

OPERATING BUDGET

2018-2019

UNIVERSITY OF LOUISVILLE



UNIVERSITY OF
LOUISVILLE

FY 2019
OPERATING BUDGET



Board Action Items

Operating Budget for FY 2019

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING THE PROPOSED BUDGET FOR FISCAL YEAR 2018-19

Finance Committee – June 13, 2018
Board of Trustees – June 20, 2018

RECOMMENDATION:

The President recommends that the Board of Trustees approve the proposed University operating budget for Fiscal Year 2018-19, as [attached](#).

BACKGROUND:

The proposed General Fund Operating Budget for the University of Louisville is \$503.5 million for the Fiscal Year 2018-19. This is a decrease of \$1.4 million, or 0.3%, including debt service and contractual obligations.

UofL continues to embrace transparency, campus collaboration, and linkage with the strategic plan in developing a realistic budget. All campus constituencies were briefed throughout the budget development cycle as successive iterations of the proposed budget were developed and discussed.

There are three major themes in the FY 2019 Operating Budget. They served as touchstones for all major budget decisions:

1. Renewed collaboration for student success;
2. Enhanced student recruitment and retention efforts; and
3. Financial sustainability and improving the liquidity of the university.

COMMITTEE ACTION:

Passed _____
Did Not Pass _____
Other _____


Signature on file
Assistant Secretary

BOARD ACTION:

Passed _____
Did Not Pass _____
Other _____


Signature on file
Assistant Secretary

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HIGHLIGHTS

UNIVERSITY of LOUISVILLE

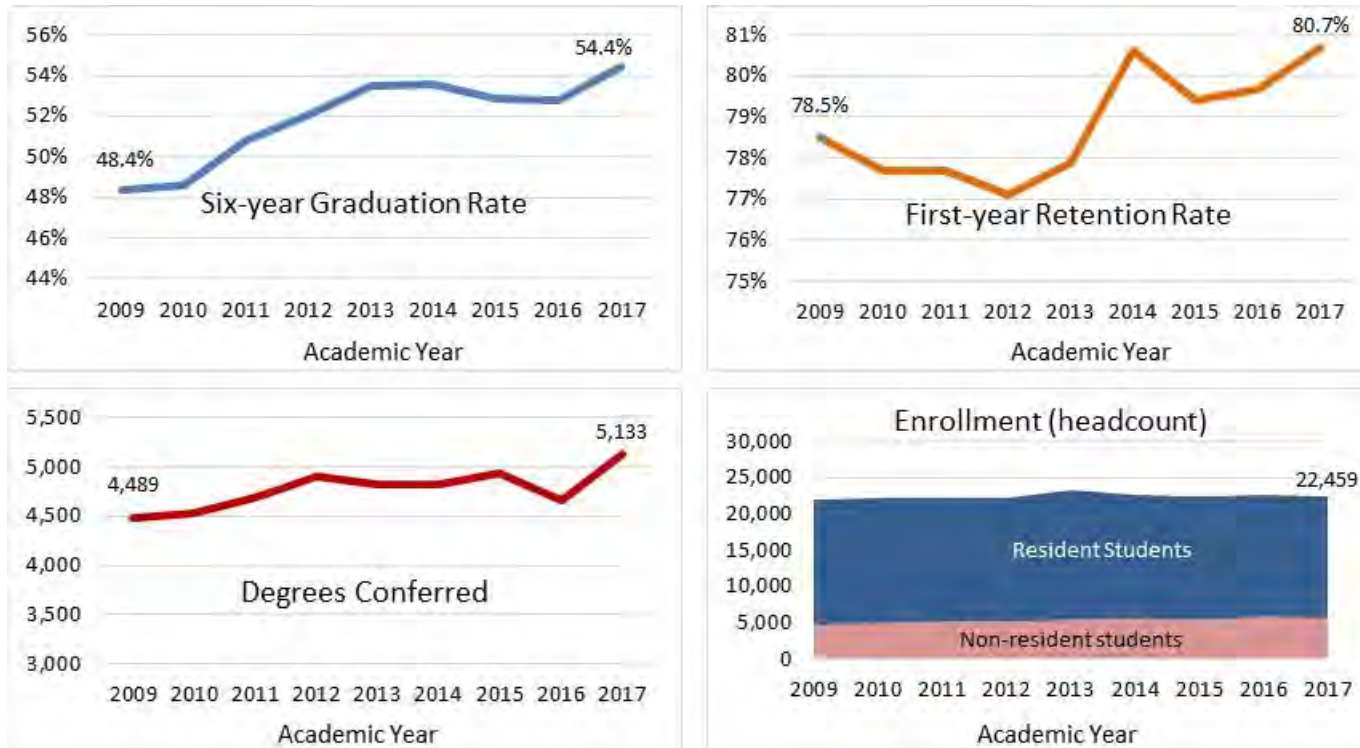


INTRODUCTION

The University of Louisville is a state-supported metropolitan research university located in Kentucky's largest city. The university has three campuses. The Belknap Campus, located near Old Louisville, includes the College of Arts & Sciences, College of Business, College of Education and Human Development, Kent School of Social Work, School of Interdisciplinary and Graduate Studies, School of Law, School of Music, and the Speed School. Located in downtown Louisville, the Health Sciences Campus is home to the university's health related programs, including the School of Medicine, School of Dentistry, School of Nursing, and the School of Public Health and Information Sciences. The Shelby Campus is located in eastern Jefferson County and houses the Delphi Center, home to the university's online and continuing education offices. The university offers undergraduate, graduate, and professional degrees in more than 200 programs. Full-time equivalent enrollment in fall 2017 was 18,474.

Figure A displays several important student metrics. Starting at the top left and moving clockwise, the first figure shows that UofL's 6-year graduation rate increased from 48.4 percent in Academic Year (AY) 2009 to 54.4 percent in AY 2017 for first-time, full-time baccalaureate degree-seeking students. The next shows that most freshmen students continue at UofL into their second year. Enrollment by residency status follows. The last figure shows the number of degrees conferred at UofL.

Figure A: Key UofL Student Metrics¹



Source: Office of Institutional Research, "Just the Facts."

The university maintains a strong commitment to instruction, research, and community service. Below are a few notable achievements in these areas during FY 2017. Many more accomplishments not listed here contribute to the ongoing success of the university.

Instruction

- In 2017, the university celebrated the School of Medicine’s 180th anniversary and the School of Dentistry’s 130th anniversary.²
- UofL awarded over 5,100 degrees for the 2017 academic year.

Scholarly Activity and Research

- For the 2017-18 academic year, six Fulbright Students were named from the university raising the total number from UofL to 110 since 2003. Over this period, there have been more Fulbright Students from UofL than from all other postsecondary institutions in Kentucky combined.³
- Kentucky's only 2017 Truman Scholar, Judson Adams, is from UofL. The award, which recognizes college juniors who are pursuing careers in public service leadership, was given to just 62 U.S. college students in 2017. This is the third year in a row that a UofL student has won the esteemed scholarship.⁴
- The university celebrated its first-ever Mitchell Scholar, James May. The prominent award is given to just 12 Americans each year for postgraduate study in Ireland. Awardees are selected based on scholarship, leadership and community service.⁵
- Dr. Mark Slaughter, professor and chair of the Department of Cardiovascular and Thoracic Surgery, has been re-appointed to a five-year term as editor-in-chief of the American Society of Artificial Internal Organs Journal.⁶
- In the past four years, the Health Sciences Center have seen a 37 percent increase in federal research funds, reaching more than \$62.5 million in 2017. As a result, the National Institutes of Health now ranks the UofL's School of Dentistry No. 15, up from No. 22; School of Medicine No. 63, up from No. 73; and the School of Nursing No. 51 from unranked. The School of Public Health & Information Sciences has more than doubled federal funding in the same period.⁷
- Faculty from the Speed School and the College of Arts and Sciences were awarded two cybersecurity grants from the National Security Agency in 2017. One program will teach cybersecurity measures to public safety employees. The other will design new cybersecurity teaching methods. In 2014, the U.S. Department of Homeland Security and the National Security Agency designated UofL as a National Center of Academic Excellence in Cyber Defense Education (CAE-CDE); that designation was recently extended through 2019.⁸

- The University of Louisville's rocket team, *River City Rocketry*, took top honors at the annual NASA Student Launch challenge held at NASA's Marshall Space Flight Center in Alabama. The Speed School students bested more than 50 student teams from across the country.⁹

Community Service

- UofL's Signature Partnership Initiative, a university-wide effort to enhance the quality of life and educational and economic opportunity for residents of West Louisville, celebrated a decade of community impact. In the 2016-2017 academic year, there were 263 university-community activities in West Louisville through the initiative. Thousands of UofL students and hundreds of faculty and staff have been involved.
- University Medical Center took over management of University of Louisville Hospital and the James Graham Brown Cancer Center following four years of a joint operating agreement with KentuckyOne Health. This activity will ensure that UofL continues to be a leader in teaching the next generation of caregivers and providing unsurpassed patient care.¹⁰
- The Office of Community Engagement and the UofL Alumni Association co-hosted the 2017 Louisville Alumni/Cardinal Day of Service on October 6-7. Faculty, staff, students, and alumni volunteered at five sites including, Supplies Over Seas, a Louisville-based non-profit organization that meets health care needs in medically impoverished communities around the world by collecting and distributing surplus medical supplies and equipment.¹¹

OVERVIEW OF HIGHER EDUCATION IN THE UNITED STATES

Higher education in the US is evolving. Minimal increases in the numbers of high school graduates diminished state financial support, and a growing popularity for certificate/non-degree programs have contributed to rapid, structural changes in postsecondary education. Successfully addressing these issues is vital for universities to remain financially viable. The following sections highlight these trends. They provide important context for the environment in which the university's FY 2019 budget must operate.

Value

The value of a postsecondary education is well established. Evidence consistently shows that a postsecondary education increases human capital and creates positive spillover impacts.¹² People with a postsecondary education degree earn, on average, more than their non-degree counterparts. Based on research, Kentuckians with an associate's degree earn 29 percent more than high school graduates do, and Kentuckians who hold a Bachelor's degree earn 51 percent more than high school graduates.¹³ College graduates are also more likely to volunteer and have higher job satisfaction.¹⁴ Even though the benefits of a postsecondary education degree or credential are well-known, many high school graduates do not pursue or complete a degree.

Enrollment

Undergraduate enrollment at four-year public institutions in the US has been flat for the past three years. Fall 2017 enrollment was 8.1 million students, virtually unchanged from fall 2015. Two-year public colleges continue to lose students as more people choose employment over community college; a common trend since the recovery from the 2008-09 Great Recession. Enrollment at four-year private institutions declined by almost one percent over this period.¹⁵

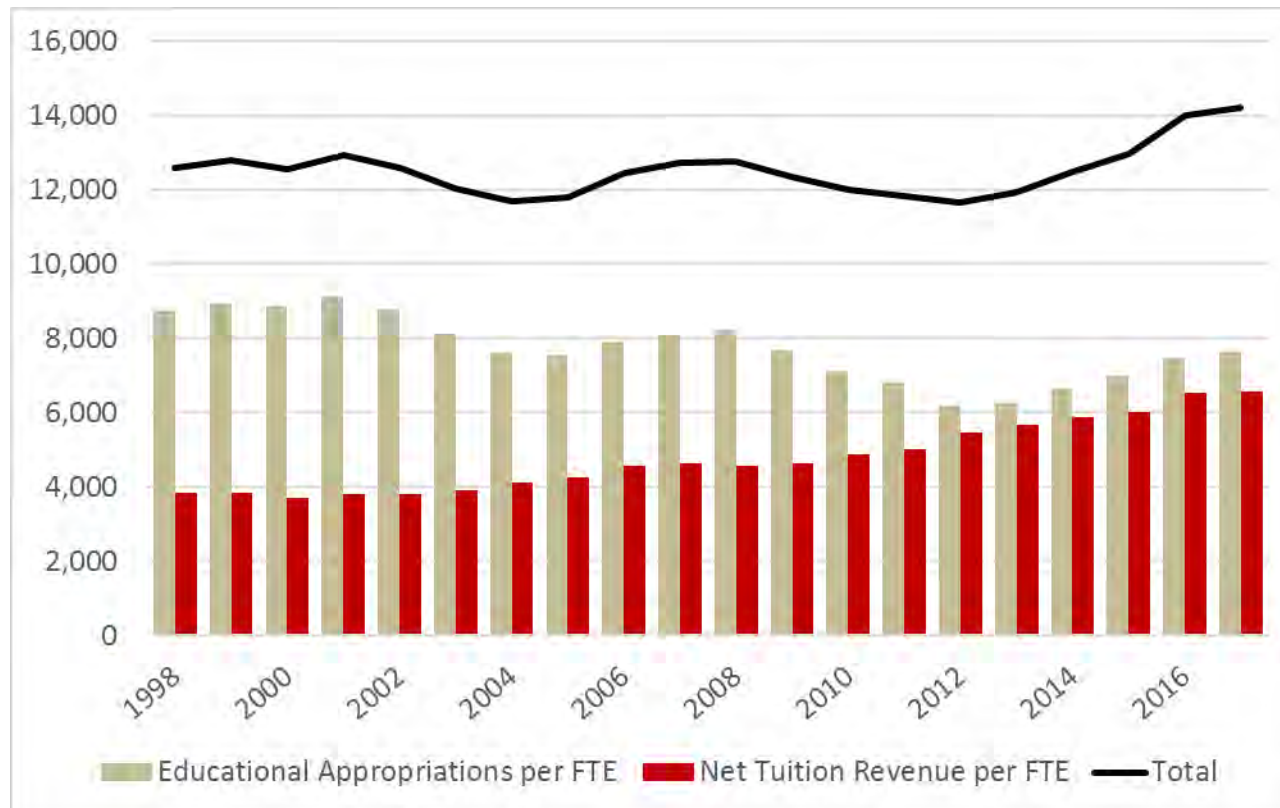
Multiple factors influence how many people enroll at a postsecondary education institution. The most direct link is the number of high school graduates. While more than 85 percent of high school graduates enroll in college within eight years, projections from the National Center for Education Statistics suggest that the number of high school students in the US will grow by less than 1.5 percent between 2015 and 2025.¹⁶ This trend will place greater pressure on colleges and universities to attract and retain students from a slowly growing pool of candidates. Some evidence suggests that public institutions with large student populations and a significant research component will fare better than smaller, regional colleges and universities.¹⁷

State support

Total state funding for higher education declined by nearly \$10 billion since the Great Recession in 2008 and 2009.¹⁸ Between FY 2012 and FY 2017, however, state support for higher education across the US increased by 22 percent.¹⁹ Regrettably, Kentucky has continued to reduce funding to postsecondary institutions. Since FY 2007, the Commonwealth of Kentucky has reduced state appropriations to colleges and universities by 17 percent, or \$179.9 million.

When state funding dips, tuition rates and tuition revenue typically increase to offset the decline. Figure B shows the linkage between states' financial support for postsecondary institutions and tuition revenues over the last 20 years.

Figure B: Public Educational Appropriations and Net Tuition Revenues per FTE, US, FY 1998-2017



Source: SHEEO, State Tuition, Fees, and Financial Assistance Policies: Initial Findings. March 2017

In addition to diminished state financial support, some states have implemented funding models that allocate state appropriations according to an institution's performance on graduation, retention, and job placement. According to a 2015 report by the National Council of State Legislatures, 32 states allocated at least a portion of state funding to colleges and universities according to performance.²⁰ Regionally, Tennessee employs the most aggressive performance funding model; all state funding, less a base amount for operational support, is allocated

on the basis of student progression, number of degrees and degrees per full-time equivalent student, research/grant funding, transfers, and six-year graduation rate.²¹ Indiana, by comparison, allocates only 6 percent of state appropriations according to performance.²²

Student Debt

Student debt is a significant concern. Over the past decade, US student debt has more than tripled to \$1.3 trillion.²³ Part of the increase is due to more people attending college. Rising tuition rates is the other main factor. From 2010-11 to 2015-16, average tuition and fees at public four-year institutions increased by 13 percent.²⁴

Despite the increase in tuition rates, average undergraduate student debt at UofL compared to the average at other institutions in Kentucky remains relatively low. UofL students graduate, on average, with about \$5,300 *less* in student debt than those at other institutions in Kentucky. Further, a smaller percentage of UofL graduates carry any amount of debt upon graduation.²⁵

Table 1 shows that a smaller percentage of UofL graduates carry student loan debt and for those that carry debt, it is less than the amount carried by graduates of other four-year institutions in Kentucky. It is also important to note the other side of the table; 46 percent of UofL undergraduate students have no debt at all, compared to only 41 percent nationally, and 37 percent within Kentucky.

Table 1: Undergraduate Student Debt at UofL Compared to Kentucky State Average
(2016 graduates)

	State Average	National Average	UofL
Student Debt	\$28,910	\$28,699	\$23,553
Proportion with Debt	63%	59%	54%

Source: The Institute for College Access & Success

According to the Council on Postsecondary Education (CPE), a smaller percentage of UofL undergraduate students default on their federal student loans compared to students from most other four-year institutions in Kentucky.²⁶ The default rate for UofL students has declined from 9.7 percent for the 2010 cohort to 6.0 percent for the 2014 cohort.²⁷

Non-degree and Certificate Programs

Universities have expanded their number of non-degree and certificate programs in recent years, in part, to meet employer demand for specialized training. The Kentucky Community and Technical College campuses account for the majority of these non-degree credentials in Kentucky. These colleges typically work hand-in-hand with local employers to identify workforce-training needs. Four-year public institutions in Kentucky have only recently focused on this educational space.

Including two-year and four-year public institutions, the number of non-degree credentials awarded by Kentucky's four-year public institutions has increased by 220 percent since academic year 2007-08. The University of Louisville has not moved as quickly into this market, and only realized a 13 percent increase over the period.²⁸

BUDGET PLANNING OVERVIEW

OVERARCHING BUDGET PRINCIPLE

The university's overarching budget principle guides budget decision-making, helps ensure a transparent process that prioritizes competing initiatives, and positions the university for success.

The University of Louisville is first and foremost an academic institution committed to excellence. The education of its students in undergraduate, graduate and professional programs is founded on a teacher-scholar model with a strong experiential component. The success and well-being of current and future students of the University of Louisville is of singular importance in all budgetary decisions.

COLLABORATIVE INPUT INTO BUDGET PLANNING

Input from the campus community is an important part in planning the university's budget. Many opportunities for input into the development of the FY 2019 budget existed. These included a university-wide budget survey and two university-wide budget forums hosted by the president, one on the Belknap campus and one on the Health Sciences campus. Finance and administration officials also met multiple times with deans, vice presidents, faculty and staff, and representatives from the Student Government Association.

Development of the FY 2019 operating budget also included a Budget Advisory Committee. This representative group of academic leaders, staff, and students met multiple times during March and April to review, discuss, and advise the provost about how to strategically allocate limited resources. These meetings provided valuable feedback. While decision-making rests with the president and provost, in large measure, the FY 2019 operating budget reflects the input of members of the Budget Advisory Committee.

Figure C highlights the opportunities for campus input into the budget development process. The timeline for the comprehensive consultation with the campus community is shown in Figure D.

Figure C: Collaborative Input into Budget Planning



Source: UofL Budget Office

Figure D: Timeline for the Budget Development Process

Time Period	Activity
July – February	Tuition setting and fee recommendation development
January – March	Revenue and expense forecast
January – April	Budget discussions with campus constituencies
April – May	Budget decisions by Leadership
Ongoing	Actively reviewing and monitoring budget versus actuals at both university and unit levels

Source: UofL Budget Office

STRATEGIC BUDGET AND FINANCIAL PLANNING

The university's strategic plan is rooted in its goal of becoming a "premier metropolitan university" by 2020 as mandated by the state's Postsecondary Education Reform Act of 1997, a seminal event in the life of UofL. In 2012, the university began work on a supplement to our existing strategic plan aimed at re-shaping and re-engineering the university. Called the *21st Century University Initiative: Powering the 2020 Plan*, this plan intends to help the university meet its goals and better serve students and the community.

The core component of the plan is Academic and Research Priorities. Academic units are the engines for accomplishment of the *21st Century University Initiative*. Critical to their success is the Financial Health of the university and a Culture of Excellence across campus; initiatives in these two plan components provide critical, foundational support for success. Through coordinated partnerships, thoughtful enrollment growth, strategic investments, and reallocations of resources, the university can successfully implement the *21st Century University Initiative: Powering the 2020 Plan* and accomplish the goals of the 2020 Plan.

Synergistic to the financial plan in development for the *21st Century University Initiative: Powering the 2020 Plan*, and in alignment with the planning for the FY 2018 budget, a new academic "Strategic Planning and Implementation" process has been initiated. Led by the university provost in collaboration with the Executive Vice President for Health Affairs (EVPHA) and the Executive Vice President for Research & Innovation (EVPRI), the purpose was to communicate and align strategic objectives across all academic units. It also ensured that the provost

and the deans had a shared understanding of each unit's short- and long-range goals, particularly within the context of the *21st Century University Initiative: Powering the 2020 Plan*.

BUDGET THEMES AND PRIORITIES

The FY 2019 Operating Budget has three major themes:

1. Renewed collaboration for student success;
2. Enhanced student recruitment and retention efforts; and
3. Financial sustainability and improving the liquidity of the university.

Student Success

We promise students who decide to come to the University of Louisville that we are going to provide them with an education. A full education, not a partial one. Yet given a 54.4 percent six-year graduation rate, we are not doing that for far too many of these young people.

Not doing so negatively affects the school's rankings, places us in a disadvantageous position as compared to benchmark schools, does not allow us to contribute to the workforce needs of the city and state to our maximal capacity and slows our plan to grow the undergraduate population and enhance the university's sustainability. In proper context, the situation is improved compared to 15 years ago when our graduation rate was 35 percent. Over that time, a proliferation of campus housing turned UofL from a commuter school into a residential campus.

It is helpful to know that there are models for success in this work. Benchmark and Atlantic Coast Conference (ACC) schools with better graduation rates provide good examples of how to accomplish this feat. More importantly, however, our own UofL student-athletes have a graduation rate that is 30 percent better than their non-athlete peers. It has become clear that resources are concentrated on these students to assure their success.

The ways in which resources can be applied to student success have been studied and are key parts of our student-athlete success model. The students live together in living and learning communities with their peers. There are mentors, tutors, academic advisors and career

advisors readily available. Varsity athletes, of course, do not pay tuition. This support system is expensive but if we are an institution of higher education and take our commitment to students seriously, we are confident that there is no better use of our funds. We are currently creating a business plan to understand how the resources we apply to our student-athletes could be extrapolated to the entire undergraduate population. Additionally, sophisticated predictive analytic tools exist and can be employed to help recognize students in need soon enough to intervene effectively. The costs associated with doing so will then be benchmarked against other institutions to validate the result from an outside perspective.

In the end, resources from our own budget will be needed to cover some of this cost. We hope, however, that this mission will create a burning platform for donors who could allow us to accelerate the process. Our students are why we are here and we will not rest until we are doing everything possible to assure their success. Based upon this rationale and the associated potential for revenue growth that it represents, leadership is allocating \$5 million for this purpose.

Enhanced Student Recruitment and Retention

Within a university system, there are a finite number of ways to generate revenue. The ability to produce an operating margin is even more limited. Given the clear desire to assure college affordability, a large institution of higher education must be efficient and understand appropriate organizational size to support the complex infrastructure. Operating experience at UofL coupled with benchmark analysis makes it clear that our undergraduate population is too small to adequately support our campus and programming needs.

A study of the history at the University of Cincinnati (UC) is helpful to this discussion. Ten years ago, UC was a smaller campus and had limited liquidity. The introduction of revenue targets and the development of a growth-oriented culture over time allowed for the addition of thousands of incremental students and a much improved cash position. Today, while our operating budgets are similar in size, we have a dramatically smaller undergraduate population than UC, which immediately points to concern about the ability to support the mission going forward.

Growth in the undergraduate population focuses on several components. These include, but are not limited to, student retention, online (hybrid) programs (for which a business plan has been developed), selective growth/addition of high demand course offerings, and a consideration of the addition of completer degrees and certificate programs.

The focus of resources on this goal in the past year has started to pay dividends. Recruitment strategies such as the development of strategic hubs in cities including Chicago, Nashville and Cincinnati have resulted in a marked uptick in applicants from these locations. Overall, we project a year-over-year increase in the entering class size of 17 percent. Unfortunately, this is largely offset by existing student attrition, which provides clear demonstration of the need for the coupling of recruitment and retention initiatives.

Depending on the in-state and out-of-state mix of students and allowing for financial aid considerations, one can imagine a day when UofL would be home to an additional 10,000 undergraduate students representing a marvelous opportunity to educate a broader population and adding approximately \$100 million to the revenue stream. To continue initiatives in this regard, leadership is allocating \$5 million for this purpose.

Financial Sustainability and Liquidity

To help ensure the university's short- and long-term success, the FY 2019 Operating Budget for the University of Louisville represents a structurally sound budget that will allow the university to grow and thrive in future years. In addition, the FY 2019 budget includes expenditure constraints affected through service efficiency and administrative economy and capture of salary lines vacated through attrition during the year.

Financial sustainability is a critical topic for universities and many institutions find themselves forced to refocus effort on this due to rising costs as well as fixed or declining income. Prior to January of 2017, the University of Louisville was faced with a declining cash position. State appropriations had decreased in most of the prior ten years, revenue sources were not accurately validated, decentralized expenses were hard to manage effectively and there had never been a business plan around supporting our growing research enterprise. More than simply an unacceptable business practice, this situation became a focus of attention for our debt rating agencies and for our accreditation body.

During 2017, the situation improved modestly. Revenue and expense budgets were reset to actual experience, revenues were validated and expenses were contained through efforts around hiring, operational efficiency and procurement. In FY 2017 and FY 2018, the university began to experience positive variance to a balanced budget, and as a result, our cash position has increased. Despite the improvement, the

university's cash position is still far from what it should be based on benchmark data. Being strategically responsive to rating agencies and SACS, the administration feels that we should take steps to improve our cash position.

Elements of such a plan include the completion and approval of a liquidity policy, the origination of an emergency line of credit, which was recently approved, and a deliberate contribution of operating margin to our savings. One day of cash on hand represents approximately \$3 million. It is our recommendation that in the FY 2019 budget direct \$5 million of operating margin to this purpose. SACS has asked that we report to them by August 2018 on our progress in this area and this will demonstrate clear effort directed to this end. The steps will also be critically important in our ongoing discussions with Moody's and Standard and Poor's.

OPERATING BUDGET OVERVIEW

The University of Louisville's Fiscal Year (FY) 2019 Operating Budget is \$1.2 billion. To avoid any delayed recognition of fiscal challenges, senior staff will regularly monitor the budget throughout the fiscal year. Analyses of budget-to-actual revenues and spending will form a cornerstone of these efforts. In addition, scrutiny of the university's cash balance will continue.

The university's budget has two primary groupings: general funds and non-general funds. The general fund budget encompasses most all-purpose activities of the university, including, for example, instruction and operational expenditures. General fund revenues primarily come from tuition, state appropriations, and various fees.

Non-general funds include activities tied to specific purposes such as grants, clinical revenues, and athletics, and flow through the university's affiliated corporations: University of Louisville Research Foundation, Inc. (ULRF); the University of Louisville Athletic Association, Inc. (ULAA); and the University of Louisville Foundation, Inc. (ULF), which are component units of the university. The governing board for each corporation approves the respective budget for each entity at their respective board meetings.

Table 2 shows the university's total operating budget.

Table 2: UofL Operating Budget

(dollars in millions)

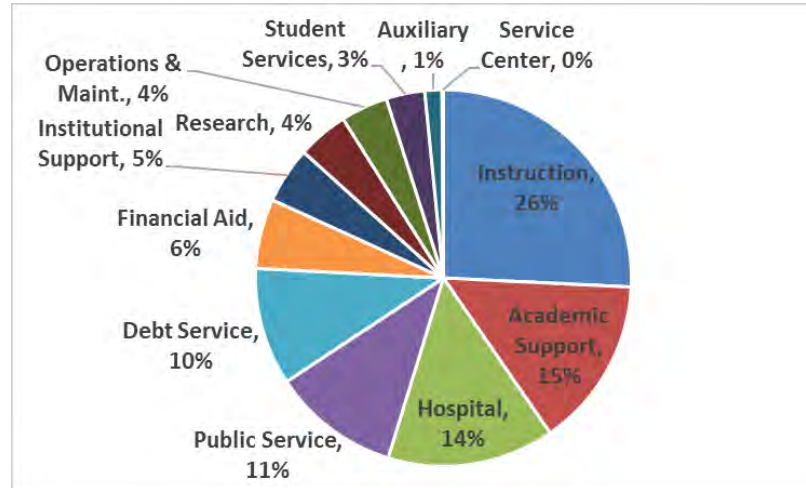
	General Funds	Clinical Operations	Sponsored Agreements	ULRF Financial Aid	Athletics	Foundation	All Funds
Revenues							
Tuition & Fees	\$324.2						\$324.2
State Appropriations	\$126.1						\$126.1
Transfers In	\$28.7						\$28.7
Auxiliaries	\$11.0				\$107.7		\$118.7
Hospital	\$7.6	\$28.6					\$36.2
Other	\$5.8	\$337.1	\$129.5	\$43.4		\$65.1	\$580.9
Total Revenues	\$503.5	\$365.7	\$129.5	\$43.4	\$107.7	\$65.1	\$1,214.9
Expenditures							
Salary	\$256.5	\$194.2	\$49.4		\$36.4	\$26.2	\$562.7
Fringe Benefits	\$69.2	\$41.2	\$12.8		\$8.3	\$6.2	\$137.7
Scholarships	\$60.9			\$43.4	\$15.9		\$120.2
Operating	\$72.6	\$130.3	\$67.3		\$47.1	\$32.7	\$350.0
Debt Service	\$23.2						\$23.2
Utilities	\$21.0						\$21.0
Total Expenditures	\$503.5	\$365.7	\$129.5	\$43.4	\$107.7	\$65.1	\$1,214.9

Source: UofL Budget Office

The two largest functional expenses in the FY 2019 operating budget are instruction and academic support. Instruction encompasses the general academic instruction expenses of the academic colleges and schools. Academic support entails activities and services that support the university's instruction and research mission, which include the services provided by University Libraries.

Figure E shows the university's FY 2019 operating budget expenses by functional class.

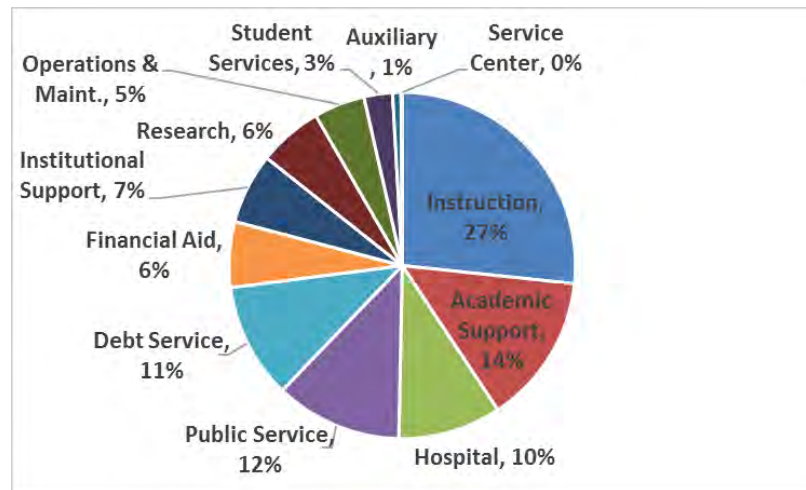
Figure E: UofL Operating Budget by Function, FY 2019



Source: UofL Budget Office

For comparison, Figure F shows the expense budget for FY 2018 by the same categories.

Figure F: UofL Operating Budget by Function, FY 2018



Source: UofL Budget Office



GENERAL FUND REVENUES

UNIVERSITY of LOUISVILLE



GENERAL FUND REVENUES
OVERVIEW

The total general fund revenue budget for FY 2019 is \$503.5 million. This is \$1.4 million, or 0.3 percent, less than FY 2018. The largest source of general fund revenue is tuition and fees, which total \$314 million and represent 62.4 percent of the total general fund revenue budget. State appropriations, the second largest source of funds, total \$126.1 million in FY 2019. Table 3 shows all major revenue categories in the university's general fund budget. The following sections provide more detail about each revenue category.

Table 3: General Fund Revenues by Source

(dollars in millions)

Description	FY 2017	FY 2018	FY 2018	FY 2019	Percent of Total	FY 18-19 Change	
	Actuals	Forecast	Budget	Budget		Dollars	Percent
Tuition and Bundled Fees	\$305.6	\$310.0	\$301.0	\$314.0	62.4%	\$13.0	4.3%
State Appropriation and Other State Funds	\$132.8	\$131.4	\$132.8	\$126.1	25.0%	(\$6.7)	-5.0%
Transfers In	\$32.8	\$27.3	\$26.1	\$28.7	5.7%	\$2.6	10.1%
Auxiliary Enterprises	\$10.9	\$14.3	\$19.8	\$11.0	2.2%	(\$8.7)	-44.3%
Miscellaneous Fees	\$10.5	\$9.9	\$9.6	\$10.2	2.0%	\$0.6	6.6%
Hospital Affiliated	\$8.8	\$7.6	\$6.6	\$7.6	1.5%	\$1.0	15.1%
Other Revenues*	\$5.0	\$4.4	\$9.1	\$5.8	1.2%	(\$3.3)	-35.8%
Total	\$506.4	\$505.0	\$504.9	\$503.5	100.0%	(\$1.4)	-0.3%

* Capital appropriations, investment income, event sales, and others.

Source: UofL Budget Office

TUITION AND FEES

Tuition and fee revenues total \$314 million for FY 2019. This is \$13 million, or 4.3 percent, more than FY 2018. UofL was the only Kentucky public university to hold tuition rates flat in FY 2018. In FY 2019, the university is increasing undergraduate, graduate, and professional tuition rates by 4 percent. In addition, the mandatory Student Athletics Fee is reduced by 50 percent, making the effective increase approximately 3.5 percent and 3.6 percent for resident undergraduate and graduate students, respectively, and 3.8 percent for non-resident undergraduate and graduate students. The FY 2019 tuition and fee budget increase is primarily due to:

- \$9.4 million for a 4 percent increase in tuition rates;
- \$5.2 million for continued strong demand for online courses;
- \$1.8 million for an enrollment increase in the Brandeis School of Law; and
- \$440,000 for an increase in undergraduate enrollment of 50 students. The enrollment increase is due to a larger entering freshmen class of approximately 400 new students, but will be offset because of a large graduation class as well as attrition.

Tuition Rates and the Council on Postsecondary Education

The Council on Postsecondary Education (CPE) is the state coordinating agency for the eight public universities and the community college system in the Commonwealth of Kentucky. One of its statutory responsibilities is to set tuition guidelines and then approve resident undergraduate tuition rates at all public higher education institutions. For the 2018-20 biennium, CPE capped resident undergraduate tuition rate increases at 6 percent, but no more than 4 percent in any given year. UofL's tuition rates for FY 2019 will increase by 4 percent.

Table 4 shows the proposed Academic Year (AY) 2019 tuition rates for undergraduate, graduate, and professional programs. Undergraduate students enrolled in 12 or more credits hours in a semester are considered full-time and pay the semester rates listed in the table. For graduate students, full-time status is 9 credit hours.

Table 4: Regular Tuition Schedule

(amounts in dollars)	AY	AY	Change	
	2018	2019	Amount	Percent
Undergraduate	<u>Semester Rates</u>			
Resident	5,534	5,730	196	3.5%
Nonresident	13,045	13,541	496	3.8%
Active Duty Military*	250	250	0	0.0%
Graduate				
Resident	6,123	6,342	219	3.6%
Nonresident	12,743	13,227	484	3.8%
Active Duty Military*	250	250	0	0.0%
Law (Full-time Program)				
Resident	10,548	10,944	396	3.8%
Nonresident	19,651	20,412	761	3.9%
Medicine	<u>Annual Rates</u>			
Resident	38,286	39,766	1,480	3.9%
Nonresident	58,196	60,472	2,276	3.9%
Dentistry				
Resident	32,412	33,658	1,246	3.8%
Nonresident	67,564	70,216	2,652	3.9%

* per credit hour

Source: UofL Budget Office

Table 5 shows proposed special tuition rates.

Table 5: Special Tuition Schedule

(amounts in dollars)	AY	AY	Change	
	2018	2019	Amount	Percent
	Semester Rates			
Urban Planning and Public Admin. Programs				
Resident	7,023	7,242	219	3.1%
Nonresident	13,643	14,127	484	3.5%
Online Education (per credit hour)				
Undergraduate	497	497	0	0.0%
Graduate	714	714	0	0.0%
Graduate - Urban Planning & Public Admin	855	855	0	0.0%
Law, full-time	1,098	1,098	0	0.0%
Law, part-time	914	914	0	0.0%
Active Duty Military*	250	250	0	0.0%

Source: UofL Budget Office

Table 5: Special Tuition Schedule (Cont.)

(amounts in dollars)	AY	AY	Change	
	2018	2019	Amount	Percent
	Fixed Price, Multi-Year			
Professional MBA Cohort Program				
Resident & Nonresident	32,000	32,000	0	0.0%
Full-time MBA Cohort Program				
Resident & Nonresident	32,000	32,000	0	0.0%
IMBA Cohort Program				
Resident & Nonresident	32,000	32,000	0	0.0%
Executive MBA Program				
Resident & Nonresident	67,500	67,500	0	0.0%
Masters in Accountancy Program				
Resident & Nonresident	21,000	21,000	0	0.0%
Masters in Business Analytics Program				
Resident & Nonresident	NA	30,000	NA	NA
Ed.D. Practitioner				
Resident & Nonresident	16,200	16,200	0	0.0%
Certificate Program in Accountancy				
Resident & Nonresident	12,900	12,900	0	0.0%
M.S. in Human Resources and Organization Development				
Resident & Nonresident	16,500	16,500	0	0.0%
Active Duty Military*	250	250	0	0.0%

* Excludes Master's of Engineering in Engineering Management Online fixed price program

Source: UofL Budget Office

New or Modified Tuition and Fees

The Task Force on Tuition and Fee Setting—a committee appointed by the provost that consists of deans, faculty, staff, and students—reviews all tuition and fee requests from academic and support units and recommends a course of action to leadership. The Task Force met from July 2017 through March 2018. The initial meetings focused on the overall costs borne by UofL students including tuition, academic fees, housing, dining and other related educational costs. The Task Force also examined college affordability, regional changes to tuition rates, and state appropriations to higher education in contiguous states. Tuition rate comparisons between UofL and its benchmark institutions and state and regional competitors were also reviewed. Table 6 lists the fee requests recommended by leadership. Detailed descriptions about each recommendation follow the table.

Table 6: Summary of Proposed Changes to Course and Unit Fees for AY 2019

Unit / Fee Name	Fee Amount	Fee Description
Chief Operating Officer		
1. University Parking	Various	Parking rate increase for most university permits
2. Dining Services	3%	3% increase to meal plan rates
College of Arts and Sciences		
3. Geography/Geosciences	\$300	New fee to fund a field trip to study real-life storm activity on the ground
College of Business		
4. International MBA	\$5,500	Existing fee that will be assessed in Spring term instead of Fall to accommodate curriculum change
College of Education and Human Development		
5. Health and Sport Sciences	\$95	New fee for HSS 3871 and 391 for lab machinery and equipment
Kent School of Social Work		
6. Course fee for SONIA software	\$18	Increase existing \$25 course fee to \$43 to support purchase and maintenance of student placement software

School of Medicine

7. Communicative Disorders	\$200	New fee for CMDS 572 to fund the purchase of 4 to 5 cadaver specimens and pay related costs
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Speed School of Engineering

8. Engineering	\$9	New fee for ENGR 111 to pay for purchase of consumable materials and supplies
9. Mechanical Engineering	\$20; \$20; \$15; \$30; \$40	New fees for ME 312, 324, 415, 532, 644 to pay for consumable materials and supplies

UL Athletic Association

10. Student Athletics Fee	-\$25	Reduce Student Athletics Fee from \$50 per semester to \$25 per semester
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Student Affairs

11. University Housing	2%; 3%	2% increase for traditional double rooms; 3% increase for apartment and suite style rooms
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Source: UofL Budget Office

University Parking

1. The request includes the elimination of the current Green permit for graduate students. Those students will be able to purchase Blue permits or a new permit for a parking lot adjacent to 3rd Street immediately south of the Speed School. Table 7 summarizes the proposed parking rate changes for FY 2019.

Table 7: Proposed Parking Rate Schedule

Permit	Eligibility	FY 2018	FY 2019	Proposed Change	
			Proposed	Dollars	Percent
Red	Employees	\$620	\$725	\$105	16.9%
Magenta, Jewish	HSC employees	\$399	\$485	\$86	21.5%
White	HSC students & employees	\$379	\$386	\$7	1.8%
Blue	Employees & grad students	\$296	\$296	\$0	0.0%
Yellow, Orange	Residents	\$169	\$169	\$0	0.0%
Green	Graduate students	\$149	*	--	--
Brown	HSC residents	\$169	\$169	\$0	0.0%
Purple	Undergraduates & alumni	\$100	\$100	\$0	0.0%
New Permit (3 rd Street)	Graduate students	--	\$199	--	--

Source: University Parking

Dining Services

- Dining Services is assessing a 3 percent increase in meal plan rates. The increase will offset higher operating costs. Table 8 summarizes the meal plan rate changes.

Table 8: Proposed Meal Plan Rate Schedule

(amounts in dollars)

Meal Plan	AY	AY	Change	
	2018	2019	Amount	Percent
Traditional Meal Plans				
Semester Rates				
Cardinal All Access Dining Plus Plan	1,988	2,048	60	3%
Cardinal All Access Plan	1,915	1,972	57	3%
Cardinal 135 Plan	1,597	1,645	48	3%
Campus Apartment Plans				
Cardinal 135 Plan	1,597	1,645	48	3%
Cardinal 100 Plan	1,335	1,375	40	3%
Cardinal 60 Plan	1,020	1,051	31	3%
Cardinal Apartment Flex Plan	1,020	1,051	31	3%
Commuter Plans				
Commuting Cardinal 5 Plus Plan	901	928	27	3%
Commuting Cardinal 5 Plan	747	769	22	3%
Commuting Cardinal 75 Plan	850	875	25	3%
Commuting Cardinal 50 Plan	530	546	16	3%
Commuting Cardinal Base Flex	250	275	25	10%

Source: COO and Office of Housing and Residence Life

General Fund Revenues

2019 Operating Budget



College of Arts and Sciences new fee for Geography/Geosciences

3. The department of Geography/Geosciences is assessing a \$300 fee to fund a field trip to study real-life storm activity on the ground. The students will learn instrumentation, tracking, and predicting of severe weather systems. The fee will also help offset lodging and food expenses.

College of Business IMBA fee

4. The current fee is applied to IMBA 654 in the fall term. To accommodate curriculum changes, the fee will now be applied to IMBA 664 in the spring term. The fee amount remains unchanged at \$5,500.

College of Education new fee for Health and Sport Sciences 381 and 391

5. The department of Health and Sport Sciences in the College of Education and Human Development is assessing a \$95 fee for HSS 381 and HSS 391 labs. The fee will support lab machinery and equipment used for teaching students.

Kent School of Social Work fee for Sonia software

6. The Kent School is increasing an existing fee by \$18 per course for Social Work 470, 471, 670, 671, 672, and 673 to pay for software platform charges imposed by the Office of Information Technology. The Kent School originally requested, and was granted, a \$25 per course fee last year. This fee increase will support the student placement software called Sonia. Sonia automates the practicum placement process, including showing available placements, record practicum hours, manage submission of assignments, and allow the School to interface more easily between students, faculty, and community social workers who are supervising students. Software platform charges were not initially anticipated in last year's fee request.

School of Medicine, Communicative Disorders fee

7. A new \$200 course fee will be assessed on all first-year graduate students enrolled in CMDS 572. Revenue generated from the fee will allow for the purchase of four to five cadaver specimens as well as the payment of facility fees associated with prospecting/dissecting the bodies.

Speed School new fee for engineering courses

8. A new \$9 course fee is being assessed to fund the purchase of consumable materials, supplies, and safety equipment associated with ENGR 111.
9. Various new course fees will be assessed to fund the purchase of consumable materials, supplies and safety equipment in the following courses:
 - ME 312 \$20
 - ME 342 \$20
 - ME 415 \$15
 - ME 532 \$30
 - ME 644 \$40

UL Athletic Association Student Athletics Fee

10. The Athletic Association, in consultation with SGA and the Dean of Students, is reducing the student athletics fee from \$50 per semester to \$25 per semester.

University Housing

11. The Director of Student Housing, with an endorsement by the Dean of Students, is assessing a 2 percent increase in housing rates for traditional double rooms and a 3 percent increase for apartment and suite style rooms. The increase will fund expected higher utility, maintenance and operation expenses. Campus Housing is entirely self-supporting and receives no financial subsidy from the University. Table 9 summarizes the housing rate changes.

Table 9: Proposed Housing Rate Schedule
(amounts in dollars)

(amounts in dollars)	AY 2018	AY 2019	Change	
			Amount	Percent
Traditional Halls (Miller, Threlkeld, Unitas)	Semester Rates			
Unitas triple	1,996	2,036	40	2.0%
Double	2,590	2,641	51	2.0%
Single	3,095	3,187	92	3.0%
Louisville Hall suites				
Deluxe Double	2,747	2,829	82	3.0%
Single	3,095	3,187	92	3.0%
Double	2,641	2,720	79	3.0%
Three Bed	2,694	2,774	80	3.0%
Two Bed	2,736	2,818	82	3.0%
University Tower Apartments (UTA)				
2 Bedroom, single	3,156	3,250	94	3.0%
2 Bedroom, double	2,799	2,882	83	3.0%
1 Bedroom, double	2,809	2,893	84	3.0%
Large studio, double	2,799	2,882	83	3.0%
Standard studio, double	2,772	2,855	83	3.0%
UTA Family Apts and Medical-Dental Apts	Per 4 Month Semester			
2 Bedroom Family	3,964	4,083	119	3.0%
2 Bedroom as Single	4,201	4,328	127	3.0%
1 Bedroom	3,508	3,613	105	3.0%
Large studio	3,232	3,329	97	3.0%
Standard studio	3,104	3,197	93	3.0%

Source: Office of Housing and Residence Life

STATE APPROPRIATIONS

State appropriations, including state-mandated programs, total \$126.1 million in FY 2019. This is \$6.7 million, or 5 percent, less than FY 2018.

In March 2017, the Kentucky's governor signed legislation to align state appropriations to colleges and universities with specific performance metrics, and established a postsecondary education performance-funding model. The bill represented the culmination of almost two years of dialogue and debate among legislators, representatives from the governor's office, university and KCTCS presidents, CPE, and other higher education advocates. The final bill, signed by the governor in April, included the following performance metrics:

- 35% for student success;
- 35% for course completion (i.e., credit hours earned); and
- 30% for maintenance and operating expenses, institutional support, and academic support.

35% for Student Success

- number of baccalaureate degrees;
- number of STEM+H degrees;
- degrees earned by low- and moderate-income students;
- number of degrees earned by underrepresented minorities; and
- student credit hour progression.

Table 10 shows the three-year average of student success metrics for public universities in Kentucky from academic years 2015 to 2017.

Table 10: Three-year Average of Student Success Metrics for Public Universities in Kentucky from Academic Years 2015 to 2017

Institution	Bachelor's Degrees				Student Progression		
	Total Degrees	STEM+H Degrees	Low Income Degrees	URM Degrees	30 Credit Hours	60 Credit Hours	90 Credit Hours
Univ. of Kentucky	4,473	1,614	1,422	530	3,809	3,963	4,471
Univ. of Louisville	2,849	857	1,183	525	2,092	2,439	2,878
Eastern Kentucky Univ.	2,555	764	1,383	211	1,886	1,872	2,317
Kentucky State Univ.	287	65	215	161	176	199	254
Morehead State Univ.	1,254	335	742	79	993	1,039	1,249
Murray State Univ.	1,636	698	752	151	1,042	1,136	1,583
Northern Kentucky Univ.	2,216	681	1,049	213	1,589	1,652	1,980
Western Kentucky Univ.	2,791	819	1,340	304	2,193	2,253	2,714
Total	18,061	5,832	8,086	2,174	13,779	14,553	17,446

* Under Represented Minorities (URM)

Source: Council on Postsecondary Education; UofL Budget Office

35% for course completion (i.e., credit hours earned)

Increasing the number of credit hours earned, relative to the other postsecondary institutions in Kentucky, will increase a university's share of funds from the state performance funding pool.

Course completion data for AY 2017 is still pending as of the publication of this document.

30% for maintenance and operating expenses, institutional support, and academic support.

