Program	From 573000	To 473000	Chartfield Request/ Change	Cash** to Budget	Cash** Rollup	Reviews & Approves
01XXX 02XXX	1000	General Funds - Default	BFP	Bud System	Units	BFP	No	Yes	Yes	Yes	Cost Share	BFP	N	X0021	BFP
1XXXX	1000	General Fund - Program Budget	BFP	Bud System	Units	BFP	No	Yes	No	No	No	BFP	N	X0021	BFP
01XXX 02XXX 1XXXX	1010 1002	General Fund - Program Budget	BFP	Bud System	Units	BFP	No	Yes	No	No	No	BFP	N	Maintain	BFP
2XXXX	1010	General Fund - Translational Research	VPR	VPR	VPR	VPR	No	No	No	No	2XXXX	VPR	Y*	Maintain	VPR
3XXXX	1000	Other General Funds	BFP	Bud System	Units	BFP	No	Yes	Yes	Yes	Cost Share	BFP	N	X0021	BFP
4XXXX	1000	Auxiliaries (except Housing, iTech, & Parking)	BFP	Bud System	Units	BFP	No	Yes	No	No	No	BFP	N	X0021	BFP
4XXXX	1000	Auxiliaries (Housing, iTech & Parking)	BFP	Bud System	Units	BFP	No	Yes	No	No	No	BFP	N	Maintain	BFP
50XXX	1000	IRIG - under $10,000	VPR	VPR	VPR	VPR	Yes	No	No	Yes	Yes	VPR	Y	Maintain	Cont. Off.
52XXX	1000	RIF - Center	VPR	VPR	VPR	VPR	Yes	No	No	Yes	Yes	VPR	Y	Maintain	Cont. Off.
54XXX	1000	IRIG - over $10,000	VPR	VPR	VPR	VPR	Yes	No	No	Yes	Yes	VPR	Y	Maintain	Cont. Off.
55000	1000	Research Council Grants	VPR	VPR	VPR	VPR	Yes	No	No	Yes	Yes	BFP	Y	Maintain	Cont. Off.
56XXX	1000	Proof of Concept Grants	VPR	VPR	VPR	VPR	Yes	No	No	Yes	Yes	VPR	Y	Maintain	Cont. Off.
57XXX	1002	SUN/SoTL Grants	Delphi	Delphi	Delphi	Delphi	Yes	No	No	No	Delphi Only	Delphi	Y	Maintain	Cont. Off.
AXXXX	1200	Athletic-Unrestricted	BFP	Bud System	Athletics	BFP	No	Yes	Yes	No	No	BFP	N	A0006	Cont. Off.
AXXXX	1201	Athletic-Unrestricted w/o link	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	No	No	No	Yes	Yes	Cont. Off.	N	Maintain	Cont. Off.
AXXXX	1202 1210 1270	Athletic-Unrestricted (linked) Athletic-Restricted Athletic-Agency	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	Yes	No	No	Yes	Yes	Cont. Off.	Y	Maintain	Cont. Off.
AXXXX	1241	Athletic-Plant	BFP	Bud System	Athletics	BFP	No	Yes	Yes	No	No	BFP	N	Maintain	Cont. Off.
AXXXX	1255 1260	Athletic-Debt Service Reserve Athletic-Invested in Capital Assets	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	No	No	No	No	No	Cont. Off.	N	Maintain	Cont. Off.
A8XXX	1255 1260	Athletic-Debt Service Reserve Athletic-Invested in Capital Assets	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	No	No	No	No	No	Cont. Off.	N	Maintain	Cont. Off.
CXXXX	1120	Clinics	BFP	Bud System	Units	BFP	No	Yes	Yes	Yes	C/P/Z/Cost Sh	BFP	N	Maintain	BFP
DXXXX	1100	Residual Grant Funds	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	Yes	No	No	Yes	C/P/Z/Cost Sh	Cont. Off.	Y	Maintain	Cont. Off.
EXXXX	1264 1265	Athletic-Inv Market Values Athletic-Endowment/Similar Funds	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	No	No	No	No	Cont. Off.	N	Maintain	Cont. Off.
EXXXX	1266 1267	Athletic-Income on Quasi Endowments Athletic-Income on Restricted Endowments	Fdn Op	Fdn Op	Fdn Op	Fdn Op	Yes	Yes	No	No	No	Cont. Off.	Y	Maintain	Cont. Off.