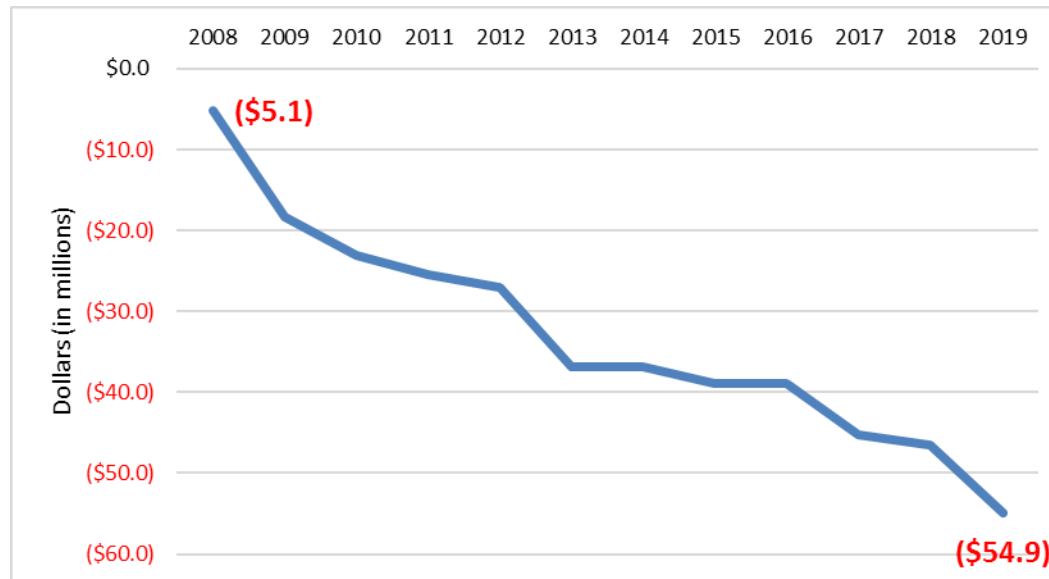
Increasing maintenance and operating expenses, relative to the other postsecondary institutions in Kentucky, will increase a university's share of funds from the state performance funding pool.

Maintenance and operating expenses, institutional support, and academic support data for AY 2017 is still pending as of the publication of this document.

The state’s 2018-20 biennial budget reduces appropriations to public postsecondary institutions in FY 2019 by 6.25 percent. For the University of Louisville, the reduction totals \$8.3 million. The state’s 2018-20 biennial budget also appropriates \$31 million in FY 2019 to the performance-funding pool. Funding to public universities from this pool will be based on how well each institution performs on the aforementioned metrics. UofL is expected to receive approximately \$1.6 million from this pool.

Figure G shows that net annual state appropriations to UofL have declined by \$54.9 million since FY 2008.

Figure G: Change in Annual State Appropriations to UofL since FY 2008



Source: UofL budget documents; Council on Postsecondary Education

General Fund Revenues

2019 Operating Budget



TRANSFER REVENUES

The university receives revenues from the University of Louisville Research Foundation and the University of Louisville Athletic Association. These sources of funds are identified as “transfers” in the university’s operating budget. Transfer revenues total \$28.7 million for FY 2019. This is \$2.6 million, or 10.1 percent, more than FY 2018 primarily due to a transfer from the UofL Athletic Association to cover debt service for the Papa John’s Cardinal Stadium expansion.

University of Louisville Research Foundation

- Each year, university faculty and researchers are awarded numerous sponsored grants and contracts for the purpose of conducting research or providing a service. Administrative overhead costs are funded by a Facilities and Administrative recovery charge. The Research Foundation transfers the university’s portion of these revenues to the university. For FY 2019, total indirect cost recoveries for the university are expected to provide an estimated \$11 million.
- The UofL Research Foundation contributes to the debt service for research facilities across campus, for example, the Center for Preventive Medicine, Belknap Research Building, and the Clinical and Translational Research (CTR) Building.

University of Louisville Athletic Association

The UofL Athletic Association pays the debt service, for example, on the Jim Patterson baseball stadium expansion, the Papa John’s Cardinal Stadium expansion, and the renovation of the University of Louisville Golf Club.

UNIVERSITY AUXILIARIES

Auxiliary units support specific campus activities such as housing and parking. Revenues from these activities pay associated operating expenses. For FY 2019, auxiliary budgets total \$11.0 million. This is \$8.7 million, or 44.3 percent, less than FY 2018. The change is due to a reduction to the University Housing budget, resulting from a reclassification of six new housing programs that were previously overseen by the University of Louisville Foundation, but are now managed and operated by Campus Housing. The revenue and expenses for these housing programs, which were budgeted under University Housing in FY 2018, will remain with the University of Louisville Foundation in FY 2019.

MISCELLANEOUS FEES

This group of fees include those incurred when students take specific courses. They are different from bundled fees that are applied to all students who pay tuition. For FY 2019, these fees are expected to be \$0.6 million, or 6.6 percent, more than FY 2018. Examples of these fees are found in the prior table: Summary of Proposed Changes to Course and Unit Fees for AY 2019.

HOSPITAL RELATED REVENUE

Hospital-related revenues, which includes hospital rent, totals \$7.6 million in FY 2019. This is \$1 million, or 15.1 percent, more than FY 2018, and is due to an increase in rental rates.

OTHER REVENUES

Other revenues total \$5.8 million in FY 2019. Other revenues include non-educational revenue, interest income related programs, organized activities related to instruction, and sales and services of educational activities. The primary change in this small general fund category is a reduction in short-term investments to reflect the proportion of actual revenue expected in FY 2019.

- Non-educational revenues come from non-instructional activities, but provide benefits to the educational mission of the university. Some examples include the Early Learning Campus (daycare), Get Healthy Now!, interest income, and procurement card services.
- Organized activities related to instruction include the Rauch Planetarium, Family Business Center, and the AP Summer Institute.
- Sales and services of educational activities include revenue from the International Service Learning program and the non-credit dance program.

General Fund Revenues

2019 Operating Budget



DETAIL STATEMENT OF UNIVERSITY GENERAL FUND REVENUES

Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Tuition - Undergraduate							
01455	Dental Hygiene - Summer	84,821	28,771	82,000	77,000	(5,000)	-6.1%
01456	Dental Hygiene	1,467,459	1,219,255	1,174,000	760,000	(414,000)	-35.3%
01490	Continuing Studies	22,648	38,656	0	0	0	0.0%
10001	Tuition	136,048,600	148,981,057	141,607,000	144,709,000	3,102,000	2.2%
10002	Tuition - Summer	10,600,715	3,902,819	9,671,000	9,287,000	(384,000)	-4.0%
10668	Workforce Completr Degree Prog	418,693	376,284	375,000	355,000	(20,000)	-5.3%
10807	Spd-Co-op Fee	0	0	273,900	0	(273,900)	-100.0%
30236	Southern Police Institute	110,974	114,715	0	0	0	0.0%
Tuition - Undergraduate Total		148,753,909	154,661,557	153,182,900	155,188,000	2,005,100	1.3%
Tuition - Professional							
01469	Law-Full-time	64,594	10,724	48,000	54,000	6,000	12.5%
01471	Law - Full-time	6,933,783	6,882,599	6,844,000	8,962,000	2,118,000	30.9%
10001	Tuition	49,243,134	51,319,962	51,351,000	53,273,000	1,922,000	3.7%
Tuition - Professional Total		56,241,511	58,213,285	58,243,000	62,289,000	4,046,000	6.9%
Tuition - Online							
10002	Tuition - Summer	0	130,350	0	0	0	0.0%
10524	Delphi - Online	5,566,308	6,101,112	6,605,000	7,773,000	1,168,000	17.7%
10585	Dis Ed - Anthropology	234,171	292,112	225,000	280,000	55,000	24.4%
10586	Dis Ed - Communications	1,153,787	1,153,981	1,097,000	1,127,000	30,000	2.7%
10587	Dis Ed - Geography	119,198	151,709	114,000	190,000	76,000	66.7%
10588	Dis Ed - Justice Admin	978,027	1,021,112	889,000	930,000	41,000	4.6%
10589	Dis Ed - Psychology	488,095	550,892	465,000	513,000	48,000	10.3%
10590	Dis Ed - Political Science	130,031	122,275	135,000	135,000	0	0.0%
10591	Dis Ed - Sociology	435,525	548,555	423,500	458,000	34,500	8.1%
10592	Dis Ed - A&S Admin	762,624	824,110	703,000	850,000	147,000	20.9%
10593	Dist Ed-EDTL	259,742	354,586	230,000	470,000	240,000	104.3%

Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
10594	Dist Ed-HPES	966,837	982,770	800,000	1,170,000	370,000	46.3%
10595	DIST ED-ED ADMIN	318,699	354,170	290,000	390,000	100,000	34.5%
10596	Dist Ed-ELFH	1,623,597	1,818,138	1,552,000	1,830,000	278,000	17.9%
10597	Dist Ed-COB	0	0	200,000	0	(200,000)	-100.0%
10598	A&S Urban & Public Affairs	24,874	10,817	20,000	20,000	0	0.0%
10599	Dist Ed-Marketing	0	15,301	30,800	50,000	19,200	62.3%
10600	Dist Ed-Nursing Admin	29,498	23,108	29,500	29,500	0	0.0%
10601	DIST ED-NURSING	263,689	206,514	261,077	261,077	0	0.0%
10602	DIST ED-LIBRARY	1,413,805	1,549,418	1,200,000	1,800,000	600,000	50.0%
10603	Dis Ed-ECPY	0	12,863	57,440	57,440	0	0.0%
10617	Dis Ed-Speed Dean's Office	174,561	212,050	160,000	225,000	65,000	40.6%
10626	Distance Ed. -PAS	286,303	279,405	280,000	280,000	0	0.0%
10628	Dist Ed-Pharmacology	0	0	0	0	0	0.0%
10630	Dist Ed-Women & Gender Studies	166,025	162,865	180,000	190,000	10,000	5.6%
10643	Dist Ed-Humanities	420,103	481,775	325,000	434,000	109,000	33.5%
10644	Dist Ed-Kent Admin	173,144	186,047	172,360	246,500	74,140	43.0%
10645	Dist Ed-Kent Instruction	1,550,817	1,666,544	1,626,498	2,127,000	500,502	30.8%
10649	Dist Ed-SPD Comp Engr/Science	286,307	335,725	240,000	364,000	124,000	51.7%
10651	Dist Ed-English	117,268	151,090	108,500	150,000	41,500	38.2%
10652	Dist. Ed- Philosophy	266,447	250,364	233,440	256,000	22,560	9.7%
10653	Dist Ed-Fine Arts	208,008	196,731	194,000	215,000	21,000	10.8%
10654	Dist Ed-Class/Mod Languages	558,981	595,274	559,500	559,500	0	0.0%
10658	Dist Educ-Civil & Environ Engr	19,770	28,113	53,800	52,500	(1,300)	-2.4%
10659	Dist Educ-Theatre Arts	99,317	100,057	95,500	95,500	0	0.0%
10671	Liberal Studies Speaker's Fund	0	0	0	0	0	0.0%
10672	Distance Ed-Social Change	9,580	9,342	5,000	7,000	2,000	40.0%
10673	Distance Ed-Mathematics	10,447	42,522	25,000	50,000	25,000	100.0%
10674	Distance Ed-Physics	90,807	139,462	66,000	140,000	74,000	112.1%
10676	Dist Ed-SIGS Bioethics MA	6,675	240	0	0	0	0.0%
10677	Dist Ed-SIGS Dean's Office	745	517	0	0	0	0.0%

General Fund Revenues

2019 Operating Budget



Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
10708	Distance Education-Law	31,688	40,639	15,000	35,000	20,000	133.3%
10709	Chemistry Distance Edu	239,778	277,686	181,000	236,000	55,000	30.4%
10710	Biology Distance Education	356,050	385,060	303,000	347,000	44,000	14.5%
10715	Distance Education-History	293,533	302,924	272,000	272,000	0	0.0%
10719	Dist Educ-SPD Industrial Engr	340,600	349,199	252,000	445,000	193,000	76.6%
10723	Paralegal D.E.	41,291	37,521	40,000	30,000	(10,000)	-25.0%
10727	Dist Ed-Finance	83,969	109,008	110,000	150,000	40,000	36.4%
10729	Dist Ed-SPD Bio	0	3,530	0	100,000	100,000	0.0%
10733	Dist Educ-SPD Mechanical	15,418	25,789	17,000	38,000	21,000	123.5%
10736	DE for Music History	75,672	47,427	45,017	51,083	6,066	13.5%
10737	DE Administration Fee 10%	8,469	5,307	5,038	5,716	678	13.5%
10738	Dist Ed-SPD ECE	3,122	15,311	7,000	16,000	9,000	128.6%
10741	Dist Educ-CIS	0	40,420	84,000	280,000	196,000	233.3%
10742	A&S LALS Distance Education	18,306	33,530	15,000	30,000	15,000	100.0%
10754	Advising D.E.	0	0	0	4,500	4,500	0.0%
10755	Dist Educ-SPD Chemical	5,079	4,646	10,000	12,000	2,000	20.0%
10758	Dist-Educ-Mgmt	92,795	88,056	99,000	210,000	111,000	112.1%
10779	Dist Ed- Dental Admin	691	667	600	0	(600)	-100.0%
10780	Dist Ed-Dental Hygiene	6,173	5,960	6,000	0	(6,000)	-100.0%
10783	SPD-Eng. Fund Dist Educ	22,791	21,000	73,556	32,000	(41,556)	-56.5%
10784	Dist Ed-Economics	137,605	139,377	146,000	175,000	29,000	19.9%
10790	D E Peace, Justice & Conflict	9,605	12,579	9,400	25,000	15,600	166.0%
10800	Dist Ed-Accountancy	36,566	112,569	140,000	250,000	110,000	78.6%
10803	Competency Based Educ (CBE)	0	56,837	78,300	122,000	43,700	55.8%
10804	Spd-Distance Ed IE Undergrad	0	58,526	60,000	60,000	0	0.0%
10819	Dist Ed- Kent BSW Instruction	0	0	0	147,690	147,690	0.0%
30374	Dist Ed Tuition Rev-University	7,008,926	7,615,312	6,800,000	6,800,000	0	0.0%
Tuition - Online Total		28,041,937	30,850,894	28,420,826	33,600,006	5,179,180	18.2%

Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Tuition - Graduate							
01276	A&S Summer School	0	(1,536)	0	0	0	0.0%
01455	Dental Hygiene - Summer	0	0	0	1,000	1,000	0.0%
01456	Dental Hygiene	(368,139)	0	0	0	0	0.0%
10001	Tuition	22,942,218	26,494,528	24,493,000	24,381,000	(112,000)	-0.5%
10002	Tuition - Summer	4,054,092	1,242,316	3,816,000	3,885,000	69,000	1.8%
10008	Urban and Pub Affairs Tuition	952,673	790,792	0	607,000	607,000	0.0%
10441	ELFH/GE/Off campus program	713,971	804,850	717,700	730,000	12,300	1.7%
10470	UL/WKU & ELOD/ED.D	191,160	199,680	212,500	220,000	7,500	3.5%
10759	M.S HR/OLL-Ft. Knox	41,709	37,412	48,600	30,000	(18,600)	-38.3%
30391	Credit For Learning Program	763,604	863,719	0	900,000	900,000	0.0%
Tuition - Graduate Total		29,291,289	30,431,760	29,287,800	30,754,000	1,466,200	5.0%
Non/Mandatory Fees							
01408	Audiology	0	4,564	0	0	0	0.0%
01457	Dental Applicat Fees	214,065	178,165	102,000	0	(102,000)	-100.0%
01496	Undergraduate Application Fees	0	0	435,000	435,000	0	0.0%
01497	Transcript Fees	0	0	138,800	138,800	0	0.0%
10147	SGA Student Act Fee	477,117	476,876	465,600	465,600	0	0.0%
10424	IESL-Intnsv Engl as Scnd Lang	(100)	0	0	0	0	0.0%
10427	Community Music Program	2,000	0	185,000	0	(185,000)	-100.0%
10433	Microscope Rental	23,944	0	0	0	0	0.0%
10435	SPI Special Fees	31,148	32,198	40,000	40,000	0	0.0%
10474	Int'l Service Learning	0	0	243,000	243,000	0	0.0%
10479	University Testing	156,357	153,480	160,800	160,800	0	0.0%
10480	Diploma Replacement	4,898	4,520	4,000	4,000	0	0.0%
10527	Hope Tax Credit	50,397	57,396	35,000	35,000	0	0.0%
10528	Student Service Fee	2,979,920	2,976,139	2,812,900	2,812,900	0	0.0%
10574	Dental Instrument Rental	3,337,265	3,320,236	3,337,265	3,357,000	19,735	0.6%
10592	Dis Ed - A&S Admin	0	416	0	0	0	0.0%
10686	NUR U/G Clinical Lab Fee	24,985	25,320	0	0	0	0.0%

General Fund Revenues

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Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
10687	Grad Ped Dent Residency Fee	55,524	55,524	56,000	56,000	0	0.0%
10688	Grad Periodontic Residency Fee	62,465	62,465	63,000	63,000	0	0.0%
10689	Grad Endodontic Residency Fee	41,643	41,643	42,000	42,000	0	0.0%
10690	Grad Orthodontic Residency Fee	90,227	83,286	84,000	84,000	0	0.0%
10691	Mathematics Lab Fees	2,380	2,796	2,400	2,400	0	0.0%
10693	Chemistry Lab Fees	112,450	115,243	110,000	110,000	0	0.0%
10694	Biology Lab Fees	57,849	55,381	55,000	55,000	0	0.0%
10695	Urban & Pub Affair Lab Fee	15,889	14,004	13,600	13,600	0	0.0%
10696	SPHIS Technology Fee	21,714	23,697	20,000	20,000	0	0.0%
10697	Medical School Technology Fee	310,235	305,128	536,000	627,850	91,850	17.1%
10698	Spd-Cleanroom Lab Fee	1,289	1,190	1,500	2,000	500	33.3%
10699	Spd-Technology Fee	159,468	165,026	194,000	194,000	0	0.0%
10701	Music Non-Major Fees	8,527	8,527	8,700	8,700	0	0.0%
10702	Music Major Fees	116,418	118,305	118,500	122,500	4,000	3.4%
10703	Law School Technology Fees	31,644	29,624	33,000	33,000	0	0.0%
10705	Graduate Nursing Clinical Fee	18,844	33,658	0	100,775	100,775	0.0%
10706	Technology-Career Managmnt Fee	375,557	397,252	375,000	450,000	75,000	20.0%
10707	HSS Course Fee	97,008	80,279	100,000	77,000	(23,000)	-23.0%
10711	Law School Orientation Fee	240	0	0	0	0	0.0%
10712	Theatre Arts Lab Fees	139	416	300	0	(300)	-100.0%
10713	Physics Lab Fees	38,526	38,438	36,000	38,000	2,000	5.6%
10729	Dist Ed-SPD Bio	0	0	5,000	0	(5,000)	-100.0%
10747	Student Recreation Center	3,501,073	3,508,664	3,500,000	3,500,000	0	0.0%
10748	NSG Instructional Course Fee	408,427	418,255	0	464,532	464,532	0.0%
10749	Nursing Clinical Course Fee	146,189	151,287	146,000	186,644	40,644	27.8%
10750	Acute Care NP Cln Course Fee	793	2,181	1,372	1,372	0	0.0%
10751	Grad Prosthodontic Residency F	41,643	41,643	42,000	42,000	0	0.0%
10752	Visiting Med Student Fee	1,000	4,900	0	0	0	0.0%
10757	Music Therapy	0	0	35,000	0	(35,000)	-100.0%
10764	ASL Lab Fee	14,077	14,445	14,000	16,200	2,200	15.7%

Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
10767	Interpreter Training Lab Fee	2,112	2,320	2,200	0	(2,200)	-100.0%
10768	Graduate Business Course Fee	1,363	1,193	1,500	1,500	0	0.0%
10775	FTMBA Tuition-COB	6,350	4,800	0	0	0	0.0%
10785	PAS International Programs	0	0	15,000	0	(15,000)	-100.0%
10788	TEAD field and clinical fee	18,636	55,266	60,000	60,000	0	0.0%
10789	SPHIS CPH exam fee	1,863	26	0	0	0	0.0%
10802	HSS Lab Fee	18,686	33,923	35,000	30,000	(5,000)	-14.3%
10807	Spd-Co-op Fee	0	286,293	0	290,000	290,000	0.0%
10812	Kent Practicum Student Fee	0	0	0	31,050	31,050	0.0%
10813	A&S Technology Fee	0	0	0	75,000	75,000	0.0%
10818	Lab Fee-Studio Art	0	0	0	41,773	41,773	0.0%
30237	Lab Fee-Studio Art	38,490	43,896	23,700	0	(23,700)	-100.0%
30272	International Scholars Fee	0	0	14,000	14,000	0	0.0%
30281	Foreign Student Fees	50,232	59,814	40,000	50,000	10,000	25.0%
30298	Candidacy Fees - Doc Prgm	1,376,543	1,463,806	1,143,200	1,143,200	0	0.0%
30299	Candidacy Fees - Masters	341,723	377,288	240,200	240,200	0	0.0%
30301	Stl Proc & Late Fees	38,070	40,505	125,000	125,000	0	0.0%
30302	Late Registration Fee	633,000	398,800	165,000	165,000	0	0.0%
30303	Medical Application Fee	116,950	109,450	105,000	105,000	0	0.0%
30304	Student Center Bldg Alloc	535,850	596,929	500,000	500,000	0	0.0%
30319	Returned Check Fees	2,168	2,642	0	0	0	0.0%
30340	Law Application Fee	15,485	1,520	18,000	18,000	0	0.0%
30383	Graduate Business Course Fee	183	0	0	0	0	0.0%
30626	Dist E State Certification Fee	232,646	254,322	0	0	0	0.0%
40033	Housing Administration	223,437	245,947	240,000	216,000	(24,000)	-10.0%
40046	Housing-Billy Minardi	0	0	2,527	0	(2,527)	-100.0%
40047	Housing-Bettie Johnson	0	0	91,558	0	(91,558)	-100.0%
40048	Housing - Kurz Hall	0	0	47,394	0	(47,394)	-100.0%
40051	Housing - Community Park	0	0	113,113	0	(113,113)	-100.0%
S1069	Design And Printing Services	442	269	0	0	0	0.0%

General Fund Revenues

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Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
X0533	Athletic Subsidy Student Fees	1,986,961	1,986,178	1,975,000	987,500	(987,500)	-50.0%
X0536	Ath Facility Student Fee	524,334	517,070	493,500	493,500	0	0.0%
Non/Mandatory Fees Total		19,198,758	19,484,823	19,002,629	18,589,396	(413,233)	-2.2%
Tuition - Other							
01014	Delphi-Credit Course Support	1,678	1,489	0	0	0	0.0%
01121	Physics	1,000	0	0	0	0	0.0%
01620	QEP Ideas to Action	975	0	0	0	0	0.0%
01669	Sustainability Office	6,500	0	0	0	0	0.0%
10002	Tuition - Summer	0	(130,350)	0	0	0	0.0%
10417	Delphi-Professional Developmnt	678,490	510,790	850,000	675,000	(175,000)	-20.6%
10420	Continuing Ed - Dentistry	229,653	238,369	229,653	300,000	70,347	30.6%
10421	Justice Admin - Continuing Ed	1,424,687	1,296,976	1,200,000	1,300,000	100,000	8.3%
10424	IESL-Intnsv Engl as Scnd Lang	272,244	182,363	0	0	0	0.0%
10427	Community Music Program	10,056	0	0	0	0	0.0%
10456	Delphi-Conference & Facilities	637,450	723,414	1,100,000	1,150,000	50,000	4.5%
10504	Reach Virtual Math Center	0	0	12,700	12,700	0	0.0%
10610	Miami/Europe	199,961	0	250,000	250,000	0	0.0%
10614	Delphi - Options	37,225	8,581	0	0	0	0.0%
10680	SPD-Panama MEEM Program	207,723	126,220	172,000	172,000	0	0.0%
10714	UPA	136,511	124,418	122,000	127,000	5,000	4.1%
10731	Panama QLU Program	355,910	436,657	382,000	552,000	170,000	44.5%
10746	SPD-GE Edison Program	302,400	302,400	302,400	302,400	0	0.0%
10769	Prof MBA Tuition-COB Share	413,178	510,854	500,000	500,000	0	0.0%
10770	IMBA Tuition COB Differential	376,360	315,683	420,000	420,000	0	0.0%
10771	MACC Tuition COB Differential	51,649	59,627	60,000	0	(60,000)	-100.0%
10772	Joint MBA Tuition-COB Share	241,070	137,069	114,000	60,000	(54,000)	-47.4%
10774	IMBA/MBA Intl Trip Fund	522,200	539,100	450,000	650,000	200,000	44.4%
10775	FTMBA Tuition-COB	1,213,269	1,196,218	1,200,000	1,470,000	270,000	22.5%
10776	UofL Grad Post MBA Tuit-COB	0	402	3,000	3,000	0	0.0%
10777	Non UofL Grad Post MBA Tui-COB	0	0	3,000	3,000	0	0.0%

Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
10778	Certificate in Accounting	61,545	60,364	127,500	70,000	(57,500)	-45.1%
10782	UK-UL Joint Executive MBA Prog	585,523	479,233	500,000	500,000	0	0.0%
10792	UK Portion of ExecMBA Program	596,368	488,108	500,000	500,000	0	0.0%
10817	MS in Business Analytics	0	0	0	705,000	705,000	0.0%
30487	Prof MBA Tuition-University	1,072,352	1,305,171	1,300,000	1,300,000	0	0.0%
30488	IMBA Tuition-University	89,439	75,171	0	50,000	50,000	0.0%
30489	MAcc Tuition-University	228,585	263,889	0	0	0	0.0%
30490	Prof MBA Tuition-COB Share	7,287	1,588	0	0	0	0.0%
30496	Joint MBA Tuition-University	32,616	27,916	0	20,000	20,000	0.0%
30572	Post MBA Special Programs-Univ	0	44	0	0	0	0.0%
X0005	Bad Debt Expense	2,574,129	2,663,768	2,500,000	2,500,000	0	0.0%
Tuition - Other Total		12,568,036	11,945,531	12,298,253	13,592,100	1,293,847	10.5%
Total Tuition and Non/Mandatory Fees		294,095,441	305,587,849	300,435,408	314,012,502	13,577,094	4.5%
State Funds							
01406	Bequeathal Program	0	0	0	50,000	50,000	0.0%
30305	State Appropriation	143,087,248	132,818,400	132,758,000	126,015,525	(6,742,475)	-5.1%
State Funds Total		143,087,248	132,818,400	132,758,000	126,065,525	(6,692,475)	-5.0%
Transfers In							
01003	Univ Counsel Office	0	0	0	0	0	0.0%
01008	Office Of The Provost	2,000	38,986	0	0	0	0.0%
01048	Financial Administration	29,578	0	0	0	0	0.0%
01099	Community Engagement	30,000	20,000	0	0	0	0.0%
01117	Mathematics	19	0	0	0	0	0.0%
01120	Philosophy	0	1,441	0	0	0	0.0%
01163	Educ & Counsel Psych	0	2,677	0	0	0	0.0%
01169	Intd Ctr Res Erl Chd	0	15	0	0	0	0.0%
01175	Leder.,Fnd.,Hr Ed	(1,530)	0	0	0	0	0.0%
01180	Kent-Instruction	0	62	0	0	0	0.0%
01202	Gen Dentistry & Oral Medicine	0	200,017	0	0	0	0.0%
01207	Dental Clinic Supprt	0	565,000	0	80,938	80,938	0.0%

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Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
01209	Academic Support	0	885,000	0	879,927	879,927	0.0%
01212	Anatom Sc & Neurbiology	0	25,000	0	0	0	0.0%
01214	Microbiology	65,521	0	0	0	0	0.0%
01215	Pharmacology	0	1,256	0	0	0	0.0%
01216	Physiology	0	3,352	0	0	0	0.0%
01217	Pathology	20,000	0	0	0	0	0.0%
01233	Surgery	0	0	0	19,503	19,503	0.0%
01237	Oncology Res Som	0	0	0	2,780	2,780	0.0%
01246	Rural Health Care	4,000	0	0	0	0	0.0%
01280	A&S Reallocation	17,503	0	0	0	0	0.0%
01323	Inst Research & Plan	12,619	0	0	0	0	0.0%
01398	Technology Tranfser Office	21,666	0	0	0	0	0.0%
01403	Medical Administration	0	0	0	(208,463)	(208,463)	0.0%
01406	Bequeathal Program	0	0	20,000	0	(20,000)	-100.0%
01408	Audiology	0	0	0	6,219	6,219	0.0%
01410	Cancer Outr W Loui Program	0	0	0	20,743	20,743	0.0%
01413	Integrated Prog-Biological	0	0	0	2,337	2,337	0.0%
01441	Hlth Promo and Behavioral Sci	0	4,000	0	0	0	0.0%
01457	Dental Applicat Fees	0	0	0	102,000	102,000	0.0%
01460	SIGS Projects	0	500	0	0	0	0.0%
01463	Hospital Rent	800,297	0	0	0	0	0.0%
01565	Weiskopf CEC Autism Service	0	0	0	577	577	0.0%
01638	A&S International Program	200	(210,000)	0	0	0	0.0%
01672	Community Engagement Award	14,000	0	0	0	0	0.0%
01684	ULH Annual Transfers	0	0	200,000	0	(200,000)	-100.0%
01688	Academic Strategic Rsv Fund	0	1,365,000	0	0	0	0.0%
01704	Gen Receipt 2016D	0	374,764	2,108,050	2,108,050	0	0.0%
01705	Gen Receipt 2016E	0	25,220	141,860	1,176,860	1,035,000	729.6%
01706	Gen Receipt 2016F	0	2,418,902	3,067,000	3,062,250	(4,750)	-0.2%
01950	Reserve Strategic Initiatives	0	245,087	0	0	0	0.0%

Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
01990	Academic & Professional Studies	4,030	0	0	0	0	0.0%
01992	MR Research Facility	182	182	0	0	0	0.0%
01993	Reserve for Pending Salary Adj	0	14,659	0	0	0	0.0%
02005	Cardiothoracic Surgery	0	0	0	6,225	6,225	0.0%
02063	Oral Immunol & Infect Diseases	0	450,000	0	0	0	0.0%
02075	Prov strategic VSIP Relocate	0	864,489	0	0	0	0.0%
02077	Prov VSIP Strategic Disc Funds	0	450,260	0	0	0	0.0%
02080	Operational Reserve Fund	16,687	175,518	0	0	0	0.0%
02081	SACS/Accreditation	357	0	0	0	0	0.0%
02088	FY 2017 Budget Reduction	0	602,127	0	0	0	0.0%
10420	Continuing Ed - Dentistry	18,823	4,347	0	0	0	0.0%
10470	UL/WKU & ELOD/ED.D	300	0	0	0	0	0.0%
10476	Intramural Fees	1,551	0	0	0	0	0.0%
10520	Continuing Education-Medicine	0	141,813	0	84,924	84,924	0.0%
10553	Dental - Student Council	30	0	0	0	0	0.0%
10574	Dental Instrument Rental	17,923	47,197	0	23,773	23,773	0.0%
10575	Sterilizer Service Program	21,429	0	0	0	0	0.0%
10579	Campus Health Services	0	19,804	(380,272)	(312,580)	67,692	-17.8%
10586	Dis Ed - Communications	0	500	0	0	0	0.0%
10589	Dis Ed - Psychology	0	(37,000)	0	0	0	0.0%
10592	Dis Ed - A&S Admin	0	0	0	350,000	350,000	0.0%
10600	Dist Ed-Nursing Admin	0	0	0	509	509	0.0%
10601	DIST ED-NURSING	0	0	0	7,894	7,894	0.0%
10628	Dist Ed-Pharmacology	4,564	0	0	0	0	0.0%
10630	Dist Ed-Women & Gender Studies	0	46,655	0	0	0	0.0%
10649	Dist Ed-SPD Comp Engr/Science	0	500	0	0	0	0.0%
10678	Campus Health-Promo/Educ Svcs	0	349,539	355,822	337,718	(18,104)	-5.1%
10723	Paralegal D.E.	0	232	0	0	0	0.0%
10746	SPD-GE Edison Program	0	65,000	0	0	0	0.0%
10779	Dist Ed- Dental Admin	9	255	0	0	0	0.0%

General Fund Revenues

2019 Operating Budget



Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
10780	Dist Ed-Dental Hygiene	16	0	0	0	0	0.0%
10787	Military Initiatives	67,000	0	0	0	0	0.0%
30001	Aid Federal Matching	74,560	(571,549)	0	0	0	0.0%
30009	Fees Rem-PhD Tuition	16,182	0	0	0	0	0.0%
30024	Trustees Acad Schol	0	539,000	0	0	0	0.0%
30041	Ocr Medical Scholarship	0	0	0	1,784	1,784	0.0%
30042	Music School Scholarship	39,350	0	0	0	0	0.0%
30046	N/R Scholarship-Med	0	0	0	14,632	14,632	0.0%
30047	ROTC-Army	50,000	0	0	0	0	0.0%
30185	Dept of Public Safety	0	50,372	0	0	0	0.0%
30277	Disc Funds - Provost	0	164,821	0	0	0	0.0%
30283	RR-CEE Engineering	128,686	0	0	0	0	0.0%
30288	SPD Cost Sharing	0	7,996	0	0	0	0.0%
30323	Overhead Recovery	11,147,944	10,876,551	11,080,000	11,080,000	0	0.0%
30335	Discretionary Funds VPBA	24,876	0	0	0	0	0.0%
30361	SPD Enhancement Fund	10,361	0	0	0	0	0.0%
30380	Series N transfer from ULRF	1,132,925	1,129,925	1,733,100	1,130,125	(602,975)	-34.8%
30478	PEACC Program	0	0	25,000	31,089	6,089	24.4%
30495	2007 General Receipts Series A	2,526,894	2,523,674	2,527,700	2,527,700	0	0.0%
30524	Post Bac Pre-Med Cert Program	0	0	0	43,566	43,566	0.0%
30529	2008 General Receipts Series A	4,092,318	4,092,318	4,092,300	4,092,300	0	0.0%
30533	Owensboro Medical HEA Systems	0	0	0	41,895	41,895	0.0%
30538	Faculty Grievance	0	10,794	0	0	0	0.0%
30569	Institutional Effectiveness	18,753	0	0	0	0	0.0%
30573	Financial Aid Reserve	507,815	0	0	0	0	0.0%
30635	Hispanic/Latino Initiatives	0	1,350	0	0	0	0.0%
40028	Auxiliary Fund Group	52,000	0	0	0	0	0.0%
55000	Research Council Grant	1,296,634	1,239,576	486,822	270,173	(216,649)	-44.5%
S0029	SPD-Inst Advanc Mtrls-Renew En	0	92,589	0	0	0	0.0%
S0094	DOC Service Center	0	0	0	319,707	319,707	0.0%

Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
S0097	SPD-Huson Nanotechnology Facil	14,515	0	0	0	0	0.0%
S9013	Molecular Modeling Facility	0	0	0	36,060	36,060	0.0%
X0021	Budgetary Control	0	2,000,000	0	0	0	0.0%
X0276	Foundation Receivable Agmt	0	0	0	(78,000)	(78,000)	0.0%
X0284	Health Management Administrati	514,322	613,700	613,700	628,055	14,355	2.3%
X0551	Health Insurance	0	95,400	0	0	0	0.0%
X0826	COPD,Program of Excellence	0	0	0	5,035	5,035	0.0%
X0829	GHN Fitness Facility	572,891	572,891	0	572,891	572,891	0.0%
X0847	University of Louisville Physi	0	243,000	0	243,000	243,000	0.0%
Transfers In Total		23,389,798	32,844,764	26,071,082	28,712,196	2,641,114	10.1%
Auxiliary Enterprises							
01494	Rent-General	268,609	223,029	347,200	367,300	20,100	5.8%
10421	Justice Admin - Continuing Ed	0	33,425	0	0	0	0.0%
10445	GSH & Rauch Planetarium	11,692	13,897	12,000	12,000	0	0.0%
10586	Dis Ed - Communications	150	100	0	0	0	0.0%
30341	Key Maintenance	2,640	1,835	0	0	0	0.0%
40007	University Parking	4,422,065	4,446,288	4,844,000	4,844,000	0	0.0%
40009	SJA/SPI Dormitory	308,720	318,040	250,000	300,000	50,000	20.0%
40013	Conferences & S-T Housing	241,381	45,544	200,000	15,000	(185,000)	-92.5%
40033	Housing Administration	5,551,079	5,827,445	5,697,298	5,476,191	(221,107)	-3.9%
40046	Housing-Billy Minardi	0	0	360,636	0	(360,636)	-100.0%
40047	Housing-Bettie Johnson	0	0	3,516,293	0	(3,516,293)	-100.0%
40048	Housing - Kurz Hall	0	0	2,415,897	0	(2,415,897)	-100.0%
40051	Housing - Community Park	0	0	2,117,313	0	(2,117,313)	-100.0%
Auxiliaries Enterprises Total		10,806,335	10,909,602	19,760,637	11,014,491	(8,746,146)	-44.3%
Miscellaneous Fees							
01008	Office Of The Provost	0	23,458	0	0	0	0.0%
01015	Women's Center	668	168	0	0	0	0.0%
01018	Office VP/Student Affairs	1,200	0	0	0	0	0.0%
01026	Enrollment Management	0	400	0	0	0	0.0%

General Fund Revenues

2019 Operating Budget



Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
01031	Registrar's Office	(355)	0	0	0	0	0.0%
01067	Belknap Energy Mgt	40,000	(40,000)	0	0	0	0.0%
01103	Health Sciences Library	53	0	0	0	0	0.0%
01106	LIB=Archives & Special Collect	15,577	10,438	0	0	0	0.0%
01113	English	0	315	0	0	0	0.0%
01125	Theatre Arts	80	100	0	0	0	0.0%
01166	EDTL-Mid & Sec. Edu	35	0	0	0	0	0.0%
01180	Kent-Instruction	571	0	0	0	0	0.0%
01184	Law - Library	0	19	0	0	0	0.0%
01186	Music Administration	0	19	0	0	0	0.0%
01187	Music - Instruction	15,137	10,010	0	0	0	0.0%
01219	Family/Community Medicine	800	0	0	0	0	0.0%
01243	Neurological Surgery	(206)	0	0	0	0	0.0%
01250	Nursing Administration	608	60	0	0	0	0.0%
01270	Law Technology Fund	0	(78)	0	0	0	0.0%
01361	University Libraries	8,767	3,557	0	0	0	0.0%
01457	Dental Applicat Fees	(3,802)	(3,173)	0	0	0	0.0%
01459	SIGS Admission Fees	180,386	175,080	186,700	186,700	0	0.0%
01460	SIGS Projects	0	(131)	0	0	0	0.0%
01496	Undergraduate Application Fees	439,568	438,214	0	0	0	0.0%
01497	Transcript Fees	134,569	133,577	0	0	0	0.0%
01512	Special Projects	(3,001)	(2,539)	0	0	0	0.0%
01605	Anne Braden Institute	(200)	0	0	0	0	0.0%
01624	Early Childhood Develop. Ctr	0	5,546	0	0	0	0.0%
01631	Special Travel	29	434	0	0	0	0.0%
01638	A&S International Program	0	257,176	0	0	0	0.0%
01644	A&S Speech Course Pack	1,959	2,309	0	0	0	0.0%
01645	Ctr F/Predictive Medicine-BSL	(995)	0	0	0	0	0.0%
01680	Law Seat Deposit-Clearing	(555)	(828)	0	0	0	0.0%
01934	Business Ops	0	(52)	0	0	0	0.0%

Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
10420	Continuing Ed - Dentistry	(4,567)	(5,175)	(4,567)	0	4,567	-100.0%
10421	Justice Admin - Continuing Ed	(2,878)	(6,357)	0	0	0	0.0%
10424	IESL-Intnsv Engl as Scnd Lang	753	0	0	0	0	0.0%
10426	Honors Travel Fund	40,000	32,450	45,000	45,000	0	0.0%
10427	Community Music Program	154,081	160,984	0	165,000	165,000	0.0%
10428	Non-credit Dance	102,301	69,338	80,000	55,000	(25,000)	-31.3%
10436	Equine Steward Accreditation	23,472	2,811	20,000	3,000	(17,000)	-85.0%
10444	Theatre Arts Rep Company	400	0	0	0	0	0.0%
10445	GSH & Rauch Planetarium	20,921	15,117	19,500	19,500	0	0.0%
10457	20th Century Lit Conference	20,060	32,861	24,000	30,000	6,000	25.0%
10461	Delphi - General & Admin	(15,061)	(10,696)	(13,900)	(13,900)	0	0.0%
10474	Int'l Service Learning	419,900	289,285	432,000	432,000	0	0.0%
10476	Intramural Fees	231,620	237,947	225,000	200,032	(24,968)	-11.1%
10478	Orientation Fees	427,392	458,700	431,000	500,000	69,000	16.0%
10479	University Testing	(453)	(445)	0	0	0	0.0%
10481	Career Fair	7,352	1,546	50,000	50,000	0	0.0%
10504	Reach Virtual Math Center	6,221	9,462	0	0	0	0.0%
10519	Labor Law & Estate Planning	10,511	0	0	0	0	0.0%
10520	Continuing Education-Medicine	0	630	0	0	0	0.0%
10529	Kent School Workshops	106,535	146,965	98,500	103,000	4,500	4.6%
10530	Family Business Center	231,126	230,603	230,000	230,000	0	0.0%
10544	Speed - Student Council	0	8,609	0	0	0	0.0%
10575	Sterilizer Service Program	(1,342)	(1,180)	(1,342)	0	1,342	-100.0%
10579	Campus Health Services	3,105,359	3,073,454	3,153,755	3,149,250	(4,505)	-0.1%
10581	Center for Infrastructure	75,000	75,000	75,000	75,000	0	0.0%
10583	LAMC Networking	73,742	0	0	0	0	0.0%
10620	SAC Special Projects	44,521	23,351	35,000	50,000	15,000	42.9%
10630	Dist Ed-Women & Gender Studies	0	0	0	0	0	0.0%
10638	Pell Administrative Allowance	24,195	23,305	27,000	27,000	0	0.0%
10667	Clearwire EBS Lease	220,000	280,000	240,000	330,000	90,000	37.5%

General Fund Revenues

2019 Operating Budget



Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
10678	Campus Health-Promo/Educ Svcs	(512)	(546)	(500)	(500)	0	0.0%
10685	AP Summer Institute	145,783	137,359	155,000	155,000	0	0.0%
10697	Medical School Technology Fee	(441)	(59)	0	0	0	0.0%
10700	Music Recital Fees	1,662	0	0	0	0	0.0%
10722	Early Learning Campus	1,291,470	1,420,502	1,339,300	1,339,300	0	0.0%
10731	Panama QLU Program	0	63,470	0	0	0	0.0%
10752	Visiting Med Student Fee	(178)	(284)	0	0	0	0.0%
10769	Prof MBA Tuition-COB Share	(398)	(383)	0	0	0	0.0%
10775	FTMBA Tuition-COB	(226)	1,360	0	0	0	0.0%
10781	IT Communication Services	566,851	210,008	200,000	18,000	(182,000)	-91.0%
10782	UK-UL Joint Executive MBA Prog	10,752	12,267	0	0	0	0.0%
10787	Military Initiatives	376,021	349,000	0	0	0	0.0%
10792	UK Portion of ExecMBA Program	11,250	12,750	0	0	0	0.0%
10798	Theatre Arts Ticket Revenue	(623)	(813)	0	0	0	0.0%
10808	SOM Student Disability Ins Fee	0	28,428	0	0	0	0.0%
10809	Diversity Training Initiatives	0	400	5,000	5,000	0	0.0%
10811	Internation Study Abroad	0	0	0	200,000	200,000	0.0%
10814	COB Launchit	0	0	0	80,000	80,000	0.0%
30185	Dept of Public Safety	0	(380)	0	0	0	0.0%
30238	Theatre Ticket Sales	(727)	(434)	0	0	0	0.0%
30277	Disc Funds - Provost	0	3,988	0	0	0	0.0%
30280	Metropolitan Col Rem	160,000	0	160,000	160,000	0	0.0%
30303	Medical Application Fee	(2,222)	(2,164)	0	0	0	0.0%
30319	Returned Check Fees	0	0	4,000	4,000	0	0.0%
30325	Flex Plan Recovery	(65,194)	580,385	165,000	0	(165,000)	-100.0%
30329	Miscellaneous Revenue	3,580	5,028	25,000	25,000	0	0.0%
30345	Misc Income-Std Life	600	500	0	0	0	0.0%
30436	Intramural Facility	0	45	0	0	0	0.0%
30477	Cardinal Card Clearing Online	3,023	3,020	0	0	0	0.0%
30480	Unclaimed Property Misc Rev	0	8,650	35,000	0	(35,000)	-100.0%

Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
30533	Owensboro Medical HEA Systems	148,587	26,189	0	0	0	0.0%
30545	Treasury Mgmt.-Credit Cards	627	(95)	0	0	0	0.0%
40002	Food Service Contract	30,000	1,667	0	0	0	0.0%
40004	Contract Vending	187,792	195,042	175,000	175,000	0	0.0%
40005	Bookstore Operations	331,455	446,385	483,500	483,500	0	0.0%
40007	University Parking	(73,865)	(10,489)	74,000	74,000	0	0.0%
40013	Conferences & S-T Housing	0	122,712	0	145,000	145,000	0.0%
40031	RSA & NRRH	6,758	4,411	1,200	1,200	0	0.0%
40033	Housing Administration	366,187	476,366	145,800	127,375	(18,425)	-12.6%
40044	ITECH EXPRESS	(3,923)	(151)	0	0	0	0.0%
40046	Housing-Billy Minardi	0	0	3,205	0	(3,205)	-100.0%
40047	Housing-Bettie Johnson	0	0	30,677	0	(30,677)	-100.0%
40048	Housing - Kurz Hall	0	0	35,997	0	(35,997)	-100.0%
40049	Housing - Cardinal Towne	0	0	610,663	628,983	18,320	3.0%
40050	Housing - University Point	0	0	0	314,090	314,090	0.0%
40051	Housing - Community Park	0	0	40,362	0	(40,362)	-100.0%
40056	Housing - University Pointe	0	0	308,221	317,468	9,247	3.0%
52016	CRIF-ICT	0	1,243	0	0	0	0.0%
S0083	Microtechnology Service Center	2,465	(1,754)	0	0	0	0.0%
S0085	Central Stores-Stockroom	60,031	55,062	60,000	60,000	0	0.0%
S0088	NMR Maintenance	4,414	0	15,000	15,000	0	0.0%
S0093	Spd-Rapid Prototyping SC	(507)	(477)	0	0	0	0.0%
S0095	CPM Shared Resources	6,063	5,504	40,000	40,000	0	0.0%
S1067	Urban Studies Institute	62,470	10,800	75,000	50,000	(25,000)	-33.3%
S1069	Design And Printing Services	0	(729)	0	0	0	0.0%
S1070	Contract Technology Support	23,216	11,085	22,700	22,700	0	0.0%
S1071	Workstation Replacement	1,798	0	0	0	0	0.0%
S1076	Orthoped Bioengineering Lab Sc	0	3,075	0	0	0	0.0%
X0033	Travel Credit Card Activity	0	122,707	0	0	0	0.0%

General Fund Revenues

2019 Operating Budget



Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
X0569	Student Tuit Collection Fees	20,710	21,421	0	0	0	0.0%
X0829	GHN Fitness Facility	0	0	0	120,000	120,000	0.0%
Miscellaneous Fees Total		9,826,802	10,484,717	9,561,771	10,196,698	634,927	6.6%
Hospital Affiliated							
01060	DEHS Administration	179,272	184,965	0	0	0	0.0%
01401	Hosp Clinical Subsidy	1,425,000	0	0	0	0	0.0%
01463	Hospital Rent	3,704,690	5,897,031	6,000,311	7,000,000	999,689	16.7%
10579	Campus Health Services	3,174	4,310	0	0	0	0.0%
10637	Veterans Student Services	0	0	4,600	4,600	0	0.0%
10656	Radiation Safety	51,764	51,764	0	0	0	0.0%
10675	Global MBA Program	81,299	63,924	175,000	175,000	0	0.0%
30330	Radiation Safety Inc	20,000	20,000	0	0	0	0.0%
30331	Uh Inc-Recovery Ser	597,525	597,525	0	0	0	0.0%
30332	Hospital Services-DTL	50,135	50,135	0	0	0	0.0%
30611	UMC, Inc Additional Rent	1,694,448	1,694,448	0	0	0	0.0%
40007	University Parking	203,928	207,906	434,000	434,000	0	0.0%
52004	CRIF-Inst Molecular Cardiology	5,101	0	0	0	0	0.0%
S0097	SPD-Huson Nanotechnology Facil	975	0	0	0	0	0.0%
Hospital Affiliated Total		8,017,311	8,772,008	6,613,911	7,613,600	999,689	15.1%
Other Revenues							
01001	Office Of President	0	924	0	0	0	0.0%
01010	Cultural Center	4	0	0	0	0	0.0%
01015	Women's Center	234	(5,493)	0	0	0	0.0%
01026	Enrollment Management	69,000	0	0	0	0	0.0%
01027	Commencement	(1,735)	0	0	0	0	0.0%
01060	DEHS Administration	0	547	41,293	41,293	0	0.0%
01103	Health Sciences Library	10,030	0	0	0	0	0.0%
01106	LIB=Archives & Special Collect	4,392	5,989	0	0	0	0.0%
01111	Biology	0	44	0	0	0	0.0%
01125	Theatre Arts	0	42	0	0	0	0.0%

Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
01179	Ed Resourc & Tech Center	0	126	0	0	0	0.0%
01186	Music Administration	235	0	0	0	0	0.0%
01205	Surgical & Hospital	0	12	0	0	0	0.0%
01215	Pharmacology	0	9,000	0	0	0	0.0%
01219	Family/Community Medicine	1,130	0	0	0	0	0.0%
01241	Biomedical Engineering	5,896	6,874	0	0	0	0.0%
01268	Music - Education	17	0	0	0	0	0.0%
01294	Afr-Amer Theatre Prog Project	0	250	0	0	0	0.0%
01409	Kentucky Cancer Program	59	0	0	0	0	0.0%
01413	Integrated Prog-Biological	(1,233)	0	0	0	0	0.0%
01421	Hazard Material Management	0	416	0	0	0	0.0%
01597	Counseling Center	340	641	0	0	0	0.0%
01597	Counseling Center	0	(641)	0	0	0	0.0%
01621	Debt Service Reserve	0	0	(35,000)	0	35,000	-100.0%
01669	Sustainability Office	0	550	0	0	0	0.0%
01677	2010 Gen Receipts Series A & B	821,382	774,555	885,000	885,000	0	0.0%
01988	Law-Student Services	8,388	156	0	0	0	0.0%
01996	Transfers from UL Foundation	6,600,000	0	0	0	0	0.0%
10417	Delphi-Professional Developmnt	(14,300)	6,564	0	0	0	0.0%
10444	Theatre Arts Rep Company	1,500	3,325	2,000	3,000	1,000	50.0%
10445	GSH & Rauch Planetarium	144,568	136,149	313,400	313,400	0	0.0%
10456	Delphi-Conference & Facilities	203,815	78,419	0	0	0	0.0%
10470	UL/WKU & ELOD/ED.D	372	0	0	0	0	0.0%
10481	Career Fair	20,550	39,240	0	0	0	0.0%
10482	Disabled Student Services	40,646	0	75,000	75,000	0	0.0%
10520	Continuing Education-Medicine	0	2,090	0	0	0	0.0%
10520	Continuing Education-Medicine	227,746	82,653	238,000	143,340	(94,660)	-39.8%
10520	Continuing Education-Medicine	10,300	0	0	0	0	0.0%
10529	Kent School Workshops	50	0	0	0	0	0.0%
10530	Family Business Center	30,769	31,287	28,000	20,000	(8,000)	-28.6%

General Fund Revenues

2019 Operating Budget



Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
10552	Medical - Student Council	0	0	29,278	0	(29,278)	-100.0%
10556	Kent School Student Council	20	0	0	0	0	0.0%
10575	Sterilizer Service Program	195,351	200,826	195,395	204,000	8,605	4.4%
10576	U of L Law Review	7,753	15,896	15,000	15,000	0	0.0%
10579	Campus Health Services	1,293,443	953,917	0	0	0	0.0%
10588	Dis Ed - Justice Admin	0	(283)	0	0	0	0.0%
10596	Dist Ed-ELFH	0	822	0	0	0	0.0%
10614	Delphi - Options	319	79	0	0	0	0.0%
10620	SAC Special Projects	205	0	0	0	0	0.0%
10631	Procurement Card	935,718	999,952	900,000	900,000	0	0.0%
10656	Radiation Safety	10,400	31,266	66,800	66,800	0	0.0%
10660	Employee Immigration	(170)	(30)	0	0	0	0.0%
10678	Campus Health-Promo/Educ Svcs	16,633	9,881	5,000	7,000	2,000	40.0%
10686	NUR U/G Clinical Lab Fee	0	0	50,000	0	(50,000)	-100.0%
10702	Music Major Fees	(435)	185	0	0	0	0.0%
10705	Graduate Nursing Clinical Fee	0	0	10,000	0	(10,000)	-100.0%
10712	Theatre Arts Lab Fees	0	0	0	15,000	15,000	0.0%
10734	University Mobile	385	0	0	0	0	0.0%
10748	NSG Instructional Course Fee	0	0	489,232	0	(489,232)	-100.0%
10757	Music Therapy	0	22,384	0	37,000	37,000	0.0%
10757	Music Therapy	34,897	9,391	0	0	0	0.0%
10775	FTMBA Tuition-COB	0	(21)	0	0	0	0.0%
10785	PAS International Programs	0	0	15,000	0	(15,000)	-100.0%
10787	Military Initiatives	0	0	0	375,000	375,000	0.0%
10798	Theatre Arts Ticket Revenue	6,646	22,140	9,500	9,500	0	0.0%
17001	KLEFPF	0	0	184,000	0	(184,000)	-100.0%
17002	Ky Equine Industry Pari-Mutuel	0	0	400,000	0	(400,000)	-100.0%
17003	Equine Trust Program	0	0	120,000	0	(120,000)	-100.0%
17004	Partners Pollution Prevention	0	0	360,000	0	(360,000)	-100.0%
17006	Retirement Fee Revenue	0	0	486,875	0	(486,875)	-100.0%

Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
30001	Aid Federal Matching	0	186,575	0	0	0	0.0%
30144	Advertising Income	0	0	63,500	0	(63,500)	-100.0%
30185	Dept of Public Safety	153,783	0	0	0	0	0.0%
30238	Theatre Ticket Sales	20,045	10,204	15,000	0	(15,000)	-100.0%
30253	Educ Media Center	3,957	2,717	0	0	0	0.0%
30312	Discounts Earned	456	335	0	0	0	0.0%
30314	Interest Inc-Sinking Fund	1,982,324	0	719,927	0	(719,927)	-100.0%
30316	Interest Inc-Repo Agmt	255,438	635,190	250,000	600,000	350,000	140.0%
30317	Interest Inc-CEBRB	426,129	29,681	900,000	5,000	(895,000)	-99.4%
30330	Radiation Safety Inc	0	0	20,000	20,000	0	0.0%
30331	Uh Inc-Recovery Ser	0	0	512,700	512,700	0	0.0%
30332	Hospital Services-DTL	0	0	50,000	50,000	0	0.0%
30343	Recycling Program	1,888	0	0	0	0	0.0%
30478	PEACC Program	395	250	0	0	0	0.0%
30533	Owensboro Medical HEA Systems	110	105	0	0	0	0.0%
40031	RSA & NRRH	0	0	2,800	2,800	0	0.0%
40033	Housing Administration	0	50,000	0	0	0	0.0%
40044	ITECH EXPRESS	93,891	0	0	0	0	0.0%
52005	CRIF-Birth Defects Center	0	0	100,000	0	(100,000)	-100.0%
52017	CRIF-Cancer Center	0	0	48,924	0	(48,924)	-100.0%
52018	CRIF-Price Institue	0	0	2,000	0	(2,000)	-100.0%
52027	Cardiovascular Innovation Inst	(84,287)	(55,673)	0	0	0	0.0%
S0029	SPD-Inst Advanc Mtrls-Renew En	73,322	82,893	100,000	100,000	0	0.0%
S0082	Pathology Core Lab	24,548	62,091	68,000	43,000	(25,000)	-36.8%
S0083	Microtechnology Service Center	162,964	122,484	100,400	150,000	49,600	49.4%
S0088	NMR Maintenance	5,143	7,077	5,000	5,000	0	0.0%
S0092	Mass Spectrometry Service Ctr	71,615	9,645	232,375	232,375	0	0.0%
S0092	Mass Spectrometry Service Ctr	92	(92)	0	0	0	0.0%
S0093	Spd-Rapid Prototyping SC	221,862	16,991	250,000	250,000	0	0.0%
S0097	SPD-Huson Nanotechnology Facil	0	2,300	0	0	0	0.0%

General Fund Revenues

2019 Operating Budget



Category Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
S1067	Urban Studies Institute	7,926	0	0	0	0	0.0%
S1069	Design And Printing Services	116,149	93,427	100,000	0	(100,000)	-100.0%
S1070	Contract Technology Support	14,402	36,888	13,300	13,300	0	0.0%
S9012	Genomics Facility	239	31,911	0	0	0	0.0%
S9014	Biophysics Lab	120	0	0	0	0	0.0%
X0024	Short Term Investments	120,066	33,573	637,500	750,000	112,500	17.6%
X0276	Foundation Receivable Agmt	387,389	162,415	0	0	0	0.0%
X0284	Health Management Administrati	0	75	0	0	0	0.0%
X0601	ULAA Note Rec-Academic Ctr	187,500	0	0	0	0	0.0%
X0826	COPD,Program of Excellence	0	0	10,000	0	(10,000)	-100.0%
X0829	GHN Fitness Facility	0	0	572,891	0	(572,891)	-100.0%
Other Revenues Total		14,932,786	4,961,186	9,658,090	5,844,508	(3,813,582)	-39.5%
Total General Fund Revenue		504,155,721	506,378,527	504,858,900	503,459,520	(1,399,380)	-0.3%
Total Non-general Fund Revenue		811,235,574	872,387,506	725,976,190	711,328,764	(14,647,426)	-2.0%
Total University Revenue		1,315,391,296	1,378,766,032	1,230,835,090	1,214,788,284	(16,046,806)	-1.3%



GENERAL FUND EXPENDITURES

UNIVERSITY of LOUISVILLE

GENERAL FUND EXPENDITURES

OVERVIEW

The University of Louisville general fund expenditure budget is balanced to the general fund revenue budget. FY 2019 general fund expenditures total \$503.5 million. This is \$1.4 million, or 0.3 percent, less than FY 2018.

Table 11 shows general fund expenditures by category. The following sections detail those amounts and changes within each category.

Table 11: General Fund Expenditures by Category

(dollars in millions)

Description	FY 2017	FY 2018	FY 2018	FY 2019	Percent of Total	FY 18-19 Change	
	Actuals	Forecast	Budget	Budget		Dollars	Percent
Salaries and Wages	\$254.6	\$244.6	\$261.0	\$256.5	50.9%	(\$4.5)	-1.7%
Fringe Benefits	\$71.6	\$71.8	\$70.2	\$69.2	13.7%	(\$1.0)	-1.4%
Student Financial Aid	\$57.9	\$59.4	\$59.4	\$60.9	12.1%	\$1.5	2.5%
Supplies and Operating	\$98.7	\$53.7	\$63.5	\$57.9	11.5%	(\$5.6)	-8.8%
Debt Service	\$20.4	\$22.2	\$22.2	\$23.2	4.6%	\$1.0	4.6%
Utilities	\$19.8	\$19.6	\$20.0	\$21.0	4.2%	\$1.0	5.2%
Reserves	\$3.6	\$2.4	\$8.6	\$14.7	2.9%	\$6.1	71.4%
Total	\$526.6	\$473.7	\$504.9	\$503.5	100.0%	(\$1.4)	-0.3%

Source: UofL Budget Office

General Fund Expenditures

FY 2019 Operating Budget



SALARIES AND WAGES

For FY 2019, salaries and wages decrease by \$4.5 million. The change is primarily attributable to a decrease in the number of positions through attrition, a result of compensation control strategies implemented in the FY 2018 budget.

FRINGE BENEFITS

Fringe benefits rates remain relatively flat in the FY 2019 budget, but the total fringe benefit budget decreases by almost \$1 million, primarily due to a corresponding reduction in salaries and wages.

FINANCIAL AID

The financial aid budget for FY 2019 increases by \$1.5 million due to:

- The \$2.5 million investment for the Credit for Credits program did not produce the results we were anticipating. These funds are redirected to focus specifically on student enrollment;
- \$1.8 million increase in central financial aid as an equal percentage offset to the tuition increase;
- \$1.5 million increase to the National Scholars program; and
- \$500,000 increase to Brandeis School of Law scholarships.

OPERATING

Operating expenditures decrease by \$5.6 million, or 8.8 percent. The most notable change is to the corresponding expenses associated with the decrease in revenue for the Student Athletics Fee, about \$1 million. The overall decrease to operating expenditures is offset by an increase to the reserve fund, which will improve the university's liquidity and financial position.

DEBT SERVICE

Bonds are debt instruments used to provide funding for university projects (e.g., research facilities, parking garages, etc.) that generate benefits over a long period. Debt service is the cost of borrowing money for such projects.

For FY 2019, total university debt service payments will be \$23.2 million, which is \$1 million more than FY 2018. The change is due to beginning the payment of principal on the 2016 Series E bond. In addition, the bond issuance from 2007 Series A is being refinanced and incorporated into the 2016 issuance, Series B. Table 12 details the bond issues and debt service payments.

Table 12: Debt Service

Bond Issue	FY 2018	FY 2019	Change
2007 General Receipts - Series A	\$1,999,200	\$0	(\$1,999,200)
2008 General Receipts - Series A	4,140,200	4,136,100	(4,100)
2010 General Receipts - Series B	2,351,810	2,351,810	0
2011 General Receipts - Series A	2,639,850	2,641,350	1,500
2012 General Receipts - Series A	1,715,750	1,716,750	1,000
2016 General Receipts - Series A	1,235,644	1,239,494	3,850
2016 General Receipts - Series B	809,500	2,808,550	1,999,050
2016 General Receipts - Series C	2,005,100	2,003,500	(1,600)
2016 General Receipts - Series D	2,108,050	2,108,050	0
2016 General Receipts - Series E	141,860	1,176,860	1,035,000
2016 General Receipts - Series F	3,067,000	3,062,250	(4,750)
Total (All Bond Issue)	\$22,213,964	\$23,244,714	\$1,030,750

Note: Excludes interest subsidy on 2010 General Receipt bonds.

Source: Office of the Chief Finance Officer

General Receipts Bonds are issued for projects for which debt service is funded by unrestricted revenues such as tuition and state appropriation. The General Receipts series are:

- 2007 Series A funded renovations at the Home of the Innocents, indirect costs related to the Center for Predictive Medicine, land acquisition and construction of the Patterson Baseball Stadium, Trager Field House, and the YUM! Practice Facility. This bond was partially refinanced as 2016 Series B. Final maturity is 2018.

General Fund Expenditures

FY 2019 Operating Budget



- 2008 Series A funded the second HSC parking garage, School of Dentistry renovation, and indirect costs in the construction of the Clinical and Translational Research Building. This bond was partially refinanced as 2016 Series C. Final maturity is 2019.
- 2010 Series B is a combination of Build America Bonds and Qualified Energy Conservation Bonds that were used for energy conservation measures in multiple buildings on all campuses. This bond was also partially refinanced in the issued 2016 General Receipts Series A bond. Final maturity is 2027.
- 2011 Series A was for the construction of the Student Recreation Center. Final maturity is 2032.
- 2012 Series A was used to repay former CEBRB Series N and O. Final maturity is 2023.
- 2016 Series A will be used to fund renovations to the Student Activity Center, and is a partial refinance of 2010 Series B bond. Final maturity is 2036.
- 2016 Series B was used to refinance a portion of 2007 Series A. Final maturity is 2027.
- 2016 Series C was used to refinance a portion of 2008 Series A. Final maturity is 2028.
- 2016 Series D is tax-exempt and used to fund the new expansion of Papa John's Cardinal Stadium.
- 2016 Series E is taxable and used to fund the new expansion of Papa John's Cardinal Stadium.
- 2016 Series F was used to retire the 2008 Series B Metro Government Revenue Refunding and Improvement bonds.

Debt to fund the construction of the new Belknap Campus academic building has been issued by the Commonwealth of Kentucky. That debt service will be paid directly by the Commonwealth of Kentucky and will not appear in the university's budget.

UTILITIES

Based on a careful analysis of previous utility expenditures and a conservative projection of future costs, the FY 2019 utility budget totals \$21 million. This is \$1 million, or 5 percent, more than FY 2018 due to the completion of the new academic classroom building as well as the Student Activities Center renovation. Table 13 shows actual and budgeted utility expenditures for FY 2016 through FY 2019. Favorable global energy prices coupled with performance savings and sustainability initiatives at UofL have helped limit utility expenses.

Table 13: Utility Expenditures

Campus and Utility	Fiscal Year Actuals		FY 2018 Budget	FY 2019 Budget	Change FY18 - FY19
	2016	2017			
Belknap					
Electric	\$6,072,848	\$6,172,631	\$6,594,000	\$7,269,400	10.2%
Water	1,503,540	1,580,942	1,625,000	1,788,600	10.1%
Natural Gas	1,327,164	1,403,748	1,523,000	1,684,000	10.6%
Total Belknap	8,903,551	9,157,321	9,742,000	10,742,000	10.3%
HSC					
Electric	3,117,580	3,090,372	3,148,000	3,148,000	0.0%
Water	684,225	482,932	711,000	711,000	0.0%
Natural Gas	75,394	86,149	204,000	204,000	0.0%
Steam/Chilled Water	5,144,850	5,324,839	5,381,000	5,381,000	0.0%
Total HSC	9,022,050	8,984,292	9,444,000	9,444,000	0.0%
Shelby					
Electric	439,150	424,990	508,000	508,000	0.0%
Water	87,328	109,895	102,000	102,000	0.0%
Natural Gas	132,393	160,734	204,000	204,000	0.0%
Total Shelby	658,871	695,619	814,000	814,000	0.0%
Total Utility Expenditures	\$18,584,473	\$18,837,232	\$20,000,000	\$21,000,000	5.0%

Source: UofL Budget Office, Office of the Chief Operating Officer

General Fund Expenditures

FY 2019 Operating Budget



CONTINGENCY FUNDS / RESERVES

The FY 2019 general fund budget includes \$9.7 million in contingency, or reserve, funds, and an additional \$5 million to improve the university's liquidity. The increase to the reserve is primarily due to a reduction in operating expenses.

A contingency fund is an important element in a sound budget. Unanticipated revenue or expense changes can happen during the fiscal year. Without a designated reserve, the university would have to impose mid-year budget corrections. That would place stress on the ability of the university and its units to maintain a consistent level of instruction and support to students, faculty, and staff.

STRATEGIC INITIATIVES

The FY 2019 budget includes many important strategic initiatives. They align with the university's strategic plan and contribute to the goal of making the University of Louisville a premier metropolitan research university by 2020. Table 14 summarizes the investments in strategic initiatives.

Table 14: FY 2019 Investment in Strategic Initiatives

Investments in Strategic Initiatives	Amount
<i>Student-based Initiatives</i>	
Student Recruitment	5,000,000
Student Success and Retention	5,000,000
Student Financial Aid	
General Financial Aid Escalator (offset to 4% tuition increase)	1,800,000
Increase National Scholarship Aid Program	1,500,000
Additional Brandeis School of Law Scholarships	500,000
<i>Faculty and Staff Compensation Initiatives</i>	
Faculty Promotion Fund	500,000
Staff Reclassification and Promotion Fund	250,000
<i>Academic Initiatives</i>	
Building Infrastructure to Support Enrollment Growth Initiative	850,000
J.B. Speed School of Engineering new faculty and research initiatives	390,000
College of Business new faculty	360,000
<i>Addressing Financial Position and Liquidity Needs</i>	
Liquidity Reserve	5,000,000
Total Investments in Strategic Initiatives	\$21,150,000

Source: UofL Leadership

General Fund Expenditures

FY 2019 Operating Budget



Student Initiatives

The university recognizes the need for increased retention and support for student success. In an effort to initiate investment in this area, university leadership has estimated an initial cost of \$10 million to begin to attract new students and retain current students. The university is committed to open and deliberate discussions with students over the next year about the maximization and allocation of resources to support student success with focus on areas such as retention initiatives and career counseling.

Faculty and Staff Compensation Initiatives

- Faculty promotion fund. Faculty are eligible for a 10% salary increase as they move through the tenure and promotion cycle. The FY 2019 budget includes \$500,000 for faculty promotions to fund these increases to their respective salaries.
- Staff reclassification and promotion fund. Throughout the fiscal year, some staff may receive promotions or be placed in reclassified positions. Departments fund one-half of the promotion or reclassification with the balance paid by this fund. To support the central cost of these changes, the FY 2019 budget includes \$250,000 for staff promotions and reclassifications. Requests to use this fund usually arise as a result of expanded duties in a position, promotion to a higher level of duties, or an administrative determination that current duties are incorrectly classified.

BUDGET BALANCING ACTIONS

The FY 2019 Operating Budget as presented, coupled with administrative actions throughout the year, will place the university on a firm financial footing. The goal, however, is more than a structurally sound budget; it is a year-end budget surplus and notable improvement in the university’s financial and cash position.

To reach those marks, the university will actively monitor revenues and expenses throughout the fiscal year. Continual review of budget-to-actual revenues and spending will serve as the cornerstone of that effort. In addition, the university will continue to seek new and innovative ways to generate additional revenues, identify and implement cost-efficiencies across campus, and, if needed, impose cost-reduction strategies during FY 2019. Combining these efforts with a sound budget and a balanced approach on growing revenues and curbing increases in expenditures will allow the university to achieve a year-end budget surplus and an increase in its cash balance.

During FY 2019, university administrators, faculty, staff, and students, will continue to discuss options for the university to increase revenues and decrease expenses as well as begin to plan in a thoughtful manner how to strategically align the FY 2020 operating budget with the university’s mission. Table 15 summarizes the budgetary actions that will help ensure FY 2019 ends with a positive fund balance.

Table 15: Budget-Balancing Actions by Fund Type

Budget Balancing Actions	Amount
<i><u>General Fund</u></i>	
Carryover gift funds used to recharge general fund expenses	\$20 million
5% general fund unit budget reduction, excluding financial aid	\$20 million
<i><u>Non-general Fund</u></i>	
One-time KentuckyOne Health funds	\$11 million
Total	\$51 million

Source: UofL Budget Office

General Fund Expenditures

FY 2019 Operating Budget



The university plans to utilize a strategy of shifting expenses from general funds to unrestricted, current use gift funds. This not only represents a general fund budget balancing strategy, it also improves donor stewardship initiatives as these dollars are being spent rather than accumulating in the Foundation.

SUMMARY OF EXPENDITURES BY TYPE AND UNIT

The following tables show FY 2019 budgeted expenditures by natural classification and by unit.

EXPENDITURES BY NATURAL CLASSIFICATION, FY 2019

	General Funds	Percent of Total	Non-general Funds	Percent of Total	Total	Percent of Total
Personnel						
Salary - Faculty	125,123,422	25%	140,229,002	20%	265,352,424	22%
Salary - P and A	57,380,279	11%	83,598,563	12%	140,978,842	12%
Salary - Classified	44,408,090	9%	26,124,667	4%	70,532,757	6%
Salary - Student Asst	14,162,024	3%	6,149,040	1%	20,311,064	2%
Salary - Admin	11,004,289	2%	3,806,162	1%	14,810,451	1%
Salary - House Staff	2,400,338	0%	39,046,154	5%	41,446,492	3%
Salary - Other	2,016,170	0%	4,803,474	1%	6,819,644	1%
Total Salaries	256,494,612	51%	303,757,062	43%	560,251,674	46%
Total Fringe Benefits	69,179,665	14%	68,017,401	10%	137,197,066	11%
Total Personnel	325,674,277	65%	371,774,463	52%	697,448,740	57%
Operating						
Scholarships	60,890,079	12%	50,257,991	7%	111,148,070	9%
Operating	47,934,280	10%	165,615,495	23%	213,549,775	18%
Capital Asset Debt	23,244,714	5%	0	0%	23,244,714	2%
Utilities	21,049,681	4%	30,345	0%	21,080,026	2%
Transfers	17,153,730	3%	85,112,727	12%	102,266,457	8%
Other Expenses	7,512,759	1%	38,537,743	5%	46,050,502	4%
Total Operating	177,785,243	35%	339,554,301	48%	517,339,544	43%
Total Expenditures	503,459,520	100%	711,328,764	100%	1,214,788,284	100%

General Fund Expenditures

FY 2019 Operating Budget



EXPENDITURE BUDGET BY UNIT, FY 2019

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
College of Arts and Sciences								
General	\$4,206,139	\$34,865,343	\$2,825,055	\$4,806,839	\$29,998	\$12,370,100	\$4,371,774	\$63,475,248
UofL Corporations	566,792	1,055,613	202,876	665,019	56,158	513,335	4,263,793	7,323,586
Total	4,772,931	35,920,956	3,027,931	5,471,858	86,156	12,883,435	8,635,567	70,798,834
Athletics								
General	0	0	0	0	0	0	2,140,984	2,140,984
UofL Corporations	33,225,034	0	2,344,539	89,500	767,500	8,303,869	62,969,758	107,700,200
Total	33,225,034	0	2,344,539	89,500	767,500	8,303,869	65,110,742	109,841,184
Audit Services								
General	988,015	0	89,163	0	0	267,183	13,380	1,357,741
UofL Corporations	0	0	0	0	0	0	6,584	6,584
Total	988,015	0	89,163	0	0	267,183	19,964	1,364,325
College of Business								
General	2,257,751	12,712,523	843,651	271,175	19,100	3,459,233	3,619,978	23,183,411
UofL Corporations	57,304	1,260,958	54,984	81,000	0	240,512	2,125,775	3,820,533
Total	2,315,055	13,973,481	898,635	352,175	19,100	3,699,745	5,745,753	27,003,944
Office of the Chief Financial Officer								
General	1,689,174	0	969,746	0	70,000	957,886	(447,498)	3,239,308
UofL Corporations	0	0	0	0	0	0	1,159	1,159
Total	1,689,174	0	969,746	0	70,000	957,886	(446,339)	3,240,467
Office of the Chief Operating Officer								
General	12,004,036	0	18,424,176	278,922	760,224	11,019,407	11,348,836	53,835,601
UofL Corporations	0	0	0	0	0	0	163,821	163,821
Total	12,004,036	0	18,424,176	278,922	760,224	11,019,407	11,512,657	53,999,422
School of Dentistry								
General	1,743,650	9,972,966	3,089,477	92,000	150,000	4,369,433	4,452,541	23,870,067
UofL Corporations	1,252,811	2,842,575	2,022,709	23,844	648,565	1,880,612	13,174,643	21,845,759
Total	2,996,461	12,815,541	5,112,186	115,844	798,565	6,250,045	17,627,184	45,715,826

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
College of Education and Human Development								
General	2,560,437	10,238,201	1,950,441	720,514	23,437	4,068,835	900,243	20,462,108
UofL Corporations	424,047	237,617	75,426	177,600	26,500	258,051	2,092,305	3,291,546
Total	2,984,484	10,475,818	2,025,867	898,114	49,937	4,326,886	2,992,548	23,753,654
Executive Vice President Health Affairs								
General	2,077,483	8,000	730,697	20,360	21,680	785,791	8,348,713	11,992,724
UofL Corporations	4,561,544	888,437	1,118,468	0	50,500	1,687,060	70,044,798	78,350,807
Total	6,639,027	896,437	1,849,165	20,360	72,180	2,472,851	78,393,511	90,343,531
Executive Vice President Research and Innovation								
General	4,893,869	204,851	1,429,734	111,995	1,047	1,936,039	2,302,197	10,879,732
UofL Corporations	66,070	0	0	0	0	23,248	99,444	188,762
Total	4,959,939	204,851	1,429,734	111,995	1,047	1,959,287	2,401,641	11,068,494
Executive Vice President and University Provost								
General	12,993,877	1,185,637	4,051,888	929,641	221,979	5,239,421	50,638,507	75,260,950
UofL Corporations	426,927	63,090	136,064	52,000	3,400	196,534	46,245,103	47,123,118
Total	13,420,804	1,248,727	4,187,952	981,641	225,379	5,435,955	96,883,610	122,384,068
Foundation Financial Affairs								
General	0	0	0	0	0	0	0	0
UofL Corporations	0	0	0	0	0	0	6,582,056	6,582,056
Total	0	0	0	0	0	0	6,582,056	6,582,056
School of Interdisciplinary and Graduate Studies								
General	983,944	0	166,342	2,478,391	4,000	662,778	7,933,610	12,229,065
UofL Corporations	0	0	0	30,000	0	4,805	24,162	58,967
Total	983,944	0	166,342	2,508,391	4,000	667,583	7,957,772	12,288,032
Human Resources								
General	1,716,140	0	271,054	0	90,000	497,112	87,885	2,662,191
UofL Corporations	0	0	0	0	0	0	0	0
Total	1,716,140	0	271,054	0	90,000	497,112	87,885	2,662,191

General Fund Expenditures

FY 2019 Operating Budget



	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
Kent School of Social Work								
General	1,363,170	3,755,169	197,979	185,857	0	1,277,255	520,444	7,299,874
UofL Corporations	991,746	316,510	499,727	16,600	40,220	598,335	2,815,686	5,278,824
Total	2,354,916	4,071,679	697,706	202,457	40,220	1,875,590	3,336,130	12,578,698
Brandeis School of Law								
General	726,451	4,463,581	740,829	27,000	10,500	1,544,267	3,304,704	10,817,332
UofL Corporations	24,896	304,547	10,350	0	19,866	77,738	1,099,970	1,537,367
Total	751,347	4,768,128	751,179	27,000	30,366	1,622,005	4,404,674	12,354,699
Libraries								
General	703,077	2,447,704	1,750,680	339,938	11,159	1,715,673	9,735,769	16,704,000
UofL Corporations	0	99,704	14,012	0	0	31,682	586,728	732,126
Total	703,077	2,547,408	1,764,692	339,938	11,159	1,747,355	10,322,497	17,436,126
School of Medicine								
General	2,899,323	21,348,634	2,422,990	543,793	2,691,166	6,634,087	3,367,402	39,907,395
UofL Corporations	37,276,842	127,001,255	18,199,181	2,358,054	41,911,082	49,688,195	109,994,021	386,428,630
Total	40,176,165	148,349,889	20,622,171	2,901,847	44,602,248	56,322,282	113,361,423	426,336,025
School of Music								
General	609,828	3,759,152	263,414	300,100	171,972	1,400,913	1,030,255	7,535,634
UofL Corporations	0	139,847	0	25,000	29,250	58,003	608,445	860,545
Total	609,828	3,898,999	263,414	325,100	201,222	1,458,916	1,638,700	8,396,179
School of Nursing								
General	936,255	3,832,766	343,240	229,000	50	1,387,569	319,624	7,048,504
UofL Corporations	355,748	1,416,710	0	21,700	2,730	310,530	582,762	2,690,180
Total	1,292,003	5,249,476	343,240	250,700	2,780	1,698,099	902,386	9,738,684
Office of the President								
General	4,494,480	36,088	399,557	206,025	3,599	1,049,329	2,210,575	8,399,653
UofL Corporations	120,080	0	0	0	0	29,302	834,703	984,085
Total	4,614,560	36,088	399,557	206,025	3,599	1,078,631	3,045,278	9,383,738

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
School of Public Health and Information Sciences								
General	295,056	1,908,360	194,723	170,400	0	437,076	1,035,618	4,041,233
UofL Corporations	1,202,500	3,905,685	462,894	666,160	134,643	1,084,721	2,268,925	9,725,528
Total	1,497,556	5,814,045	657,617	836,560	134,643	1,521,797	3,304,543	13,766,761
J.B. Speed School of Engineering								
General	3,479,345	13,983,172	1,007,882	1,480,365	87,505	5,056,283	1,244,309	26,338,861
UofL Corporations	2,351,989	696,454	153,282	1,928,063	125,489	1,346,516	6,761,024	13,362,817
Total	5,831,334	14,679,626	1,161,164	3,408,428	212,994	6,402,799	8,005,333	39,701,678
Vice President Community Engagement								
General	538,564	0	82,892	0	0	185,617	(3,839)	803,234
UofL Corporations	316,468	0	71,083	0	2,125	118,469	690,661	1,198,806
Total	855,032	0	153,975	0	2,125	304,086	686,822	2,002,040
Vice Provost Student Affairs								
General	3,499,169	0	1,748,550	969,709	49,092	2,006,179	6,714,663	14,987,362
UofL Corporations	108,051	0	161,909	2,000	0	100,025	599,069	971,054
Total	3,607,220	0	1,910,459	971,709	49,092	2,106,204	7,313,732	15,958,416
Vice President University Advancement								
General	725,335	0	160,911	0	0	259,103	(57,267)	1,088,082
UofL Corporations	4,075,876	0	597,163	12,500	31,600	1,465,859	2,344,827	8,527,825
Total	4,801,211	0	758,074	12,500	31,600	1,724,962	2,287,560	9,615,907
Central University - Business Activities								
General	0	0	0	0	0	0	24,938,665	24,938,665
UofL Corporations	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	24,938,665	24,938,665
Central University - Finance								
General	0	401,275	253,019	0	0	593,096	28,268,545	29,515,935
UofL Corporations	0	0	0	0	0	0	2,574,079	2,574,079
Total	0	401,275	253,019	0	0	593,096	30,842,624	32,090,014

General Fund Expenditures

FY 2019 Operating Budget



	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
Central University - Human Resources								
General	0	0	0	0	0	0	(555,374)	(555,374)
UofL Corporations	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	(555,374)	(555,374)
University Total								
General	68,384,568	125,123,422	44,408,090	14,162,024	4,416,508	69,179,665	177,785,243	503,459,520
UofL Corporations	87,404,725	140,229,002	26,124,667	6,149,040	43,849,628	68,017,401	339,554,301	711,328,764
Total	\$155,789,293	\$265,352,424	\$70,532,757	\$20,311,064	\$48,266,136	\$137,197,066	\$517,339,544	\$1,214,788,284

A grayscale photograph of the University of Louisville's main building, featuring a prominent dome and a classical portico with columns. The building is set against a background of trees.

ACADEMIC UNITS

UNIVERSITY of LOUISVILLE



ACADEMIC UNIT SUMMARIES

College of Arts and Sciences at a Glance				
Year Established	1907	Academic Regalia Inter-Collegiate Color		White
Facilities and Main Office	Gardiner Hall - 2nd Floor			
<i>Financial Information</i>				
	FY 2016 Actual	FY 2017 Actual	FY 2018 Budget	FY 2019 Budget
Total General Fund	\$67,511,212	\$68,840,989	\$65,014,380	\$63,475,248
Total Non-General Fund			\$7,335,845	\$7,323,586
Total College of Arts & Sciences			\$72,350,225	\$70,798,834
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	219,913	207,032	200,997	
Degrees				
Degrees Awarded	1,695	1,603	1,677	
Degrees Awarded Change Per Year	3.3%	-5.4%	4.6%	
Enrollment by Level - Fall				
Undergraduate	8,132	7,813	7,818	
Graduate	856	834	734	
Total	8,988	8,647	8,552	

College of Arts and Sciences

Mission

We believe that an excellent education in the liberal arts and sciences is the best preparation for life and work in a world of increasing diversity and rapid change. It prepares our graduates to be informed critical-thinkers, creative problem-solvers, and confident communicators. Our students learn by doing: they conduct research and express their artistry; include ethical considerations in their thinking and decision-making; and experience the world from perspectives of cultures other than their own.

The University of Louisville is a Carnegie Research I University, and the College of Arts & Sciences is its research hub. Impacting the health and well-being of local, national, and global communities, our faculty and students collaborate across departments to explore the past, improve the present, and plan for the future. We value our role in Louisville and enjoy helping our community. Through cutting-edge methodologies, innovative research and creative activities, engaged teaching, and rigorous scholarship, we are advancing knowledge and preparing the next generation of leaders, thinkers, dreamers, and doers.

Goals

Educational Excellence – Continue to provide exceptional teaching through:

- Implementing measures of teaching excellence that value and promote both student success and an appropriate level of academic challenge;
- Expanding opportunities to implement progressive practices, such as community-engaged learning, and to bring innovative and experimental strategies and technologies into the classroom; and
- Increasing student success.

Research, Scholarship, and Creative Activity – Continue to improve the quality, quantity, and reputation of the scholarly and creative activities by:

- Increasing applications and awards for external funding;
- Boosting the number of peer-reviewed publications, exhibitions, performances, and patents;
- Increasing the number of A&S recipients of competitive campus research awards;

- Producing high-impact applied or engaged research;
- Growing the number of endowed faculty positions;
- Creating new, and building existing, endowed funds and gift funds to support significant research; and
- Developing a recurring pool to fund competitive faculty start-up packages.

Community Engagement

- Continue to promote and improve tracking of community engagement activities

Diversity and Opportunity

- Growing the representation of minorities and women in underrepresented areas

Responsible Stewardship

- Strengthening the position of the College of Arts & Sciences

Instruction

The College of Arts & Sciences offers education in the liberal arts and sciences through the general education program, and through a range of majors in the natural and physical sciences, the social and behavioral sciences, and the arts and humanities. Offering 90 degree programs, we are at the heart of the university's educational mission. A&S enrolls nearly half of the student body and our faculty teaches the majority of the general education courses. In fact, nearly one-quarter of all credit hours taught by A&S faculty are taught to students pursuing degrees in the professional colleges.

A&S degrees offered

- 38 Bachelor's Degree Programs, offering 53 undergraduate degree options and 52 minors
- 1 Associate's Degree Program
- 2 Undergraduate Certificate Programs
- 13 Doctoral Programs
- 24 Master's Programs
- 12 Dual Degree Programs
- 12 Graduate Certificate Programs

Research

By the numbers

- 744 publications, including 596 peer-reviewed
- Faculty average 667 scholarly publications and creative works per year (based on past 3 years)
- 349 premier venue works (19 in Fine Arts and 3 in Theatre Arts)
- \$6.3 million externally funded grants (based on private investigation only)
- 6 faculty in natural sciences, 5 in humanities, and 2 in social sciences named University or Distinguished Scholars
- In the past five years, Arts & Sciences has raised over \$33.6 million to support scholarly work. Funding sources vary widely, and often the work is collaborative with co-investigators.

Research and creative activity highlights

1. Faculty members from the Department of English have been the principal investigators on a U.S. State Department grant entitled "Study of the United States Institute for Scholars on Contemporary American Literature" for 15 years. This award averages more than \$280,000 per year and brings 18 post-doctoral fellows from around the world to campus to study contemporary American literature.
2. Professor Jian Du-Caines (Physics and Astronomy) received a NASA award of \$394,555 for her project to examine the statistical characteristics of the tidal short-term variability, how it varies as a function of year, season, latitude and altitude, and quantify the relative importance of various causes for the short-term tidal variability and their respective atmospheric impacts. She also received the NSF CAREER award for her project entitled "Physical Causes of Multi-Scale Temporal Variability in Atmospheric Tides from the Troposphere to the Dynamo Region." The award amount is \$500,000 and will be funded through June 2020.

Engaged scholarship highlights

1. Professor Paul Rosen (Psychological & Brain Sciences) directs the Research on ADHD and Children's Emotion Regulation Lab (RACER) Lab, which is dedicated to studying and treating the emotional and behavioral difficulties that are common to children and adolescents with ADHD. The RACER Lab is the only research lab in the Louisville area to provide cutting-edge and evidenced-based research and treatment for children with ADHD.

2. Professor Margaret Carreiro (Biology) and student researchers collaborate with the Louisville Olmsted Parks Conservancy to understand the impacts of invasive plant species in the woodlands of Cherokee Park. The project aims to provide scientific data as a foundation for preserving and managing the biological heritage in our parks and the ecosystem services they provide to our community.
3. Professor Cherie Dawson-Edwards (Criminal Justice) received the Golden Oar Award, which recognizes individuals and teams who positively impact JCPS. She was commended for her guidance, which has been integral in shifting to less punitive consequences in JCPS behavior guidelines and procedures. She also facilitated restorative circles in alternative schools and has led more than 50 hours of district professional development that focuses on restoration and inclusion. She co-chairs an external group called the Equity Council that will serve as a catalyst for educational innovation.
4. The Southern Police Institute has extended law enforcement leadership training to the Navajo tribe and Lebanon.

Significant Accomplishments

- 68 students, or 76%, of the Fulbright award winners at the University of Louisville have come from the College of Arts & Sciences.
- The College's faculty, staff, and students from our 24 departments and over 30 centers and institutes are currently participating in or leading over 200 community engagement programs and projects.
- The UofL chapter of the Society of Physics Students (SPS) has been named an Outstanding Chapter 17 years in a row—one of only a few chapters in the country to have so many consecutive wins. Having seventeen consecutive recognitions is a record in the four-state region including all of Kentucky and most of Tennessee, Indiana, and Illinois. The Outstanding Chapter award is a designation that is given to fewer than 10 percent of all SPS chapters at universities in the United States.
- Improved communication with:
 - "I think therefore I..." marketing campaign;
 - Improved targeted external communication -
 - *The Thinker*, quarterly electronic newsletter was distributed to 40,000+ alumni,
 - *The Thinker* bi-annual print newsletter was distributed to 6,000+ people, and
 - 2 brochures, one on engagement and one on research -
 - Improved processes and created tools for use by departments, centers, and institutes; and
 - Improved web communication optimized for mobile, and improved visual presentation and functionality.

Academic Unit Summaries

2019 Operating Budget



Budget Changes

- For FY 2019 there are two primary changes for the College of Arts & Sciences. First is the 5% reduction general fund reduction, which totals \$3.3 million.
- The next group of significant changes occur in online education offerings, which combined total an increase of \$1.2 million.
- There are also a few new program fees comprising about \$300,000. These are for study abroad, an A&S technology fee, and a lab fee for the Studio Art program.

College of Arts and Sciences General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Arts and Sciences								
A&S - Budget	02094	A&S FY19 Reduction	0	0	0	(3,303,801)	(3,303,801)	0.0%
A&S 20th Century Lit Conf	10457	20th Century Lit Conference	48,680	34,876	18,933	0	(18,933)	-100.0%
A&S Academic Advising Center	01109	A&S Academic Advising Center	1,409,285	1,499,860	1,446,811	1,101,844	(344,967)	-23.8%
A&S Academic Advising Center	10754	Advising D.E.	6,433	0	0	4,500	4,500	0.0%
A&S Academic Advising Center	30241	Minority Programs	3,456	0	0	0	0	0.0%
A&S Air Force ROTC	01128	Air Force ROTC	68,198	68,388	64,074	64,299	225	0.4%
A&S Air Force ROTC	30527	Air Force ROTC Housing&Sub Sch	115,750	112,250	164,000	164,000	0	0.0%
A&S Anthropology	01110	Anthropology	1,092,913	1,178,333	1,178,602	1,385,224	206,622	17.5%
A&S Anthropology	01662	A&S-Anthropology MA	79,246	35,109	0	0	0	0.0%
A&S Anthropology	10585	Dis Ed - Anthropology	470,741	283,034	195,105	239,158	44,053	22.6%
A&S Anthropology	10587	Dis Ed - Geography	0	0	15,612	3,983	(11,629)	-74.5%
A&S Anthropology	10592	Dis Ed - A&S Admin	0	0	0	8,076	8,076	0.0%
A&S Anthropology	10715	Dis Ed - Anthropology	0	0	11,640	0	(11,640)	-100.0%
A&S Anthropology	55000	Research Council Grant	53	9,349	0	0	0	0.0%
A&S Army ROTC	01129	Army ROTC	65,977	67,004	67,401	67,626	225	0.3%
A&S Army ROTC	30526	Army ROTC Housing&Sub Sch	0	0	202,000	202,000	0	0.0%
A&S Biology	01111	Biology	2,930,164	3,018,731	3,032,587	2,629,055	(403,532)	-13.3%
A&S Biology	10694	Biology Lab Fees	92,493	28,812	55,000	55,000	0	0.0%
A&S Biology	10710	Biology Distance Education	147,745	345,907	303,000	347,000	44,000	14.5%
A&S Biology	55000	Research Council Grant	13,470	45,750	21,632	0	(21,632)	-100.0%
A&S Chemistry	01112	Chemistry	3,621,375	3,735,266	3,554,294	3,925,147	370,853	10.4%
A&S Chemistry	10693	Chemistry Lab Fees	144,539	124,559	110,000	110,000	0	0.0%
A&S Chemistry	10709	Chemistry Distance Edu	283,073	193,333	181,000	236,000	55,000	30.4%
A&S Chemistry	55000	Research Council Grant	81,413	11,152	117,591	0	(117,591)	-100.0%
A&S Chemistry	S0088	NMR Maintenance	951	1,886	20,000	20,000	0	0.0%
A&S Chemistry	S0092	Mass Spectrometry Service Ctr	12,852	(803)	232,375	232,375	0	0.0%
A&S Communication	01126	Communications	2,077,774	2,318,545	2,011,095	1,997,089	(14,006)	-0.7%
A&S Communication	01635	Intl Assn-Intercultural Comm	0	0	0	0	0	0.0%
A&S Communication	01644	A&S Speech Course Pack	1,339	1,469	0	0	0	0.0%
A&S Communication	01661	A&S-Communications MA	10,135	1,984	0	0	0	0.0%

Academic Unit Summaries

2019 Operating Budget



Unit	Program	Program Description	FY 2016	FY 2017	FY 2018	FY 2019	FY 2018 to 19 Change	
Department			Actuals	Actuals	Budget	Budget		
A&S Communication	10586	Dis Ed - Communications	1,148,325	1,251,654	1,097,000	1,127,000	30,000	2.7%
A&S Comparative Humanities	01131	Comparative Humanities	1,048,473	1,041,824	950,554	982,755	32,201	3.4%
A&S Comparative Humanities	01298	Comparative Humanities	0	0	65,492	0	(65,492)	-100.0%
A&S Comparative Humanities	10643	Dist Ed-Humanities	326,236	361,611	325,000	434,000	109,000	33.5%
A&S Comparative Humanities	30367	Humanities Doctoral Program	4,580	0	0	0	0	0.0%
A&S Comparative Humanities	55000	Research Council Grant	9,188	0	0	0	0	0.0%
A&S Criminal Justice	01296	Justice Admin Instruction	1,624,655	1,689,029	1,711,707	1,863,366	151,659	8.9%
A&S Criminal Justice	01297	Southern Police Institute	151,388	151,442	158,927	159,380	453	0.3%
A&S Criminal Justice	02000	Justice PhD Program	32,242	0	0	0	0	0.0%
A&S Criminal Justice	10421	Justice Admin - Continuing Ed	1,249,546	1,079,214	1,200,000	1,300,000	100,000	8.3%
A&S Criminal Justice	10435	SPI Special Fees	28,731	30,391	40,000	40,000	0	0.0%
A&S Criminal Justice	10588	Dis Ed - Justice Admin	983,047	945,118	889,000	930,000	41,000	4.6%
A&S Criminal Justice	10672	Distance Ed-Social Change	0	0	0	7,000	7,000	0.0%
A&S Criminal Justice	40009	SJA/SPI Dormitory	304,244	293,102	250,000	300,000	50,000	20.0%
A&S Dean's Office	01108	A & S Administration	2,299,872	2,106,288	2,144,328	2,197,620	53,292	2.5%
A&S Dean's Office	01276	A&S Summer School	0	1,227,462	250,215	399,668	149,453	59.7%
A&S Dean's Office	01279	A&S General Ed Curriculum	19	0	0	0	0	0.0%
A&S Dean's Office	01280	A&S Reallocation	(30,737)	684	95,704	0	(95,704)	-100.0%
A&S Dean's Office	01281	A&S Technology	124,223	54,610	75,000	75,000	0	0.0%
A&S Dean's Office	01284	A&S Contingency Fund	785,268	431,613	446,758	563,180	116,422	26.1%
A&S Dean's Office	01285	A&S Graduate Student Stipend	0	0	0	0	0	0.0%
A&S Dean's Office	01573	A&S Facilities	28,595	60,800	25,000	25,000	0	0.0%
A&S Dean's Office	01580	Belknap Research Infrastructur	1,389	1,389	0	0	0	0.0%
A&S Dean's Office	01638	A&S International Program	(0)	0	0	0	0	0.0%
A&S Dean's Office	01643	PRIMES UTA	9	0	0	0	0	0.0%
A&S Dean's Office	01653	A&S Enrollment Pressure	98,144	37,413	0	0	0	0.0%
A&S Dean's Office	02067	A&S Faculty Reallocation	0	0	102,190	0	(102,190)	-100.0%
A&S Dean's Office	10543	A&S Student Council	9,805	10,768	8,100	8,500	400	4.9%
A&S Dean's Office	10592	Dis Ed - A&S Admin	377,003	768,145	526,708	947,426	420,718	79.9%
A&S Dean's Office	10731	Panama QLU Program	0	960	0	0	0	0.0%
A&S Dean's Office	10742	A&S LALS Distance Education	1,575	0	0	0	0	0.0%
A&S Dean's Office	10813	A&S Technology Fee	0	0	0	75,000	75,000	0.0%
A&S Dean's Office	30242	A&S-Dean's Disc Fund	365,327	930,355	0	0	0	0.0%
A&S Dean's Office	30243	College Articulation Program	118,031	0	0	0	0	0.0%

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
A&S Dean's Office	30244	Faculty Recruitment-A&S	(2,141)	0	0	0	0	0.0%
A&S Dean's Office GA	01285	A&S Graduate Student Stipend	0	4,574,026	4,168,724	4,545,116	376,392	9.0%
A&S Debate Program	01295	A&S Debate Program	143,713	149,363	116,675	117,446	771	0.7%
A&S Debate Program	10592	Dis Ed - A&S Admin	0	0	22,947	33,979	11,032	48.1%
A&S Debate Program	10794	Wilson Wyatt Debate	50	0	0	0	0	0.0%
A&S English	01113	English	4,323,938	3,807,810	3,639,729	3,540,065	(99,664)	-2.7%
A&S English	10651	Dist Ed-English	116,533	145,176	108,500	150,000	41,500	38.2%
A&S English	30245	A & S Henry James Review	19,737	20,964	0	0	0	0.0%
A&S Fine Arts	01114	Fine Arts	2,255,299	2,307,467	2,306,313	2,318,240	11,927	0.5%
A&S Fine Arts	01695	Glass Program	0	12,684	0	30,481	30,481	0.0%
A&S Fine Arts	10653	Dist Ed-Fine Arts	190,235	205,975	194,000	215,000	21,000	10.8%
A&S Fine Arts	10818	Lab Fee-Studio Art	0	0	0	41,773	41,773	0.0%
A&S Fine Arts	30237	Lab Fee-Studio Art	0	8,986	0	0	0	0.0%
A&S Fine Arts	55000	Research Council Grant	834	4,102	0	0	0	0.0%
A&S Geography/Geosciences	01115	Geosciences	1,422,136	1,472,484	1,420,371	1,419,449	(922)	-0.1%
A&S Geography/Geosciences	01654	A&S ULCGIS	8,293	0	0	0	0	0.0%
A&S Geography/Geosciences	01660	A&S-Geography MS	105,998	0	0	0	0	0.0%
A&S Geography/Geosciences	10585	Dis Ed - Anthropology	0	0	29,895	30,019	124	0.4%
A&S Geography/Geosciences	10587	Dis Ed - Geography	149,544	148,015	98,388	175,194	76,806	78.1%
A&S Geography/Geosciences	55000	Research Council Grant	2,065	1,026	0	0	0	0.0%
A&S Graduate Students	01285	A&S Graduate Student Stipend	4,448,873	0	0	0	0	0.0%
A&S History	01116	History	2,014,982	2,053,040	1,848,011	1,688,276	(159,735)	-8.6%
A&S History	10524	Delphi - Online	0	0	63,840	64,065	225	0.4%
A&S History	10715	Distance Education-History	237,552	232,589	260,360	272,000	11,640	4.5%
A&S History	55000	Research Council Grant	1,504	2,972	0	0	0	0.0%
A&S Honor's Program	01130	A&S Honors Program	338,350	397,310	318,464	315,322	(3,142)	-1.0%
A&S Honor's Program	01298	University Honors Program	649,607	620,794	539,039	719,120	180,081	33.4%
A&S Honor's Program	10426	Honors Travel Fund	67,933	11,733	45,000	45,000	0	0.0%
A&S Honor's Program	30037	Overseer Mentor Prog	5,400	5,400	5,400	5,400	0	0.0%
A&S Intensive English	10424	IESL-Intnsv Engl as Scnd Lang	307,655	247,499	0	0	0	0.0%
A&S Mathematics	01117	Mathematics	3,439,885	3,347,283	3,497,998	3,476,625	(21,373)	-0.6%
A&S Mathematics	01121	Physics	0	0	35,261	35,374	113	0.3%
A&S Mathematics	10673	Distance Ed-Mathematics	495	21,160	25,000	50,000	25,000	100.0%
A&S Mathematics	10691	Mathematics Lab Fees	0	0	2,400	2,400	0	0.0%