EXXXX	130X 1323 102X	Endowment (Spending Policy)	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	Yes	No	No	No	Fdn Op	Y**	E9000	Fdn Op
EXXXX	1310 1315 1320 1321 1322 1325 1365	Endowment-Restricted Endowment-Quasi Prin - Unrestricted Endowment-Temporarily Restricted Endowment - Unitrust Endowment - Annuities Endowment - Quasi Prin - Temp Restricted Endowment - FHIT for Others	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	No	No	No	No	Fdn Op	N	Maintain	Fdn Op
E78XX	13XX	Endowments -Separately Helds	Fdn Op	Fdn Op	Fdn Op	Fdn Op	Yes	No	No	No	No	Fdn Op	Y	Maintain	Fdn Op
E8XXX	13XX	Endowments -Money Managers	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	No	No	No	No	Fdn Op	N	Maintain	Fdn Op
FXXXX	11XX	Facilities Enhancement	VPR	VPR	VPR	VPR	Yes	Yes	No	Yes	Cost Share/F	VPR	Y	Maintain	Cont. Off.
F1XXX	1002 1100	Faculty Start-Up Funds	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	Yes	No	No	Jxxxx	Yes	Cont. Off.	Y	Maintain	Cont. Off.
GXXXX	13XX 102X	Gifts	Fdn Op	Fdn Op	Fdn Op	Fdn Op	Yes	Yes	No	No	No	Fdn Op	Y	Maintain	Fdn Op
HXXXX	1010	Hospital	BFP	Bud System	Units	BFP	No	Yes	Yes	No	No	BFP	N	H9000	Cont. Off.
H2XXX	1010	KentuckyOne sponsored projects					Yes	Yes	Yes	H2/J	H2		Y	Maintain	
JXXXX	1X41	Capital Projects - Cash Basis	BFP	Cont. Off.	Units	BFP	Yes	No	No	Yes	Yes	BFP	N	Maintain	Cont. Off.
JXXXX	1X42	Capital Projects - Budget Basis	BFP	Cont. Off.	Units	BFP	No	No	No	Yes	Yes	BFP	N	Maintain	Cont. Off.
KXXXX	16xx	University Holding Inc.	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	No	No	No	No	Fdn Op	N	Maintain	Fdn Op
LXXXX	1035	Loans	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	No	No	No	No	No	Cont. Off.	N	Maintain	Cont. Off.
PXXXX	1120	Academic Program Support (includes Private Practice Plan)	BFP	Bud System	Units	BFP	No	Yes	Yes	Yes	C/P/Z/Cost Sh	BFP	N	Maintain	BFP

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6/30/2014

			Initial Budget		Subsequent Changes			On-Line Transfers		Dept Initiated Transfers			Year End		Department Changes
Program	**Fund**	**Description**	**Reviews, Approves Budget**	**Initial Budget Load**	**Maintains Budget**	**Reviews, Approves Enters**	**Approp. Linked**	**Within Program**	**Between Similar Program**	**From 573000**	**To 473000**	**Chartfield Request/ Change**	**Cash** to Budget**	**Cash** Rollup**	**Reviews & Approves**
P1XXX	1120	Academic Program Support (Medicaid Related)	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	Yes	Yes	Yes	Yes	C/P/Z/Cost Sh	BFP	Y	Maintain	BFP
R1XXX	1100	CEG	VPR	VPR	VPR	VPR	Yes	No	No	Yes	Cost Share	VPR	Y	Maintain	Cont. Off.
R3XXX	1100	RIF - Departmental	VPR	VPR	VPR	VPR	Yes	No	No	Yes	R3/R5/Cost Sh	VPR	Y	Maintain	Cont. Off.
R5XXX R6XXX	1100	RIF - Individual	VPR	VPR	VPR	VPR	Yes	No	No	Yes	Cost Share	VPR	Y	Maintain	Cont. Off.
R9990	1110	EVPR Office	VPR	VPR	VPR	VPR	Yes	No	No	Yes	Cost Share	VPR	Y	Maintain	Cont. Off.
SXXXX	1000	Service Centers	BFP	Bud System	Units	BFP	No	Yes	No	No	No	BFP	N	Maintain	BFP
UXXXX	1300	UL Foundation	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	Yes	Yes	Yes	Yes	Fdn Op	N	Maintain	Fdn Op
VXXXX	1500	Cardiovascular Institute	BFP	Bud System	Units	BFP	No	Yes	Yes	No	No	BFP	N	Maintain	BFP
X0XXX	1000	General Institutional Expense	BFP	Bud System	Units	BFP	No	No	No	No	No	BFP	N	X0021	BFP
X0XXX	1002	General Institutional Expense	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	No	No	No	No	No	Cont. Off.	Y	Maintain	Cont. Off.