Academic Unit Summaries
2019 Operating Budget



Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
A&S Mathematics	30376	UGA High S&Dual Cred Tui Remim	0	0	58,731	58,956	225	0.4%
A&S Mathematics	55000	Research Council Grant	2,999	2,421	0	0	0	0.0%
A&S Modern Languages	01118	Modern Languages	2,910,277	2,595,254	2,471,481	2,396,118	(75,363)	-3.0%
A&S Modern Languages	01670	A&S American Sign Language	476,460	466,384	474,385	545,109	70,724	14.9%
A&S Modern Languages	10457	Modern Languages	0	0	5,067	0	(5,067)	-100.0%
A&S Modern Languages	10654	Dist Ed-Class/Mod Languages	540,027	618,859	559,500	559,500	0	0.0%
A&S Modern Languages	10764	ASL Lab Fee	13,235	13,338	14,000	16,200	2,200	15.7%
A&S Modern Languages	10767	Interpreter Training Lab Fee	2,543	2,305	2,200	0	(2,200)	-100.0%
A&S Modern Languages	10799	Montpellier Work Exchange	16,293	17,746	0	0	0	0.0%
A&S Modern Languages	55000	Research Council Grant	0	2,653	0	0	0	0.0%
A&S Pan African Studies	01119	Pan African Department	1,043,690	1,178,803	1,226,992	1,175,329	(51,663)	-4.2%
A&S Pan African Studies	01283	A&S Saturday Academy	0	0	0	0	0	0.0%
A&S Pan African Studies	01999	Pan-African Ph.D. Program	12,339	6,459	0	0	0	0.0%
A&S Pan African Studies	10626	Distance Ed. -PAS	270,591	280,817	280,000	280,000	0	0.0%
A&S Pan African Studies	10785	PAS International Programs	(8,010)	18,881	30,000	0	(30,000)	-100.0%
A&S Pan African Studies	10786	Center on Race and Inequality	1,912	0	0	0	0	0.0%
A&S Pan African Studies	30241	Minority Programs	0	0	0	0	0	0.0%
A&S Pan African Studies	55000	Research Council Grant	3,019	0	0	0	0	0.0%
A&S Philosophy	01120	Philosophy	1,091,568	1,157,624	1,141,831	1,156,488	14,657	1.3%
A&S Philosophy	10652	Dist. Ed- Philosophy	327,751	282,957	233,440	256,000	22,560	9.7%
A&S Philosophy	10672	Distance Ed-Social Change	7,645	4,081	5,000	0	(5,000)	-100.0%
A&S Philosophy	10796	Diversity Literacy	1,996	0	0	0	0	0.0%
A&S Philosophy	30387	Masolo Fund	11,736	374	0	0	0	0.0%
A&S Physics	01121	Physics	2,268,221	2,409,410	2,392,350	2,358,989	(33,361)	-1.4%
A&S Physics	01292	Moore Observatory	7,222	5,850	6,953	6,953	0	0.0%
A&S Physics	01664	A&S-Physics PhD Program	45,706	493	0	0	0	0.0%
A&S Physics	01665	A&S-BS Atmospheric Science	141,802	226	0	0	0	0.0%
A&S Physics	10592	Dis Ed - A&S Admin	0	0	0	61,602	61,602	0.0%
A&S Physics	10674	Distance Ed-Physics	84,684	63,986	66,000	140,000	74,000	112.1%
A&S Physics	10713	Physics Lab Fees	72,292	37,179	36,000	38,000	2,000	5.6%
A&S Physics	55000	Research Council Grant	567	2,867	0	0	0	0.0%
A&S Political Science	01122	Political Science	2,221,872	2,140,452	2,069,152	2,121,417	52,265	2.5%
A&S Political Science	01293	Paralegal Studies Program	26,812	26,466	94,327	80,107	(14,220)	-15.1%
A&S Political Science	01709	Grawemeyer Administration	0	0	0	12,476	12,476	0.0%

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
A&S Political Science	10590	Dis Ed - Political Science	133,363	115,037	135,000	124,177	(10,823)	-8.0%
A&S Political Science	10723	Paralegal D.E.	59,914	37,752	40,000	30,000	(10,000)	-25.0%
A&S Political Science	55000	Research Council Grant	1,827	1,500	0	0	0	0.0%
A&S Psychology	01123	Psychology	3,192,883	3,093,798	3,169,170	3,211,534	42,364	1.3%
A&S Psychology	01298	Psychology	0	0	62,659	0	(62,659)	-100.0%
A&S Psychology	01709	Grawemeyer Administration	0	0	0	12,111	12,111	0.0%
A&S Psychology	10589	Dis Ed - Psychology	488,761	472,062	528,710	513,000	(15,710)	-3.0%
A&S Psychology	30566	CMHD	16,680	0	0	0	0	0.0%
A&S Psychology	55000	Research Council Grant	6,776	4,013	8,854	0	(8,854)	-100.0%
A&S Sociology	01124	Sociology	1,697,834	1,753,078	1,829,297	1,838,289	8,992	0.5%
A&S Sociology	10585	Dis Ed - Anthropology	0	0	0	10,823	10,823	0.0%
A&S Sociology	10587	Dis Ed - Geography	0	0	0	10,823	10,823	0.0%
A&S Sociology	10590	Dis Ed - Political Science	0	0	0	10,823	10,823	0.0%
A&S Sociology	10591	Dis Ed - Sociology	619,437	515,572	423,500	458,000	34,500	8.1%
A&S Special Projects	01277	Liberal Studies Prog	50,168	170,077	164,850	165,215	365	0.2%
A&S Special Projects	01278	Ctr for Humanity and Society	5,914	26,889	43,469	43,518	49	0.1%
A&S Special Projects	01287	Cmte on Academic Publications	(937)	3,131	6,000	6,000	0	0.0%
A&S Special Projects	01288	Univ. Press Of Ky.	0	0	6,480	6,480	0	0.0%
A&S Special Projects	01579	LAS Program	10,978	4,367	0	0	0	0.0%
A&S Special Projects	01605	Anne Braden Institute	13,345	11,758	0	0	0	0.0%
A&S Special Projects	01633	A&S Outreach Programs	49,767	24,167	6,493	0	(6,493)	-100.0%
A&S Special Projects	01634	A&S Programs-Diversity	17,125	1,230	6,492	0	(6,492)	-100.0%
A&S Special Projects	01638	A&S International Program	122,531	46,416	0	0	0	0.0%
A&S Special Projects	01658	A&S Arts and Culture	(38)	0	0	0	0	0.0%
A&S Special Projects	10457	20th Century Lit Conference	0	0	0	30,000	30,000	0.0%
A&S Special Projects	10592	Dis Ed - A&S Admin	0	0	0	51,420	51,420	0.0%
A&S Special Projects	10731	Panama QLU Program	205,943	387,262	382,000	552,000	170,000	44.5%
A&S Special Projects	10742	A&S LALS Distance Education	10,666	24,188	15,000	30,000	15,000	100.0%
A&S Special Projects	10790	D E Peace, Justice & Conflict	10,502	9,951	9,400	25,000	15,600	166.0%
A&S Special Projects	10811	Internation Study Abroad	0	0	0	200,000	200,000	0.0%
A&S Special Projects	30241	Minority Programs	0	686	10,013	0	(10,013)	-100.0%
A&S Theatre Arts	01125	Theatre Arts	1,136,262	1,220,126	1,212,254	1,205,987	(6,267)	-0.5%
A&S Theatre Arts	01294	Afr-Amer Theatre Prog Project	43,714	60,088	58,193	58,306	113	0.2%
A&S Theatre Arts	10444	Theatre Arts Rep Company	2,909	3,325	2,000	3,000	1,000	50.0%

Academic Unit Summaries

2019 Operating Budget



Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
A&S Theatre Arts	10659	Dist Educ-Theatre Arts	102,505	100,396	95,500	95,500	0	0.0%
A&S Theatre Arts	10712	Theatre Arts Lab Fees	0	416	300	15,000	14,700	4900.0%
A&S Theatre Arts	10798	Theatre Arts Ticket Revenue	3,524	21,327	9,500	9,500	0	0.0%
A&S Theatre Arts	30238	Theatre Ticket Sales	6,476	9,770	0	0	0	0.0%
A&S Theatre Arts	55000	Research Council Grant	0	2,115	0	0	0	0.0%
A&S Urban & Public Affairs	01304	A&S Urban & Public Affairs	2,082,179	2,110,725	1,973,752	1,726,149	(247,603)	-12.5%
A&S Urban & Public Affairs	10598	A&S Urban & Public Affairs	25,895	16,178	20,000	20,000	0	0.0%
A&S Urban & Public Affairs	10695	Urban & Pub Affair Lab Fee	15,432	9,424	13,600	13,600	0	0.0%
A&S Urban & Public Affairs	10714	UPA	122,060	129,382	122,000	127,000	5,000	4.1%
A&S Urban & Public Affairs	S1067	Urban Studies Institute	44,256	12,868	75,000	50,000	(25,000)	-33.3%
A&S Women's & Gender Studies	01132	Women's Studies	660,524	753,947	651,045	678,795	27,750	4.3%
A&S Women's & Gender Studies	01605	Anne Braden Institute	559	0	0	0	0	0.0%
A&S Women's & Gender Studies	10630	Dist Ed-Women & Gender Studies	250,454	233,365	180,000	190,000	10,000	5.6%
A&S Writing Center	01289	Writing Center	50,364	90,987	131,590	132,040	450	0.3%
A&S Writing Center	01313	General Education Curriculum	13,362	11,675	12,500	12,500	0	0.0%
A&S-Financial Aid	30027	T/R DSA - A&S	46,600	47,250	46,600	46,600	0	0.0%
A&S-Financial Aid	30047	ROTC-Army	291,916	378,833	59,200	59,200	0	0.0%
A&S-Financial Aid	30048	ROTC Matching	59,700	53,900	59,700	59,700	0	0.0%
A&S-Financial Aid	30049	Actor's Theatre Scholarship	28,700	28,700	28,700	28,700	0	0.0%
A&S-Financial Aid	30050	Creative Writing Scholarship	23,500	23,500	23,500	23,500	0	0.0%
A&S-Financial Aid	30051	Debate Team Scholars	75,932	97,350	113,900	113,900	0	0.0%
Arts and Sciences Total			67,511,212	68,840,989	65,014,380	63,475,248	(1,539,132)	-2.4%

College of Business at a Glance				
Year Established	1953	Academic Regalia Inter-Collegiate Color		Drab
Facilities and Main Office College of Business Building				
<i>Financial Information</i>				
	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Actual	Budget	Budget
Total General Fund	\$21,815,387	\$21,769,438	\$23,101,580	\$23,183,411
Total Non-General Fund			\$4,940,808	\$3,820,533
Total College of Business			\$28,042,388	\$27,003,944
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	53,399	61,194	62,835	
Degrees				
Degrees Awarded	533	569	674	
Degrees Awarded Change Per Year	-9.8%	6.8%	18.5%	
Enrollment by Level - Fall				
Undergraduate	1,887	1,985	1,953	
Graduate	298	314	336	
Total	2,185	2,299	2,289	

College of Business

Mission

The mission of the College of Business (COB) is to offer high quality undergraduate and graduate business programs that prepare our students for responsible and rewarding careers. We enhance the intellectual and economic vitality of our city, the region and the broader business community through our academic programs, research, and community outreach activities. We also engage in research that is original and applied that contributes to better understanding of business.

The COB was founded in 1953. The college serves an enrollment of approximately 2,000 undergraduate and 300 graduate students with a faculty of 79 and a staff of 42.

Goals

- It is our vision to be recognized as the leading business school in this region, and one of the leading metropolitan research business schools in the United States. We provide our students a competitive advantage in the job market by offering specialized programs and professional certifications.
- Entrepreneurial thinking and program offerings occupy a special place in the focus of the College of Business.

Instruction

The COB offers a Bachelor of Science in Business Administration degree with majors in Accounting, Computer Information Systems, Equine Business, Finance and Marketing. The COB also offers a Bachelor of Arts in Economics, and a Bachelor of Science in Economics. Graduate programs include several Master of Business Administration (MBA) choices, such as the full-time MBA, the evening Working Professional MBA, the Entrepreneurship MBA, the Global MBA, and the Joint Executive MBA between the University of Kentucky and University of Louisville. The COB offers a Master of Accountancy and a Ph.D. in Entrepreneurship. The COB will launch a new MS in Business Analytics degree program (pending KY CPE approval) with an anticipated initial intake in the Fall 2018 semester.

New online education classes are being offered in Economics, Business Statistics, Finance, Management, Marketing, Computer Information Systems and Accounting. A new online Certificate in Accounting program began in Fall 2017.

Research

Several faculty are widely recognized, national thought leaders in their disciplines. We engage in original research that is widely cited by other academics.

Significant Accomplishments

- Our undergraduate students adhere to the high admission and graduation standards. Our academic standards match the standards of the best public universities in the country.
- Our graduate students have the highest admission test scores and undergraduate credentials of any school in Kentucky – standards that are nationally competitive.
- Our doctoral students in entrepreneurship have achieved success as young teacher scholars. The incoming class had an average GMAT score of 698. This ranks well with our peers in the ACC.
- The COB is actively engaged in such endeavors as the Signature Partnership, the development and sponsorship of the Thrivals Day, and numerous endeavors related to business development—such as, Venture Connectors, EnterpriseCorp, Nucleus, and the Cardinal Venture Fund II. We have launched an initiative with Portland Elementary School; the goal is to stress the importance of education beyond high school.
- The COB has 18 active international partnerships that provide an opportunity for students from abroad to study at UofL, and for our students to live and study abroad. Opportunities for international learning experiences are available to all undergraduate and graduate students.
- The COB has developed innovative new programs that enhance the reputation of the COB and the university, while producing revenues that provide funds to support our core programs at the graduate and undergraduate levels.
- The COB continues to invest financial resources into our classrooms, student study space, and student career center with the latest technology such as smartboards, short-throw projectors, and desktop and application virtualization in our computer labs.

Academic Unit Summaries

2019 Operating Budget



Budget Changes

- The primary changes over FY 2018 are the 5% reduction, the new MS in Business Analytics Program, and the reclassification of the Equine Pari-Mutuel and Trust programs to non-general funds.

College of Business General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Business								
COB - Budget	02096	COB FY19 Reduction	0	0	0	(1,217,259)	(1,217,259)	0.0%
COB-Advising Ctr	01151	Advising Center-COB	638,237	630,814	642,721	642,186	(535)	-0.1%
COB-CIS - Comp Info Sys	01305	Computer Info Systems	1,851,486	1,852,430	1,870,432	1,970,019	99,587	5.3%
COB-CIS - Comp Info Sys	10741	Dist Educ-CIS	0	18,165	84,000	280,000	196,000	233.3%
COB-CIS - Comp Info Sys	10758	Dist Educ-CIS	0	0	4,882	0	(4,882)	-100.0%
COB-Economics	01154	Dept Of Economics	2,068,582	2,243,527	2,272,741	2,358,324	85,583	3.8%
COB-Economics	10784	Dist Ed-Economics	78,295	86,662	146,000	175,000	29,000	19.9%
COB-Economics	30504	Student Help-ECON/MKT	10,747	8,906	0	0	0	0.0%
COB-Entrepreneurship	01302	Entrepreneurship	652,343	640,460	612,834	778,685	165,851	27.1%
COB-Entrepreneurship	10814	COB Launchit	0	0	0	80,000	80,000	0.0%
COB-Equine	01569	Equine Education Improvements	718,303	538,430	694,749	704,724	9,975	1.4%
COB-Equine	10436	Equine Steward Accreditation	2,714	28,570	20,000	3,000	(17,000)	-85.0%
COB-Equine	17002	Ky Equine Industry Pari-Mutuel	0	0	400,000	0	(400,000)	-100.0%
COB-Equine	17003	Equine Trust Program	0	0	120,000	0	(120,000)	-100.0%
COB-Finance	01301	Finance	1,629,212	1,884,208	1,906,110	1,959,464	53,354	2.8%
COB-Finance	10727	Dist Ed-Finance	53,308	63,927	105,118	150,000	44,882	42.7%
COB-Financial Aid	30028	T/R DSA-COB	55,500	54,624	55,500	55,500	0	0.0%
COB-Management	01155	Management	1,817,337	1,793,765	1,788,606	1,741,357	(47,249)	-2.6%
COB-Management	01548	Entrepreneurship PhD	208,485	199,419	253,178	235,719	(17,459)	-6.9%
COB-Management	10758	Dist-Educ-Mgmt	55,087	47,371	94,118	210,000	115,882	123.1%
COB-Management	10770	IMBA Tuition COB Differential	0	0	58,835	58,901	66	0.1%
COB-Management	30502	Student Help-CIS/MGT	9,846	11,016	0	0	0	0.0%
COB-Marketing	01303	Marketing Department	1,665,298	1,671,226	1,598,326	1,659,379	61,053	3.8%
COB-Marketing	10599	Dist Ed-Marketing	0	4,840	30,800	50,000	19,200	62.3%
COB-Marketing	10770	IMBA Tuition COB Differential	0	0	69,902	81,239	11,337	16.2%
COB-Marketing	10775	FTMBA Tuition-COB	0	0	69,903	81,240	11,337	16.2%

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
COB-School of Accountancy	01153	School Of Accountancy	2,450,950	2,710,434	2,744,276	2,492,062	(252,214)	-9.2%
COB-School of Accountancy	10438	Louis A Grief Tax Institute	274	0	0	0	0	0.0%
COB-School of Accountancy	10800	Dist Ed-Accountancy	12,703	57,407	144,882	250,000	105,118	72.6%
COB-School of Accountancy	30503	Student Help-ACCT/FIN	10,516	6,778	0	0	0	0.0%
College of Business	01150	Business School-Administration	1,178,766	1,282,981	1,449,488	1,672,958	223,470	15.4%
College of Business	01300	COB-Technology	126,398	0	0	0	0	0.0%
College of Business	01554	COB Honors Program	20,827	15,353	0	0	0	0.0%
College of Business	01560	Faculty Research Fund	514,495	619,784	0	0	0	0.0%
College of Business	01569	Equine Education Improvements	0	0	13,617	13,645	28	0.2%
College of Business	01572	New Faculty Hire Fund	64,688	28,385	0	0	0	0.0%
College of Business	01584	COB TRAVEL FUND	74,592	39,254	0	0	0	0.0%
College of Business	01640	COB Faculty Summer Salaries	273,416	278,446	271,126	271,126	0	0.0%
College of Business	01941	COB Lecturer Salaries	361,030	351,669	313,604	311,195	(2,409)	-0.8%
College of Business	10530	Family Business Center	382,190	269,201	258,000	250,000	(8,000)	-3.1%
College of Business	10548	COB-Student Council	7,417	7,795	7,100	7,500	400	5.6%
College of Business	10597	Dist Ed-COB	49,164	112,651	200,000	0	(200,000)	-100.0%
College of Business	10610	Miami/Europe	175,213	16,451	250,000	250,000	0	0.0%
College of Business	10675	Global MBA Program	129,269	101,021	175,000	175,000	0	0.0%
College of Business	10706	Technology-Career Managmnt Fee	372,809	307,371	375,000	450,000	75,000	20.0%
College of Business	10768	Graduate Business Course Fee	0	0	1,500	1,500	0	0.0%
College of Business	10769	Prof MBA Tuition-COB Share	379,572	475,333	500,000	500,000	0	0.0%
College of Business	10770	IMBA Tuition COB Differential	293,574	377,960	291,263	279,860	(11,403)	-3.9%
College of Business	10771	MACC Tuition COB Differential	79,454	44,666	60,000	0	(60,000)	-100.0%
College of Business	10772	Joint MBA Tuition-COB Share	72,169	61,500	114,000	60,000	(54,000)	-47.4%
College of Business	10774	IMBA/MBA Intl Trip Fund	425,066	441,715	450,000	650,000	200,000	44.4%
College of Business	10775	FTMBA Tuition-COB	1,077,818	950,413	1,130,097	1,388,760	258,663	22.9%
College of Business	10776	UofL Grad Post MBA Tuit-COB	0	0	3,000	3,000	0	0.0%
College of Business	10777	Non UofL Grad Post MBA Tui-COB	0	0	3,000	3,000	0	0.0%
College of Business	10778	Certificate in Accounting	37,542	37,565	127,500	70,000	(57,500)	-45.1%
College of Business	10782	UK-UL Joint Executive MBA Prog	669,284	633,466	500,000	500,000	0	0.0%
College of Business	10792	UK Portion of ExecMBA Program	754,452	458,270	500,000	500,000	0	0.0%
College of Business	10817	MS in Business Analytics	0	0	0	705,000	705,000	0.0%
College of Business	30353	ULMER CAREER MANAGEMENT CENTER	306,959	302,500	320,372	321,327	955	0.3%
College of Business	30490	Prof MBA Tuition-COB Share	0	2,709	0	0	0	0.0%
Business Total			21,815,387	21,769,438	23,101,580	23,183,411	81,831	0.4%

Academic Unit Summaries

2019 Operating Budget



School of Dentistry at a Glance				
Year Established	1887	Academic Regalia Inter-Collegiate Color		Lilac
Facilities and Main Office	501 South Preston Street			
Financial Information				
	FY 2016 Actual	FY 2017 Actual	FY 2018 Budget	FY 2019 Budget
Total General Fund	\$29,121,148	\$30,961,835	\$27,463,400	\$23,870,067
Total Non-General Fund			\$24,276,269	\$21,845,759
Total School of Dentistry			\$51,739,669	\$45,715,826
Performance Metrics				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	30,360	31,140	29,562	
Degrees				
Degrees Awarded	164	172	175	
Degrees Awarded Change Per Year	-4.1%	4.9%	1.7%	
Enrollment by Level - Fall				
Undergraduate	151	157	151	
Graduate	560	559	543	
Total	711	716	694	

School of Dentistry

The University of Louisville School of Dentistry (ULSD), founded in 1887, educates students, conducts research, and provides quality dental care to the community to fulfill the School's urban and statewide missions. The School serves 480 D.M.D. students, 60 Dental Hygiene students, 59 postgraduate students/residents in 7 residency programs, and 16 M.S. in Oral Biology students and has approximately 150 full- and part-time faculty and 200 staff.

Dr. T. Gerard Bradley, BDS, MS, Dr. Med. Dent., joined the School as Dean on August 1, 2016. Dr. Bradley is a Board-Certified Orthodontist, who spent 18 years at Marquette University, most recently as Associate Dean for Research and Graduate Studies, before coming to Louisville.

The school completed its accreditation site visits in September 2015. All programs at the School of Dentistry are fully accredited without reporting requirements through 2022.

Goals

- Develop a contemporary dental health curriculum with emphasis on new and emerging areas.
- Foster a school-wide climate of professional development that values teaching and instructional best practices.
- Embed within the curriculum a strong emphasis on the delivery of patient-centered care.
- Ensure that all ULSD academic programs promote research and scholarship.
- Expand ULSD's research enterprise by building on our national and international reputation, and develop new areas and specialties with a goal of enhancing ULSD's national and international prominence.
- Increase research, scholarship, and creative activities in the clinical departments and foster increased collaboration between the basic and clinical sciences.
- Expand opportunities for students to participate in research, scholarship, and creative activities.
- Work in partnership with public and private entities to provide local and global community-based experiences for faculty, staff and students. These experiences include academic exchanges, research collaborations, and community engagement activities and initiatives through the provision of oral health literacy and clinical services, as well as continuing dental education courses (CE).
- Prepare patient-centered, culturally competent oral health professionals who will have a lifelong ethic of service as leaders in the health, cultural, social, and economic aspects of their communities.
- Promote community engaged research, scholarship, and creative activity among faculty and students.

Research

- Two professors in the Department of Oral Immunology and Infectious Diseases were awarded National Institute of Health Research Project (R21) grants.
- Five summer research students presented their findings at the 2017 International Association for Dental Research meeting in San Francisco. Two other students presented posters at the Hinman Student Research Conference in Memphis. Two students presented at the Kentucky Academy of Sciences.
- A faculty member in the Department of Surgical and Hospital Dentistry was awarded a U01 grant focusing on promotion of oral health in persons with disabilities.

Significant Accomplishments

- Developed a new strategic plan 2017-2020, which was approved by faculty assembly February 23 2017.
- The School of Dentistry has signed an agreement with the Red Bird Clinic in Beverley, Kentucky. This cooperative agreement will allow dental students to travel to this clinic in a rural, underserved area to provide direct patient care to local residents.

Budget Changes

- There are two main adjustments reflected in the change from FY 2018. The first is the moving of debt service for the Dental School, which moved to the Dental School last year, being re consolidated with all other debt service back to the central finance department. The debt service amounted to \$2.9 million and was funded from several programs in the school of Dentistry.
- Secondly is the 5% budget reduction, which is about \$1.2 million.

School of Dentistry General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Dentistry								
Dent - Academic Support	01199	Academic Support	0	0	51,037	0	(51,037)	-100.0%
Dent - Academic Support	01207	Dental Clinic Supprt	0	0	0	42,306	42,306	0.0%
Dent - Academic Support	01209	Academic Support	4,377,011	3,870,068	1,039,037	10,846	(1,028,191)	-99.0%
Dent - Academic Support	10574	Dental Instrument Rental	0	0	27,711	27,711	0	0.0%
Dent - Admin	01199	Dental Administration	3,085,279	1,664,893	2,162,748	1,168,926	(993,822)	-46.0%
Dent - Admin	01207	Dental Clinic Supprt	0	0	0	147,975	147,975	0.0%
Dent - Admin	01209	Academic Support	0	0	0	2,000	2,000	0.0%
Dent - Admin	01355	Dean Research Program	189,748	225,260	160,725	54,783	(105,942)	-65.9%
Dent - Admin	01457	Dental Applicat Fees	648	648	0	0	0	0.0%
Dent - Admin	01458	DTL-Dean's Disc Fund	520,540	0	0	0	0	0.0%
Dent - Admin	10574	Dental Instrument Rental	0	0	75,449	163,274	87,825	116.4%
Dent - Admin	10687	Grad Ped Dent Residency Fee	55,524	0	56,000	56,000	0	0.0%
Dent - Admin	10688	Grad Periodontic Residency Fee	62,465	0	63,000	63,000	0	0.0%
Dent - Admin	10689	Grad Endodontic Residency Fee	41,643	0	42,000	42,000	0	0.0%
Dent - Admin	10690	Grad Orthodontic Residency Fee	90,227	0	84,000	84,000	0	0.0%
Dent - Admin	10751	Grad Prosthodontic Residency F	41,643	0	42,000	42,000	0	0.0%
Dent - Admin	10779	Dist Ed- Dental Admin	699	282	600	0	(600)	-100.0%
Dent - Admin	10780	Dist Ed-Dental Hygiene	6,189	0	6,000	0	(6,000)	-100.0%
Dent - Admin	30044	General Dentistry Scholarship	221,900	221,900	221,900	221,900	0	0.0%
Dent - Admin	30072	Tuit Rem-Omfs Reside	73,500	73,500	73,500	73,500	0	0.0%
Dent - Birth Defects Res Ctr	01210	Birth Defects Research Center	597,474	184,561	0	0	0	0.0%
Dent - Birth Defects Res Ctr	52005	CRIF-Birth Defects Center	327,919	124,988	0	0	0	0.0%
Dent - Bud	02102	FY 2017 Budget Reduction	0	2,545,313	0	0	0	0.0%
Dent - Bud	02102	DENT FY19 Reduction	0	0	0	(1,239,675)	(1,239,675)	0.0%
Dent - Clinic Support	01207	Dental Clinic Supprt	3,681,524	3,639,296	3,421,184	2,987,157	(434,027)	-12.7%
Dent - Clinic Support	01209	Dental Clinic Supprt	0	0	44,962	0	(44,962)	-100.0%
Dent - Clinic Support	10574	Dental Instrument Rental	3,308,745	3,206,814	3,234,105	3,189,788	(44,317)	-1.4%
Dent - Continuing Education	10420	Continuing Ed - Dentistry	243,909	228,587	180,160	255,006	74,846	41.5%
Dent - Mol. Cell & Cranio Biol	01201	Mol Cel Cran Biol Sc	369,798	319,150	220,713	0	(220,713)	-100.0%
Dent - Mol. Cell & Cranio Biol	55000	Research Council Grant	13,102	1,898	0	0	0	0.0%

Academic Unit Summaries
2019 Operating Budget



Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Dent - Research	01206	Research	3,347	12,895	95,795	104,266	8,471	8.8%
Dent - Student Affairs	01208	Student Affairs-DTL	577,885	601,501	629,526	595,337	(34,189)	-5.4%
Dent - Student Affairs	10553	Dental - Student Council	25,530	28,500	21,400	21,800	400	1.9%
Dent - Surgical & Hospital	01205	Surgical & Hospital	1,411,996	1,946,247	2,777,945	2,799,664	21,719	0.8%
Dent - Surgical & Hospital	01209	Academic Support	0	0	0	61,297	61,297	0.0%
Dent - Surgical & Hospital	10575	Sterilizer Service Program	215,439	206,154	194,053	204,000	9,947	5.1%
Dent - Surgical & Hospital	52005	CRIF-Birth Defects Center	0	0	204,192	0	(204,192)	-100.0%
Dental-Oral Immun & Infect Dis	01206	Oral Immunol & Infect Diseases	0	0	12,190	0	(12,190)	-100.0%
Dental-Oral Immun & Infect Dis	01355	Dean Research Program	0	0	42,711	18,079	(24,632)	-57.7%
Dental-Oral Immun & Infect Dis	02063	Oral Immunol & Infect Diseases	437,815	805,615	1,398,868	1,811,218	412,350	29.5%
Dent-Gen Dentistry & Oral Med	01202	Gen Dentistry & Oral Medicine	5,072,235	6,432,922	5,902,771	5,995,701	92,930	1.6%
Dent-Gen Dentistry & Oral Med	10420	Continuing Ed - Dentistry	0	0	44,926	44,994	68	0.2%
Dent-Oral Health & Rehab	01202	Gen Dentistry & Oral Medicine	0	0	0	14,356	14,356	0.0%
Dent-Oral Health & Rehab	01204	Oral Health & Rehabilitation	3,363,701	3,723,006	3,990,877	3,929,898	(60,979)	-1.5%
Dent-Oral Health & Rehab	01209	Academic Support	0	0	0	20,000	20,000	0.0%
Dent-Ortho, Ped & Spec Care	01200	Ortho Ped & Special Care	703,712	897,835	941,315	856,960	(84,355)	-9.0%
Dentistry Total			29,121,148	30,961,835	27,463,400	23,870,067	(3,593,333)	-13.1%

College of Education and Human Development at a Glance				
Year Established	1968	Academic Regalia Inter-Collegiate Color		Light Blue
Facilities and Main Office	College of Education and Human Development Building - Room 124			
<i>Financial Information</i>				
	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Actual	Budget	Budget
Total General Fund	\$21,857,077	\$21,423,134	\$20,384,201	\$20,462,108
Total Non-General Fund			\$4,576,962	\$3,291,546
Total College of Education			\$24,961,163	\$23,753,654
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	75,116	75,361	80,562	
Degrees				
Degrees Awarded	900	823	910	
Degrees Awarded Change Per Year	-2.7%	-8.6%	10.6%	
Enrollment by Level - Fall				
Undergraduate	2,151	2,165	2,021	
Graduate	1,086	1,318	1,319	
Total	3,237	3,483	3,340	

College of Education and Human Development

Mission

The College of Education and Human Development (CEHD) embraces the University's mission as a metropolitan research university committed to advancing the intellectual, cultural, and economic development of our diverse communities and citizens. We promote the highest levels of learning and social, emotional, and physical health and well-being for all children, individuals, and families. Our mission is to advance knowledge and understanding across our disciplines and constituencies and to develop leaders in education, who will inform policy, improve practice, strengthen communities, and address pressing social concerns. We seek to continuously improve the quality of life for all in our metropolitan community, the Commonwealth of Kentucky, and the nation.

- The CEHD was officially recognized as a school in 1968 after being housed in the College of Arts & Sciences.
- In fall 2017, the CEHD had a total of 3,281 undergraduate and graduate students.
- Number of Faculty (Professor, Associate/Assistant/Clinical, and instructor) – 95; Number of Staff – (CEHD, planetarium, Early Learning Campus (ELC), Kentucky Autism Training Center (KATC), others (any other staff not in CEHD, KATC, ELC or planetarium)) – 117; Total number of CEHD employees – 212.
- CEHD consists of six departments and six centers.
- Personnel changes: Dr. Mark Leach, Department of Counseling and Human Development, and Dr. Caroline Sheffield, Department of Middle and Secondary Education, were officially named Chairs of their departments. Ms. Sherry Durham was officially named Coordinator of the Multicultural Teacher Recruitment Program (MTRP). Dr. Jake Gross was named director of the CEHD Center for Economic Education (CEE) and Dr. Casey George was named Assistant Director.

Notable Goals for Academic Year 2018-19

- Increase number of doctoral students in the CEHD.
- Increase undergraduate and graduate student enrollment and retention to graduation.
- Provide structures in the form of Communities of Practice that implement and advocate for high quality programs and student services support; integration of teaching, scholarship and service; community engagement to support high quality teaching and learning; expand the Clinical Model of Teacher Preparation in Jefferson County Public Schools and the Ohio Valley Educational Cooperative.

- Uphold and advocate for inclusion, equity, diversity, and access in teaching, scholarship, service and community engagement; demonstrate a commitment to democratic ideals, inclusion, and cultural competence, and increase efforts to recruit and retain diverse faculty and students.
- Strengthen community partnerships, better engage CEHD alumni and increase the rate of giving to the CEHD; raise awareness and visibility of the college and its work.
- Create and share metrics and outcomes for CEHD's Strategic Plan (2016).
- Increase research and grant dollars from extramural and intramural funding sources.
- Continue to maintain accreditations and engage in continuous improvement and quality assurance to the public.

CEHD Strategic Plan Priorities

- Educational Excellence
- Research, Scholarship, and Creative Activity
- Community Engagement
- Diversity, Equity, and Social Justice
- Effective and Responsible Stewardship

Instruction

- New programs –Teaching and Learning Pathways for Aspiring High School Students (also referred to as the Dual Credit Program) – allows high school students to earn college credits towards a teaching degree at the CEHD. Several school districts have signed on to make the program available to their students. Gives students a jump-start on earning their degree and better prepares them for the academic rigors of college.
- New CEHD courses – Fall 2017 - ELFH 666, 668, 670, 687, 688, 697; Spring 2018 - ECPY 302; Summer 2018 – ELFH 307, 308, 309, 410, 413, 415, 416, 450, 310, 430, 431, 432, 433, 417, 418, 419; Fall 2018 – SPAD 445.

- Study abroad opportunities:
 - Students in teacher preparation programs traveled to Belize where they taught at schools in remote villages.
 - Students in counseling psychology, clinical mental health, and art therapy programs traveled to Cork, Ireland where they met with university faculty and community mental health providers to discuss mental health issues in Ireland.
 - Students in organizational leadership and learning undergraduate and human resource and organizational development graduate programs attended the University Forum for Human Resource Development conference in Lisbon, Portugal. At the conference they attended sessions on global practices in human resource development and networked with HR professionals from around the world.
 - Students in the sport administration program traveled to Wroclaw, Poland where they volunteered at the 2017 World Games.
- Enrollment changes – Over a 5-year trend, undergraduate enrollment in the CEHD decreased by approximately 5.1%. Graduate enrollment increased by approximately 17.2%. Teacher education enrollments nationally and in Kentucky are experiencing at present a decline (nationally – 33%; Kentucky – 17%).
- Online education enrollment – approximately 13.12% of the CEHD's total enrollment (spring '18) are students in online degree programs.

Research

Faculty members in the Department of Special Education received a five-year \$4.5 million grant from the Kentucky State Personnel Development Grant in partnership with the Kentucky Department of Education. The purpose of the grant is to improve the achievement of all students through sustained learning strategies and a multi-tiered system of supports that impacts teachers, school administrators, students, and their families.

Dr. Terry Scott received from Jefferson County Public Schools a \$225,642 grant to gather data by conducting research-based classroom observation. Teacher/student interactions are monitored and feedback is used to target interventions and supports that create positive and effective instructional classroom environments.

Dr. Tom Tretter and Dr. Justin McFadden received a \$205,000 grant from the Kentucky Department of Education to improve teachers' knowledge and pedagogical practices in mathematics, science, technology, and engineering, which lead to increased student learning.

CEHD Research Stats for FY2017:

- 58 proposals submitted for \$28,796,812
- 29 new awards funded during FY2017
- Total Award for FY2017 - \$4,944,837
- 3 first-time PIs were involved in grant proposal/awards
- 54 Graduate Assistantships – 39 funded by the CEHD; 8 were grant funded; 7 were department funded either part or all year

Significant Accomplishments

The Department of Educational Leadership, Evaluation and Organizational Development was awarded the Master Educator Course (formerly Cadre Faculty and Development Course) from the U.S. Army. The program involves more than 55 faculty, six staff, and a program evaluation team. The project cost is just over \$2.3 million and is funded by the U.S. Army.

The CEHD's online Master of Science in Human Resource and Organizational Development program is ranked 29th in the country among best online human resource master's degrees by Human Resource MBA. UofL is one of the only Research I Doctoral Universities ranked in the top 50. The Master of Science in Sport Administration program is ranked 35th in the world and 6th in the U.S. by Eduniversal.

Kentucky Autism Training Center (KATC) provided training to police, fire, and emergency medical services to better prepare them to understand and address the special needs of individuals with Autism during an emergency. Over 600 first responders participated in the training. All firefighters completed training by the end of 2017 and all police officers will complete training by the end of 2018.

The CEHD is a partner in the CEEDAR program (Collaboration for Effective Educator Development, Accountability and Reform), which is a Department of Education, Office of Special Education initiative that supports states and institutions of higher education in reforming teacher and leader preparation programs in Kentucky. <http://cedar.education.ufl.edu/ta-map/kentucky-intensive-ta/>

Students in the Department of Health and Sport Sciences (HSS) and three HSS faculty members were selected to volunteer on the 2018 NFL/PepsiCo Zero Waste Super Bowl Team. As Green Ambassadors, they were stationed inside the stadium during the Super Bowl to assist fans in recycling materials, contributing to enhancing the fan experience at the game.

The CEHD moved up seven places in the U.S. News & World Report's rankings of Graduate Schools of Education. We went from 85th last year to 78th this year.

Academic Unit Summaries

2019 Operating Budget



The CEHD's Sport Administration (SPAD) master's degree program is ranked 18th in the nation by College Choice; the SPAD undergraduate and master's program is ranked 9th in the nation by Sports Management Degree Guide; and the SPAD undergraduate and master's program is ranked 30th by Manager Skills.

Budget Changes

- Two additional courses, HSS 381 and 391 have now been approved and added for Fall 2018.
- Online education growth is strong, and in total reflects an almost \$1 million increase from the prior year.
- The 5% budget reduction is also about \$1 million.

College of Education and Human Development Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Education								
ED - Budget	02097	EDHD FY19 Reduction	0	0	0	(1,061,411)	(1,061,411)	0.0%
ED Early Childhood & Elem Educ	01165	Teaching & Learning	1,169,594	1,257,313	1,234,388	1,253,050	18,662	1.5%
ED Early Childhood & Elem Educ	10593	Dist Ed-EDTL	106,552	158,786	230,000	470,000	240,000	104.3%
ED-Academic Support	01174	ED-Academic Support	697,545	725,598	672,413	677,934	5,521	0.8%
ED-Ctr for Math & Science Ed.	01160	CTR FOR MATH & SCIENCE ED	91,210	90,879	90,620	14,387	(76,233)	-84.1%
ED-Dean Administration	01159	Education-Administration	1,132,759	1,008,803	1,007,344	1,173,664	166,320	16.5%
ED-Dean Administration	10595	DIST ED-ED ADMIN	332,924	222,986	290,000	390,000	100,000	34.5%
ED-Dean Administration	30015	Fees Rem- Education	118,914	109,918	91,950	91,950	0	0.0%
ED-Dean Administration	30073	JCPS Teach Grd Prg	252,780	228,084	203,350	203,350	0	0.0%
ED-Dean Administration	30255	Ed-Dean's Disc Funds	312,000	(1,312)	0	0	0	0.0%
ED-Early Chld.Res.Ctr.	01169	Intd Ctr Res Erl Chd	202,309	136,778	179,206	158,073	(21,133)	-11.8%
ED-Early Learning Campus	01624	Early Childhood Develop. Ctr	192,400	198,198	207,882	200,697	(7,185)	-3.5%
ED-Early Learning Campus	10722	Early Learning Campus	1,746,617	1,691,665	1,677,600	1,677,600	0	0.0%
ED-Ed. Resource Tech Center	01179	Ed Resourc & Tech Center	620,308	567,946	308,813	309,713	900	0.3%
ED-EDTL-KATC	01227	State Autism Training Center	197,558	202,478	210,217	212,923	2,706	1.3%
ED-Educ Ldshp Eval & Orgzl Dev	01175	Leder.,Fnd.,Hr Ed	1,393,983	1,451,119	1,271,700	1,238,309	(33,391)	-2.6%
ED-Educ Ldshp Eval & Orgzl Dev	01622	Workforce Leadership	50,793	51,987	19,900	19,900	0	0.0%
ED-Educ Ldshp Eval & Orgzl Dev	10596	Dist Ed-ELFH	825,977	942,760	1,552,000	1,830,000	278,000	17.9%
ED-Educ Ldshp Eval & Orgzl Dev	10668	Workforce Completr Degree Prog	353,534	368,758	300,000	284,000	(16,000)	-5.3%
ED-Educ Ldshp Eval & Orgzl Dev	10759	M.S HR/Oll-Ft. Knox	89,227	42,958	38,880	24,000	(14,880)	-38.3%
ED-Educ Ldshp Eval & Orgzl Dev	10803	Competency Based Educ (CBE)	0	42,099	78,300	122,000	43,700	55.8%
ED-Education Advising Ctr.	01170	ED-Academic Advising	1,119,480	1,117,597	1,010,719	1,026,649	15,930	1.6%
ED-Education Advising Ctr.	10549	Education - Student Council	596	500	1,000	1,900	900	90.0%
ED-Education Counsel. Psych.	01163	Educ & Counsel Psych	1,322,502	1,367,454	1,525,496	1,387,962	(137,534)	-9.0%
ED-Education Counsel. Psych.	10603	Dis Ed-ECPY	(0)	57,608	57,440	57,440	0	0.0%
ED-Education Counsel. Psych.	10793	Cardinal Success Prg-Off-Site	593,599	353,114	0	0	0	0.0%
ED-Education Counsel. Psych.	55000	Research Council Grant	2,925	75	0	0	0	0.0%
ED-Education Instruction	01173	ED - Instruction	862,886	789,487	933,400	931,005	(2,395)	-0.3%
ED-Education Research	01162	CEHD Education Research	230,157	225,472	160,632	161,082	450	0.3%
ED-ELFH-EDAD Coop.Program	10470	UL/WKU & ELOD/ED.D	21,441	56,507	212,500	220,000	7,500	3.5%

Academic Unit Summaries

2019 Operating Budget



Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
ED-ELFH-FORD/GE/UPS Program	10441	ELFH/GE/Off campus program	208,650	440,972	717,700	730,000	12,300	1.7%
ED-Expressive Therapies	10791	Art Therapy Tuition	13,040	0	0	0	0	0.0%
ED-Fort Knox Programs	01176	Fort Knox Program	131,823	134,909	165,040	172,024	6,984	4.2%
ED-Learning Improvement Ctr	01178	ECPY Clinical Training	1,614	386	0	0	0	0.0%
ED-MulticultTeacherRecruit Pro	01168	Min Teach Recruitment Program	104,056	109,094	0	0	0	0.0%
ED-Nystrand Ctr Excel in Ed	01172	NYSTRAND CTR EXC ED	893,904	683,141	136,800	138,533	1,733	1.3%
ED-Nystrand Ctr Excel in Ed	10788	TEAD field and clinical fee	55,435	55,915	60,000	60,000	0	0.0%
ED-Teach & Learn Special Edu	01167	EDTL-Spec. Educ.	1,148,754	1,318,603	1,312,740	1,322,443	9,703	0.7%
ED-Teach & Learn Special Edu	55000	Research Council Grant	3,294	0	0	0	0	0.0%
ED-Teach&Learn Midl & Sec Edu	01166	EDTL-Mid & Sec. Edu	1,438,322	1,391,294	1,269,035	1,339,786	70,751	5.6%
ED-Teach&Learn Midl & Sec Edu	55000	Research Council Grant	0	1,566	0	0	0	0.0%
ED-UofL / JCPS Partnership Prg	01171	JCPS/UL Part Program	20,557	21,013	0	0	0	0.0%
Health and Sports Sciences	01177	HPES	2,395,381	2,440,911	1,877,236	2,001,245	124,009	6.6%
Health and Sports Sciences	10594	Dist Ed-HPES	948,080	871,510	800,000	1,170,000	370,000	46.3%
Health and Sports Sciences	10707	HSS Course Fee	97,769	80,981	100,000	77,000	(23,000)	-23.0%
Health and Sports Sciences	10802	HSS Lab Fee	4,624	39,854	35,000	30,000	(5,000)	-14.3%
Health and Sports Sciences	55000	Research Council Grant	684	2,841	0	0	0	0.0%
Rauch Planetarium	10445	GSH & Rauch Planetarium	350,519	364,531	344,900	344,900	0	0.0%
Education Total			21,857,077	21,423,134	20,384,201	20,462,108	77,907	0.4%

School of Interdisciplinary and Graduate Studies at a Glance				
Year Established	1918	Academic Regalia Inter-Collegiate Color		Dark Blue
Facilities and Main Office Houchens Building - Room 105				
<i>Financial Information</i>				
	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Actual	Budget	Budget
Total General Fund	\$12,060,576	\$11,113,887	\$11,688,509	\$12,229,065
Total Non-General Fund			\$58,702	\$58,967
Total Graduate School			\$11,747,211	\$12,288,032
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	268	386	450	
Degrees				
Degrees Awarded	2	5	1	
Enrollment by Level - Fall				
Graduate	26	26	33	

School of Interdisciplinary and Graduate Studies

The School of Interdisciplinary and Graduate Studies (SIGS) provides administrative support, professional development and student academic services for post-baccalaureate instructional programs leading to certificates, master's and doctoral degrees. In addition, it is the enrollment unit for interdisciplinary master's and doctoral programs. SIGS supports the efforts of all University of Louisville academic units in providing programs leading to advanced degrees in the arts, humanities, social sciences, natural sciences, education, engineering, biomedical and health sciences, business, and engineering. This includes the current offerings of advanced studies in 74 areas at the master's level, 35 at the doctoral level, and one specialist degree program.

Goals

- Create Strategic Plan for Graduate Education at the University
- Develop method/outcomes assessments for using Academic Analytics instead of external review as part of all doctoral reviews.
- Develop mentoring programming to help improve master's and doctoral mentoring.

Instruction

The role of the School of Interdisciplinary and Graduate Studies is to advocate for graduate education and graduate students across the university. SIGS provides financial support and professional development for post baccalaureate students, and fosters creation of graduate interdisciplinary programs. The unit, through the Graduate Council, establishes minimum guidelines for graduate education and oversees policies for administering graduate education at the University of Louisville. SIGS also partners with the academic units to help those units recruit, retain, and prepare students for successful careers.

SIGS provides support to students and faculty who wish to create interdisciplinary graduate training tracks under the umbrella of the two master's and one doctoral interdisciplinary graduate degree programs housed in SIGS, and provides fellowships to seed faculty-initiated tracks in these programs. Currently there are five interdisciplinary tracks: Master of Arts in Interdisciplinary Studies with a Concentration in Bioethics and Medical Humanities, Master of Arts/Sciences in Sustainability, a Doctoral Program in Interdisciplinary Studies with a Specialization in Bioinformatics, a Doctoral Program in Interdisciplinary Studies with a Specialization in Translational Neuroscience, and a Doctoral Program Interdisciplinary Studies with a Specialization in Translational Biomedical Engineering.

SIGS also offers a mechanism for students to develop an ad hoc degree program, in consultation with a faculty steering committee, under the auspices of the interdisciplinary graduate programs. In the 2017-2018 academic year, there were 33 students enrolled across the active

interdisciplinary tracks and in the ad hoc programs. Two students graduated during the December, 2017 commencement and five students are candidates for graduation in the May, 2018 commencement. Also in 2017-2018, SIGS funded 105 University Fellowships to support students across the university.

We also reached out to A&S graduate programs about developing a core of practical courses (eg, data analysis, grant writing, professional writing, etc.) to add to liberal arts master's degrees to enhance students applied skills and increase their marketability.

Research

SIGS is the host unit for the National Science Foundation Graduate Research Fellowship Program (GRFP), which is a highly competitive scholarship program available to doctoral students. In 2017-2018, SIGS had two resident GRFP fellows. SIGS sponsors the Graduate Student Honor awards for fall and spring commencement and the Faculty Mentor Awards for the spring commencement. We also sponsor research prizes for Research!Louisville and have assumed responsibility for administering research award through the Multicultural Association of Graduate Students. SIGS also supports the Graduate Student Council's Travel Grant program for student travel to research conferences and their Research Fund, which provides small grants in support of graduate student research projects.

Significant Accomplishments

Professional Development for Graduate Students

The University of Louisville School of Interdisciplinary and Graduate Studies PLAN supports graduate student success in, during, and as they leave graduate school. It offers guidance, programming, consultation, and personal and professional development opportunities online and in person, in the areas of professional development, life skills, academic development, and networking. Graduate students participating in PLAN will indicate the programs they attended which helped them to feel included, met a current need, and/or were useful, and students noted that they will recommend the event to other students.

Diversity and Retention Efforts

The School of Interdisciplinary and Graduate Studies goal is to create effective recruitment and retention programming for our diverse graduation student population. One of SIGS' goals is to create recruitment and retention programs for diverse student populations. The

objectives of these programs are to: 1) Monitor the academic and social progress of our under-represented graduate student population; 2) Develop and identify proactive interventions for improvements in areas that will help increase student retention rates; 3) Provide one-on-one consultation and referrals; and 4) Encourage a network of support for students across academic disciplines. The Multicultural Association of Graduate Students (MAGS) was created three years ago in support of the aforementioned objectives, and is thriving. Retention assessments include engaging in one-on-one consultations that tracks student's academic progress as it relates to grades, satisfaction survey completion, and program attendance. Recruitment initiatives such as GRE workshops, attending recruitment fairs all target prospective students by alerting them to opportunities for graduate training at UofL.

SIGS also developed the Presidential Diversity Scholars Program, which was implemented in the 2017-18 academic year. This is a partnership with units and programs who fund URM doctoral students, providing up to ten students with an additional \$1,000 in professional development funding, as well as professional development through the PLAN and "Check-in and Connect."

In 2017 SIGS took the lead on the Provost's initiative to send a team of faculty and graduate students to the ACC Conference on Women of Color in Academe in Blacksburg, VA; we are sending two students in 2018.

Community Engagement

During 2016-2017, SIGS began the Community Engagement Academy, which is a year-long series of sessions that will allow students to participate in community engagement opportunities throughout the Louisville community, notably highlighting a strong partnership with the Parklands of Floyds Fork. This year, we explored additional partnerships, including the Council for Developmental Disabilities, the Consortium on Transdisciplinary Social Justice Research and the Backside Learning Center at Churchill Downs. We will continue to work with those agencies in next year's academy.

Budget Changes

- About \$300,000 of the year-over-year change is associated with the corresponding percentage financial aid increase to offset the increase in tuition.

School of Interdisciplinary and Graduate Studies General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Graduate School								
SIGS Administration	01196	SIGS Administration	864,571	716,173	1,318,148	1,491,677	173,529	13.2%
SIGS Administration	01197	SIGS- Graduate Stipends	2,393,124	0	0	0	0	0.0%
SIGS Administration	01460	SIGS Projects	42,460	41,886	31,169	0	(31,169)	-100.0%
SIGS Administration	01701	Sustainability Graduate Prog	0	0	0	97,821	97,821	0.0%
SIGS Administration	01997	Humanities/Social Sci Buyouts	0	0	30,000	30,000	0	0.0%
SIGS Administration	30125	SIGS Admissions	453,519	440,431	0	0	0	0.0%
SIGS Interdisciplinary Program	30522	Interdisc Pgm-Bioethics	69,815	71,388	61,986	0	(61,986)	-100.0%
SIGS Student Tuition Awards	01197	SIGS- Graduate Stipends	0	2,471,386	2,799,475	2,863,967	64,492	2.3%
SIGS Student Tuition Awards	10550	Graduate - Student Council	22,658	24,386	18,800	19,500	700	3.7%
SIGS Student Tuition Awards	30009	Fees Rem-PhD Tuition	1,641,151	2,657,200	7,396,331	7,692,200	295,869	4.0%
SIGS Student Tuition Awards	30018	Fees Rem-Grad Dental	97,076	25,417	0	0	0	0.0%
SIGS Student Tuition Awards	30023	Fees Rem Gra Med Neu	63,206	72,651	0	0	0	0.0%
SIGS Student Tuition Awards	30057	Fee Remitted GA Kent	97,509	87,309	0	0	0	0.0%
SIGS Student Tuition Awards	30062	Fees Remitted - GA A&S	3,007,671	2,534,591	0	0	0	0.0%
SIGS Student Tuition Awards	30063	Fee Rem-GA COB	332,207	106,397	0	0	0	0.0%
SIGS Student Tuition Awards	30065	Fees Rem - GA EDHD	596,296	547,017	0	0	0	0.0%
SIGS Student Tuition Awards	30067	Fees Rem-GA Medicine	358,189	295,936	0	0	0	0.0%
SIGS Student Tuition Awards	30068	Fees Rem-GA Music	343,904	361,257	0	0	0	0.0%
SIGS Student Tuition Awards	30069	SPD Fees Rem. - GA	479,120	407,688	0	0	0	0.0%
SIGS Student Tuition Awards	30209	SIGS Student Res& Travel Award	27,850	22,555	32,600	33,900	1,300	4.0%
SIGS Student Tuition Awards	30360	Fees Rem.-GA Nursing	153,550	130,041	0	0	0	0.0%
SIGS Student Tuition Awards	30372	Fees Remitted-GAs Public Hlth	134,806	100,178	0	0	0	0.0%
SIGS Student Tuition Awards	30523	SIGS - Tuition Match	886,095	0	0	0	0	0.0%
SIGS Student Tuition Awards	30624	EDHE NR Waiver	(4,202)	0	0	0	0	0.0%
Graduate School Total			12,060,576	11,113,887	11,688,509	12,229,065	540,556	4.6%

Academic Unit Summaries

2019 Operating Budget



Kent School of Social Work at a Glance				
Year Established	1936	Academic Regalia Inter-Collegiate Color		Citron
Facilities and Main Office Oppenheimer Hall				
<i>Financial Information</i>				
	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Actual	Budget	Budget
Total General Fund	\$6,120,245	\$6,847,207	\$6,645,702	\$7,299,874
Total Non-General Fund			\$2,833,186	\$5,278,824
Total Kent School			\$9,478,888	\$12,578,698
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	15,654	16,271	16,376	
Degrees				
Degrees Awarded	246	257	254	
Degrees Awarded Change Per Year	27.5%	4.5%	-1.2%	
Enrollment by Level - Fall				
Undergraduate	167	181	187	
Graduate	521	529	595	
Total	688	710	782	

Kent School of Social Work

The Raymond A. Kent School of Social Work, founded in 1936, seeks to prepare competent social workers who practice from a strong professional value base to serve the metropolitan mission of the University. These social workers are educated to practice with individuals, families, and communities and to promote social justice. In the context of a research institution, the Kent School is committed to knowledge development that informs the practice of social work, recognizing the importance of collaboration among differing disciplines to solve complex social problems. The School offers BSW, MSSW, and Ph.D. degrees, as well as multiple specializations in the graduate program. One specialization, Couple & Family Therapy, is one of only two programs accredited by the Commission for Accreditation for Marriage and Family Education (COAMFTE) offering combined training in CFT and Social Work.

Since 2007, the School has offered a BSW program. The undergraduate program educates students for generalist practice at entry level positions in social work; thereby enabling them to practice competently, under professional supervision, with a variety of client systems, and at all system levels. It also prepares students for entry into advanced graduate work in social work. In addition to face-to-face classes, the BSW Program online option begins in August of 2018. There are currently close to 200 BSW students at the Kent School.

The MSSW program, the oldest of all of the program offerings, is designed to help students achieve their maximum potential through a carefully structured curriculum of foundation and specialized courses. Kent students may participate in dual degree programs with the Brandeis School of Law (J.D.), the Department of Pan African Studies (M.A.), the Louisville Presbyterian Theological Seminary (M.Div.), the Department of Bioethics and Medical Humanities (M.A.), and the Department of Women's and Gender Studies (M.A.). In addition to face-to-face classes, students may obtain their MSSW degree through an online program, utilizing practicum placements in their own regional social service and medical agencies. It is a high quality program that maintains cohorts to build virtual communities of students from all over the country. The Kent School has a current enrollment of more than 500 graduate students.

The Ph.D. in Social Work, begun in 1997, is intended to produce top quality (1) teachers and researchers for social work education programs and (2) administrators, policy analysts, program planners, and program evaluators for human service organizations. The Kent School has a goal of graduating around 5 doctoral students each year.

We are also proud of our LaRocca Chair in Oncology Social Work. It was the first chair of its kind in the country and helps the Kent School become a school of destination for students and faculty who want to learn and conduct research in psychosocial oncology. The LaRocca Chair position is held by Dr. Karen Kayser and works in conjunction with the strategic interests of the James Graham Brown Cancer Center at the University of Louisville to become a nationally recognized comprehensive cancer center.

Goals

The goals of the Kent School of Social Work over the next few years include increasing the number of BSW and MSSW student enrollments and strengthening the support we offer students in our doctoral program. Additionally, the faculty and staff continue to strive to increase research and scholarship, achieve annual diversity goals by increasing enrollment of minorities, and increase annual philanthropy to the School.

Instruction

The Kent School developed a partnership with the Delphi Center to create a Teaching Academy to support our large number of part-time faculty and enhance instructional quality. Many faculty are also working with the Center to be recognized for very high standards developed for online instruction. Several faculty members have already received recognition for this difficult achievement for their online courses.

Research

The Kent School continues to expand its research and has had tremendous success in obtaining funding for projects. Dr. Bibhuti Sar leads a federally funded project on trauma informed counseling and services and Dr. Anita Barbee, the Kent School's Distinguished University Professor, also continues to lead multiple projects assisting in engaging various community service agencies as well as state and local institutions. Dr. Armon Perry works a multi-million dollar grant to study fatherhood, Dr. Crystal Collins-Camargo has grant awards to further her research on public and private child welfare partnerships, and Dr. Andy Frey has been successful in securing funding for projects that help children become better prepared to enter school.

Kent School has a growing and robust research enterprise. Our research portfolio is approximately \$15 million a year. Additionally, published scholarship of the School faculty continues to increase with research that makes a difference in Louisville, Kentucky, and abroad.

Significant Accomplishments

The Kent School of Social Work continues to provide more than \$2 million of contribute services to residents of Kentucky through its Field Education Program. The Kent School placed 45 BSW students in 25 organizations across 2 Kentucky counties and placed 212 MSSW students

in 115 organizations across 21 Kentucky counties. In total, 420 Kent School students provided more than 203, 200 hours of service in Kentucky, across the United States, and its territories.

The Kent School remains a leader within the university for diversity in student enrollment, including 29% of the BSW program, 17% of the MSSW program, and 9% of the Ph.D. program's enrollment are African American. In the BSW program, 31% are non-white; 23% are non-white in the MSSW program; and 21% are non-white in the Ph.D. program.

Budget Changes

- Online education continues to see high demand within the Kent School, accounting for about \$700,000 of the change from FY 2018.
- The FY 2019 budget reduction is a decrease of about \$370,000.

Academic Unit Summaries

2019 Operating Budget



Kent School of Social Work General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Kent School								
BSW Instruction	01614	Kent School-BSW Instruction	730,838	630,939	767,075	749,218	(17,857)	-2.3%
BSW Instruction	10819	Dist Ed- Kent BSW Instruction	0	0	0	147,690	147,690	0.0%
Joint PhD in Social Work	01353	Jnt Soc Work Phd Program	434,766	485,034	456,486	460,687	4,201	0.9%
Kent - Budget	02098	KENT FY19 Reduction	0	0	0	(372,370)	(372,370)	0.0%
Kent - Instruction	01180	Kent-Instruction	1,660,238	1,735,636	1,620,314	1,885,358	265,044	16.4%
Kent - Instruction	10645	Dist Ed-Kent Instruction	1,044,278	1,470,056	1,612,720	2,090,787	478,067	29.6%
Kent School Admin	01349	KNT Sch Adm/Student Services	212,486	204,804	204,020	185,440	(18,580)	-9.1%
Kent School Admin	01350	KNT Sch Field Instruction	167,451	98,530	88,069	88,294	225	0.3%
Kent School Admin	01351	Kent Administration	812,613	1,073,839	997,474	831,594	(165,880)	-16.6%
Kent School Admin	01352	Kent - Assoc Dean Res	273,017	251,913	218,900	199,557	(19,343)	-8.8%
Kent School Admin	01468	Kent Discretionary	514	71,175	0	0	0	0.0%
Kent School Admin	01679	KNT Social Work Oncology	115,710	176,953	165,555	159,283	(6,272)	-3.8%
Kent School Admin	10529	Kent School Workshops	96,360	113,945	98,500	103,000	4,500	4.6%
Kent School Admin	10556	Kent School Student Council	3,584	7,833	5,600	6,400	800	14.3%
Kent School Admin	10644	Dist Ed-Kent Admin	186,640	178,881	172,360	246,500	74,140	43.0%
Kent School Admin	10645	Dist Ed-Kent Instruction	0	0	13,778	36,213	22,435	162.8%
Kent School Admin	10812	Kent Practicum Student Fee	0	0	0	31,050	31,050	0.0%
Kent School Admin	30058	Kent School Scholars	212,000	212,000	212,000	212,000	0	0.0%
Kent School Admin	30373	Kent Technology Fund	10,707	5,659	0	0	0	0.0%
Kent School Admin	30391	Credit For Learning Program	134,608	123,350	0	226,322	226,322	0.0%
Kent School Admin	30521	KNT 2008 Weber Award	2,969	0	0	0	0	0.0%
Kent School Admin	30549	KNT GA BSW	17,159	6,660	12,851	12,851	0	0.0%
Kent School Admin	55000	Research Council Grant	4,307	0	0	0	0	0.0%
Kent School Total			6,120,245	6,847,207	6,645,702	7,299,874	654,172	9.8%

Brandeis School of Law at a Glance				
Year Established	1846	Academic Regalia Inter-Collegiate Color		Purple
Facilities and Main Office Wilson W. Wyatt Hall - 2301 South Third Street				
<i>Financial Information</i>				
	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Actual	Budget	Budget
Total General Fund	\$9,742,458	\$10,231,457	\$10,484,336	\$10,817,332
Total Non-General Fund			\$2,571,132	\$1,537,367
Total School of Law			\$13,055,468	\$12,354,699
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	10,182	9,532	8,989	
Degrees				
Degrees Awarded	124	123	74	
Degrees Awarded Change Per Year	7.8%	-0.8%	-39.8%	
Enrollment by Level - Fall				
Graduate	323	300	361	

Louis D. Brandeis School of Law

As the fifth oldest continually operating law school in the nation, the University of Louisville's Brandeis School of Law, founded in 1846, has a long tradition of commitment to its students. The school provides students with outstanding contemporary instruction and abundant practical opportunities to enhance skills and gain experiences both in and outside of ordinary legal practice.

The Brandeis School of Law is fully accredited by the American Bar Association, and is a member of the Association of American Law Schools. It includes more than 300 students, 28 faculty, and 30 staff members. The J.D. Program requires the completion of 90 credit hours, which can be accomplished on either a full-time or part-time basis. The full-time program is a three-year course of study for students who are able to devote virtually all of their time to the study of law. The part-time program is designed to meet the needs of students who have outside obligations, and is generally completed in four or five years.

While at the Brandeis School of Law, students experience small classes and thoughtful professors and staff members who care deeply about each student's professional development. Many opportunities exist for students to contribute to a professor's cutting-edge research or participate in one of three student-edited law journals that address the most important legal issues of the day. Law students excel in national moot competitions and engage in dozens of student organizations, including the Student Bar Association. Being located in Louisville allows students to help community members in externships and clinics, and to engage with the city's bench and bar. All of this is accomplished while being consistently recognized as a Best Value Law School.

Dual-Degree Programs

UofL students have the opportunity to build on their law degrees by combining the study of law with another academic or professional discipline. UofL Law offers eight dual-degree programs:

- J.D./M.A. Juris Doctor/Master of Arts in Bioethics and Medical Humanities
- J.D./M.A. Juris Doctor/Master of Arts in Humanities
- J.D./M.A. Juris Doctor/Master of Arts in Political Science
- J.D./M.B.A. Juris Doctor/Master of Business Administration
- J.D./M.Div. Juris Doctor/Master of Divinity
- J.D./M.S.S.W. Juris Doctor/Master of Science in Social Work
- J.D./M.P.A. Juris Doctor/Master of Public Administration
- J.D./M.U.P. Juris Doctor/Master of Urban Planning

Goals

The Brandeis School of Law adopted a strategic plan in April 2014. The plan includes a revised mission statement and sets out goals and a detailed set of strategies in the following areas:

Education and Curriculum

- Ensure students develop skills, knowledge, and values for lifelong effectiveness in solving problems and seeking justice.

Research

- Produce and support research and scholarship that have a high level of impact on scholarship (the academic body of knowledge and ideas), law, public policy, and/or social institutions.

Interdisciplinary Inquiry

- Develop a strong program of interdisciplinary education, scholarship, and service.

Community Engagement

- Actively engage the community in addressing public problems, resolving conflicts, seeking justice, and building a vibrant and sustainable future through high-quality research, innovative ideas, and application of research to solve public problems and serve the public.

Diversity

- Actively engage diverse participants in an academic community that is strengthened by its diversity and its commitment to social justice, opportunity, sustainability, and mutual respect.

Resources

- Increase resources, including developing new sources of funding that enable the Law School to fulfill the critical aspects of its mission and to achieve its goals and strategies, while also adhering to the Law School's long-standing commitment to students' access to a relatively affordable J.D. program.
- Use resources efficiently, effectively, and sustainably to maximize outcomes for resources expended, including setting priorities for the use of limited funding, time, effort, and expertise.
- Promote sustainability in the Law School community and environment, and build partnerships with the University and broader community to seek sustainability.

Instruction

Skills Training and Clinical Opportunities

Students begin skills training at UofL Law in their first year with the development of legal analysis. One course, Lawyering Skills, is devoted entirely to the skills of legal writing, analysis, advocacy and legal research. In their second and third years, students can select courses, seminars, extramural advocacy competitions, and externships designated as “skills experiences.” These courses are specifically designed to provide substantial instruction in professional lawyering skills, including trial and appellate advocacy, dispute resolution, counseling, interviewing, negotiating, problem solving, factual investigation, organization and management of legal work, and drafting. In addition, the law school offers a litigation skills certificate and a transactional skills certificate, equipping students with appropriate experience to excel in their chosen careers.

Third-year students working at the Robert and Sue Ellen Ackerson Law Clinic serve as the primary contact for, and represent clients who are, victims of domestic violence in Jefferson Family Court, or clients who have forcible detainer (eviction) cases before Jefferson District Court. Third-year students also partner with the MBA entrepreneurship competition teams in developing award-winning business plans. Upper-level students who pursue a clinical externship acquire valuable experiences and legal skills by working with judges and lawyers in various settings, including representing clients through organizations like Legal Aid or Kentucky Refugee Ministries; preparing and trying cases through criminal justice agencies such as the Commonwealth Attorney’s Office or the Public Defender’s office; or working on tax law with the local Internal Revenue Service office.

International Study

The faculty of the University of Louisville Louis D. Brandeis School of Law reaffirms that study of the legal systems and cultures of other countries enhances students’ legal education. The Law School has developed relationships with certain foreign law schools, and students who study abroad at these partner schools may qualify for tuition or other advantages. However, students are also free to arrange for study at non-partner institutions.

Academic Success

The Academic Success Office offers a number of appropriate and effective resources that help students navigate through the arduous courses of study required in law school from year one through succeeding on the first attempt on taking the state bar exam.

Led by the Director of Academic Success and Bar Preparation, the Office directs a unique “Structured Study Group (SSG)” program for all first-year law students that includes a top ranked upper-classman leading a weekly session that helps guide the team towards first-year success. The Office also sponsors continuing orientation workshops on a variety of topics, including note taking, case briefing, outlining, time management, and exam preparation---skills necessary for optimal performance in the first year of law school. In addition, the Office directs programs that counsels students on individual skill development, academic counseling, and an impressive resource library easily accessible to all students.

Starting in 2016, the Office offers a course specifically focused on increasing vital skills necessary to pass the state bar exam on the first attempt. This upper-level class laser-focuses on issue spotting, analytical exam writing, and multiple choice test-taking skills that are demanded by bar examiners in all states. Offered in the last semester of law school, this course promises students a “jump-start” to their bar preparation with a demanding and thorough practice approach that includes both essays and over a thousand multiple-choice practice questions that mirror bar exams in Kentucky and other jurisdictions.

Research

Twenty-one faculty members published approximately 104 works in 2017.

A few examples include:

- Professor Jamie Abrams spoke at the 20th annual meeting of the Association for the Study of Law, Culture and the Humanities March 31, 2017, at Stanford Law School. Abrams presented her article "The Feminist Case for Acknowledging Women's Acts of Violence," which was recently published in the *Yale Journal of Law and Feminism*.
- Professor John Cross presented at a copyright use and abuse lecture hosted by The University of the West Indies in February, 2017. He also taught a course at the university's Intellectual Property in Creative & Cultural Industries Program.
- Professor Ariana Levinson published her first book, *Principles of Arbitration Law*, is an authoritative and extensively cited treatise on arbitration law. Levinson presented at the 12th Annual Colloquium on Scholarship in Employment and Labor Law in September, 2017 at Texas A&M University School of Law.
- Professor Laura McNeal gave a talk on the constitutional right of student athletes to engage in protest during patriotic rituals, "From Hoodies and Skittles to Kneeling Through the National Anthem: The Colin Kaepernick Effect and the Implications for K-12 Sports."

The law school also participates in several grants including the Collaboration and Advocacy to provide Safety and Empowerment (CASE) Grant. Since 2010, The Law Clinic in collaboration with the Legal Aid Society (LAS) and the Center for Women and Families (CWF), with generous funding from the Office on Violence Against Women (OVW), has successfully served over 800 victims of domestic violence in Jefferson County through the CASE Project. Now with the award of another three-year grant in fall 2014, the work can be expanded by: including the Kentucky Association of Sexual Assault Programs (KASAP) as a strategic partner; providing holistic legal services to victims of domestic violence and sexual assault in not only Jefferson County, but also Bullitt, Oldham, and Shelby Counties; and implementing outreach to college and university survivors of sexual assault and provide legal representation in Title IX Administrative Hearings. The Law Clinic was awarded a new Legal Assistance for Victims grant beginning in fall 2017.

Significant Accomplishments

- Bar passage rate of Brandeis graduates exceeded state average nine out of the past ten years.
- Ranked #1 by U.S. News and World Report as Most Efficient School and named an over-performing law school by the Tax Blog.
- The Ackerson Law Clinic has helped over 1,300 clients with domestic violence issues.
- Law Review ranked in top 20% of law reviews.
- Won the intrastate mock trial competition two years in a row.
- Donated over 7,100 hours in public service.
- Law School faculty won the University's Multicultural Teaching Award the last two years.
- Awarded the 2016 Brandeis Medal to United State Supreme Court Justice Elena Kagan.

Budget Changes

- The FY 2019 general fund budget increases by \$500,000 due to an increase in scholarship funding.
- In addition, the Law School is experiencing a growth of enrollment, and is reflected in the \$360,000 increase in instruction.
- The 5% reduction for the school is about \$475,000.

Brandeis School of Law General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Law								
Law - Budget	02099	LAW FY19 Reduction	0	0	0	(475,996)	(475,996)	0.0%
Law - Clinic	01945	Law Clinic	70,654	111,424	117,074	106,124	(10,950)	-9.4%
Law - Student Services	01182	Law-Student Services	0	0	71,957	0	(71,957)	-100.0%
Law - Student Services	01988	Law-Student Services	0	270,482	214,483	282,785	68,302	31.8%
Law - Student Services	02124	Summer Enrichment Program	0	0	0	20,225	20,225	0.0%
Law - Student Services	10711	Law School Orientation Fee	4,654	4,052	0	0	0	0.0%
Law Administration	01182	Law Administration	759,729	666,403	615,223	550,174	(65,049)	-10.6%
Law Administration	01270	Law Technology Fund	242,613	0	0	0	0	0.0%
Law Administration	01473	Law-Dean's Disc Fund	14,423	309,666	0	0	0	0.0%
Law Administration	01680	Law Seat Deposit-Clearing	0	135	0	0	0	0.0%
Law Administration	01946	Law Resource Center	201,716	207,614	216,914	217,589	675	0.3%
Law Administration	01947	Moot Court	0	231	0	0	0	0.0%
Law Administration	01988	Law-Student Services	217,039	0	0	0	0	0.0%
Law Administration	01989	Law-Communications	85,937	83,521	92,097	137,635	45,538	49.4%
Law Administration	02079	Law School Diversity Funds	6,196	7,897	20,000	15,000	(5,000)	-25.0%
Law Administration	02119	Law-Human Rights Advocacy Pr	0	0	0	5,000	5,000	0.0%
Law Administration	10519	Labor Law & Estate Planning	6,503	(2,776)	0	0	0	0.0%
Law Administration	10546	Law - Student Bar Association	19,302	28,729	18,800	0	(18,800)	-100.0%
Law Administration	10576	U of L Law Review	10,006	15,321	15,000	0	(15,000)	-100.0%
Law Administration	10703	Law School Technology Fees	22,949	0	0	0	0	0.0%
Law Administration	10708	Distance Education-Law	4,481	38,650	15,000	35,000	20,000	133.3%
Law Instruction	01183	Law - Instruction	3,729,462	3,812,377	3,869,975	4,233,684	363,709	9.4%
Law Instruction	01359	Law - Hlth Serv Center	158,528	160,725	162,627	162,852	225	0.1%
Law Instruction	01360	Law & Entrepren Program	128,188	128,333	117,749	122,229	4,480	3.8%
Law Library	01184	Law - Library	2,446,457	2,423,532	2,521,504	2,528,708	7,204	0.3%
Law School Student Programs	10546	Law - Student Bar Association	0	0	0	18,800	18,800	0.0%
Law School Student Programs	10576	U of L Law Review	0	0	0	15,000	15,000	0.0%
Law-Admissions	01356	Law Professional Development	0	0	24,074	24,099	25	0.1%
Law-Admissions	01357	Law School Admission	268,120	204,071	298,885	277,255	(21,630)	-7.2%
Law-Financial Aid	30045	N/R Scholarship-Law	131,675	92,706	237,100	180,700	(56,400)	-23.8%

Academic Unit Summaries

2019 Operating Budget



Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Law-Financial Aid	30053	Law School Scholarship	561,442	491,244	885,700	1,400,000	514,300	58.1%
Law-Financial Aid	30054	Law Sch Minority Scholarship	77,146	177,450	138,600	180,700	42,100	30.4%
Law-Financial Aid	30627	Law School Out-of-State Waiver	341,315	482,881	0	0	0	0.0%
Law-Moot Court	01947	Moot Court	30,302	39,541	36,000	36,000	0	0.0%
Law-Office of Professional Dev	01356	Law Professional Development	0	0	199,535	199,926	391	0.2%
Law-Office of Professional Dev	01545	Law Public Service Program	16,183	0	0	0	0	0.0%
Law-Other Instruction	01356	Law Professional Development	187,437	189,366	0	0	0	0.0%
Law-Other Instruction	01703	Law-Summer Teaching	0	0	35,524	67,120	31,596	88.9%
Law-Other Instruction	02084	Law Part-time Instruction	0	0	65,070	108,231	43,161	66.3%
Law-Reallocation	02007	Law School Reallocation Pools	0	0	157,451	28,834	(128,617)	-81.7%
Law-Reallocation	02083	Administration Rellocation	0	0	21,270	15,676	(5,594)	-26.3%
Law-Technology	01270	Law Technology Fund	0	256,028	283,724	290,982	7,258	2.6%
Law-Technology	10703	Law School Technology Fees	0	31,856	33,000	33,000	0	0.0%
Law Total			9,742,458	10,231,457	10,484,336	10,817,332	332,996	3.2%

University Libraries

The University Libraries (UL) serve as the University's intellectual center, fulfilling the information and research needs of a diverse population through collections of more than 2.3 million volumes. The University Libraries include Archives and Special Collections, the Dwight Anderson Music Library, the Law Library, the Margaret M. Bridwell Art Library, the Sidney I. Kornhauser Health Sciences Library, and the William F. Ekstrom Library. An active member of the Association of Research Libraries (ARL), UofL ranks 95th in the ARL Investment Index.

Notable University Libraries Numbers

- Over 2 million users enter University Libraries facilities annually.
- 345 database subscriptions are maintained in support of user research.
- Over 180,000 e-books and 90,000 journals are available online.
- Nearly 2 million full text articles were requested by users.
- Over 1,000 items (e.g. theses) uploaded to the ThinkIR, an online digital repository for UofL research.

Goals

- Serve as a campus leader in resource information and data management.
- Continue developing strong partnerships with other campus units.
- Upgrade and enhance physical spaces where appropriate.
- Maintain a close dialogue with groups such as our student and faculty advisory boards, the Student Government Association, and the Faculty Senate.
- Pursue alternative funding to provide a rich set of resources and services to our users.

Research

- University Libraries faculty continued to publish articles, reviews, reports, etc., with many published in peer-reviewed journals. In 2017 faculty made 39 conference presentations and had 23 articles published.

Academic Unit Summaries

2019 Operating Budget



- University Libraries worked with the Department of Infectious Diseases to launch two open access journals: Journal of Refugee and Global Health, and Journal of Respiratory Infections.
- University Libraries continued providing open access to UofL faculty scholarship in ThinkIR, the University of Louisville's Institutional Repository. Over 334,000 items were used or reviewed in ThinkIR during 2017-18.
- University Libraries continued to acquire online back files of journal titles. Previously, these older issues were only available in print physically in the libraries and not digitally available.
- Ekstrom Library's Learning Commons supports student academic success through coordinated research support (Library), tutoring (REACH), writing assistance (Writing Center), and the creation of digital scholarship (DMS).
- Archives and Special Collections continued to add new items to its Digital Collections making more of these collections available to researchers and increasing awareness of the materials.
- Kornhauser Health Science Library hosted two national traveling exhibits from the National Library of Medicine: Pictures of Nursing: The Zwerdling Postcard Collection and Confronting Violence: Improving Women's Lives.
- Kornhauser librarians in the Clinical Research Program continue to participate in the research initiatives of the Health Sciences Center providing mediated literature searches, systematic reviews and participation in grand rounds, morning report and journal clubs.

Significant Accomplishments

- University Libraries faculty gave 833 information literacy presentations to 23,629 participants.
- Hired three new librarians between Oct 2016 and March 2018 to support faculty and student success.
- University Libraries completed the build out of the high density storage in Bay 4 of the Robotic Retrieval System (RRS) adding new storage (capacity of 300,000 volumes) that will support growth for archival/special collections and movement of items from regular book shelving.
- University Libraries completed a major upgrade of the RRS' document management.
- University Libraries/Ekstrom Library have renewed all major database and journal packages following a comprehensive review of collections and expenditures. Because of some adjustments made during the review, UL have been able to add items from the collections' wish list including the historic Courier Journal (online full text access to the entire run of the newspaper) and online subscriptions to the weekly Business First and Chronicle of Higher Education.
- University Libraries completed a major development project for donors of a photo book featuring images and profiles of students from all libraries.

- Ekstrom Library faculty have been part of the QEP planning committee and are involved in the Find Your Fit QEP pilot classes. The QEP plan includes library faculty involvement in each new three-credit elective seminar, ECPY 302: Personal and Academic Inquiry.
- Ekstrom Library recently redefined a staff position to include liaison duties to Enrollment Management and other key university units that support student academic success and degree attainment. This position will help align library services and collections to support student success and retention.
- Ekstrom Library kicked off initial planning and development for the library's third floor renovation that will reclaim additional space for added and improved user seating.
- Ekstrom Library continued partnering with SGA on a number of initiatives including expanded numbers of seats and improved quality of seating on Ekstrom's second floor and extended hours for Ekstrom's east side (until 2:00am).
- Ekstrom Library continued to partner with First Year Initiatives to host and support Kick Back in the Stacks and Finals Fest.
- Ekstrom Library held the 28th annual African American Read-In (February).
- Ekstrom Library partnered with the Modern Language Department for the annual Day of the Dead displays and exhibits (October).
- Ekstrom Library redesigned its webpages for improved user experience.
- Archives and Special Collection redesigned their webpages and customized their catalog interface for improved user experience.
- The Music Library acquired new furniture and added additional power outlets for its second floor space.
- Kornhauser Library continued to benefit from the generosity of its donors and alumni by with dedication of the Dreishpoon Study Room.
- Kornhauser Library completely redesigned its website to provide a more user-focused service and better information access.
- Kornhauser designed and implemented portal pages for each year of undergraduate and graduate medical students – this is pioneering work that assists Kornhauser in embedding library services into the curriculum and making important initial contacts with students.
- Kornhauser Health Sciences Library has developed an initiative to both support provider training in competent care of LGBT patients and to facilitate access to LGBT health information for the patients themselves.

Community Engagement

- Signature Partnership: Wayside 100 Project: Wayside Christian Mission College and Career program contributing to the educational, social and economic potential of the homeless.
- Alfred Binet Education School: provide job skills and experience for special needs students.
- Simmons College of Kentucky Partnership: library service provided to Simmons faculty and students.

Academic Unit Summaries

2019 Operating Budget



- Kornhauser Health Sciences Library received a grant from the National Network of Libraries of Medicine/ Greater Midwest Chapter, to provide health information services and resources to underserved members of the public as well as underserved health professionals.
- Kornhauser Health Sciences Library manages the Louisville Medical Consortium providing consistent information resources for HSC students rotating through the affiliated teaching hospitals.
- Archives and Special Collections collaborated with the Louisville Free Public Library to exhibit 47 posters and fliers from the Louisville Underground Music Archives collections. This exhibition was displayed in the LFPL Bernheim Gallery and coincided with the 2018 Valentines Showcase / Louisville is for Lovers event.
- Archives and Special Collections collaborated with the assistant director of Hispanic and Latinx Initiatives to launch the Latinx Oral History Project, with the goal of better documenting the Latinx community at UofL.

Budget Changes

- The FY 2019 budget increases by \$600,000 for an increase in online enrollment growth, for which the library receives a share to support student research and learning.
- The other significant change is the 5% reduction, about \$880,000.

University Libraries General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Libraries								
LIB - Admin	01361	University Libraries	13,832,157	14,003,298	13,990,175	14,112,138	121,963	0.9%
LIB - Distance Learning	10602	DIST ED-LIBRARY	1,085,583	560,822	1,200,000	1,800,000	600,000	50.0%
LIB - Kersey Library	01104	Kersey Scientific Library	6	19	0	0	0	0.0%
LIB - Kornhauser Library	01103	Health Sciences Library	1,524,919	1,532,916	1,525,252	1,525,252	0	0.0%
LIB - Music Library	01105	Music Library	131,042	133,931	131,009	131,009	0	0.0%
LIB-Archives & Special Collect	01106	LIB=Archives & Special Collect	28,945	37,677	11,753	11,753	0	0.0%
LIB-Archives & Special Collect	01107	Oral History	2,596	3,275	3,006	3,006	0	0.0%
LIB-Archives & Special Collect	10577	Photographic Archives	(16)	0	0	0	0	0.0%
Library - Budget	02093	LIB FY19 Reduction	0	0	0	(879,158)	(879,158)	0.0%
Libraries Total			16,605,232	16,271,939	16,861,195	16,704,000	(157,195)	-0.9%

Academic Unit Summaries

2019 Operating Budget

School of Medicine at a Glance				
Year Established	1837	Academic Regalia Inter-Collegiate Color		Green
Facilities and Main Office	School of Medicine Abell Admin Building - 323 East Chestnut Street			
Financial Information				
	FY 2016 Actual	FY 2017 Actual	FY 2018 Budget	FY 2019 Budget
Total General Fund	\$44,579,761	\$43,891,654	\$43,458,941	\$39,907,395
Total Non-General Fund			\$395,705,811	\$386,428,630
Total School of Medicine			\$439,164,752	\$426,336,025
Performance Metrics				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	33,578	33,286	32,332	
Degrees				
Degrees Awarded	261	249	260	
Degrees Awarded Change Per Year	8.3%	-4.6%	4.4%	
Enrollment by Level - Fall				
Graduate	1,661	1,675	1,676	

School of Medicine

The School of Medicine, founded in 1837, includes 859 full-time faculty, 51 part-time faculty, and 1,055 gratis faculty as well as 1,161 staff and 640 medical students, 135 graduate students, and 682 residents. Our mission is to improve the health of our patients and our communities through excellence and leadership in education, patient care, research, and community engagement. Our core values are:

- Being a diverse academic community committed to integrity, excellence, innovation and accountability
- Demonstrating compassion and collegiality in all we do
- Achieving individual and shared goals
- Being a great place to learn and work

Goals

Education

- Meet and exceed the accreditation standards of the LCME, ACGME and ACCME.
- Graduate physicians who have the knowledge, skills and attitudes to provide outstanding medical care and who are well prepared for residency training.
- Provide the necessary educational, financial and human resources to support a broad spectrum of fully accredited Graduate Medical Education Programs in generalists and specialist disciplines.
- Provide high quality Continuing Medical Education in an environment of lifelong learning.
- Provide cutting edge graduate education programs (MS and Ph.D.) in the basic science disciplines.
- Increase the production of under-represented minority physicians.
- Increase the placement and retention of physicians in rural and underserved urban areas of Kentucky.
- Promote collaborative teaching and learning across disciplines, departments and schools.

Research

- Increase the quality and quantity of research, especially federally funded research.
- Develop, recruit and retain top-quality scientists, including those qualifying for Challenge-for-Excellence chairs.
- Provide state-of-the-art laboratories and equipment for investigators and trainees.
- Provide cutting-edge research training and experience to medical and graduate students, as well as to postdoctoral scholars.
- Encourage basic and translational research, as well as commercialization of faculty inventions.
- Promote collaborative research across disciplines, departments and schools.

Patient Care

- Develop programs of clinical excellence with complementary translational research components which will be nationally recognized.
- Add new endeavors to historic relationships to build UofL Healthcare into a major network of referral care for our region and state.
- Participate in a system of coordinated inpatient and outpatient care delivery for the medically underserved of our metropolitan region.
- Promote collaborative clinical ventures.

Instruction

- In AY 2017-2018, the ULSOM MD degree program continued to deepen its innovative offerings and revise the curriculum to stay abreast of modern medical education standards. Starting with the holistic admissions process, the school strives to meet its mission of providing the physicians necessary to provide excellent medical care to meet the needs of the citizens of the Commonwealth and improve the health of its population.
- The first and second year of the MD program have been completely revised to better integrate the basic and clinical sciences and improve student preparedness for required board examinations and clinical coursework.
- Team-based learning activities have been increased, with an increased need for direct faculty supervision and faculty development to support that training that is funded by the school.
- An ultrasound curriculum including an interactive software package and individual probes for student use and practice, was launched for first year medical students that will continue as a required component in the second year of their training in AY 2018-19.

- Global health distinction track certificate electives continue to grow as an important recruiting tool for our school, with many overseas clinical elective opportunities supported by additional content in the required curriculum.
- To try to meet the needs of the community, healthcare disparities, caring for vulnerable communities, interdisciplinary care, and community engagement/community service continue to be growing areas of investment for the curriculum with development of new required learning modules and clinical experiences. In addition, systems-based care and longitudinal patient-centered care are a prominent feature of our Longitudinal Standardized Patient program.
- Information management and evidence-based care continue to strengthen in the curriculum as the school partners with the librarians at Kornhauser Health Sciences library, building evidence-based resource pages specifically tailored for use with various classroom activities and in defined phases of the curriculum.
- The MD program also continues to direct significant resources to the continuous quality improvement process and administrative infrastructure required for maintaining accreditation by the Liaison Committee on Medical Education. This includes continuous monthly monitoring and remediation of the required standards and elements by a large committee of faculty and administrators.

Research

The NIH awarded approximately \$8 million to establish a National Institute of Alcohol Abuse and Alcoholism designated Alcohol Research Center at UofL. Under the leadership of Dr. Craig J. McClain, the associate vice president for translational research and associate vice president for health affairs/research, the center will work to achieve three goals including the facilitation of interdisciplinary approaches and serve as a regional/national resource for the study of nutrition and alcohol-induced organ injury; provide a robust pilot project program and comprehensive education and research training in order to develop the next generation of alcohol investigators; and develop potential therapeutic targets/interventions for alcohol induced organ injury based on the mechanistic research of the center and translate knowledge/interventions to the community.

Dr. Aruni Bhatnagar launched the Green Heart project, a \$14.5 million effort to examine the connections between a green environment and human health. The project will study air quality, innovative landscape design, the qualities of a friendly, healthy neighborhood, and their effects on human health. The first series of studies will examine how residential greenness and neighborhood greenspaces affect the health of our communities by decreasing the levels of environmental pollutants and promoting physical activity and social cohesion. Support for the project comes from The Nature Conservancy and the Institute for Healthy Air Water and Soil and others.

Members of the UofL School of Medicine Division of Nephrology and Hypertension were key contributors in a research group that discovered an insidious new autoimmune disease that causes kidney failure. The discovery of anti-brush border antibody disease was made in the UofL Core Proteomics Laboratory, led by Dr. Jon Klein (School of Medicine Vice-Dean for Research, and James Graham Brown Foundation Chair in Proteomics) and the proteomics laboratory's Co-Director Dr. Michael Merchant. Drs. Klein and Merchant were part of a team of investigators that first described this new kidney disease that included investigators from Harvard Medical School and Boston University Medical School.

Significant Accomplishments

- 69 U of L physicians were named Top Docs for 2017 as released by Louisville Magazine. The Top Docs are chosen by their peers in the Greater Louisville area.
- The U of L Stroke Program again received top designation as a Comprehensive Stroke Center for the third time and has maintained the designation since 2012.
- Jeffrey Callen, M.D. was awarded the Master Dermatologist Award by the American Academy of Dermatology.
- Darryl Kaelin, M.D., was named president of the American Academy of Physical Medicine and Rehabilitation in October 2017.
- Kelly McMasters, M.D., was named president of Western Surgical Oncology for 2017-18.
- Amy Holthouser, M.D., and Stacie Steinbock were honored by Louisville Business First as “Best Innovators” for their work in educating future physicians regarding the best care for LGBTQ patients at the 2017 Health Care Hero Awards.
- The eQuality Project has won the 2017 SGEA Innovation in Medical Education Award. Susan Sawning, Laura Weingartner, the eQuality Steering Committee and the UofL School of Medicine was recognized at the SGEA Business Meeting during the AAMC Learn, Serve, Lead conference in Boston in November. The Award was presented at the SGEA Regional Conference in April 2018 in Jackson, Mississippi.

Budget Changes

- Most of the change in the School of Medicine is due to the 5% reduction, about \$2 million.
- The reclassification of research infrastructure funds (programs beginning with a 5 below) to non-general funds for FY 2019 is the other significant piece, in total accounting for about \$500,000.