X0XXX	1035 1055 1060 1100 1266	General Institutional Expense	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	No	No	No	No	No	Cont. Off.	N	Maintain	Cont. Off.
X0XXX	1300 1301	General Institutional Expense	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	No	No	No	No	Fdn Op	Y	Maintain	Fdn Op
X3XXX	1100	University Scholars	VPR	VPR	VPR	VPR	Yes	No	No	No	No	VPR	Y	Maintain	Cont. Off.
X4XXX	1055	General Institutional Expense	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	No	No	No	No	No	Cont. Off.	N	Maintain	Cont. Off.
X4XXX	1060	General Institutional Expense	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	No	No	No	No	No	Cont. Off.	N	Maintain	Cont. Off.
X0XXX X5XXX	1400	General Institutional Expense	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	No	No	No	No	Fdn Op	N	Maintain	Fdn Op
X8XXX	13XX	ULF Investments	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	No	No	No	No	Fdn Op	N	Maintain	Fdn Op
X9XXX	1300	UL / ULF Investments	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	No	No	No	No	Fdn Op	N	Maintain	Fdn Op
Y0XXX	1065	Metropolitan College	MCC Board	BFP	Unit	BFP	No	Yes	Yes	Yes	Y0XXX	BFP	N	Maintain	Cont. Off.
Y1XXX	1065	University Agency	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	Yes	No	No	Yes	Y1XXX	Cont. Off.	Y	Maintain	Cont. Off.
Y2XXX	1065	Faculty Practice Plans (except Y2001)	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	Yes	No	No	Yes	Y2XXX	Cont. Off.	Y	Maintain	Cont. Off.
Y2001	1065	Faculty Practice - Den Com OH	BFP	BFP	BFP	BFP	No	Yes	No	Yes	Y2XXX	Cont. Off.	N	Maintain	Cont. Off.
Z1XXX Z2XXX Z4XXX Z9XXX	10XX 11XX	UL and ULRF Other	Cont. Off. *	Cont. Off. *	Cont. Off. *	Cont. Off. *	Yes	No	No	Yes	C/P/Z/Cost Sh/52	Cont. Off. *	Y	Maintain	Cont. Off. *
Z3XXX	1100	Intellectual Property	VPR	VPR	OTT	OTT	Yes	No	No	Yes	Yes	OTT	Y	Maintain	OTT
Z1XXX Z2XXX Z4XXX Z9XXX	1300	ULF Other	Fdn Op	Fdn Op	Fdn Op	Fdn Op	Yes	No	No	Yes	C/P/Z/Cost Sh/52	Fdn Op	Y	Maintain	Fdn Op
Z1XXX Z2XXX	1310 1315 132x 1365	Endowment-Restricted Endowment-Quasi Endowment-Temporarily Restricted (all) Endowment - FHIT for Others	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	No	No	No	No	Fdn Op	N	Maintain	Fdn Op
Z2XXX	2000	QCCT	Cont. Off.	Cont. Off.	Cont. Off.	Cont. Off.	No	No	No	No	No	Cont. Off.	N	Maintain	Cont. Off.
Z3XXX	1300	Foundation Admin	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	No	No	No	No	Fdn Op	N	Maintain	Fdn Op
Z5XXX	1300	POP Investments	Fdn Op	Fdn Op	Fdn Op	Fdn Op	No	No	No	No	No	Fdn Op	N	Maintain	Fdn Op
GXXXXXX GBXXXXXX GNXXXXXX	1110 1015	Grants Grants Management Budgeted Grants Management Non-Budgeted	VPR	VPR	VPR	VPR	No	No	No	No	No	VPR	N	Maintain	Cont. Off.
IBXXXXXX INXXXXXX	1110 1015	Industry Contracts Budgeted Industry Contracts Non-Budgeted	Indust. Cont.	Indust. Cont.	Indust. Cont.	Indust. Cont.	No	No	No	No	No	Indust. Cont.	N	Maintain	Cont. Off.
GXXXXXXX	1005 1105 1305	Cost Share Grants	VPR	VPR	VPR	VPR	No	No	No	Yes	0/C/D/F/P/R/Z	VPR	N	Maintain	Cont. Off.