School of Medicine General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Medicine								
Ahes - Hsc	01247	AHES-HSC	683,361	760,813	649,537	608,760	(40,777)	-6.3%
Anatomical Science/Neurobiology	01209	Academic Support	0	0	254,356	109,986	(144,370)	-56.8%
Anatomical Science/Neurobiology	01212	Anatom Sc & Neurbiology	2,264,073	2,348,297	2,273,841	2,136,170	(137,671)	-6.1%
Anatomical Science/Neurobiology	01406	Bequeathal Program	49,996	53,376	56,590	86,590	30,000	53.0%
Anatomical Science/Neurobiology	10433	Microscope Rental	0	5,100	0	0	0	0.0%
Anatomical Science/Neurobiology	30257	Anatom Sc & Neurbiology	0	0	26,815	0	(26,815)	-100.0%
Anesthesiology	01218	Anesthesiology	1,338,454	1,211,575	1,187,144	1,200,354	13,210	1.1%
Autism Center	01565	Weiskopf CEC Autism Service	14,670	14,663	14,132	14,735	603	4.3%
Autism Center	30551	Autism SD Family Support Proj	8,836	4,583	3,381	3,381	0	0.0%
Biochemistry&Molecular Genetic	01213	Biochemistry	1,694,437	1,824,040	1,628,922	1,489,955	(138,967)	-8.5%
Biochemistry&Molecular Genetic	01214	Microbiology	0	0	25,335	25,360	25	0.1%
Biochemistry&Molecular Genetic	01402	Gen & Mol Med Research	0	0	76,588	61,448	(15,140)	-19.8%
Biochemistry&Molecular Genetic	55000	Research Council Grant	21,555	47,335	0	0	0	0.0%
Biomedical Engineering	01241	Biomedical Engineering	0	0	0	0	0	0.0%
Biomedical Engineering	01463	Biomedical Eng/Hospital Assco	0	0	104,828	0	(104,828)	-100.0%
Cancer Center	01049	Bursar's Office	0	23	0	0	0	0.0%
Cancer Center	01214	Cancer Center	0	0	13,106	0	(13,106)	-100.0%
Cancer Center	01236	Ky Afr American Against Cancer	8,365	12,001	10,946	11,345	399	3.6%
Cancer Center	01237	Oncology Res Som	257,986	251,259	258,613	261,217	2,604	1.0%
Cancer Center	01238	Cancer Center	112,215	109,233	117,087	92,326	(24,761)	-21.1%
Cancer Center	01409	Kentucky Cancer Program	706,826	679,642	684,289	908,079	223,790	32.7%
Cancer Center	01410	Cancer Outr W Loui Program	101,841	109,961	105,249	132,810	27,561	26.2%
Cancer Center	01411	Breast Cancer Research	147,017	117,837	98,053	98,083	30	0.0%
Cancer Center	10732	IT-Brown Cancer Center	5,759	(371)	0	0	0	0.0%
Cancer Center	10781	IT Communication Services	4	0	0	0	0	0.0%
Cancer Center	55000	Research Council Grant	2,843	(1)	0	0	0	0.0%
Cancer Center	52017	CRIF-Cancer Center	84,241	70,496	48,924	0	(48,924)	-100.0%
Cancer Center	S9012	Genomics Facility	(12,589)	12,867	(1,936)	0	1,936	-100.0%
Cancer Center	S9013	Molecular Modeling Facility	8,073	9,662	0	36,060	36,060	0.0%
Cancer Center	S9014	Biophysics Lab	657	(2,152)	0	0	0	0.0%

Academic Unit Summaries

2019 Operating Budget



Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Cancer Center	S9015	Nuclear Mag Res Facility-Nmr	7,272	3,571	0	0	0	0.0%
Cardiothoracic Surgery	02005	Cardiothoracic Surgery	250,097	244,571	256,102	259,238	3,136	1.2%
Cardiovascular Innovation Inst	01610	Cardiovascular Innovation Inst	557,164	554,972	548,794	537,978	(10,816)	-2.0%
Cardiovascular Innovation Inst	52027	Cardiovascular Innovation Inst	0	67,130	23,356	0	(23,356)	-100.0%
Cardiovascular Innovation Inst	55000	Research Council Grant	0	27,211	0	0	0	0.0%
Continuing Med Ed Prof Develop	10520	Continuing Education-Medicine	244,915	241,409	238,000	228,264	(9,736)	-4.1%
Ctr for Genetics & Mol Med	01402	Gen & Mol Med Research	279,641	179,410	97,276	114,628	17,352	17.8%
Ctr for Genetics & Mol Med	52002	CRIF-C Gemm	61,020	59,574	0	0	0	0.0%
Department of Urology	01575	Department Of Urology	119,379	102,618	98,360	98,623	263	0.3%
Diagnostic Radiology	01231	Diagnostic Radiology	941,192	1,121,362	1,109,492	1,081,386	(28,106)	-2.5%
Diagnostic Radiology	01992	MR Research Facility	182	182	0	0	0	0.0%
Diagnostic Radiology	55000	Research Council Grant	0	34,976	0	0	0	0.0%
Emergency Medicine	01220	Emergency Medicine	210,347	214,347	206,901	196,455	(10,446)	-5.0%
F&G Med-Admin	01219	Family/Community Medicine	1,580,742	1,286,899	1,230,112	1,210,215	(19,897)	-1.6%
F&G Med-Admin	01242	Ky Residency Program	0	0	182,065	65,110	(116,955)	-64.2%
F&G M-Med Ed	01412	Prim Cr Res Prg Glasgow	1,400,278	1,276,122	985,996	1,136,078	150,082	15.2%
Genetics - Aging	01213	Biochemistry	0	0	0	26,000	26,000	0.0%
Genetics - Aging	52022	CRIF-Gheens Ctr for the Aging	509	5,574	0	0	0	0.0%
Grad Med Education	01219	Family/Community Medicine	0	0	27,200	1,761	(25,439)	-93.5%
Grad Med Education	01242	Ky Residency Program	3,616,799	3,707,604	2,936,079	2,838,750	(97,329)	-3.3%
Hormone Receptor Lab	52003	Hormone Recep Lab	0	0	14,415	0	(14,415)	-100.0%
HSC Facility Operations	01241	Biomedical Engineering	(6,345)	(2,642)	0	0	0	0.0%
Inst for Bioethics, Hlth & Law	01436	Inst. For Bio, Health&Law	245,742	0	0	0	0	0.0%
Inst for Cellular Therapeutics	01214	Microbiology	0	0	0	6,277	6,277	0.0%
Inst for Cellular Therapeutics	52016	Inst for Cellular Therapeutics	45,184	49,729	36,403	0	(36,403)	-100.0%
Inst for Cellular Therapeutics	55000	Research Council Grant	16,939	8,061	0	0	0	0.0%
Integr Prog in Biomed Sci	01413	Integrated Prog-Biological	649,390	645,456	623,725	618,090	(5,635)	-0.9%
Ky Spinal Cord Injury Res Ctr	01207	Neurological Surgery	0	0	29,679	0	(29,679)	-100.0%
Ky Spinal Cord Injury Res Ctr	01212	Anatom Sc & Neurbiology	0	0	18,471	32,933	14,462	78.3%
Ky Spinal Cord Injury Res Ctr	01243	Neurological Surgery	448,274	275,005	240,583	231,894	(8,689)	-3.6%
Ky Spinal Cord Injury Res Ctr	01244	Neuroscience Research	195,943	188,395	178,903	166,558	(12,345)	-6.9%
Ky Spinal Cord Injury Res Ctr	52001	Neurological Surgery	112,267	113,861	275,637	0	(275,637)	-100.0%
Ky Spinal Cord Injury Res Ctr	55000	Research Council Grant	971	9,029	0	0	0	0.0%
Med- Dept Admin	01221	Medicine	3,171,054	3,008,025	2,961,269	2,961,444	175	0.0%

Unit	Program	Program Description	FY 2016	FY 2017	FY 2018	FY 2019	FY 2018 to 19 Change	
Department			Actuals	Actuals	Budget	Budget		
Med- Dept Admin	01222	Cardiovascular Disease Rsch	0	0	0	60,595	60,595	0.0%
Med- Dept Admin	01582	Cardiology Research Initiative	0	0	48,393	215,009	166,616	344.3%
Med-Card_Diab & Obesity Ctr	S0094	DOC Service Center	9,770	339,016	0	319,707	319,707	0.0%
Med-Card-Inst of Moleclr Cardi	01222	Cardiovascular Disease Rsch	0	275,047	129,721	72,828	(56,893)	-43.9%
Med-Card-Inst of Moleclr Cardi	01582	Cardiology Research Initiative	0	760,110	777,743	495,919	(281,824)	-36.2%
Med-Card-Inst of Moleclr Cardi	52004	CRIF-Inst Molecular Cardiology	0	272,402	150,000	0	(150,000)	-100.0%
Med-Cardiology	01222	Cardiovascular Disease Rsch	457,106	0	0	0	0	0.0%
Med-Cardiology	01582	Cardiology Research Initiative	872,216	0	0	0	0	0.0%
Med-Cardiology	55000	Research Council Grant	2,023	0	0	0	0	0.0%
Med-Cardiology	52004	CRIF-Inst Molecular Cardiology	202,210	0	0	0	0	0.0%
Med-General Internal Medicine	01436	Inst. For Bio, Health&Law	0	239,973	252,353	237,806	(14,547)	-5.8%
Medicine - Budget	02103	MED FY19 Reduction	0	0	0	(2,065,690)	(2,065,690)	0.0%
Medicine - School Admin	01247	AHES-HSC	0	0	27,530	51,011	23,481	85.3%
Medicine - School Admin	01403	Medical Administration	1,252,705	1,419,678	2,676,618	2,457,152	(219,466)	-8.2%
Medicine - School Admin	01463	Medical Administration	0	0	154,868	0	(154,868)	-100.0%
Medicine - School Admin	01700	Wellness and Resiliency Prg	0	(17)	0	0	0	0.0%
Medicine - School Admin	10552	Medical - Student Council	29,437	28,987	29,278	21,800	(7,478)	-25.5%
Medicine - School Admin	10752	Visiting Med Student Fee	7	3	0	0	0	0.0%
Medicine - School Admin	10808	SOM Student Disability Ins Fee	0	28,106	0	0	0	0.0%
Medicine - School Admin	30031	T/R DSA-Medicine	26,000	26,000	25,252	25,252	0	0.0%
Medicine-Financial Aid	30041	Ocr Medical Scholarship	62,000	62,009	60,216	62,000	1,784	3.0%
Medicine-Financial Aid	30046	N/R Scholarship-Med	508,400	508,400	493,768	508,400	14,632	3.0%
Med-Liver Research	55000	Research Council Grant	21	0	0	0	0	0.0%
Med-Oncology	55000	Research Council Grant	3	2,976	0	0	0	0.0%
Med-Pulmonary	55000	Research Council Grant	0	(61)	0	0	0	0.0%
Med-Pulmonary	X0826	COPD,Program of Excellence	(13,925)	17,727	26,877	18,071	(8,806)	-32.8%
Med-Renal	01521	Kidney Disease Ctr Jump Start	22,296	31,433	34,261	33,032	(1,229)	-3.6%
Microbiology	01214	Microbiology	1,405,277	1,579,459	1,249,699	1,238,956	(10,743)	-0.9%
Microbiology	01659	Microbiology-CPM/BSL Faculty	356,558	277,477	258,369	246,507	(11,862)	-4.6%
Neurology	01224	Neurology	969,472	673,879	642,912	630,631	(12,281)	-1.9%
Neuroscience Training	01478	Neuroscience Training	78,883	56,300	50,988	49,121	(1,867)	-3.7%
Ob/Gyn Administrative Support	01223	Obstetrics And Gynecology	876,114	905,048	776,414	892,239	115,825	14.9%
Ob/Gyn Administrative Support	01242	Ky Residency Program	0	0	87,305	88,251	946	1.1%
Ob/Gyn Maternal Fetal Medicine	01223	Obstetrics And Gynecology	0	0	115,156	9,552	(105,604)	-91.7%

Academic Unit Summaries
2019 Operating Budget



Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Ob/Gyn Maternal Fetal Medicine	01242	Ky Residency Program	0	0	2,578	2,614	36	1.4%
Office of Medical Education	10697	Medical School Technology Fee	312,747	314,424	536,000	627,850	91,850	17.1%
Ophthalmology/Visual Sciences	01225	Ophthalmology	1,204,220	1,170,039	1,077,060	1,076,603	(457)	-0.0%
Ophthalmology/Visual Sciences	01407	Ophthalm & Visual Science	118,003	122,895	119,933	124,440	4,507	3.8%
Orthopedic Surgery	01235	Orthopedic Surgery	214,571	225,222	220,708	221,707	999	0.5%
Orthopedic Surgery	S1076	Orthoped Bioengineering Lab Sc	1,521	2,892	0	0	0	0.0%
Otolaryngology & Comm Disorder	01408	Audiology	703,950	656,989	759,747	557,886	(201,861)	-26.6%
Otolaryngology & Comm Disorder	01657	Speech Pathology	232,922	243,588	208,393	188,191	(20,202)	-9.7%
Otolaryngology & Comm Disorder	02076	Otolaryngology	307,264	303,137	295,145	273,508	(21,637)	-7.3%
Otolaryngology & Comm Disorder	55000	Research Council Grant	4,838	0	0	0	0	0.0%
Pathology	01217	Pathology	1,512,432	1,403,414	1,389,095	1,343,893	(45,202)	-3.3%
Pathology	55000	Research Council Grant	0	1,942	1,426	0	(1,426)	-100.0%
Pathology	S0082	Pathology Core Lab	37,162	49,070	68,000	43,000	(25,000)	-36.8%
Peds-Admin	01226	Pediatrics	1,207,051	1,312,973	1,292,568	1,259,957	(32,611)	-2.5%
Peds-Child Adolescent Psychiat	01983	Peds-Child Psychiatry	255,935	259,408	252,778	237,561	(15,217)	-6.0%
Peds-Res Institute	01228	GMMR-Pediatrics	75,187	118,952	104,096	94,710	(9,386)	-9.0%
Peds-Res Institute	01229	GMMR-McFerron Chair	2,840	2,272	2,657	1,980	(677)	-25.5%
Peds-Res Institute	30257	GMMR-Pediatrics	0	0	14,552	0	(14,552)	-100.0%
Pharmacology	01215	Pharmacology	2,252,834	1,749,572	1,803,127	1,802,179	(948)	-0.1%
Physiology	01216	Physiology	2,077,438	2,172,064	2,026,084	1,990,263	(35,821)	-1.8%
Physiology	55000	Research Council Grant	500	0	0	0	0	0.0%
Psych-Chair's Office & Admin	01230	Psychiatry & Mh	1,743,026	1,769,482	1,694,554	1,697,841	3,287	0.2%
Radiation Oncology	01232	Radiation Oncology	235,660	263,735	273,238	259,198	(14,040)	-5.1%
Research Resources Center	01240	Research Resource Center	802,844	743,623	724,785	742,057	17,272	2.4%
Research Resources Center	01647	Bio-Safety Lab Vivarium Opns	163,678	138,838	160,717	184,284	23,567	14.7%
Rural Health Care	01246	Rural Health Care	741,580	651,990	703,562	703,562	0	0.0%
Rural Health Care	01247	AHES-HSC	0	0	0	11,444	11,444	0.0%
Surgery	01233	Surgery	1,571,971	1,623,699	1,633,168	1,604,981	(28,187)	-1.7%
Surgery	01247	AHES-HSC	0	0	48,032	55,340	7,308	15.2%
Surgery	52018	CRIF-Price Institue	14,235	3,568	2,000	0	(2,000)	-100.0%
Surgery - AHES-Training	01234	AHES-Surgery Training	21,206	21,222	22,599	20,856	(1,743)	-7.7%
Surgery - General	01233	Surgery	0	0	0	25,000	25,000	0.0%
Medicine Total			44,579,757	43,891,654	43,458,941	39,907,395	(3,551,546)	-8.2%

School of Music at a Glance				
Year Established	1932	Academic Regalia Inter-Collegiate Color		Pink
Facilities and Main Office School of Music Building				
<i>Financial Information</i>				
	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Actual	Budget	Budget
Total General Fund	\$7,014,060	\$7,175,889	\$7,486,613	\$7,535,634
Total Non-General Fund			\$1,330,136	\$860,545
Total School of Music			\$8,816,749	\$8,396,179
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	9,175	9,881	9,944	
Degrees				
Degrees Awarded	85	76	81	
Degrees Awarded Change Per Year	14.9%	-10.6%	6.6%	
Enrollment by Level - Fall				
Undergraduate	298	300	311	
Graduate	69	60	55	
Total	367	360	366	

School of Music

The School of Music is committed to providing innovative musical leadership and expanding its role as an outstanding cultural and educational resource for the university and community. Our programs reflect diversity, innovation, and creativity as well as a commitment to excellence throughout our artistic, educational, research, and professional activities.

Established in 1932, the School of Music has created a major impact on the cultural life of Louisville and the Commonwealth of Kentucky. Its faculty and administration provide leadership and talent for such groups as the Louisville Orchestra, the Kentucky Opera Association, and Chamber Music Society whose concerts have been hosted at the school since 1938. In addition, each year there are dozens of concerts and recitals performed by faculty, students, and student organizations. The School also sponsors jazz, dance and pre-collegiate music programs in the Dance Academy and Music Preparatory programs, offers the School of Music String Academy for high-achieving high school orchestral string, hosts a Music Therapy Clinic for delivery of music therapy services for the community, and provides the region's only university-based Suzuki String Teacher Education program. In addition, the School of Music is responsible for the university Cardinal Marching Band program whose members include both music majors and majors from around the other academic units on campus.

The School of Music holds national accreditation since 1938 by the National Association of Schools of Music and houses one of only 80 approved music therapy programs by the American Music Therapy Association. Degree programs are offered which lead to the Bachelor of Arts with an emphasis in music (general jazz, and new media tracks); the Bachelor of Music professional degree with emphases in music education, performance, music history, music theory, composition, music therapy or piano pedagogy; Master of Music with emphases in performance, music history, music theory, composition, conducting, jazz, or piano pedagogy; and Master of Music Education. The Jamey Aebersold Jazz Studies program hosts an annual Jazz Fest each February while the Music Composition area presents the annual New Music Festival in November. Other annual events include the residency of the annual winning composer of the Grawemeyer Award for Music Composition, the African American Music Heritage Institute, and the University of Louisville Classical Guitar Festival and Competition.

The School serves 375 graduate and undergraduate music majors as well as other undergraduate students fulfilling the requirements of the university general education requirements of the Cardinal Core. Academic courses in music literature and history as well as music performance are offered for both non-music majors as well as music majors, including ensembles such as the Singing Cardsmen, University Community Band and Black Diamond Choir; music ensembles especially organized to accommodate the interests and schedules of the general university student. A faculty of 70 full- and part-time faculty members and a comprehensive staff of 14 serve the instructional needs of the students and support the educational and cultural mission of the School.

Recent changes in the School of Music include the addition of enhanced multi-media production facilities to accommodate new programs in music composition and new media, live streaming of music concerts originating in one of two concert / recital halls in the music building, and expansion of Music Therapy Clinic services. Continuing international initiatives include collaborations with European music conservatories in Poland and Spain, as well as universities in Germany, Colombia, Ecuador, and Brazil. Notable student events in 2018 included invited international performances in Asia for the Cardinal Singers and for the Singing Cardsmen in NYC's Carnegie Hall.

Goals

The School of Music is committed to:

- Provide music students with opportunities to develop artistry and expertise as emerging professionals in their chosen field of music study.
- Enhance the artistic culture of the university and community through music performances, collaborations with other performing arts organizations, and support for broad applications of music study including the music education and music therapy professions.
- Perform, study, promote, and develop understanding of music by living composers.
- Advance the art of music globally through our faculty composers, performers, teachers, therapists, and researchers.
- Provide musical performance and study opportunities to a diverse population of students across the campus to encourage students to make music activities an enduring part of their lives.
- Engage with other disciplines and programs within the university to benefit the educational and scholarly mission of our school and enhance the reputation and opportunities for our school.

Instruction

Enrollment of undergraduate music majors in the School of Music have established new highs. As a limited access program, this success is attributable to outstanding staff and faculty initiatives in outreach to prospective students. Programs for pre-collegiate students sponsored by the school attract hundreds of prospective choral and voice, string, wind band, piano and jazz students annually. Graduate students have

new academic programs available in Electronic Music Composition, String Pedagogy, and Wind Band Pedagogy. International study opportunities are typically offered to students in the jazz, therapy, and choral programs on an annual basis. Students in jazz studies, music therapy, and music composition have participated in recent international exchanges, and music students have opportunities to request special Summer Study Grant funding provided by music student fees to support educational activities in a variety of national and international settings throughout the summer months. Students receiving grants pursue local, national and internationally-based educational projects in music composition, opera, therapy, education, and performance which supplement and enhance their campus learning experiences.

Research

Notable research and scholarship initiatives, music composition, and performance initiatives carried out in the School of Music in FY 2018 include national and international presentations on Orff pedagogy, applications of music therapy in the NICU, and enhancing neural development in infants by parental singing. Still others include music therapy in intergenerational settings, analyses of contemporary operas composed by Grawemeyer award-winning composers, the pedagogy of the College Board AP Music Theory examination, use of apps in music therapy applications, studies on composer Franz Schubert, a variety of jazz, electronic and concert music compositions, adaptations, and recordings. Faculty performers and composers representing the School of Music are engaged with a range of music making which spans early music to the most current practices in digital music composition and performance. Music student ensembles are equally engaged with music making representing all historical, stylistic and genres at a very high level of artistry. Notably, the Cardinal Singers choral ensemble is internationally ranked among mixed choirs and is frequently invited to international choral festivals and competitions as exemplars of the finest standards of choral singing in the United States.

Budget Changes

- The FY 2019 budget for the School of Music remains relatively flat over 2018, with the most notable change being the FY 19 reduction.

School of Music General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Music								
Academic & Professional Studie	01709	Grawemeyer Administration	0	0	0	15,600	15,600	0.0%
Academic & Professional Studie	01990	Academic & Professional Studies	1,128,071	1,089,154	1,204,257	1,234,548	30,291	2.5%
Academic & Professional Studie	51020	Jerry Tolson	0	0	2,950	0	(2,950)	-100.0%
Choral Activities	01364	Choral Activities	2,453	1,625	10	0	(10)	-100.0%
Community Programs CE	01187	Music - Instruction	0	0	10,331	2,514	(7,817)	-75.7%
Community Programs CE	10427	Community Music Program	169,128	151,578	157,721	151,711	(6,010)	-3.8%
Community Programs CE	10428	Non-credit Dance	0	0	94,599	55,000	(39,599)	-41.9%
Conducting & Ensemble Pedagogy	01366	Conducting & Ensemble Pedagogy	93,055	8,449	31,109	0	(31,109)	-100.0%
Dance CE	10428	Non-credit Dance	120,992	92,387	0	0	0	0.0%
Jazz Studies	01581	Jazz Studies	3,012	2,931	1,098	0	(1,098)	-100.0%
Jazz Studies	55000	Research Council Grant	5,000	0	0	0	0	0.0%
Marching Band	01370	Marching Band	211,194	189,583	198,065	271,451	73,386	37.1%
Music - Budget	02100	MUS FY19 Reduction	0	0	0	(348,394)	(348,394)	0.0%
Music Administration	01185	Tech Support	8,161	10,620	10,000	10,000	0	0.0%
Music Administration	01186	Music Administration	1,366,715	1,302,783	1,367,341	1,468,675	101,334	7.4%
Music Administration	01363	Instrument Repair & Replacement	(1,576)	5,891	5,000	5,000	0	0.0%
Music Administration	01481	L Fees, Unclm Key Dp	64	0	0	0	0	0.0%
Music Administration	01482	Faculty Recruit	2,112	1,954	2,000	2,000	0	0.0%
Music Administration	01990	Academic & Professional Studies	0	0	36,341	45,710	9,369	25.8%
Music Administration	10427	Community Music Program	0	0	12,680	13,289	609	4.8%
Music Administration	10547	Music - Student Council	(170)	710	1,200	1,100	(100)	-8.3%
Music Administration	10701	Music Non-Major Fees	0	1,959	8,700	8,700	0	0.0%
Music Administration	10702	Music Major Fees	28,643	72,443	74,279	122,500	48,221	64.9%
Music Administration	10736	DE for Music History	0	0	0	51,083	51,083	0.0%
Music Administration	10737	DE Administration Fee 10%	0	0	5,038	5,716	678	13.5%
Music Administration	39086	Music - Discretionary Funds	1,500	16,764	0	0	0	0.0%
Music Composition	02069	Music Compositions	1,550	280	10	0	(10)	-100.0%
Music Composition	55000	Research Council Grant	0	500	500	0	(500)	-100.0%
Music Education	01268	Music - Education	2,952	1,193	1,582	0	(1,582)	-100.0%
Music History	01368	Music History	1,921	1,344	14,349	0	(14,349)	-100.0%

Academic Unit Summaries

2019 Operating Budget



Unit	Program	Program Description	FY 2016	FY 2017	FY 2018	FY 2019	FY 2018 to 19 Change	
Department			Actuals	Actuals	Budget	Budget		
Music History CE	10736	DE for Music History	1,573	27,338	0	0	0	0.0%
Music Instruction	01187	Music - Instruction	1,180,183	1,223,950	1,092,923	1,047,445	(45,478)	-4.2%
Music Theory	01367	Music Theory	2,147	546	10	0	(10)	-100.0%
Music Theory	55000	Research Council Grant	2,325	0	0	0	0	0.0%
Music Therapy	02068	Music Therapy General Funds	1,394	(2,620)	10	0	(10)	-100.0%
Music Therapy Clinic CE	10757	Music Therapy	29,425	53,514	108,943	127,136	18,193	16.7%
Music-Applied Studies	55000	Research Council Grant	410	0	0	0	0	0.0%
Music-Financial Aid	01187	Music - Instruction	0	0	0	10,505	10,505	0.0%
Music-Financial Aid	30030	T/R DSA-Music	33,600	24,600	33,600	33,600	0	0.0%
Music-Financial Aid	30042	Music School Scholarship	487,734	525,575	558,300	683,300	125,000	22.4%
Music-Financial Aid	30043	Marching Band Scholarship	263,700	249,213	265,994	156,250	(109,744)	-41.3%
Music-Financial Aid	30055	KY Reg Awards-Music	28,800	29,000	29,000	29,000	0	0.0%
Orchestral Activities	02070	Orchestral Activities	0	0	10	0	(10)	-100.0%
Performance Studies	01365	Music - Applied Studies	0	0	10	0	(10)	-100.0%
Performance Studies	01991	Performance Studies	1,816,248	2,071,254	2,155,259	2,332,195	176,936	8.2%
Performance Studies	02070	Orchestral Activities	3,121	2,321	0	0	0	0.0%
Performance Studies	55000	Research Council Grant	147	5,862	3,364	0	(3,364)	-100.0%
Studio Performance & Pedagogy	01365	Music - Applied Studies	8,360	2,470	0	0	0	0.0%
Wind Band Activites	01369	Division of Bands	10,118	10,717	30	0	(30)	-100.0%
Music Total			7,014,060	7,175,889	7,486,613	7,535,634	49,021	0.7%

School of Nursing at a Glance				
Year Established	1979	Academic Regalia Inter-Collegiate Color		Apricot
Facilities and Main Office	Health Sciences Campus, K-Wing - 555 South Floyd Street - Suite 3019			
<i>Financial Information</i>				
	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Actual	Budget	Budget
Total General Fund	\$7,318,518	\$7,442,723	\$7,186,077	\$7,048,504
Total Non-General Fund			\$3,107,732	\$2,690,180
Total School of Nursing			\$10,293,809	\$9,738,684
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	33,023	31,774	32,704	
Degrees				
Degrees Awarded	299	272	297	
Degrees Awarded Change Per Year	9.9%	-9.0%	9.2%	
Enrollment by Level - Fall				
Undergraduate	1,063	1,123	1,092	
Graduate	145	162	159	
Total	1,208	1,285	1,251	

School Nursing

The School of Nursing, founded in 1974, offers programs leading to a Bachelor of Science (BSN), Master of Science (MSN), Doctor of Nursing Practice (DNP), and a PhD in Nursing. The strategic aim of the School is to transform health care through creation of new knowledge, provision of care, community and professional service, policy development, and workforce development. With 44 full-time tenured, tenure track, and term faculty and 20 staff, the school advises, mentors, and educates nearly 1,300 students. University of Louisville School of Nursing faculty, staff and students are Called by compassion, destined to discover.

The School continues to grow its active role in community engagement and its global presence with study abroad experiences for students in Belize, Botswana, Croatia, Ecuador, Haiti, Ireland, and Scotland. Aggressive stewardship of our alumni through quarterly e-newsletters and other key stakeholders and partners continues to increase philanthropic support.

Instruction

Three options are currently available for students seeking a bachelor's degree in nursing: 1) the pre-licensure traditional BSN in Louisville; 2) the traditional BSN extension in Owensboro; and 3) a fully online registered nurse completion RN-BSN.

The Master's Entry into Professional Nursing (MEPN) began in summer 2015. It replaced the second degree accelerated BSN (for students with a bachelor's degree or higher in another major). Graduates of the MEPN will earn both a bachelor and master degree in nursing.

Students pursuing the Doctor of Nursing Practice (DNP) will graduate with an advanced practice nursing degree as a nurse practitioner. There are currently five advanced practice registered nurse (APRN) population options: Adult Acute care, Adult/Gerontology, Family, Neonatal, and Psychiatric Mental Health. Students may be admitted to either the BSN-DNP or MSN-DNP majors. In addition, graduate students may opt to obtain a certificate in health professions education.

The BSN and MSN programs are fully accredited by the Commission on Collegiate Nursing Education through December 31, 2021. The SON will be seeking initial accreditation for the DNP in Fall 2018.

Graduate students enter the PhD Program through either BSN to PhD or MSN to PhD options in order to conduct research and assume faculty roles.

The School of Nursing faculty value evidence-based curricula and innovative pedagogical strategies implemented in the high-energy

atmosphere of the Health Sciences Campus. The faculty members are also active nurse scientists with individual research programs that address disease management and bio-behavioral nursing research to promote and restore health and function across the lifespan. The School's strategic plan includes targets to help the University become a premier metropolitan research university through nursing and inter-professional research, teaching, and service. The School is very active in community service locally, and faculty partner with community organizations including the Jefferson County Public Schools. Nurse practitioner faculty members also practice as interprofessional partners in the new UofL Care Partners clinic with the School of Dentistry, along with clinics in the School of Medicine, Louisville Metro Public Health & Wellness, and the Kentucky Racing Health Services Center at Churchill Downs.

Research

- Faculty are building the school's research and scholarship with grants and publications in asthma, environmental health, end of life care, motivational interviewing, adolescent depression and simulation and mobile app pedagogies, to name a few.
- Our endowed chair obtained significant federal funding for two studies: Home Healthcare Professionals Hazard Training through Virtual Simulation and Asthma in Older Adults: Identifying Phenotypes and Factors Impacting Outcomes.

Significant Accomplishments

- The School of Nursing continues to host the annual Florence Nightingale Awards in Excellence to honor talented registered nurses throughout the state of Kentucky and southern Indiana.
- The School continues to graduate large numbers of licensed registered BSN nurses and graduate prepared nurse practitioners who go on to obtain national certification, along with PhD graduates who will teach and/or conduct research.

Budget Changes

- The change for the FY 2019 budget for the school is primarily influenced by the 5% reduction of \$371,000.

Academic Unit Summaries

2019 Operating Budget



School of Nursing General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Nursing								
Nursing - Budget	02104	NUR FY19 Reduction	0	0	0	(370,974)	(370,974)	0.0%
Nursing Administration	01250	Nursing Administration	774,375	834,070	830,936	830,040	(896)	-0.1%
Nursing Administration	01251	Nursing-Education	0	0	419,534	314,304	(105,230)	-25.1%
Nursing Administration	01484	Nursing-Dean's Disc Fund	576,149	679,659	0	0	0	0.0%
Nursing Administration	10555	Student Council - Nursing	7,735	13,008	8,300	8,300	0	0.0%
Nursing Administration	10600	Dist Ed-Nursing Admin	0	23,538	29,500	0	(29,500)	-100.0%
Nursing Administration	10601	DIST ED-NURSING	0	0	92,069	81,175	(10,894)	-11.8%
Nursing Administration	10748	NSG Instructional Course Fee	0	0	231,503	64,797	(166,706)	-72.0%
Nursing Administration	10749	Nursing Clinical Course Fee	0	0	67,223	125,333	58,110	86.4%
Nursing Education	01251	Nursing-Education	2,910,475	2,833,145	2,685,411	2,831,255	145,844	5.4%
Nursing Education	01371	Nursing Oncology Res	0	0	0	7,863	7,863	0.0%
Nursing Education	01534	Nursing Academic Affairs	821,163	813,177	803,804	769,825	(33,979)	-4.2%
Nursing Education	01641	Learning Resource Ctr-SON	30	1,417	0	0	0	0.0%
Nursing Education	01642	Office of Student Services-SON	12,102	6,404	0	0	0	0.0%
Nursing Education	10600	Dist Ed-Nursing Admin	0	0	0	30,009	30,009	0.0%
Nursing Education	10601	DIST ED-NURSING	261,589	244,401	169,008	187,796	18,788	11.1%
Nursing Education	10686	NUR U/G Clinical Lab Fee	17,075	10,408	50,000	0	(50,000)	-100.0%
Nursing Education	10705	Graduate Nursing Clinical Fee	4,807	13,951	10,000	100,775	90,775	907.8%
Nursing Education	10748	NSG Instructional Course Fee	465,278	407,859	257,729	399,735	142,006	55.1%
Nursing Education	10749	Nursing Clinical Course Fee	110,482	54,002	78,777	61,311	(17,466)	-22.2%
Nursing Education	10750	Acute Care NP Cln Course Fee	0	0	1,372	1,372	0	0.0%
Nursing Education	30533	Owensboro Medical HEA Systems	799,386	711,790	630,412	644,615	14,203	2.3%
Nursing Practice	01209	Academic Support	0	0	0	106,600	106,600	0.0%
Nursing Research	01371	Nursing Oncology Res	84,879	158,502	190,171	187,584	(2,587)	-1.4%
Nursing Research	01529	Nursing Research	459,080	619,118	630,328	666,789	36,461	5.8%
Nursing Research	55000	Research Council Grant	13,913	18,274	0	0	0	0.0%
Nursing Total			7,318,518	7,442,723	7,186,077	7,048,504	(137,573)	-1.9%

School of Public Health and Information Sciences at a Glance				
Year Established	1919-1924; 2002	Academic Regalia Inter-Collegiate Color		Salmon Pink
Facilities and Main Office	School of Public Health and Information Sciences - 485 E. Gray Street			
<i>Financial Information</i>				
	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Actual	Budget	Budget
Total General Fund	\$4,722,835	\$4,953,333	\$4,661,976	\$4,041,233
Total Non-General Fund			\$8,266,842	\$9,725,528
Total School of Public Health			\$12,928,818	\$13,766,761
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	4,599	6,252	7,722	
Degrees				
Degrees Awarded	67	63	87	
Degrees Awarded Change Per Year	17.5%	-6.0%	38.1%	
Enrollment by Level - Fall				
Undergraduate	119	171	171	
Graduate	174	182	200	
Total	293	353	371	

School of Public Health and Information Sciences

The original School of Public Health at the University of Louisville was open from 1919 to 1924, making it one of the first schools of public health in the United States. The School of Public Health and Information Sciences (SPHIS) officially reopened as a unit of the University of Louisville in September 2002. The school's mission is to advance knowledge for the public's health in the increasingly complex and interconnected world of the 21st century, which is accomplished through activities in three cornerstone areas:

- *Research.* We create knowledge by seeking new discoveries and understanding through scientific exploration. We communicate our findings.
- *Teaching.* We share knowledge with students committed to and prepared for learning in a facilitated environment. Our learners are our students, our faculty, and our staff. We commit to preparing our learners for success.
- *Service.* We apply knowledge through quality services to the communities of which we are a part – the University, Louisville Metro, Kentucky, the United States, and their respective environs.

SPHIS is accredited by the Council on Education for Public Health (CEPH), an independent agency recognized by the US Department of Education to accredit schools and programs of public health. The school received reaccreditation in 2013 for a period of seven years, the maximum length of time CEPH will reaccredit schools of public health. We are one of two accredited schools of public health in Kentucky, and the only school in the nation that combines the disciplines of public health and information sciences.

Goals

We seek to fulfill our mission by meeting the following goals:

1. Provide educational and academic excellence through a responsive, challenging and supportive educational environment characterized by high standards, commitment to quality and student success.
2. Build a public health and information science research enterprise by focusing energy and resources to enhance the scholarly agenda, thereby striving toward national prominence.
3. Foster a diverse, open and accessible school of public health and information sciences with an integrated system of access and intercultural understanding that promotes and supports race and gender diversity, inclusivity, equity and open communication.
4. Promote collaboration and community/state partnerships by developing and integrating interdisciplinary activities associated with

teaching, research and service. Support existing partnerships and engage new partners to contribute to the educational, social and economic progress of the region and state.

5. Focus on school effectiveness and service through systematic quality improvement, assessment, CEPH self-study and accreditation, and a dedication to fulfill the mission and vision of SPHIS.

The school is undergoing a period of sustained growth under the direction of Dean Craig Blakely, PhD, MPH. Dean Blakely has outlined four overarching priorities for the SPHIS since his tenure began in April 2013.

- Establish global health presence
- Establish undergraduate program in public health
- Build a strong health policy focus
- Become visibly engaged in West Louisville

Instruction

Public health is a dynamic and growing field of study and is a great match for students who want to make a difference in local or global communities. The school prepares the next generation of public health professionals through its five academic departments: Bioinformatics and Biostatistics, Environmental and Occupational Health Sciences, Epidemiology and Population Health, Health Management and Systems Sciences, and Health Promotion and Behavioral Sciences.

Student enrollment has grown significantly since the launch of the undergraduate program in 2014. SPHIS has two undergraduate degrees: a bachelor of science (BS) in public health for students focused on public health practice and a bachelor of arts (BA) in public health for liberal arts studies. We operate a Public Health Living-Learning Community (PHLLC) to bring together students wishing to pursue a career in public health in a dynamic, engaging environment. This residential community fosters exploration of this career path through courses, programming, services and connections to campus and community resources.

The school has created new degree concentrations in health policy and global health (with an emphasis in maternal and child health) to attract more graduate students. Future plans include the creation of an executive master of health administration (MHA) and a master of data analytics, among other programs. SPHIS is also actively pursuing an online certificate program in Clinical Investigation Sciences and training in biostatistics. Several international letters of intent are in place with universities and governmental agencies in order to pursue global partnerships that may benefit our faculty and students as well as the public's health.

Research

- \$2.8 million from NIH to study whether children with higher exposure to fly coal ash and metals experience negative health effects. The study started in May 2015 and will continue for five years, ending in January 2020.
- \$5.7 million from CDC to establish a Center of Excellence in Youth Violence Prevention. The project begins September 2015 and will continue for five years. The first initiative of the Youth Violence Prevention Research Center (YVPRC) will be to develop, implement, and evaluate a community-level social norming campaign to change the norms of violence among youth using mass and social media.
- \$440,000 from NIH (Eunice Kennedy Shriver National Institute of Child Health & Human Development) to study how specific genetic factors coupled with lifetime exposure to cigarette smoking and secondhand smoke may influence a woman's ability to conceive. Funding was awarded in August 2016 and continues for three years.

Significant Accomplishments

Significant accomplishments include:

- The Department of Bioinformatics and Biostatistics has established a new degree collaboration with Kentucky State University. The initiative allows KSU undergraduates majoring in math to study seven semesters (3.5 years) at KSU, and three semesters (1.5 years) at UofL, earning a BA/BS in math at KSU and a master of science (MS) in biostatistics from UofL.
- SPHIS faculty are engaged in community-based participatory research to address public health concerns such as coal ash, youth violence, and health disparities.

Budget Changes

- The FY 2019 budget includes two reductions – the 5% unit reduction of \$212,000, and a \$400,000 reduction to administration operating – which account for the change over FY 2018.

School of Public Health and Information Sciences General Fund Expenditure Detail

Unit	Program	Program Description	FY 2016	FY 2017	FY 2018	FY 2019	FY 2018 to 19 Change	
Department			Actuals	Actuals	Budget	Budget		
Public Health								
Bioinformatics & Biostatistics	01249	Ctr Hlth Srv Pol Res	338,731	246,591	296,059	296,760	701	0.2%
Bioinformatics & Biostatistics	01438	Bioinfor. And Biostats	701,458	832,334	657,388	663,943	6,555	1.0%
Bioinformatics & Biostatistics	55000	Research Council Grant	0	2,926	0	0	0	0.0%
Env & Occupational Hlth Sci	01440	Env And Occ Hlth Sci	725,798	733,725	718,262	724,829	6,567	0.9%
Env & Occupational Hlth Sci	01441	Hlth Promo and Behavioral Sci	0	0	0	33,177	33,177	0.0%
Env & Occupational Hlth Sci	55000	Research Council Grant	842	2,482	0	0	0	0.0%
Epidemiology & Population Hlth	01437	Epidemiology & Population Hlth	834,579	836,292	738,773	801,401	62,628	8.5%
Epidemiology & Population Hlth	55000	Research Council Grant	114	0	0	0	0	0.0%
Hlth Mgmt & Systems Sci	01439	KY Healthcare Infrastructure	388,090	398,490	255,987	277,926	21,939	8.6%
Hlth Mgmt & Systems Sci	01607	Health Mgmt and System Science	100,562	93,443	92,522	104,102	11,580	12.5%
Hlth Promo and Behavioral Sci	01441	Hlth Promo and Behavioral Sci	728,292	742,512	648,284	657,916	9,632	1.5%
Hlth Promo and Behavioral Sci	55000	Research Council Grant	0	9,975	0	0	0	0.0%
Sch of Publ Hlth & Info Sci	01248	Public Health	784,643	804,164	1,066,538	666,476	(400,062)	-37.5%
Sch of Publ Hlth & Info Sci	10662	Public Health Info Science	8,857	7,555	7,900	7,400	(500)	-6.3%
Sch of Publ Hlth & Info Sci	10696	SPHIS Technology Fee	13,323	20,895	20,000	20,000	0	0.0%
Sch of Publ Hlth & Info Sci	10789	SPHIS CPH exam fee	2,008	0	0	0	0	0.0%
Sch of Publ Hlth & Info Sci	30532	SPHIS Summer MPH Practicum AID	8,306	464	0	0	0	0.0%
Sch of Publ Hlth & Info Sci	30562	SPHIS 7 State Tuition	84,136	214,660	0	0	0	0.0%
Sch of Publ Hlth & Info Sci	55000	Research Council Grant	3,095	6,817	153,057	0	(153,057)	-100.0%
SPHIS - Budget	01715	PH FY19 Reduction	0	0	0	(212,697)	(212,697)	0.0%
The Commonwealth Institute	52031	Commonwealth Inst. of Kentucky	0	8	7,206	0	(7,206)	-100.0%
Public Health Total			4,722,835	4,953,333	4,661,976	4,041,233	(620,743)	-13.3%

Academic Unit Summaries

2019 Operating Budget

J.B. Speed School of Engineering at a Glance				
Year Established	1925	Academic Regalia Inter-Collegiate Color		Orange
Facilities and Main Office J.B. Speed Hall				
<i>Financial Information</i>				
	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Actual	Budget	Budget
Total General Fund	\$24,750,894	\$26,244,604	\$26,880,495	\$26,338,861
Total Non-General Fund			\$10,971,740	\$13,362,817
Total Speed School			\$37,852,235	\$39,701,678
<i>Performance Metrics</i>				
	AY 2015	AY 2016	AY 2017	
Credit hours from majoring students	67,495	69,001	71,334	
Degrees				
Degrees Awarded	562	612	639	
Degrees Awarded Change Per Year	6.0%	8.9%	4.4%	
Enrollment by Level - Fall				
Undergraduate	2,017	2,138	2,034	
Graduate	663	648	710	
Total	2,680	2,786	2,744	

J.B. Speed School of Engineering

The mission of the J. B. Speed School of Engineering is to serve the university, the Commonwealth of Kentucky, and the engineering profession by providing high quality engineering education programs; engaging in research and scholarship that advances knowledge; and partnering in the economic development of the greater Louisville region, Kentucky and the nation through workforce development and technology transfer. The vision is to become the preeminent provider of high-quality, accessible, and experiential-based engineering education and a national leader among public, metropolitan engineering institutions in research, scholarship, and creative activity.

Since its founding in 1925 as a memorial to the late James Breckenridge Speed, the J.B. Speed School of Engineering has been recognized as a premier, metropolitan engineering institution. It has been built on a foundation of exemplary faculty and staff, talented and committed students, outstandingly accomplished alumni, and generous donors. It is a special place where the dreams of many for a better life and better world have been empowered. The Speed School community is proud of its long history of accomplishments in engineering education, scholarship, and service to the community.

The school is comprised of approximately 115 staff members, 110 faculty members and lecturers, and an enrollment of approximately 2,700 students (2,100 undergraduate and 600 graduate). The school also receives valuable guidance by a 50-member Industrial Board of Advisors.

Goals

In 2013, Speed School established its 2020 Strategic Plan along with procedures and schedule for accountability and implementation. To date over two thirds of the detailed initiatives associated with the goals below have been completed, and the remaining one third are in progress.

Educational Excellence

Our goal is to produce world-class engineers who are well prepared to meet the challenges of tomorrow with competitive critical thinking and problem solving skills, the ability to communicate and interact within a diverse society, immersion in current engineering practices, and a lifelong quest for new knowledge. To achieve this goal, we will:

- Attract motivated, prepared and talented students into all degree programs
- Engage students with state-of-the-art accredited engineering curricula
- Provide outstanding experiential learning and professional development experiences

Academic Unit Summaries

2019 Operating Budget



- Improve the delivery of student services to increase student retention and graduation rates
- Foster the continuous improvement of teaching and learning and support the scholarship of teaching

Research, Scholarship and Creative Activity

Our goal is to create and translate knowledge, educate future generations of researchers, apply our research findings to improve the overall quality of life, and communicate and share our research success for the betterment of society at large. To achieve this goal, we will:

- Significantly increase research and innovation activity
- Establish areas of research excellence in:
 - Energy and sustainability
 - Advanced manufacturing and logistics
 - Engineering human health
 - Cyber enabled discovery
- Grow existing strength in materials science and engineering, including nanoscience, to underpin overall research capability
- Translate research results to realize commercial value
- Greatly increase national recognition of Speed School research activities

Community Engagement

Our goal is to be a driving force for change in the community by applying our engineering expertise to the solution of real-world problems. To achieve this goal, we will:

- Increase the number of partnerships and collaborations with both private and public institutions
- Serve as the public square where the engineering community and the public can gather for programs, lectures, and conversations about the engineering challenges of our times
- Improve communication and marketing efforts to better engage the community
- Improve the quality and quantity of interactions between the school and its alumni to better utilize their unique perspectives on the needs of the engineering community
- Strengthen outreach activities to strengthen the pipeline of prepared student into engineering

Diversity and Opportunity

Our goal is to maintain a diversity of background and perspective of faculty, staff and students, and provide increased opportunities for all. To achieve this goal, we will:

- Increase the percentage of underrepresented students and faculty in the school, with particular focus on attracting a more diverse population of races and ethnicities, women, and first generation college students.
- Improve professional and career services for students and alumni
- Enhance the role and impact of student groups and professional societies to encourage lifelong learning and service
- Increase the number of international learning opportunities for students and faculty
- Implement professional development and mentoring programs for faculty and staff

Responsible Stewardship

Our goal is to effectively steward our valuable human resources, financial assets, equipment, infrastructure, information, and technology, to provide the highest quality of teaching, research and professional service. To do this, we will:

- Streamline academic, research and administrative processes to increase productivity
- Increase annual gifts and endowments to the school to support the strategic plan
- Work with the University to improve our engineering campus, facilities, classrooms and laboratories
- Ensure that our unique educational environment remains affordable to all qualified students
- Implement more modern and effective evaluation and rewards systems that promote and encourage faculty and staff productivity

Instruction

The J. B. Speed School of Engineering offers ABET-accredited Baccalaureate programs in Bioengineering, Chemical Engineering, Civil Engineering, Computer Engineering and Computer Science, Electrical Engineering, Industrial Engineering, and Mechanical Engineering. It also offers ABET-accredited Master of Engineering degrees in these disciplines. The School offers an online graduate Master of Engineering in Engineering Management program. It offers Master of Science degree programs in Chemical, Civil, Electrical, Industrial, and Mechanical Engineering, and Computer Science, as well as Ph.D. programs in Chemical Engineering, Electrical Engineering, Mechanical Engineering, Industrial Engineering, Computer Science and Engineering, and Civil Engineering. The school also has a collaborative degree program with GE

Appliances offering onsite graduate-level engineering classes at Appliance Park here in Louisville. The Master of Engineering in Engineering Management is also offered in Panama City, Panama.

Highlights

- Speed School is the first, and only, engineering school to have ABET accredited programs at both the baccalaureate and masters levels.
- With an average ACT composite score well above 29 for incoming freshmen, the school continues to attract the best and brightest students from the Commonwealth of Kentucky and beyond.
- The school implemented a new freshman hands-on “Intro to Engineering” course in which students actually design, build and test a complex engineering system.
- Speed School has seen growth in its online offerings, particularly in the Masters in Engineering Management – Online program.
- Jobs for engineering graduate remain strong, Over 98 percent of Speed BS graduates found employment (or went on to pursue graduate education) within 90 days of graduation.

Research

The J. B. Speed School of Engineering operates a comprehensive research program, supported largely by extramural funding from government agencies and industry. The strategic plan shown above calls for research excellence in energy and sustainability, advanced manufacturing and logistics, engineering human health, and cyber-enabled discovery, with growth and strength in materials science and engineering including nanoscience to underpin overall research capability. In order to meet these goals, the school maintains a variety of state-of-the-art research centers, institutes, and laboratories. The GE Factory Automation Laboratory, located in the Vogt Building, houses the Haas Technical Education Center and the Rapid Prototyping Center focused on state-of-the-art advances in additive manufacturing techniques. The Micro/Nano Technology Center (MNTC), located in the Shumaker Research Building, operates a 10,000 square foot clean room facility that provides capability for the manufacture of integrated circuits (ICs), discrete microelectronic devices, MEMS devices such as sensors and actuators, and various electro-optic devices. The Conn Center for Renewable Energy, established in 2009, seeks to enhance global energy and economic security and maintain US technological leadership in developing and deploying advanced energy technologies. The Kentucky Pollution Prevention Center (KPPC) is a state-mandated technical assistance resource center to help businesses, industries and other organizations stay environmentally sustainable and competitive. Additional centers and laboratories throughout the school focus on a variety of cutting edge research areas including ergonomics, stream restoration, electro-optics, cyber security, civil infrastructure, and more.

Highlights

- UofL and GE continue to collaborate through FirstBuild, opened in July 2014. This 35,000 square foot, state-of-the-art facility serves as a beta site for the planned Institute for Product Realization that will be developed on the 40-acre site south of Speed School over time. FirstBuild features a unique co-creation model for the rapid development and production of home appliances, and offers tremendous opportunities for student/faculty/industry collaboration.
- Speed School operates a 15,000 student maker space and workshop areas for outreach and summer programs called the Engineering Garage (EG).
- The \$3.76 million NSF National Nanotechnology Coordinated Infrastructure Grant involves eight key facilities at UofL and UK. This Multi-scale Manufacturing and Nano Integration Node (MMNIN), will enhance and upgrade advanced manufacturing equipment at UofL and UK research facilities, add staff to help train and support up to 500 additional external users, provide seed money for research projects in key advanced manufacturing areas, and engage more minorities and women in nanoscale science, engineering and technology.

Significant Accomplishments

Community Engagement

- Speed School's Outreach Program has "Engineering Is Elementary" and "In the Middle of Engineering" Programs in numerous local elementary and middle schools in Jefferson and Oldham Counties with over 7,000 students involved.
- Speed continues to support student maker spaces at Nativity Academy, West End School, and Central High School and provides free afternoon tutoring from engineering students.
- Speed's Outreach Program offers numerous summer engineering camps at Speed School and partners to support additional camps in local schools.
- Student engineering design teams continue to earn top 10 finishes in national competitions. River City Rocketry, won First Prize at the 2017 NASA Student launch completion, competing against top engineering schools in the nation.
- In addition, they and other organizations such as Society of Women Engineers (SWE) and National Society of Black Engineers (NSBE) participate in STEM outreach efforts.
- The Speed Alumni Council sponsored the 4th Annual Golf Classic for Outreach and raised over \$12,000 in support of Speed School outreach programs.

Academic Unit Summaries

2019 Operating Budget



- The Office for Career Services hosts two career fairs per year that attracted over 100 different employers and over 500 students.
- Speed offers an Engineering Living Learning Community (common dorm) for over 120 freshman in 2016. The school plans to expand the program to over 200 students in 2017.

Diversity, Opportunity & Social Justice

- Speed School established a new LGBT engineering student organization, called Speed Spectrum in 2016.
- Speed School continues to expand minority engineering scholarship programs with the addition of new sponsors and donors.
- Speed School offers a week-long diversity celebration with a wide variety of speakers, events and awards, as well as a Women's Leadership Conference for Speed students and area high school students.
- Speed continues to seek to increase the diversity of its student body through programs such as the Brown-Forman INSPIRE Summer Enrichment Program for high school students, and the highly-immersive resident 2-week program called the Brown-Forman Academy that aims to help admitted Speed freshmen improve their chances for success prior to arrival on campus.

Creative and Responsible Stewardship

- The school has completed a major architectural study to develop master plan for the Speed campus to better accommodate research labs, classrooms, student areas, teaching labs and capstone design project areas.
- Working with the Industrial Board of Advisors (IBA), Speed School seeks out new ideas and enhancements to implement plans for achieving excellence.
- Speed School, through its Kentucky Pollution Prevention Center (KPPC), continues to provide pollution prevention and energy management technical assistance services to industrial and commercial facilities throughout Kentucky.

Budget Changes

- The FY 2019 budget includes several notable items. First is the FY 19 reduction, almost \$1.4 million.
- The Partners Pollution Prevention Center is being reclassified to non-general funds.
- Speed school received almost \$400,000 for new faculty, staff, and research incentive funds.

Speed School of Engineering General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Speed School								
Spd WKU Murray Engineering Pro	30089	WKU/UofL Eng Program	76,485	94,557	121,875	122,100	225	0.2%
Spd-Acad Student Support Srv	01141	SPD-Office of Academic Affairs	1,747,327	1,723,465	1,620,157	1,506,571	(113,586)	-7.0%
Spd-Acad Student Support Srv	10544	Speed - Student Council	24,674	34,816	20,300	22,100	1,800	8.9%
Spd-Acad Student Support Srv	10617	Dis Ed-Speed Dean's Office	179,471	228,562	160,000	225,000	65,000	40.6%
Spd-Acad Student Support Srv	10699	Spd-Technology Fee	151,129	133,418	137,605	137,605	0	0.0%
Spd-Acad Student Support Srv	10746	SPD-GE Edison Program	233,479	308,410	302,400	302,400	0	0.0%
Spd-Acad Student Support Srv	10807	Spd-Co-op Fee	0	191,209	273,900	290,000	16,100	5.9%
Spd-Bio-Engineering	01385	Bio-Engineering	1,817,466	1,856,856	1,900,986	1,886,011	(14,975)	-0.8%
Spd-Bio-Engineering	10729	Dist Ed-SPD Bio	0	2,420	5,000	100,000	95,000	1900.0%
Spd-Bio-Engineering	30389	SPD-Bio Res Release	307	271,494	0	0	0	0.0%
Spd-Bio-Engineering	55000	Research Council Grant	9,908	0	0	0	0	0.0%
Spd-Business Center	01135	SPD-Business Center	533,624	550,615	663,727	714,184	50,457	7.6%
Spd-Center for Ergonomics	01145	Center for Ergonomics	92,281	88,899	114,345	114,570	225	0.2%
Spd-Chemical Engineering	01136	Chemical Engineering	1,730,547	1,815,135	1,840,981	1,880,862	39,881	2.2%
Spd-Chemical Engineering	10755	Dist Educ-SPD Chemical	4,457	3,123	10,000	12,000	2,000	20.0%
Spd-Chemical Engineering	30282	RR-Chemical Engineering	52,045	27,178	0	0	0	0.0%
Spd-Chemical Engineering	55000	Research Council Grant	2,416	0	0	0	0	0.0%
Spd-Civil & Environmental Eng	01137	Civil & Env Engineering	1,547,036	1,596,290	1,888,425	1,867,289	(21,136)	-1.1%
Spd-Civil & Environmental Eng	10658	Dist Educ-Civil & Environ Engr	57,000	65,853	53,800	52,500	(1,300)	-2.4%
Spd-Civil & Environmental Eng	30283	RR-CEE Engineering	30,740	52,169	0	0	0	0.0%
Spd-Civil & Environmental Eng	55000	Research Council Grant	5,338	4,900	0	0	0	0.0%
Spd-Comp Eng. & Comp Sci.	01138	CECS	2,390,162	2,433,688	2,353,840	2,582,812	228,972	9.7%
Spd-Comp Eng. & Comp Sci.	10649	Dist Ed-SPD Comp Engr/Science	350,557	396,120	240,000	364,000	124,000	51.7%
Spd-Comp Eng. & Comp Sci.	30284	RR-CECS	26,386	36,046	0	0	0	0.0%
Spd-Comp Eng. & Comp Sci.	55000	Research Council Grant	402	34,183	10,000	0	(10,000)	-100.0%
SPD-Conn Center	01609	SPD-Conn Center	982,388	1,178,448	1,097,382	1,098,968	1,586	0.1%
SPD-Conn Center	52026	SPD-Inst Advanc Mtris-Renew En	53,275	12,955	0	0	0	0.0%
SPD-Conn Center	S0029	SPD-Inst Advanc Mtrls-Renew En	95,012	99,144	100,000	100,000	0	0.0%
Spd-Ctr for Intrastructure Res	10581	Center for Infrastructure	72,617	87,049	75,000	75,000	0	0.0%
Spd-Dahelm Ser Ctr	S0091	Dahlem Supercomputer Lab ServC	16,800	(3,654)	0	0	0	0.0%

Academic Unit Summaries

2019 Operating Budget



Unit	Program	Program Description	FY 2016	FY 2017	FY 2018	FY 2019	FY 2018 to 19 Change	
Department			Actuals	Actuals	Budget	Budget		
Spd-Development	30361	SPD Enhancement Fund	57,276	103,104	29,910	29,910	0	0.0%
Spd-Elec & Computer Eng.	01140	ECE	2,362,408	2,365,388	2,358,044	2,441,088	83,044	3.5%
Spd-Elec & Computer Eng.	10698	Spd-Cleanroom Lab Fee	0	0	0	2,000	2,000	0.0%
Spd-Elec & Computer Eng.	10738	Dist Ed-SPD ECE	3,795	5,634	7,000	16,000	9,000	128.6%
Spd-Elec & Computer Eng.	30286	RR-ECE	63,524	40,614	0	0	0	0.0%
SPD-Electroopt Ics Inst	52023	CRIF-Electr Optics Res & Nano	79,248	2,316	15,596	0	(15,596)	-100.0%
Spd-Electrooptics Inst	01533	SPD-Electrooptics Inst	86,721	4,406	0	0	0	0.0%
Spd-Electrooptics Inst	S0097	SPD-Huson Nanotechnology Facil	36,966	55,974	0	0	0	0.0%
Spd-Eng. Fundamentals	01142	SPD - Eng. Fundamentals	1,477,840	1,568,219	1,595,135	1,645,564	50,429	3.2%
Spd-Eng. Fundamentals	10783	SPD-Eng. Fund Dist Educ	26,095	26,373	73,556	32,000	(41,556)	-56.5%
Spd-Eng. Fundamentals	30498	Spd-Eng. Fund Research Rel.	19,590	26,515	0	0	0	0.0%
Spd-Eng. Fundamentals	55000	Research Council Grant	2,516	0	0	0	0	0.0%
Spd-Financial Aid	30040	Spd-Undergrad Scholarships	203,472	205,246	210,500	210,500	0	0.0%
Spd-Industrial Engineering	01144	Industrial Engineering	1,154,913	1,057,502	1,351,781	1,365,208	13,427	1.0%
Spd-Industrial Engineering	10679	SPD-IE German Ph.D Program	176,659	5	0	0	0	0.0%
Spd-Industrial Engineering	10680	SPD-Panama MEEM Program	121,908	106,636	172,000	172,000	0	0.0%
Spd-Industrial Engineering	10719	Dist Educ-SPD Industrial Engr	241,443	321,976	252,000	445,000	193,000	76.6%
Spd-Industrial Engineering	10804	Spd-Distance Ed IE Undergrad	0	2,782	60,000	60,000	0	0.0%
Spd-Industrial Engineering	30287	RR-Industrial Engineering	18,260	14,825	0	0	0	0.0%
Spd-Industrial Engineering	55000	Research Council Grant	10,089	950	0	0	0	0.0%
Spd-Ky Pollution Prev. Ctr	01147	Ky Pollution Program	31,177	43,462	43,239	43,239	0	0.0%
Spd-Ky Pollution Prev. Ctr	01250	Nursing Administration	23	0	0	0	0	0.0%
Spd-Ky Pollution Prev. Ctr	17004	Partners Pollution Prevention	0	0	360,000	0	(360,000)	-100.0%
Spd-LoDi	01384	SPD-Logistics & Dist	177,495	226,042	220,234	196,636	(23,598)	-10.7%
Spd-Mechanical Engineering	01139	Mechanical Engineering	2,599,973	2,589,773	2,790,134	2,872,626	82,492	3.0%
Spd-Mechanical Engineering	10733	Dist Educ-SPD Mechanical	33,232	37,987	17,000	38,000	21,000	123.5%
Spd-Mechanical Engineering	30285	RR-Mechanical Engineering	54,359	26,397	0	0	0	0.0%
Spd-Mechanical Engineering	55000	Research Council Grant	116	8,372	8,500	0	(8,500)	-100.0%
Spd-Micro/Nano Tech Cntr	01530	SPD-Lutz Microfabrication L.	171,669	179,250	170,561	223,744	53,183	31.2%
Spd-Micro/Nano Tech Cntr	10698	Spd-Cleanroom Lab Fee	0	0	1,500	0	(1,500)	-100.0%
Spd-Micro/Nano Tech Cntr	S0083	Microtechnology Service Center	56,509	153,368	100,400	150,000	49,600	49.4%
SPD-OIP	01146	SPD Interdispln Program	298,741	597,894	1,347,771	1,599,175	251,404	18.7%
Spd-Rapid Prototyping Center	01148	Spd-Additive Manufacturing Res	309,575	348,003	300,543	308,135	7,592	2.5%
Spd-Rapid Prototyping Center	30399	SPD-Res Adm Research Release	26	11,113	0	0	0	0.0%

Unit	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Department								
Spd-Rapid Prototyping Center	52030	Rapid Prototyping Center	0	0	18,318	0	(18,318)	-100.0%
Spd-Rapid Prototyping Center	S0093	Spd-Rapid Prototyping SC	172,400	149,797	250,000	250,000	0	0.0%
Spd-Speed Info. Technology	01381	SPD-Information Technology	505,110	375,163	361,714	362,839	1,125	0.3%
Spd-Speed Info. Technology	10699	Spd-Technology Fee	0	0	56,395	56,395	0	0.0%
Spd-Speed School of Engr Admin	01133	SPD Administration	1,074,995	1,336,971	1,418,941	1,340,007	(78,934)	-5.6%
Spd-Speed School of Engr Admin	01383	Eng Ed Enhance Prog	291,186	157,990	300,000	300,000	0	0.0%
Spd-Speed School of Engr Admin	01488	SPD Faculty Recruit	8,976	4,145	0	0	0	0.0%
Spd-Speed School of Engr Admin	10797	SPD-Engineering Garage (EG)	78	0	0	0	0	0.0%
Spd-Speed School of Engr Admin	30288	SPD Cost Sharing	247,875	78,346	0	0	0	0.0%
Spd-Speed School of Engr Admin	30289	SPE Strategic Fund	30,839	80,526	0	100,000	100,000	0.0%
Spd-Speed School of Engr Admin	30290	SPD Dean's Discretionary F	70,000	422,589	0	0	0	0.0%
Spd-Speed School of Engr Admin	30399	SPD-Res Adm Research Release	10,086	0	0	0	0	0.0%
Spd-Stream Institute	01535	The Stream Institute	180,400	185,602	0	0	0	0.0%
Speed - Budget	02095	SPD FY19 Reduction	0	0	0	(1,375,177)	(1,375,177)	0.0%
Speed School Total			24,750,894	26,244,604	26,880,495	26,338,861	(541,634)	-2.0%

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SUPPORT UNITS

UNIVERSITY of LOUISVILLE



SUPPORT UNIT SUMMARIES

Audit Services and Institutional Compliance

Mission

To provide independent and objective assurance and consulting services designed to add value and help the university accomplish its goals and objectives by bringing a systematic, disciplined approach for evaluating and improving the effectiveness of risk management, controls, and governance processes.

This mission is supported by 10 professional and support staff, organized into four functional units:

- Audit Services provides an independent internal audit function, focusing on risk-identification and management, internal control assessment, and expense reduction. Audit assurance and consulting projects are performed in compliance with the Institute of Internal Auditor's International Standards for the Professional Practice of Internal Audit. Four professional staff are certified internal auditors, with areas of expertise including information technology and fraud investigation.
- The Information Security Office is the university's resource for guidance on information security compliance and administration of the university's information security program. It oversees information security policies and standards, provides compliance oversight and risk assessments, coordinates information security efforts, incident response and user awareness. It works in conjunction with other university departments and with other compliance officials to maintain regulatory compliance and to protect the confidentiality, integrity, and availability of university's information assets.
- The Institutional Compliance Office serves as a resource to university departments and compliance officials to help ensure the university is compliant with external requirements and operates in a manner consistent with university policies and procedures, including the Institutional Compliance Plan. The office is responsible for the administration of the university policy and procedure library, university sanction check process, and the university's compliance reporting and investigation procedures, including the hotline initiative. The office works with the university's compliance officials to coordinate a consistent compliance risk assessment process.
- The Privacy Office is responsible for HIPAA compliance initiatives at the University, including training, risk assessment, risk management efforts, and is responsible for incident response protocols.

In April 2018, the University hired a Vice President for Enterprise Risk Management, Audit, and Compliance, restructuring the former Associate Vice President for Audit and Compliance position. The new vice president will be a leader in enhancing the university's commitment to a culture based on integrity, transparency, and compliance.

Goals

1. To perform risk based audits and reviews with the objectives of providing reasonable assurance that:
 - a. processes are efficient and effective in assisting units in meeting objectives, missions, and strategic goals,
 - b. resources are safeguarded and used in a manner that preserves and enhances the university's financial health, and
 - c. activities are performed in an ethical and compliant manner.
2. To promote a culture of excellence by assisting units:
 - a. identify financial, operational, compliance, information security, or performance risks, and develop cost-effective management strategies, and
 - b. participate in process/business reengineering design and implementation groups and information technology upgrades.
3. Promote knowledge of internal controls, compliance, information security, and fraud prevention by participating in awareness and training activities offered to university employees (staff, faculty, and administration).
4. Promote a culture of excellence by developing and managing incident response protocols, hotline reporting tools, and ensuring university policies are collated and easily accessible.

Significant Accomplishments

The department promotes risk awareness and recommends mitigation strategies to functional units. As the university's third line of defense, Audit Services provides advice on the development of efficient and effective internal control environments that help units achieve their mission and goals. To promote effective communication to senior administration, the department head chairs the newly reorganized Risk and Compliance Oversight Council bringing together the university's leadership to make decisions on appropriate risk initiatives, administrative policies, and providing the information needed to make informed decisions on the university's risk initiatives.

The Information Security Office continued to proactively increase training, giving in-person training for over 950 staff/faculty and students. In conjunction with the College of Business CIS department, the ISO partnered with the Department of Homeland Security in their Stop.Think.Connect.TM Campaign in promoting National Cyber Security Awareness Month (NCSAM). The month long campaign consisted of

in-person awareness presentations, UofL Today and Student News & Events articles, emails, website posts and digital signage messages across the campus. The campaign highlighted the issue of cybersecurity and the importance of online safety both at work and at home providing employees information on how to keep personal and university data secure.

The Institutional Compliance Office continues to enhance the university's policy and procedure library by facilitating policy standardization and establishing policy review guidance. Additional policy resources developed by the office provides users with policy creation guidance, policy approval and vetting processes, and writing tips. In addition, the office redesigned the compliance hotline awareness materials, distributed hotline posters to over 250 university locations, and mailed postcards to the home of all staff, faculty, and administrators, as part of the office's 2017 compliance awareness campaign.

Budget Changes

The primary change over FY 2018 is from the new addition of a Vice President for Enterprise Risk Management.

Audit Services and Institutional Compliance General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Audit Services								
Audit- Budget	01713	Audit FY19 Reduction	0	0	0	(71,460)	(71,460)	0.0%
Audit Services	01005	Audit Services	0	0	654,584	911,611	257,027	39.3%
Audit Services	01520	Privacy Office	0	0	88,813	84,435	(4,378)	-4.9%
Audit Services	01543	Information Security Office	0	0	228,828	232,545	3,717	1.6%
Audit Services	01544	Institutional Compliance	0	0	198,930	200,610	1,680	0.8%
Audit Services Total			0	0	1,171,155	1,357,741	186,586	15.9%

Office of the Chief Financial Officer

The Office of the Chief Financial Officer includes the Bursar's Office, the Controller's Office, and Payroll Services.

The Bursar's Office maintains the tuition and fee rate tables for the University. The office collects and deposits student tuition and other funds received by the University. The Bursar's Office maintains official student tuition and loan accounts, provides cashiering services to University staff, students, and departments.

The Controller's Office records and reports the financial status and related financial information of the University and its affiliated and related corporations in accordance with statutory and regulatory requirements. Within the Controller's Office are Treasury Management, University Accounting and Reporting, Accounting Operations, General Ledger, and Tax.

- Treasury - Directs the receipt, control, custody, beneficiary identification and disbursement of funds for UofL and its affiliated and related corporations.
- University Accounting and Reporting - Responsible for the financial reporting of the University of Louisville and its affiliated and related corporations.
- Accounting Operations - Responsible for all University accounts payable, employee reimbursements, travel policies and procedures and the University-contracted travel agency.
- General Ledger - Responsible for posting accounting entries, procedures, corrections and maintenance for the University general ledger.
- Tax - Oversight and management of federal, state, local, and international tax compliance including interface with the respective taxing authorities and agencies for the University of Louisville, and its affiliated and related corporations.

Payroll Services is responsible for the accurate and timely payment of wages and wages-in-kind of University employees, subject to University, local, state, and federal policies, regulations, and laws. It serves all university offices and programs as well as organizations affiliated with the University of Louisville Foundation, Inc., University of Louisville Research Foundation, Inc., and the University of Louisville Athletic Association, Inc.

Budget Changes

- Aside from the reduction, most change in the CFO is related to reorganizing and simplifying programs within financial administration.

Office of the Chief Financial Officer General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Chief Financial Officer								
CFO Administration	01007	Vice Provost - Budget	578,528	0	0	0	0	0.0%
CFO Administration	01627	VPF Budget Process Redesign	787	0	0	0	0	0.0%
CFO Administration	02026	VPF 2014-15 Budget Reduction	503	0	0	0	0	0.0%
CFO Administration	02122	CFO Administration	0	0	0	112,664	112,664	0.0%
CFO Administration	10795	ACC Fiscal Officers Conference	(180)	0	0	0	0	0.0%
CFO- Budget	01714	CFO FY19 Reduction	0	0	0	(170,490)	(170,490)	0.0%
Payroll Services	01932	Payroll Services	630,022	0	521,288	526,179	4,891	0.9%
Payroll Services	01985	Payroll Services-TALX	22,200	0	23,000	23,000	0	0.0%
VPF - Budget	01321	Budget and Financial Planning	590,076	0	0	0	0	0.0%
VPF - Budget	01931	Position Management	167,767	0	0	0	0	0.0%
VPF-Bursar	01049	Bursar's Office	833,363	0	774,615	837,170	62,555	8.1%
VPF-Bursar	01683	Bursar's Office PCI	(445,537)	0	(460,000)	(460,000)	0	0.0%
VPF-Bursar	10527	Hope Tax Credit	14,483	0	35,000	15,000	(20,000)	-57.1%
VPF-Bursar	10615	Elavon	566,287	0	0	0	0	0.0%
VPF-Bursar	30545	Treasury Mgmt.-Credit Cards	1,474	0	0	0	0	0.0%
VPF-Bursar-Fin Aid	30006	T/R Senior Citizens-KRS 284	485,308	0	0	0	0	0.0%
VPF-Bursar-Fin Aid	30011	T/R High E Tuit W-KRS 020.32	641,022	0	0	0	0	0.0%
VPF-Bursar-Fin Aid	30210	T/R Voc Ed T-6-KRS 020.33	1,093,877	0	0	0	0	0.0%
VPF-Controller	01053	Controller's Office	518,350	0	0	0	0	0.0%
VPF-Controller	01556	University Accounting	411,739	0	0	0	0	0.0%
VPF-Controller	01558	Accounting Operations	574,125	0	0	0	0	0.0%
VPF-Controller	10718	PCI-DSS	(2,563)	0	0	0	0	0.0%
VPF-Controller	30509	Program Budget Overhead Charge	(1,170,831)	0	0	0	0	0.0%
VPF-Controller	40028	Auxiliary Fund Group	52,000	0	0	0	0	0.0%
VPF-Controller	40038	Housing Series F	495	0	0	0	0	0.0%
VPF-Controller	X0005	Bad Debt Expense	140,799	(54,287)	0	0	0	0.0%
VPF-Controller	X0601	ULAA Note Rec-Academic Ctr	0	(364,030)	0	0	0	0.0%
VPF-Financial Admin	01048	Financial Administration	419,548	0	387,041	0	(387,041)	-100.0%
VPF-Financial Admin	01053	Controller's Office	0	0	413,189	104,001	(309,188)	-74.8%
VPF-Financial Admin	01556	University Accounting	0	0	736,977	2,251,784	1,514,807	205.5%

Support Unit Summaries

2019 Operating Budget



Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
VPF-Financial Admin	01558	Accounting Operations	0	0	600,758	0	(600,758)	-100.0%
VPF-Financial Admin	01617	Treasury Operations	213,511	0	220,330	0	(220,330)	-100.0%
VPF-Financial Admin	10718	PCI-DSS	0	0	0	0	0	0.0%
VPF-Systems Administration	01934	Business Ops	446,715	0	0	0	0	0.0%
VPF-Systems Administration	30362	Enterprise Systems	475,574	0	0	0	0	0.0%
Chief Financial Officer Total			7,259,441	(418,316)	3,252,198	3,239,308	(12,890)	-0.4%

Office of the Chief Operating Officer

Mission: To provide exemplary leadership, performance accountability, and service excellence throughout the development and implementation of core University business operations.

In January 2017, a reorganization of the Office of the Senior Vice President for Finance and Administration (SVPFA) led to the creation of the Office for the Chief Operating Officer (COO) in order to consolidate and administer the University's business, maintenance, safety and technical operations while increasing customer service and operational accountability. The following major units, along with their various underlying units, report to the COO: Business Services, Facilities Management, Information Technology, Performance Improvement & Business Analytics (PIBA), and Public Safety (ULPD). The office also directs the Finance and Administrative Support Team (FAST) in order to support the employment transactions, financial transactions and financial reporting for all of these units. Approximately 700 individuals work for all of the units that report to the COO.

The Office of Business Services works to manage all of the operational units that deliver commercial and professional services to faculty, staff, students and visitors. Parking and Transportation, Risk Management, Purchasing & Procurement (Inventory Control, Central Receiving & Warehouse), Print and Copy Management, Card Services, Merchant Card Services, Photography, Mail Services, Campus Leasing and Contract Services (which includes UofL's Campus Stores, Dining Services, vending and the Commonwealth Credit Union), are all supported in a manner to promote operational efficiencies and improve campus productivity.

The Office of Facilities Management includes the University Planning, Design and Construction (UPDC), Environmental Health and Safety (DEHS), and Physical Plant departments. UPDC is responsible for all design and construction phase activities for new capital construction and major renovation projects. EHS is responsible for biological, radiation and workplace safety coordination and training, as well as for emergency management and communication, and hazardous material and chemical collection and disposition. Physical Plant is responsible for the operation and maintenance of agency facilities, whether owned or leased. Physical Plant staff consist of all major skilled trades, custodial and grounds personnel.

The Office of Information Technology (IT) provides a wide scope of technology services and solutions. IT teams include Advanced Computing, Communications, IT Infrastructure, Enterprise Security, and Technology Support Services and utilize Agile and ITIL methodology to guide all information technology service delivery. Information Technology maintains the campus networks, campus-wide voice over IP telephone systems and a variety of enterprise business systems such as PeopleSoft Finance, Human Capital Management and Campus

Solutions. It also provides an extensive array of advanced research computing and state-of-the-art support with their Help Desk and direct support services for students, faculty and staff.

The Office of Performance Improvement and Business Analytics (PIBA) works to deliver streamlined business processes, transformative technology solutions, effective project management and responsive data analytics. The units under PIBA develop and implement continuous quality improvements for numerous administrative operations in order to increase productivity and service excellence at every level. These include the areas responsible for business operations, the finance and human resources ERP solutions, project management, business intelligence, digital communication and other quality improvement initiatives.

The University of Louisville Police Department (ULPD) maintains public peace and safety while safeguarding the assets of the institution and its faculty, staff, students and visitors. The department maintains a close affiliation with the Louisville Metro Police Department, The Jefferson County Sheriff's Office and Metro Safe. It additionally handles medical and fire emergencies, event security, dignitary protection, various safety programs, police escort services, facility access, emergency communications and security monitoring on all UofL campuses.

Goals

- Optimize the university's resources by maximizing cost efficiencies and strengthening fiscal controls while increasing technological innovation and collective process redesign.
- Increase alternative and sustainable revenue streams through entrepreneurial efforts as part of the institution's economic development and financial health.
- Deliver exemplary professional services to everyone in the UofL community as we also foster effective collaboration.
- Develop, maintain, and protect the university's infrastructure in a manner that supports and encourages our academic, research and clinical growth.
- Advance the university's decision-making by leveraging improved business intelligence solutions, accurate and timely data, and predictive analytics.

Significant Achievements

New ventures

- Partnership with Commonwealth Credit Union, the largest state-chartered credit union in Kentucky, and became the university's preferred credit union, with a location on the Belknap campus.
- UofL Dining Services contract with Aramark Corporation, estimated value over \$75 million over the life of the contract. New venues in the past 5 months include two fully licensed Starbucks Cafés (on HSC, Belknap), Aramark's Twisted Taco – an American Mexican Cantina (Belknap), an Au Bon Pan Café Bakery (HSC) and a Panda Express (Belknap).
- Bookstore/Campus Store contract with Follett Corporation, estimated value over \$9.5 million over the life contract. Partnership increased online options, opened a renovated store at the HSC and launched a completely new venue with improved retail and more technology offerings at the Swain Student Activities Center.

Almost a quarter billion dollars in construction projects

- Swain Student Activity Center – The \$40 million renovation project is a capital makeover to the current 365,000 sq. ft. of space, including a 32,775 sq. ft. expansion. The plan for all three levels of the building features façade changes, removal of the interior escalators, updated lobbies, lounges and restaurants, and an overhaul of the campus bookstore.
- Pediatric Medical Office Building – The \$77 million eight storied, 170,000 sq. ft. building will house all of the UofL Physicians pediatric specialty clinical practices, with a large general pediatrics location on the ground floor. The eight-story building will have seven clinical floors plus a lab, pharmacy and radiology services, rooftop garden and conference area.
- Belknap Academic Classroom Building – A new, state-of-the-art, 161,000 sq. ft. academic building that will enhance learning and provide services to students. Expected to open for the fall 2018 semester, the four-story, \$80.5 million academic building will serve undergraduate students on the Belknap Campus by housing 20 classrooms, as well as science labs and group study areas, gathering spaces and food service options.
- Papa John's Stadium Expansion – The \$63.2 million project will add to the fan seating options and enhance the student-athlete experience. Funded entirely by UofL Athletics through private donations, the project does not utilize any student fees, university, state or federal subsidies. The 70,000 sq. ft. expansion includes 10,000 sq. ft. for improvements to the team conditioning, training and therapeutic support.

Protecting our community

- ULPD Communication Center Audit by the Federal Bureau of Investigation resulted in zero errors found.
- Initiated Zone Resource Officers – Police Officers assigned to northwest area of campus for increased interaction with students, commercial businesses and monitoring the area for assault incidents.
- Purchased bullet-resistant vests for all police officers and instituted a mandatory wear policy for officer safety.
- Purchased new generation body worn cameras for all police officers.
- Updated 911 communications equipment.

Technology Improvements

- Implemented enhanced security for W-2 distribution and access to sensitive HR data by adding two-factor authentication to HR systems. The two-factor authentication system will be extended to additional systems over time.
- Implemented a new HelpDesk system, which provides more functionality at a fraction of the cost of the prior system.
- Reduced HR system maintenance costs by 50% by contracting with an alternate maintenance provider.
- Moved email system from aging on campus infrastructure to a cloud-based system. This gives UofL the ability to communicate via email even during a significant local disaster.
- Relocated UofL's disaster recovery environment from New York to Maryland. The new contract provides increased business continuity capabilities.
- Implemented enhanced network security by deploying intrusion prevention technology at the University's Internet connection.

Performance Improvement and Business Analytics

- Re-engineered ProCard reconciliation, travel & expense, and monthly leave reporting processes.
- Implemented improved vendor setup (with workflow).
- Redesigned 10 websites.
- Merged Business Operations & HSC Shared Services to create one enterprise-level shared services organization.
- Redacted sensitive data in ERP systems.

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- Implemented two-factor authentication for access to selected functionality.
 - Implemented revised reporting for compensation and procurement data.
 - Implemented internal W-2 production.
 - Created Enterprise Project Management Office (EPMO).

Budget Changes

- Despite several significant changes within programs, the overall COO is relatively flat year-over-year.
- Most change is due to reorganizations, cost-saving measures, and simplification of program structure. For example:
 - Audit, Finance, and Human Resources were all within COO during fiscal years 2016 and 17, but have since separated into their own respective units.
 - Belknap Administration and Environmental Health and Safety have been combined into the Facilities department.

Support Unit Summaries

2019 Operating Budget



The Office of the Chief Operating Officer General Fund Expenditure Detail

Unit	Program	Program Description	FY 2016	FY 2017	FY 2018	FY 2019	FY 2018 to 19 Change	
Department			Actuals	Actuals	Budget	Budget		
Chief Operating Officer								
Assoc VP Business Affairs	01040	VP Operations Admin	315,476	0	0	0	0	0.0%
Athletic Facilities	01418	Cardinal Park M&O	248,554	0	0	0	0	0.0%
Athletic Facilities	01519	Cardinal Stadium M&O	1,086,531	0	0	0	0	0.0%
Audit Services	01005	Audit Services	639,165	707,185	0	0	0	0.0%
Audit Services	01520	Privacy Office	78,642	100,521	0	0	0	0.0%
Audit Services	01543	Information Security Office	220,837	223,482	0	0	0	0.0%
Audit Services	01544	Institutional Compliance	313,369	240,220	0	0	0	0.0%
Belknap Administration	01063	Belknap Administration	3,778,549	0	0	0	0	0.0%
Belknap Administration	01064	Belknap Int/Ext Maint	1,724,390	0	0	0	0	0.0%
Belknap Administration	01065	Belknap Elect Operation	1,544,704	0	0	0	0	0.0%
Belknap Administration	01066	Belknap Mechanic Oper	2,377,930	0	0	0	0	0.0%
Belknap Administration	01067	Belknap Energy Mgt	295,942	0	0	0	0	0.0%
Belknap Administration	01068	Belknap General Maint	1,074,180	0	0	0	0	0.0%
Belknap Administration	01069	Belknap Svc To Aux	(317,063)	0	0	0	0	0.0%
Belknap Administration	01070	Belknap Custodial Services	4,256,448	0	0	0	0	0.0%
Belknap Administration	01071	Belknap Grounds Maintenance	1,112,315	0	0	0	0	0.0%
Belknap Administration	01072	Physical Plant Safety Program	22,068	0	0	0	0	0.0%
Belknap Administration	01073	Belknap Repairs & Renovations	(1,177,809)	0	0	0	0	0.0%
Belknap Administration	01414	Belknap Maintenance Reserve	366,931	0	0	0	0	0.0%
Belknap Administration	01649	Louisville Scholar House M&O	126,003	0	0	0	0	0.0%
Business Services	01699	Business Services Office	0	77,886	168,277	171,871	3,594	2.1%
Business Services	10631	Procurement Card	0	0	90,730	90,822	92	0.1%
Business Services	40005	Bookstore Operations	0	0	31,839	31,871	32	0.1%
Business Services - Aux - Book	40005	Bookstore Operations	0	90,533	20,922	27,880	6,958	33.3%
Business Services - Aux -Prkng	40007	University Parking	0	6,826,465	2,659,632	2,590,707	(68,925)	-2.6%
Business Services - Aux -Prkng	X0045	Vehicle Tow Charges	0	(1,773)	0	0	0	0.0%
Business Services - Card Srvc	10631	Procurement Card	0	429,369	368,989	0	(368,989)	-100.0%
Business Services - Card Srvc	30339	Campus Card	0	218,754	183,101	390,060	206,959	113.0%
Business Services - Card Srvc	40005	Bookstore Operations	0	0	0	40,802	40,802	0.0%
Business Services - Card Srvc	40007	University Parking	0	0	0	61,250	61,250	0.0%

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Business Services - Mail Svcs	01047	Mail Services	0	543,315	569,190	551,743	(17,447)	-3.1%
Business Services - Mail Svcs	10465	Bulk Mailing	0	(7,899)	0	0	0	0.0%
Business Services - Mail Svcs	30341	Key Maintenance	0	471	0	0	0	0.0%
Business Services - Purchasing	01044	Purchasing Office	0	774,817	690,737	706,318	15,581	2.3%
Business Services - Purchasing	01045	Central Receive & Distribution	0	168,045	186,817	121,718	(65,099)	-34.8%
Business Services - Purchasing	01046	Property & Inventory Control	0	165,649	168,033	246,924	78,891	46.9%
Business Services - Purchasing	10631	Procurement Card	0	0	0	354,425	354,425	0.0%
Business Services - Purchasing	10670	EProcurement	0	156,332	0	0	0	0.0%
Business Services - Purchasing	10781	IT Communication Services	0	0	0	18,000	18,000	0.0%
Business Services - Purchasing	40005	Purchasing Office	0	0	43,997	0	(43,997)	-100.0%
Business Services - Purchasing	S0085	Central Stores-Stockroom	0	92,795	60,000	60,000	0	0.0%
Business Services - Risk Mgmt	01041	Risk Management	0	222,005	166,411	220,486	54,075	32.5%
Business Services-Aux-Dining	40002	Food Service Contract	0	(19,473)	0	0	0	0.0%
Business Services-Aux-Dining	40004	Contract Vending	0	(2,812)	5,000	5,000	0	0.0%
Business Services-Print/Copy	S1069	Design And Printing Services	0	(105,649)	100,000	0	(100,000)	-100.0%
Cardinal Card & Procard Office	10631	Procurement Card	367,924	0	0	0	0	0.0%
Cardinal Card & Procard Office	30339	Campus Card	259,359	0	0	0	0	0.0%
Cardinal Card & Procard Office	30477	Cardinal Card Clearing Online	3,497	0	0	0	0	0.0%
Contract Admin	40002	Food Service Contract	65,727	(301)	0	0	0	0.0%
Contract Admin	40004	Contract Vending	52,015	0	0	0	0	0.0%
Contract Admin	40005	Bookstore Operations	118,174	0	0	0	0	0.0%
COO	01036	F.A.S.T.	0	0	1,043,046	0	(1,043,046)	-100.0%
COO	01040	COO Operating	0	0	165,765	0	(165,765)	-100.0%
COO	01687	COO Discretionary Funds	0	0	3,045,849	0	(3,045,849)	-100.0%
COO	02062	Office Sr. VP Fin & Admin	0	0	405,739	0	(405,739)	-100.0%
Environmental Health & Safety	01060	DEHS Administration	1,471,988	0	0	0	0	0.0%
Environmental Health & Safety	01420	Infectious Waste Disposal	45,309	0	0	0	0	0.0%
Environmental Health & Safety	01421	Hazard Material Management	120,861	0	0	0	0	0.0%
Environmental Health & Safety	01422	Industrial Hygiene	10,008	0	0	0	0	0.0%
Environmental Health & Safety	01577	Biological Safety	153,660	0	0	0	0	0.0%
Environmental Health & Safety	10469	Asbestos Removal	21,618	0	0	0	0	0.0%
Environmental Health & Safety	10656	Radiation Safety	50,926	0	0	0	0	0.0%
Facilities-Env Hlth & Safety	01060	DEHS Administration	0	1,372,518	1,411,959	1,424,639	12,680	0.9%
Facilities-Env Hlth & Safety	01420	Infectious Waste Disposal	0	41,726	37,830	37,830	0	0.0%

Support Unit Summaries

2019 Operating Budget



Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Facilities-Env Hlth & Safety	01421	Hazard Material Management	0	116,430	155,464	155,464	0	0.0%
Facilities-Env Hlth & Safety	01422	Industrial Hygiene	0	16,536	15,520	15,520	0	0.0%
Facilities-Env Hlth & Safety	01577	Biological Safety	0	14,214	33,688	33,688	0	0.0%
Facilities-Env Hlth & Safety	10469	Asbestos Removal	0	57,668	0	0	0	0.0%
Facilities-Env Hlth & Safety	10656	Radiation Safety	0	67,335	66,800	66,800	0	0.0%
Facilities-Phys Plant Ops	01063	Belknap Administration	0	3,753,341	3,628,074	3,596,921	(31,153)	-0.9%
Facilities-Phys Plant Ops	01064	Belknap Int/Ext Maint	0	1,487,214	1,595,169	1,570,839	(24,330)	-1.5%
Facilities-Phys Plant Ops	01065	Belknap Elect Operation	0	1,577,733	1,314,604	1,318,429	3,825	0.3%
Facilities-Phys Plant Ops	01066	Belknap Mechanic Oper	0	2,046,820	1,912,235	1,893,916	(18,319)	-1.0%
Facilities-Phys Plant Ops	01067	Belknap Energy Mgt	0	144,827	122,259	122,484	225	0.2%
Facilities-Phys Plant Ops	01068	Belknap General Maint	0	1,050,254	1,129,419	2,841,684	1,712,265	151.6%
Facilities-Phys Plant Ops	01069	Belknap Svc To Aux	0	(83,666)	(166)	(166)	0	0.0%
Facilities-Phys Plant Ops	01070	Belknap Custodial Services	0	4,450,335	4,182,373	4,119,529	(62,844)	-1.5%
Facilities-Phys Plant Ops	01071	Belknap Grounds Maintenance	0	995,102	992,236	964,772	(27,464)	-2.8%
Facilities-Phys Plant Ops	01072	Physical Plant Safety Program	0	18,338	18,241	18,241	0	0.0%
Facilities-Phys Plant Ops	01073	Belknap Repairs & Renovations	0	(503,986)	(1,169,875)	(1,169,875)	0	0.0%
Facilities-Phys Plant Ops	01074	HSC Administration	0	850,661	954,495	971,402	16,907	1.8%
Facilities-Phys Plant Ops	01075	HSC Building M&O	0	3,194,195	3,283,669	3,256,512	(27,157)	-0.8%
Facilities-Phys Plant Ops	01076	HSC Custodial Services	0	2,988,201	2,906,115	2,904,728	(1,387)	-0.0%
Facilities-Phys Plant Ops	01077	HSC Ground Maintenance	0	127,948	161,601	137,976	(23,625)	-14.6%
Facilities-Phys Plant Ops	01079	Shelby Administration	0	307	2	2	0	0.0%
Facilities-Phys Plant Ops	01080	Shelby Building M&O	0	104,196	109,861	110,086	225	0.2%
Facilities-Phys Plant Ops	01081	Shelby Custodial Services	0	126,610	120,773	122,669	1,896	1.6%
Facilities-Phys Plant Ops	01082	Shelby Grounds M&O	0	0	6,854	6,854	0	0.0%
Facilities-Phys Plant Ops	01084	President's Home	0	0	5,000	5,000	0	0.0%
Facilities-Phys Plant Ops	01414	Belknap Maintenance Reserve	0	(45,449)	58,914	58,914	0	0.0%
Facilities-Phys Plant Ops	01519	Cardinal Stadium M&O	0	1,429,758	1,135,951	1,120,961	(14,990)	-1.3%
Facilities-Phys Plant Ops	01613	HSC Repairs & Renovations	0	(81,460)	21,276	29,385	8,109	38.1%
Facilities-Phys Plant Ops	01648	Bio-Safety Lab Facility Opns	0	618,703	466,267	466,492	225	0.0%
Facilities-Phys Plant Ops	01649	Louisville Scholar House M&O	0	99,518	59,438	59,438	0	0.0%
Facilities-Phys Plant Ops	01650	Shelby Bio Safety Lab M&O	0	526,107	510,745	512,249	1,504	0.3%
Facilities-Phys Plant-Proj	01550	Capital Renewal/Deferred Maint	0	1,050,000	0	0	0	0.0%
Facilities-Plan Design & Const	01085	Unv Plan Dsgn & Construction	0	362,829	375,225	377,025	1,800	0.5%
Facilities-Plan Design & Const	02006	Space Management Systems	0	5,667	15,455	15,455	0	0.0%

Unit	Program	Program Description	FY 2016	FY 2017	FY 2018	FY 2019	FY 2018 to 19 Change	
Department			Actuals	Actuals	Budget	Budget		
Finance	01048	Financial Administration	0	261,117	0	0	0	0.0%
Finance	01053	Controller's Office	0	399,675	0	0	0	0.0%
Finance	01556	University Accounting	0	728,685	0	0	0	0.0%
Finance	01558	Accounting Operations	0	583,256	0	0	0	0.0%
Finance	01617	Treasury Operations	0	217,306	0	0	0	0.0%
Finance	10718	PCI-DSS	0	15,879	0	0	0	0.0%
Finance-Bursar	01049	Bursar's Office	0	791,046	0	0	0	0.0%
Finance-Bursar	01683	Bursar's Office PCI	0	98,427	0	0	0	0.0%
Finance-Bursar	10527	Hope Tax Credit	0	14,879	0	0	0	0.0%
Finance-Bursar	10615	Elavon	0	34,328	0	0	0	0.0%
Finance-Bursar	10721	Bursar's/Student Collections	0	85,024	0	0	0	0.0%
Finance-Bursar	30479	HigherOne	0	10,000	0	0	0	0.0%
Finance-Bursar	30545	Treasury Mgmt.-Credit Cards	0	570	0	0	0	0.0%
Finance-Payroll	01932	Payroll Services	0	530,348	0	0	0	0.0%
Finance-Payroll	01985	Payroll Services-TALX	0	22,866	0	0	0	0.0%
HSC Administration	01074	HSC Administration	764,279	0	0	0	0	0.0%
HSC Administration	01075	HSC Building M&O	3,288,732	0	0	0	0	0.0%
HSC Administration	01076	HSC Custodial Services	2,931,326	0	0	0	0	0.0%
HSC Administration	01077	HSC Ground Maintenance	287,695	0	0	0	0	0.0%
HSC Administration	01570	HSC CII M&O	698,012	0	0	0	0	0.0%
HSC Administration	01613	HSC Repairs & Renovations	(34,330)	0	0	0	0	0.0%
Human Resources	01057	Staff Development Program	0	415	0	0	0	0.0%
Human Resources	01058	University Personnel	0	1,749,245	0	0	0	0.0%
Human Resources	01426	Staff Recognition Program	0	24,095	0	0	0	0.0%
Human Resources	10612	Classified Ads	0	5,600	0	0	0	0.0%
Human Resources	10613	Background Check&Classified Ad	0	(0)	0	0	0	0.0%
Human Resources	X0841	ADA Accommodations	0	6,225	0	0	0	0.0%
Info Tech - Auxiliaries	10667	Clearwire EBS Lease	0	122,806	128,800	330,000	201,200	156.2%
Info Tech - Auxiliaries	10781	IT Communication Services	0	(1,522,395)	(1,188,455)	0	1,188,455	-100.0%
Info Tech - Auxiliaries	40044	ITECH EXPRESS	0	6,147	0	0	0	0.0%
Info Tech - Service Ctr	S1070	Contract Technology Support	0	(304,193)	3,369	3,256	(113)	-3.4%
Info Tech - Service Ctr	S1071	Workstation Replacement	0	(3,668)	0	0	0	0.0%
Info Technology - Gen Funds	01089	VP Information Technology	0	711,732	489,785	1,238,336	748,551	152.8%
Info Technology - Gen Funds	01334	Res Network (VBNS)	0	195,862	226,000	226,000	0	0.0%

Support Unit Summaries
2019 Operating Budget



Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Info Technology - Gen Funds	30355	Technology Support Services	0	5,722,674	5,364,878	5,262,538	(102,340)	-1.9%
Info Technology - Gen Funds	30392	Tech Architecture & Computing	0	4,959,313	4,656,000	1,233,760	(3,422,240)	-73.5%
Info Technology - Gen Funds	30643	Research Computing	0	0	0	52,545	52,545	0.0%
Info Technology - Gen Funds	30644	Information Systems	0	0	0	2,136,611	2,136,611	0.0%
Info Technology - Gen Funds	30645	Helpdesk	0	0	0	29,418	29,418	0.0%
Info Technology - Gen Funds	30646	Enterprise Security	0	0	0	905,790	905,790	0.0%
Info Technology - Gen Funds	S1070	Contract Technology Support	0	0	32,631	32,744	113	0.3%
IT Communication Services	10781	IT Communication Services	862,228	0	0	0	0	0.0%
IT Contract Tech Support Svcs	40044	ITECH EXPRESS	100,372	0	0	0	0	0.0%
IT Contract Tech Support Svcs	S1070	Contract Technology Support	181,987	3,264	0	0	0	0.0%
IT Contract Tech Support Svcs	S1071	Workstation Replacement	(2,420)	0	0	0	0	0.0%
IT Design & Printing Services	S1069	Design And Printing Services	102,082	0	0	0	0	0.0%
IT Technology Support Services	01318	Admin Support Services Center	17	0	0	0	0	0.0%
IT Technology Support Services	30355	Technology Support Services	6,872,953	0	0	0	0	0.0%
IT Technology Support Services	30392	Tech Architecture & Computing	6,518,693	(0)	0	0	0	0.0%
IT Technology Support Services	30629	STEC Projects	181,007	0	0	0	0	0.0%
Parking Administration	40007	University Parking	2,637,740	0	0	0	0	0.0%
Parking Administration	X0045	Vehicle Tow Charges	(3,262)	0	0	0	0	0.0%
Perf Imprvemnt & Bus Analytics	01040	VP Operations Admin	0	54	0	0	0	0.0%
Perf Imprvemnt & Bus Analytics	01696	PIBA	0	269,671	355,733	358,716	2,983	0.8%
Perf Imprvemnt & Bus Analytics	01697	Business Intelligence	0	0	169,737	169,786	49	0.0%
Perf Imprvemnt & Bus Analytics	01934	Business Ops	0	1,175,285	979,742	940,759	(38,983)	-4.0%
Perf Imprvemnt & Bus Analytics	01949	Project Management	0	77,654	476,526	495,755	19,229	4.0%
Perf Imprvemnt & Bus Analytics	01980	PIBA Communications	0	147,356	248,221	248,446	225	0.1%
Perf Imprvemnt & Bus Analytics	30362	Enterprise Systems	0	1,270,479	1,092,664	1,083,240	(9,424)	-0.9%
Physical Plant	01550	Capital Renewal/Deferred Maint	1,050,000	0	0	0	0	0.0%
Physical Plant	02060	PP 2014-15 Procurement Credit	13	0	0	0	0	0.0%
Planning/Design/Construction	01085	Unv Plan Dsgn & Construction	693,861	0	0	0	0	0.0%
Planning/Design/Construction	02006	Space Management Systems	20,655	0	0	0	0	0.0%
Police	01086	Contractual Security	0	3,990	0	0	0	0.0%
Police	17001	KLEFPF	0	0	184,000	0	(184,000)	-100.0%
Police	30185	Dept of Public Safety	0	4,171,213	4,496,487	4,601,916	105,429	2.3%
Police	30338	Acces Secur/Alarm Sy	0	55,249	14,000	24,000	10,000	71.4%
Postal Service	01047	Mail Services	575,593	0	0	0	0	0.0%

Unit	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Department								
Postal Service	10465	Bulk Mailing	(13,128)	0	0	0	0	0.0%
Public Safety	01086	Contractual Security	(12,286)	0	0	0	0	0.0%
Public Safety	30185	Dept of Public Safety	4,391,837	0	0	0	0	0.0%
Public Safety	30338	Acces Secur/Alarm Sy	21,171	0	0	0	0	0.0%
Purchasing	01044	Purchasing Office	753,892	0	0	0	0	0.0%
Purchasing	01045	Central Receive & Distribution	100,365	0	0	0	0	0.0%
Purchasing	01046	Property & Inventory Control	167,155	0	0	0	0	0.0%
Purchasing	10670	EProcurement	300,372	0	0	0	0	0.0%
Purchasing	S0085	Central Stores-Stockroom	173,142	0	0	0	0	0.0%
Risk Mgmt	01041	Risk Management	253,083	0	0	0	0	0.0%
Senior VP for Fin and Admin	01687	VP Operations Discretionary	16,288	0	0	0	0	0.0%
Senior VP for Fin and Admin	02062	Office Sr. VP Fin & Admin	1,561,210	0	0	0	0	0.0%
Shelby Administration	01079	Shelby Administration	42,201	0	0	0	0	0.0%
Shelby Administration	01080	Shelby Building M&O	141,350	0	0	0	0	0.0%
Shelby Administration	01081	Shelby Custodial Services	95,538	0	0	0	0	0.0%
Shelby Administration	01650	Shelby Bio Safety Lab M&O	498,969	0	0	0	0	0.0%
SVPFA - Budget	02087	COO FY19 Reduction	0	0	0	(2,833,453)	(2,833,453)	0.0%
VP Business Affairs	01036	F.A.S.T.	512,886	0	0	0	0	0.0%
VP Business Affairs	01424	VPB CAR Discretionary	3	0	0	0	0	0.0%
VP Business Affairs	01648	Bio-Safety Lab Facility Opns	540,496	0	0	0	0	0.0%
VP for Operations	01036	F.A.S.T.	0	669,148	0	941,378	941,378	0.0%
VP for Operations	01039	VP Operations Budget Savings	0	171,409	0	0	0	0.0%
VP for Operations	01040	VP Operations Admin	0	175,717	0	452,471	452,471	0.0%
VP for Operations	01687	VP Operations Discretionary	0	393,079	0	3,045,849	3,045,849	0.0%
VP for Operations	02062	Office Sr. VP Fin & Admin	0	958,456	0	0	0	0.0%
VP Information Technology	01089	VP Information Technology	1,445,803	0	0	0	0	0.0%
VP Information Technology	01334	Res Network (VBNS)	258,313	0	0	0	0	0.0%
VP Information Technology	01465	Disc Fund-VP Info	32	0	0	0	0	0.0%
VP Information Technology	10583	LAMC Networking	78,950	0	0	0	0	0.0%
VP Information Technology	10667	Clearwire EBS Lease	210,937	0	0	0	0	0.0%
VPBA Systems Administration	01039	VP Operations Budget Savings	252,478	0	0	0	0	0.0%
Chief Operating Officer Total			60,346,520	63,889,758	53,541,663	53,835,601	293,938	0.5%

Executive Vice President Health Affairs

The Executive Vice President for Health Affairs (EVPHA) is the chief operating officer of the Health Sciences Center (HSC) and is responsible for 16 centers and institutes and four schools (Dentistry, Medicine, Nursing, and Public Health and Information Sciences). The EVPHA reports to the President, and is responsible for the relationships with executive leadership at UofL's affiliated hospitals, the Louisville Metro Health Department, and other health-related organizations, and for ensuring that the Health Sciences Center and UofL Health Care is a regional center of excellence for education, research and patient care. The office provides strategic oversight and planning for the University Medical Center, Inc., UofL Health Care, and University of Louisville Physicians (ULP). The EVPHA office is also responsible for space allocation and capital planning for the HSC.

Budget Changes

- The FY 2019 budget main increase is due to an increase in rental rates, which makes up the \$1 million increase in hospital rent.
- The other most significant change is the FY 2019 budget reduction of \$628,000.

Executive Vice President for Health Affairs General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
EVP Health Affairs								
Campus Health Services	10579	Campus Health Services	4,359,490	4,550,044	2,773,528	2,836,670	63,142	2.3%
Campus Health Services	10678	Campus Health-Promo/Educ Svcs	340,479	357,504	360,322	344,218	(16,104)	-4.5%
Campus Health Services	30478	PEACC Program	187,185	202,240	211,374	213,570	2,196	1.0%
Clinical Trials Unit	01652	Clinical Rsrch Svcs & Support	360,500	354,965	367,984	361,890	(6,094)	-1.7%
EVPHA - Budget	02101	EVPHA FY19 Reduction	0	0	0	(628,038)	(628,038)	0.0%
Executive VP - Health Affairs	01198	Executive VP-Health Affairs	723,035	1,608,057	539,435	581,587	42,152	7.8%
Executive VP - Health Affairs	01401	Hosp Clinical Subsidy	1,425,000	0	0	0	0	0.0%
Executive VP - Health Affairs	01462	QCCT Expenditures	2,670,948	0	0	0	0	0.0%
Executive VP - Health Affairs	01463	Hospital Rent	5,754,988	7,147,031	5,477,039	6,534,626	1,057,587	19.3%
Executive VP - Health Affairs	02004	VSIP Holding	0	960,974	5,808	0	(5,808)	-100.0%
Executive VP - Health Affairs	30611	UMC, Inc Additional Rent	1,694,448	1,694,448	0	0	0	0.0%
Get Health Now	X0284	Health Management Administrati	685,234	754,732	621,743	627,334	5,591	0.9%
Get Health Now	X0829	GHN Fitness Facility	462,723	587,039	572,891	692,891	120,000	20.9%
Get Health Now	X0847	University of Louisville Physi	0	243,000	0	243,000	243,000	0.0%
Office of Diversity & Inclusio	30473	RWJ Summer Med/Dent Ed Fin Aid	54,764	55,577	55,000	3,568	(51,432)	-93.5%
Office of Diversity & Inclusio	30524	Post Bac Pre-Med Cert Program	196,813	178,552	139,116	177,516	38,400	27.6%
Office of Diversity & Inclusio	30539	T/R RWJ Out of State Tuition	85,696	73,999	60,000	3,892	(56,108)	-93.5%
EVP Health Affairs Total			19,001,304	18,768,162	11,184,240	11,992,724	808,484	7.2%

Executive Vice President Research and Innovation

Mission and Function

The Executive Vice President for Research and Innovation (EVPRI) is responsible for developing the institutional research policy, coordinating and providing oversight of research, scholarship and creative activity. In addition, the office oversees the identification, marketing and licensing of intellectual property developed at UofL in order to enhance economic development initiatives. The EVPRI has oversight of, and is responsible for, the development of translational research to help move discoveries from the conceptual stage through development of products and opportunities for the good of humanity. It serves as a university-wide resource for identifying and capitalizing on intramural and extramural resources for research and scholarship for UofL faculty, staff and students. The office serves as the liaison with granting agencies, develops policies and procedures to assure compliance with granting agency policies and procedures, and identifies funding for faculty research interests by matching faculty with potential funding sources and/or contract opportunities.

In regards to its history, the department for graduate studies and research operated under the auspices of a Vice Provost beginning in the 1970's. The Research Department became a separate department in 1997 with the installation of a Senior Vice President for Research. In 2011, it became the Office of the Vice President for Research and Innovation. It now has approximately 100 employees.

Significant Changes

- Creation of a Research and Innovation Center in downtown Louisville on the J.D. Nichols campus.
- Reorganization of current EVPRI personnel experienced with innovation and commercialization led by an Associate Vice President for Research and Innovation.
- A newly created Clinical Trials Unit reporting to the Executive Vice President for Health Affairs, with functional ties to the EVPRI.
- Reorganization of the EVPRI grants management and grants financial accounting personnel. This partnership enables team members to work with a project from the proposal composition stage through to the project's end.

Goals

- Develop improvements in the grants/projects management systems through team-based project management, such as implementation of new software modules to provide electronic processing of all phases of grants management.
- Enhance efforts for projects in community engagement with both public and private funding.
- Provide a platform for sharing under-utilized resources and a starting point for interdisciplinary collaborations.

- Support student diversity through the Louis Stokes Alliance for Minority Participation along with other state and regional institutions.
- Lead communication efforts among the university's separate offices charged with compliance review and oversight of streamlining the processes for privacy, safety and other regulatory issues.

Research

Many EVPRI personnel are accomplished researchers, with many hundreds of research grants and contracts, professional publications, inventions, startup companies and mentored professional students. They continue to lead and to give back to society through their leadership.

This includes:

- Continued success with new proof of concept projects through the Coulter Foundation Award, the NIH REACH program, and the NSF ICorps program and the NSF AWARE:ACCESS program for entrepreneurs from underrepresented minorities.
- Redevelopment of EVPRI's website and portal pages enabling better access for researchers, investors, and community partners to the information on UofL research, innovation programs, and support.
- Brought live the iRIS software suite for the electronic management of grants' projects.
- Continued engagement with ACC colleges and universities for information exchanges on research collaborations.

Significant Accomplishments

- Continued development of research centers, institutes and core laboratories.
- Maintenance of Carnegie R1 Research University, the highest activity status.
- Recognition as a Carnegie Community Engagement University.

Budget Changes

- The most significant change over FY 2018 is the budget reduction, about \$560,000.
- Prior to this year, several executive leadership team positions were housed in the President's office, as they reported to the previous president in that administrative structure. Now, these positions are in their functional area, which accounts for the change in Research Administration.

Support Unit Summaries

2019 Operating Budget



Executive Vice President for Research and Innovation General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
EVP Research and Innovation								
Exec VPR - Budget	02089	Exec VPR - Budget	0	0	0	(560,575)	(560,575)	0.0%
Exec VPR - Grants Management	01395	Grants Management OP	1,131,050	1,701,842	1,802,097	1,771,534	(30,563)	-1.7%
Exec VPR - Ind. Contracts	01399	Clinical Contracts Division	662,510	582,126	605,290	589,990	(15,300)	-2.5%
Exec VPR - KIESD	01396	KIESD Office - Operating	113,029	102,169	128,064	112,952	(15,112)	-11.8%
Exec VPR - LoDI	01397	LODI	298,995	315,730	316,485	314,089	(2,396)	-0.8%
Exec VPR - Res Integrity	01400	Research Integrity	415,762	416,997	428,143	578,364	150,221	35.1%
Exec VPR - Research	01003	Univ Counsel Office	0	0	167,553	201,710	34,157	20.4%
Exec VPR - Research	01016	Research Admin Operating	946,597	1,032,351	1,134,841	1,043,311	(91,530)	-8.1%
Exec VPR - Research	01391	Research Administration	697,497	669,854	569,163	1,026,400	457,237	80.3%
Exec VPR - Research	01392	VP Res Matching Funds	1,935,299	1,702,117	1,772,689	1,642,472	(130,217)	-7.3%
Exec VPR - Research	01393	Research Support	40,000	40,000	102,976	102,976	0	0.0%
Exec VPR - Research	01425	Grants & Contracts Accounting	613,176	0	0	0	0	0.0%
Exec VPR - Research	01564	Research Faculty Initiatives	145,000	0	1	1	0	0.0%
Exec VPR - Research	01645	Ctr F/Predictive Medicine-BSL	952,652	999,270	1,282,422	1,358,865	76,443	6.0%
Exec VPR - Research	01937	Export Control - Operating	139,741	139,920	156,135	145,710	(10,425)	-6.7%
Exec VPR - Research	30019	VP Research Aid	28,800	9,806	28,800	28,800	0	0.0%
Exec VPR - Research	30066	Fees Rem-GA Grad	156,225	0	366,432	200,000	(166,432)	-45.4%
Exec VPR - Research	30323	Overhead Recovery	0	1,000	0	0	0	0.0%
Exec VPR - Research	30355	Technology Support Services	17	0	0	0	0	0.0%
Exec VPR - Research	30537	CPM Start up Funds	34,598	31,736	36,876	0	(36,876)	-100.0%
Exec VPR - Research	30631	Exec VPR - Research	110,000	0	56,794	534,996	478,202	842.0%
Exec VPR - Research	52028	Center for Predictive Medicine	0	10,354	222,155	0	(222,155)	-100.0%
Exec VPR - Research	55000	Research Council Grant	255,893	165,392	110,962	0	(110,962)	-100.0%
Exec VPR - Research	S0095	CPM Shared Resources	60,326	(572)	40,000	40,000	0	0.0%
Exec VPR - Sponsored Prog Dev	01394	Sponsored Programs Dev	345,210	269,481	353,179	249,402	(103,777)	-29.4%
Exec VPR - Technology Dev	01398	Technology Transfser Office	1,237,851	989,975	1,067,614	1,009,112	(58,502)	-5.5%
Exec VPR - Technology Dev	02061	Office of Industry Engagement	0	0	3,398	4,360	962	28.3%
Office of Industry Engagement	01398	Technology Transfser Office	0	0	3,851	4,499	648	16.8%
Office of Industry Engagement	02061	Office of Industry Engagement	269,616	285,575	289,589	480,764	191,175	66.0%
EVP Research and Innovation Total			10,589,846	9,465,124	11,045,509	10,879,732	(165,777)	-1.5%

Executive Vice President and University Provost

The Executive Vice President and University Provost (Provost) serves as the chief academic officer of the University and is second in overall authority. The provost is a member of the senior leadership team of the university. All academic deans and the Dean of Students report to the provost.

Also reporting to the provost, in support of the academic units and students in general, are vice and associate provosts who are responsible for the following areas, which are further described below: academic affairs, academic planning and accountability, budget and planning, diversity and international affairs, faculty affairs, strategic enrollment management and student success, and teaching and learning.

Goals

- Improve the undergraduate experience, retention, and six-year graduation rate.
- Increase the number of interdisciplinary graduate programs and develop programs and processes to enroll more out-of-state students.
- Enhance faculty and staff development, develop administrative leadership programs, develop and improve orientation programs, and offer coaching/mentoring opportunities.
- Implement a strategic management plan to increase enrollment university-wide.
- Implement a new, university-wide budget development process for clarity, transparency and shared responsibility.
- Make a seamless transition to the new Academic Classroom Building on Belknap Campus.
- Achieve reaccreditation by the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC).

Significant Accomplishments

- Six-year graduation rate for first-time, full-time, baccalaureate degree-seeking students entering Fall 2011 was 54.4%.
- First-year retention rate for first-time, full-time, baccalaureate degree-seeking students entering Fall 2016 was 80.7%.
- Average ACT of first-time, full-time, baccalaureate degree-seeking students entering Fall 2017 was 25.4.
- Continued success as a top producer of scholars with: six Fulbrights for a total of 110 since 2003; Kentucky's only Truman Scholar (the third year in a row); and a Mitchell Scholar awarded to only 12 Americans per year to study in Ireland.
- Designated as a Silver-level Bicycle Friendly University for 2017-2021 by The League of American Bicyclists.

- Offered a highly successful and profitable WinterSession that ran for three weeks over the winter break: five academic units participated; 40 online courses were offered; and students from 10 different schools were enrolled.
- Invested more than \$133 million in student financial aid with 10,361 undergraduate students receiving scholarship or grant aid averaging \$4,358 per recipient in Fall 2017 and 22% of first-time, full-time degree-seeking students paying \$0 toward in-state tuition, fees, housing and meals.
- Successfully completed a special SACSCOC review that resulted in release of the university from academic probation.
- Successfully completed a visit by SACSCOC in conjunction with a 10-year reaccreditation review.

Academic Affairs

In early 2017, the unit was reorganized by merging undergraduate and graduate affairs under the leadership of a vice provost for academic affairs, who is responsible for general education and undergraduate programs as well as interdisciplinary and graduate programs.

- Undergraduate affairs provides university-wide service and support for undergraduate programs and policies, general education (assessment and curriculum), undergraduate research, and the honors program. Recent actions involving undergraduate affairs have been the completion of the 2007 QEP “Ideas to Action,” development of the 2017 QEP on student success in major/career alignment, and revision of the general education program, now titled “Cardinal Core.”
- Graduate affairs provides university-wide service and support for graduate degrees, academic success and programs that complement the curriculum. The vice provost for academic affairs serves as the provost’s liaison to the Office of the Dean of the School of Interdisciplinary and Graduate Studies (SIGS), the Graduate Council (faculty), the Graduate Student Council, academic advisors, and academic support programs for graduate students.

Office of Academic Planning and Accountability (OAPA)

OAPA serves as the official institutional data repository and provides academic planning, and institutional effectiveness support for the university. The office works to provide understanding and context of the university’s academic/administrative units, programs, and campus populations; to ensure continuous, systematic assessments to inform institutional improvement; and to ensure compliance and

accountability to federal, state, and accrediting agencies, as well as the public. In this role, OAPA consists of the following offices under the direction of the Vice Provost for Institutional Research, Effectiveness and Analytics:

- Accreditation and Academic Planning (AAP), whose function includes the coordination of all institutional and discipline-specific accreditation processes. The unit disseminates information on accreditation, coordinates the academic program approval and review processes, and on a regular basis completes the necessary reports to the Southern Association of Colleges and Schools (SACS), the Council on Postsecondary Education and others as required.
- Institutional Effectiveness (IE), who actively engages the campus community in the continuous improvement of student learning, support services, and administrative operations by providing leadership to facilitate assessment practices and data-driven decision-making.
- Institutional Research and Planning (IRP), whose function is collecting, maintaining, analyzing, interpreting, and disseminating data regarding characteristics, operations, and policies of the University of Louisville (UofL). IRP is the repository for official University data, and produces timely, accurate and methodological appropriate statistical analyses regarding UofL in response to requests for information from key constituency groups both within and outside the University.

In addition to the standard areas of responsibility listed above, the unit worked with the Kentucky Council on Postsecondary Education (CPE) to align the 2016-2021 statewide strategic agenda with the university's 2020 strategic plan and 21st Century University Initiative, and with SACSCOC and university leadership to address matters related to the university's reaffirmation of accreditation and issues of institutional governance.

Budget and Financial Planning (BFP)

The Vice Provost and Chief Budget Officer oversees the planning, development, and implementation of the university's budget each fiscal year. The office is also responsible for the creation of the Operating Budget book, monthly reports to leadership and all university units, fiscal and policy analysis, revenue and expense forecasts, budget management, state financial disclosure reporting, capital project funding oversight, strategic planning, and assistance with numerous other projects from leadership as well as the units.

The Delphi Center for Teaching and Learning (Delphi)

Led by the Vice Provost and Executive Director, the Delphi Center provides programming, learning opportunities, and other resources to university faculty, staff, students, and community members to encourage excellence in teaching and foster a spirit of learning. The Delphi Center is made up of the following units or areas of focus:

- Online Learning assists departments with developing online programs, recruiting students, creating marketing campaigns, handling prospective student inquiries, coordinating support for enrolled online students, and managing state and federal regulatory requirements. The team has also launched six new online programs in FY18.
- The Teaching Innovation Learning Lab (TILL) opened in August 2016 with a mission to explore and test innovative teaching methods that will drive success for the 21st century student. This technology-enabled active learning space is preparing faculty to teach in the new Belknap Academic Classroom Building set to open in 2018.
- The Quality Enhancement Plan, or QEP, is the university's initiative to enhance student learning in accordance with expectations from SACSCOC. Our current QEP was introduced in 2017 and is called Find Your Fit (FYF). It is an opportunity to foster a campus-wide conversation about student learning and success in the crucial second year of undergraduate studies.
- The Delphi Center is managing a \$515,000 grant from the Association of Public and Land Grant Universities (APLU) to promote the adoption of adaptive learning at the university, with an initial focus on improving student success in general education courses. Adaptive learning methods are designed to reduce drop-out rates and improve student outcomes.
- UofL's Event and Conference Center at ShelbyHurst Campus, with more than 16,200 square feet and 14 rooms of modern, fully equipped meeting space, can host up to 500 people. The team partners with prominent Louisville companies such as General Electric, Norton Healthcare and Ford.
- The Delphi Center also provides support for Blackboard Support, Instructional Design and Technology, Faculty Development, and Professional Development.

Diversity and International Affairs

Led by the Vice Provost for Diversity and International Affairs, the unit strives to foster an inclusive learning environment for students and a fair and equitable workplace climate for faculty and staff so that everyone can achieve academically and professionally. Working collaboratively with students, faculty, staff and administrators, the university has increased the numbers of students and faculty of color and acquired national recognition by receiving Insight into Diversity's Higher Education Excellence in Diversity Awards for five consecutive years and has been listed as one the top 25 LGBT-Friendly Campuses. The following centers/offices report to the Vice Provost for Diversity and International Affairs.

- The Cultural Center, the International Center, the LGBT Center, the Muhammad Ali Institute for Peace and Justice, the Women's Center, and the Office for Diversity Education and Inclusive Excellence.

Faculty Affairs

The Vice Provost for Faculty Affairs works with the provost and academic deans to oversee all faculty personnel actions and to provide faculty and administrator professional development programs. These programs and services include: orientation and professional development for new faculty and deans; coordinating and advising related to the university's promotion and tenure process; assisting with hiring, retention, reviews, sabbatical and medical leaves; and leadership programs for department chairs and other administrative leaders.

Strategic Enrollment Management and Student Success (SEMSS)

A division of more than ninety professional staff, SEMSS supports students, faculty, staff and alumni of the University through recruiting, undergraduate admissions, student records and financial assistance to all university students and their academic units. The division was created in 2016 by separating from undergraduate affairs so that the focus could be on university-wide strategic enrollment management and coordination of student success services.

The following offices report through the vice provost and are located in the Houchens Building:

- Undergraduate Admissions coordinates the recruitment of undergraduate students, including transfer students, active military and veteran students. The office processes more than 10,000 applications to the university, manages transfer equivalency determinations and is responsible for official University publications including admissions pamphlets, University catalogues, bulletins, forms and brochures.
- Registrar's Office oversees registration, transcript services, and diploma delivery, retains academic records of students and alumni, and manages and assists with commencement and residency appeals.
- Student Financial Aid Office (SFAO) manages all federal, state, institutional and private student aid funds, administering more than \$300 million in total awards, maintaining the university's compliance with federal and state regulations. The office has thirty full-time employees.

The following offices report through the vice provost and are located in the new academic building:

- Resources for Academic Achievement (REACH) supports the academic success of a diverse undergraduate student population through academic support services and retention programs that encourage students to be independent and successful learners.

- Student Success Center (SSC) strives to encompass the entire 'life-cycle' of the student experience. SSC first-year initiatives work closely with Admissions and Orientation to provide a strong starting point and peer mentoring experiences. SSC Advisors work explicitly with exploratory students. SSC coordinators are available to support students by referral and specifically focus on enabling persistence. The SSC also coordinates the academic advising efforts across the university and the technology associated with those advising efforts (i.e. CardSmart, Degree Audit, etc.).

Metropolitan College reports through the vice provost and is located primarily on the JCTC campus but maintains a staff in the Houchens Building:

- Metropolitan College (MC) is a joint education-workforce/economic-development initiative of the University of Louisville (UofL), Jefferson Community and Technical College (JCTC), Louisville Metro, the State of Kentucky, and founding business partner UPS. Since its inception in 1998, this innovative partnership has helped thousands of students, with the assistance of a team of Student Development Counselors, pursue a free education and on-the-job training while reducing the UPS annual turnover rate of new hires from 100% to less than 20%.

Budget Changes

- There are several significant investments and changes in the Provost area for FY 2019, several of which have been identified earlier.
- Online education is growing, fast. As such, expected revenue and associated expense are increasing about \$2.4 million in Delphi alone.
- Financial aid is increasing at a corresponding percentage to tuition, which is about \$1.5 million. In addition, \$1.5 million more is being redirected from Credit for Credits to focus specifically on student retention.
- Related to student retention, Undergraduate Affairs is receiving an investment of about \$850,000, also redirected from Credit for Credits, to focus on student recruitment.
- Lastly, the budget reduction for FY 2019 marks another significant change, about \$1.9 million.

Executive Vice President and University Provost General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Provost								
Admissions	01028	Admissions	2,594,685	2,362,889	2,628,087	2,936,482	308,395	11.7%
Admissions	01029	Minority Recruitment	260,334	242,348	324,346	320,400	(3,946)	-1.2%
Admissions	01030	Admissions Publications	195,633	82,434	82,482	82,482	0	0.0%
Admissions	01309	Ultra	267,315	277,400	275,825	283,259	7,434	2.7%
Admissions	01496	Undergraduate Application Fees	112	0	0	0	0	0.0%
Admissions	10478	Orientation Fees	492,603	446,773	431,000	500,000	69,000	16.0%
Admissions	10479	University Testing	201,003	161,259	160,800	160,800	0	0.0%
Admissions	10637	Veterans Student Services	(5,021)	3,750	4,600	4,600	0	0.0%
Admissions	10655	Orientation Offset	0	30,000	30,000	0	(30,000)	-100.0%
Admissions	10685	AP Summer Institute	113,349	134,907	155,000	155,000	0	0.0%
Admissions	30376	UGA High S&Dual Cred Tui Remim	107,980	103,125	179,900	179,900	0	0.0%
Cultural Center	01010	Cultural Center	625,753	584,664	430,317	437,324	7,007	1.6%
Cultural Center	30221	Black Diamond Choir	28,046	28,128	28,097	28,147	50	0.2%
Cultural Center	30410	Cultural Center Student Prog	(4)	4,870	0	0	0	0.0%
Cultural Center	30531	Programming Porter Scholars	(28)	7,455	0	0	0	0.0%
Cultural Center	30567	African Amer Male Initiative	(16)	(240)	0	0	0	0.0%
Cultural Center	30635	Hispanic/Latino Initiatives	4,830	6,270	4,850	4,850	0	0.0%
Delphi Center	01014	Delphi-Credit Course Support	347,522	353,964	362,959	366,698	3,739	1.0%
Delphi Center	01307	Delphi - Telecourses	80,105	81,384	81,500	81,725	225	0.3%
Delphi Center	01333	Delphi - Technology	401,597	391,038	397,999	382,411	(15,588)	-3.9%
Delphi Center	01620	QEP Ideas to Action	556,046	521,448	458,690	455,275	(3,415)	-0.7%
Delphi Center	01689	University Training	18,338	0	0	0	0	0.0%
Delphi Center	10417	Delphi-Professional Developmnt	667,448	532,876	850,000	675,000	(175,000)	-20.6%
Delphi Center	10456	Delphi-Conference & Facilities	940,352	937,092	1,100,000	1,150,000	50,000	4.5%
Delphi Center	10461	Delphi - General & Admin	609,656	407,131	460,210	472,100	11,890	2.6%
Delphi Center	10524	Delphi - Online	4,438,259	4,306,442	4,757,935	7,222,935	2,465,000	51.8%
Delphi Center	10614	Delphi - Options	45,893	24,656	0	0	0	0.0%
Delphi Center	30126	Delphi - Teaching & Learning	170,239	145,646	150,434	149,631	(803)	-0.5%
Delphi Center	30626	Dist E State Certification Fee	107,251	109,536	119,878	111,163	(8,715)	-7.3%
Enrollment Management	01026	Enrollment Management	248,069	727,806	173,276	0	(173,276)	-100.0%

Support Unit Summaries

2019 Operating Budget



Unit	Program	Program Description	FY 2016	FY 2017	FY 2018	FY 2019	FY 2018 to 19 Change	
Department			Actuals	Actuals	Budget	Budget		
Enrollment Management	01027	Commencement	279,894	300,024	300,000	0	(300,000)	-100.0%
Enrollment Management	01698	Office of the VPSEMSS	0	381,152	432,320	724,751	292,431	67.6%
Fin Aid Operating	01032	Financial Aid Administration	1,728,998	1,965,224	2,105,878	2,084,532	(21,346)	-1.0%
Financial Aid	10638	Pell Administrative Allowance	24,195	23,305	27,000	27,000	0	0.0%
Financial Aid	30001	Aid Federal Matching	513,288	(148,922)	576,722	599,800	23,078	4.0%
Financial Aid	30008	T/R Supervis Teach-KRS 2845	346,910	386,100	400,000	400,000	0	0.0%
Financial Aid	30024	Trustees Acad Schol	17,150,948	18,029,120	16,142,100	16,787,800	645,700	4.0%
Financial Aid	30035	University Grants	215,410	413,435	437,200	454,700	17,500	4.0%
Financial Aid	30036	Martin Luther King Scholars	3,445	7,025	83,300	86,600	3,300	4.0%
Financial Aid	30039	Woodford R Porter Fund	6,504,911	6,720,099	7,740,000	8,049,600	309,600	4.0%
Financial Aid	30059	Commonwealth Scholars	947,105	949,350	999,300	1,039,300	40,000	4.0%
Financial Aid	30075	T/R State KRS 2841	63,936	59,921	39,000	39,000	0	0.0%
Financial Aid	30076	T/R State KRS 2842	65,054	60,938	111,000	111,000	0	0.0%
Financial Aid	30077	T/R State KRS 505	170,969	132,927	139,000	139,000	0	0.0%
Financial Aid	30078	T/R State KRS 515	1,016,282	1,151,957	917,734	917,734	0	0.0%
Financial Aid	30079	T/R State KRS 507	171,423	170,939	183,000	183,000	0	0.0%
Financial Aid	30084	T/R State KRS 2847	344,299	460,850	371,000	371,000	0	0.0%
Financial Aid	30356	NEED BASED FINANCIAL AID	3,026,271	2,867,188	4,130,613	4,295,800	165,187	4.0%
Financial Aid	30394	National Scholars Program	5,225,269	6,064,728	4,451,400	6,129,500	1,678,100	37.7%
Financial Aid	30482	Cardinal Covenant	2,263,749	2,334,229	2,668,100	2,774,800	106,700	4.0%
Financial Aid	30485	Transfer Scholarships	122,400	146,250	158,400	164,700	6,300	4.0%
Financial Aid	30640	Student Persistence Fund	0	86,319	100,000	100,000	0	0.0%
Grawemeyer Administration	01709	Grawemeyer Administration	0	0	0	30,489	30,489	0.0%
Institutional Research	01323	Inst Research & Plan	1,672,447	873,509	836,500	845,583	9,083	1.1%
Institutional Research	02081	SACS/Accreditation	29,672	333,829	307,542	322,931	15,389	5.0%
Institutional Research	02082	OAPA	198,827	342,960	316,976	315,258	(1,718)	-0.5%
Institutional Research	30569	Institutional Effectiveness	257,509	433,668	250,266	228,775	(21,491)	-8.6%
Institutional Research	30613	Academic Analytics	31,500	0	0	0	0	0.0%
International Affairs	01013	International Affairs	584,217	625,931	608,054	468,929	(139,125)	-22.9%
International Affairs	01329	Intrnlization Program	25,112	16,254	22,286	295,767	273,481	1227.1%
International Affairs	10611	Fee - Rem-Inst Eur Stu	30,953	27,814	25,056	0	(25,056)	-100.0%
International Affairs	30020	Tr Frgn Exc Agreemts	109,474	128,687	64,940	0	(64,940)	-100.0%
International Affairs	30271	Internatl Ctr Isic	1,716	9,350	0	0	0	0.0%
International Affairs	30272	International Scholars Fee	(6,376)	(4,190)	13,579	0	(13,579)	-100.0%

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
International Affairs	30281	Foreign Student Fees	43,587	31,538	27,747	40,000	12,253	44.2%
International Affairs	30565	Sister Cities Young Scholars	(325)	(2,195)	0	0	0	0.0%
McConnell Center	01330	McConnell Ctr Pol Leadership	107,301	268,514	592,657	383,568	(209,089)	-35.3%
McConnell Center	02120	McConnell Ctr Chao Archives	0	0	0	201,925	201,925	0.0%
Metropolitan College - UL	01347	Metropolitan College - U of L	240,250	240,250	240,250	240,250	0	0.0%
Muhammad Ali Institute	01386	Muhammad Ali Institute	300,681	274,195	214,216	225,560	11,344	5.3%
Muhammad Ali Institute	30413	Muhammad Ali Scholars	9,672	0	0	0	0	0.0%
Office of Planning and Budget	01007	Vice Provost - Budget	0	280,035	279,274	360,860	81,586	29.2%
Office of Planning and Budget	01008	Vice Provost - Budget	0	0	137,601	0	(137,601)	-100.0%
Office of Planning and Budget	01321	Budget and Financial Planning	0	560,543	513,046	606,543	93,497	18.2%
Office of Planning and Budget	01931	Position Management	0	168,227	114,703	114,928	225	0.2%
Office of the Provost	01008	Office Of The Provost	2,010,531	1,484,928	1,524,311	1,360,717	(163,594)	-10.7%
Office of the Provost	01336	Faculty Personnel	56,444	270,454	226,899	464,126	237,227	104.6%
Office of the Provost	01538	Gen Ed Assessment	0	0	58,099	241,971	183,872	316.5%
Office of the Provost	01688	Academic Strategic Rsv Fund	1,365,000	0	216,493	216,493	0	0.0%
Office of the Provost	01694	EVPUP Coord Business Services	0	607,977	681,786	923,939	242,153	35.5%
Office of the Provost	01709	Grawemeyer Administration	0	0	0	69,366	69,366	0.0%
Office of the Provost	02077	Prov VSIP Strategic Disc Funds	450,260	0	25,000	25,000	0	0.0%
Office of the Provost	02080	Operational Reserve Fund	237,866	20,509	52,000	52,000	0	0.0%
Office of the Provost	30014	T/R-J B Speed Museum	9,411	12,894	10,000	10,000	0	0.0%
Office of the Provost	30104	Ombudsman Office	86,071	50,570	69,132	68,525	(607)	-0.9%
Office of the Provost	30277	Disc Funds - Provost	164,821	31,824	0	0	0	0.0%
Office of the Provost	30279	Fac & St Exc Dev Initiative	54,524	43,489	0	0	0	0.0%
Office of the Provost	30538	Faculty Grievance	415	16,017	16,148	28,725	12,577	77.9%
Office of the Provost	30558	Deans & VPS Recruitment	274,839	251,659	208,061	208,061	0	0.0%
Office of the Provost	30647	Honors and MLK Support	0	0	0	395,017	395,017	0.0%
Provost - Budget	02088	PROV FY19 Reduction	0	0	0	(1,917,646)	(1,917,646)	0.0%
Registrar	01027	Commencement	0	0	0	300,000	300,000	0.0%
Registrar	01031	Registrar's Office	1,167,554	1,201,310	1,253,610	1,232,961	(20,649)	-1.6%
Registrar	10480	Diploma Replacement	5,400	3,403	4,000	4,000	0	0.0%
Sustainability	01669	Sustainability Office	119,253	118,897	124,335	0	(124,335)	-100.0%
Sustainability	30563	Climate Action Plan	181,500	183,676	0	0	0	0.0%
UGA Administration	01195	UGA Administration	1,137,615	1,103,119	891,789	2,346,707	1,454,918	163.1%
UGA Administration	01308	REACH Learning Resources	633,442	613,930	649,457	584,932	(64,525)	-9.9%

Support Unit Summaries
2019 Operating Budget



Unit	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Department								
UGA Administration	01310	Reach	989,146	1,045,634	1,047,676	1,001,172	(46,504)	-4.4%
UGA Administration	01312	Undergraduate Initiative	50,121	22,809	68,495	0	(68,495)	-100.0%
UGA Administration	01538	Gen Ed Assessment	47,024	52,570	0	0	0	0.0%
UGA Administration	01539	Freshman First Year Initiative	334,205	315,922	337,708	0	(337,708)	-100.0%
UGA Administration	01540	Office of Advising Practice	703,510	569,328	577,242	103,651	(473,591)	-82.0%
UGA Administration	10504	Reach Virtual Math Center	5,863	7,805	12,700	12,700	0	0.0%
UGA Administration	30070	Fees Remitted GA-UGA	146,944	160,442	145,000	140,000	(5,000)	-3.4%
UGA Administration	30634	MLK Scholars	64,126	143,195	250,000	0	(250,000)	-100.0%
Vice Provost for Div & Eq Opp	01326	Affirmative Action Fund	25,916	13,177	14,724	14,724	0	0.0%
Vice Provost for Div & Eq Opp	02118	LGBT Program	0	0	170,340	163,443	(6,897)	-4.0%
Vice Provost for Div & Eq Opp	10809	Diversity Training Initiatives	0	0	5,000	5,000	0	0.0%
Vice Provost for Div & Eq Opp	30197	Diversity Initiative	271,965	330,702	386,954	621,233	234,279	60.5%
Vice Provost for Div & Eq Opp	30364	DIVERSITY SUPPORT FUND	23,921	0	0	0	0	0.0%
Vice Provost for Div & Eq Opp	30384	Diversity-Presidents's Plan	16,100	7,190	27,910	24,402	(3,508)	-12.6%
Women's Center	01015	Women's Center	254,588	256,193	232,651	238,566	5,915	2.5%
Provost Total			68,226,697	69,125,748	70,013,465	75,260,950	5,247,485	7.5%

Human Resources

The Office of Human Resources strives to be an administrative center of excellence whose fundamental purpose is to help campus administrators recruit, retain, recognize, and reward top quality faculty and staff; to advance processes that promote a quality work-life and shared responsibility; and to create programs that enhance the university's culture and make UofL an employer of choice. The areas reporting to the Associate Vice President for Human Resources are employment and compensation; salary administration; benefits administration; staff development and employee relations; health management; employee assistance programs; and equal employment opportunity and affirmative action.

The Associate Vice President for Human Resources also serves as the University Equal Opportunity Officer, Title VII and Title IX Administrator, and ADA Administrator and is responsible for ensuring the University's compliance with all relevant Federal and State EEO statutes and regulations.

Human Resources General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Human Resources								
HR- Budget	01712	HR FY19 Reduction	0	0	0	(140,115)	(140,115)	0.0%
Human Resources	01058	University Personnel	0	0	1,818,719	2,213,431	394,712	21.7%
Human Resources	01426	Staff Recognition Program	0	0	23,000	23,000	0	0.0%
Human Resources	17006	Retirement Fee Revenue	0	0	391,793	0	(391,793)	-100.0%
Human Resources	X0551	Health Insurance	0	0	0	565,875	565,875	0.0%
Human Resources	X0841	ADA Accommodations	0	0	150,000	0	(150,000)	-100.0%
VP Human Resources	01058	University Personnel	1,676,071	0	0	0	0	0.0%
VP Human Resources	01426	Staff Recognition Program	25,877	0	0	0	0	0.0%
VP Human Resources	01673	HR Discretionary Fund	140,420	0	0	0	0	0.0%
VP Human Resources	10612	Classified Ads	(3,067)	0	0	0	0	0.0%
VP Human Resources	10613	Background Check&Classified Ad	22,077	0	0	0	0	0.0%
VP Human Resources	X0271	Tuition Remission-Fac/Staff	(1,082,207)	0	0	0	0	0.0%
VP Human Resources	X0284	Health Management Administrati	31,757	0	0	0	0	0.0%
VP Human Resources - GIE	X0841	ADA Accommodations	38,106	0	0	0	0	0.0%
Human Resources Total			849,034	0	2,383,512	2,662,191	278,679	11.7%

Office of the President

The Board of Trustees has statutory authority to provide governance and policy direction for the University. The President has delegated authority from the University's Board of Trustees to lead the University, as policy adopted by The Redbook, in matters involving broad academic and fiscal operations, including the implementation of all policy approved by the Board of Trustees.

The Office of the President consists of the President and three Executive Vice Presidents (EVP & University Provost, EVP for Health Affairs, and EVP for Research & Innovation).

The offices reporting directly to the President are: Executive Vice President and University Provost, Executive Vice President for Health Affairs, Executive Vice President for Research and Innovation, Chief Financial Officer, Chief Operating Officer, Vice President for Advancement, Vice President for Athletics and Athletic Director, Vice President for Community Engagement, Vice President for Strategy and General Counsel, and the Office of Communication and Marketing and Governmental Relations. The President's executive team, which administers all academic, fiscal, philanthropic, and athletic activities of the University of Louisville, consists of the President, EVP and University Provost, EVP for Health Affairs, and the EVP for Research and Innovation.

Budget Changes

- Amidst a restructuring of programs and allocations within, the two main changes within the President's office are budgeting the military initiatives program, \$375,000, and also the FY 2019 reduction, \$438,000.

Office of the President General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
President								
Admin Support Services	01317	U of L Retiree Assoc	0	0	2,300	0	(2,300)	-100.0%
Admin Support Services	01318	Admin Support Services Center	453,198	396,030	277,446	0	(277,446)	-100.0%
Admin Support Services	30223	Cardinal Advertising	40,000	20,000	20,000	0	(20,000)	-100.0%
Admin Support Services	X0539	Grants & Memberships	64,184	44,617	65,000	0	(65,000)	-100.0%
Boards - Institutional Support	01337	University Boards	58,775	32,157	30,000	30,000	0	0.0%
Boards - Institutional Support	X0852	Boards-Institutional Support	0	0	0	47,700	47,700	0.0%
Commissions	01669	Sustainability Office	0	0	0	124,609	124,609	0.0%
Commissions	X0553	Racial Equality	69,531	69,988	46,382	44,864	(1,518)	-3.3%
Commissions	X0554	Status On Women	87,575	68,115	46,382	45,773	(609)	-1.3%
Faculty Senate	01004	Univ Faculty Senate	0	0	96,836	0	(96,836)	-100.0%
Faculty Senate	01037	Staff Senate	0	0	29,657	0	(29,657)	-100.0%
Faculty Senate	01038	Staff Grievance	0	0	9,874	0	(9,874)	-100.0%
Office of Communication & Mktg	01100	New & Public Information	894,243	937,398	0	0	0	0.0%
Office of Communication & Mktg	01101	University Communications	835,543	822,106	0	0	0	0.0%
Office of Communication & Mktg	01463	Hospital Rent	0	0	468,624	465,374	(3,250)	-0.7%
Office of Communication & Mktg	01504	Intrgrated Mktg Plan	283,267	245,103	0	0	0	0.0%
Office of Communication & Mktg	01505	HSC News & Public Information	1,526	1,576	0	0	0	0.0%
Office of Communication & Mktg	01509	News Bureau	696,201	767,585	0	0	0	0.0%
Office of Communication & Mktg	01511	Information Centers	203,444	236,499	0	0	0	0.0%
Office of Communication & Mktg	01513	WUOL FM Radio	39,997	1,750	0	0	0	0.0%
Office of Communication & Mktg	02108	HSC C&M	0	0	4,238	8,597	4,359	102.9%
Office of Communication & Mktg	02109	Marketing	0	0	464,046	384,362	(79,684)	-17.2%
Office of Communication & Mktg	02110	Multi Media	0	0	339,855	331,255	(8,600)	-2.5%
Office of Communication & Mktg	02111	Digital Media	0	0	303,704	344,714	41,010	13.5%
Office of Communication & Mktg	02112	C&M Account Management	0	0	841,490	915,691	74,201	8.8%
Office of Communication & Mktg	02113	Creative Services	0	0	244,880	271,070	26,190	10.7%
Office of Communication & Mktg	02114	Media Relations	0	0	229,584	255,034	25,450	11.1%
Office of Communication & Mktg	02115	Comm & Marketing Admin	0	0	332,602	376,885	44,283	13.3%
Office of the President	01001	Office Of President	1,971,182	2,304,525	3,011,665	2,782,218	(229,447)	-7.6%
Office of the President	01314	Comm For Interco Athletics	5,725	4,036	0	26,025	26,025	0.0%

Support Unit Summaries

2019 Operating Budget



Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Office of the President	01316	Pres Comm/Recognition Program	29,379	13,297	20,000	0	(20,000)	-100.0%
Office of the President	01512	Special Projects	511,316	451,916	0	0	0	0.0%
Office of the President	02003	CPA Review Services	20,426	0	0	0	0	0.0%
Office of the President	10787	Military Initiatives	423,765	122,526	0	375,000	375,000	0.0%
Office of the President	30223	Cardinal Advertising	0	0	0	25,000	25,000	0.0%
Office of the President	30268	Disc Funds-President	50,000	3,788	0	0	0	0.0%
Office of the President	X0539	Grants & Memberships	0	0	0	65,000	65,000	0.0%
Office of the President	X0840	Student African American Progr	11,084	5,585	5,000	5,000	0	0.0%
President - Budget	02086	PRES FY19 Reduction	0	0	0	(438,298)	(438,298)	0.0%
University Senates	01004	Univ Faculty Senate	83,338	86,289	0	101,775	101,775	0.0%
University Senates	01037	Staff Senate	22,285	22,447	0	26,671	26,671	0.0%
University Senates	01038	Staff Grievance	8,395	8,395	0	8,398	8,398	0.0%
VP for Strategy/Gen Counsel	01003	Univ Counsel Office	1,024,511	1,032,576	849,191	800,332	(48,859)	-5.8%
VP for Strategy/Gen Counsel	01603	Governmental Relations	0	0	0	276,604	276,604	0.0%
VP for Strategy/Gen Counsel	10660	Employee Immigration	(1,255)	(42,911)	0	0	0	0.0%
VP for Strategy/Gen Counsel	X0540	Prof Fees-Legal Services	703,701	935,209	700,000	700,000	0	0.0%
President Total			8,591,337	8,590,603	8,438,756	8,399,653	(39,103)	-0.5%

Vice President Community Engagement

The Office of Community Engagement coordinates the interaction of university faculty, staff, and students for the mutual benefit of the community and the university. This office facilitates the use of university resources to support university and community partnerships, and engages new partners to contribute to the educational, health, social and economic progress of the metropolitan area, nation, and global community.

The office supports and advances community engagement to enrich the learning experience of students and to enhance the teaching and research of faculty. Specifically, it facilitates the community based learning experience of UofL students at community organizations through internships, field experience/practicum, clinic experience, research, service-learning, or volunteer opportunities.

The Office of Community Engagement oversees the University's Signature Partnership Initiative with West Louisville, as well as the University's College Connection Initiatives, including Project Upward Bound Programs I and II, Talent Search, and Youth Toward Excellence Program.

Established in 2006, the Office of the Vice President for Community Engagement has thirteen employees.

Goals

- Measure the impact of faculty, staff and student involvement in collaborative partnerships,
- Identify partnerships to define and demonstrate engaged scholarship/research,
- Identify partnerships that provide evidence of community impact based on established rubric,
- Monitor progress of community engagement plans with administrative and academic units, and
- Increase the number of partnership activities of the Signature Partnership Initiative.

Research

- Promotes faculty involvement in community-based research through the Signature Partnership Initiative.
- Awards bi-annual Faculty Incentive Grant for innovative research.
- Facilitates and promotes ongoing research and Engaged Scholarship.
- Facilitates faculty development for community-based research and teaching.

Support Unit Summaries

2019 Operating Budget



Significant Accomplishments

- The data collection process developed by OCE is recognized as a national model.
- The template used to develop the community engagement plans for administrative and academic units and the process used to implement the development of these plans was presented at three national and international conferences.
- A record number of faculty participated in professional development workshops on community engagement and engaged scholarship.
- Celebrating the 10th Anniversary of the Signature Partnership with west Louisville, which has gained national recognition.
- Manages the Annual Community Engagement Awards for faculty, staff and students as well as an outstanding community partner.
- Awards innovative Student Philanthropy Grants to local non-profit organizations each semester.
- Facilitates over 100 university-community partnerships.

Vice President for Community Engagement General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
VP Community Engagement								
Upward Bound	30347	UPWARD BOUND	655	1,429	0	0	0	0.0%
VPCE - Budget	02092	VPCE FY19 Reduction	0	0	0	(42,276)	(42,276)	0.0%
VP-Community Engagement	01099	Community Engagement	852,758	851,047	838,315	845,510	7,195	0.9%
VP-Community Engagement	01672	Community Engagement Award	14,875	12,099	0	0	0	0.0%
VP-Community Engagement	01675	CE Faculty Grant	0	20,000	0	0	0	0.0%
VP Community Engagement Total			868,288	884,575	838,315	803,234	(35,081)	-4.2%

Vice Provost for Student Affairs

The mission of the Office of the Vice Provost for Student Affairs (VPSA) is to provide students with effective services while enrolled at the University of Louisville. We seek to create developmental opportunities that augment their academic experience and enhance the quality of their lives.

When coupled with a challenging academic experience, these services and opportunities facilitate the students' total development so that they attain their educational goals as individuals and are prepared to function successfully as leaders within a diverse, multicultural and international world. In support of this mission, the VPSA provides essential services to the faculty and to the academic units.

Major Divisions of Student Affairs, listed alphabetically, include

The Student Government Association (SGA) consists of an executive branch, judicial branch, a legislative senate and multiple student councils organized around academic colleges. It is charged with representing the student body on issues related to campus programs, services, and governance. SGA also provides programs and services designed to support the student experience.

The UofL Housing and Residence Life program is a self-supporting auxiliary enterprise that generates revenues to fund all expenses associated with the day-to-day operation of seven residence halls. Expenses include staffing and administration, residential programming, daily maintenance and repair, delivery of utilities and technology services, and deferred maintenance and system replacements. Housing and Residence Life staff coordinate housing assignments and billing, select and supervise building staff, maintain the physical facilities, monitor student behavior, and provide student programming and support activities.

The Housing and Residence Life program also manages affiliation agreements with six external housing operations located adjacent to the Belknap and Health Science Campus. These privately owned, but university managed, apartment communities provide upper-class students residence options close to campus. Housing and Residence Life provides a liaison-type link to the campus environment through recruitment, programs, and assistance with student issues and crises. These communities provide approximately 2,300 beds.

Residence hall communities provide students the opportunity to participate in intentional programs and activities designed to help students develop skills that will prepare them to be successful in their eventual career or profession. Students who live on campus have higher year-over-year retention rates and are more successful with their academic career when compared to students who live off-campus.

Goals

- To inspire a vibrant campus life and engaged student body.
- To celebrate tradition and create a sense of purpose.
- To enhance services that meets the needs of students.
- To transform theory into practice to support student learning.

Significant Accomplishments

We continue efforts to develop a more vibrant campus community through creating opportunities for more students to live on campus, developing additional campus food and service options, supporting increased social and recreational programming, and providing additional service and leadership opportunities.

Student Affairs continues to set the bar and increase numbers on the following:

- Percentage of students living on campus.
- The number of students attending student activity programs annually.
- The number of individuals using intramural and recreational sports gyms and facilities.
- The Club Programming Committee continues to provide financial support directly to student organizations for campus programming.

Budget Changes

- In FY 2018, four halls were to have their revenue and expense, as well as management of operations, flow through the university. During the year, it was determined that management will remain with the university, but the flow of funds needed to stay with the Foundation. As such, revenues and expenditures associated with these programs are being moved back to the Foundation, which is the primary change, or \$8.9 million.

Vice Provost for Student Affairs General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
VP Student Affairs								
Counseling Services	01597	Counseling Center	543,623	554,664	568,288	569,863	1,575	0.3%
Counseling Services	30637	Counseling-Cardinal Station	0	85,982	0	0	0	0.0%
Dean of Students	01020	Dean of Students	694,627	469,963	503,974	484,035	(19,939)	-4.0%
Dean of Students	10734	University Mobile	385	0	0	0	0	0.0%
Dean of Students	30345	Misc Income-Std Life	600	0	0	0	0	0.0%
Dean of Students	40015	Resident Education & Prog	0	0	115,926	119,512	3,586	3.1%
Disability Resources	01604	Disability Services	274,738	243,505	251,773	266,130	14,357	5.7%
Disability Resources	10482	Disabled Student Services	63,171	115,285	75,000	75,000	0	0.0%
Housing and Residence Life	40011	Facilities	2,731,710	2,871,534	2,922,636	2,139,838	(782,798)	-26.8%
Housing and Residence Life	40013	Conferences & S-T Housing	(179,937)	(355,899)	(130,892)	(130,892)	0	0.0%
Housing and Residence Life	40015	Resident Education & Prog	652,315	738,685	548,830	769,405	220,575	40.2%
Housing and Residence Life	40017	Desk Operations & Security	599,157	658,469	681,915	688,674	6,759	1.0%
Housing and Residence Life	40019	Information Technology	738,481	459,162	673,737	688,832	15,095	2.2%
Housing and Residence Life	40021	Assignments & Billing	179,098	117,881	205,517	205,859	342	0.2%
Housing and Residence Life	40023	Marketing & Assessment	41,138	70,003	96,904	108,253	11,349	11.7%
Housing and Residence Life	40026	Housing Reserve	100,000	0	112,000	112,000	0	0.0%
Housing and Residence Life	40029	Fiscal Affairs	158,466	162,828	162,786	163,236	450	0.3%
Housing and Residence Life	40031	RSA & NRRH	47,122	44,812	44,800	61,876	17,076	38.1%
Housing and Residence Life	40033	Housing Administration	1,345,219	267,753	852,939	639,640	(213,299)	-25.0%
Housing and Residence Life	40041	Community Park	307	0	0	0	0	0.0%
Housing and Residence Life	40057	Housing Scholarships/Aid	0	0	0	369,464	369,464	0.0%
Housing-Affiliated properties	40046	Housing-Billy Minardi	0	0	479,304	0	(479,304)	-100.0%
Housing-Affiliated properties	40047	Housing-Bettie Johnson	0	0	3,622,478	0	(3,622,478)	-100.0%
Housing-Affiliated properties	40048	Housing - Kurz Hall	0	0	2,299,761	0	(2,299,761)	-100.0%
Housing-Affiliated properties	40051	Housing - Community Park	0	0	2,282,070	0	(2,282,070)	-100.0%
Housing-University Point	40049	Housing - Cardinal Towne	0	0	280,663	619,339	338,676	120.7%
Housing-University Point	40050	Housing - University Point	0	0	0	314,090	314,090	0.0%
Housing-University Point	40056	Housing - University Pointe	0	0	280,663	308,025	27,362	9.7%
International Service Learning	10474	Int'l Service Learning	701,668	427,526	675,000	675,000	0	0.0%
Intramurals	01593	Intramurals/Recreation	542,133	561,160	559,651	561,375	1,724	0.3%

Support Unit Summaries

2019 Operating Budget



Unit	Program	Program Description	FY 2016	FY 2017	FY 2018	FY 2019	FY 2018 to 19 Change	
Department			Actuals	Actuals	Budget	Budget		
Intramurals	10476	Intramural Fees	226,695	175,193	225,000	200,032	(24,968)	-11.1%
Intramurals	10747	Student Recreation Center	846,300	512,817	861,464	883,636	22,172	2.6%
Intramurals	30229	Community Center	509	0	0	0	0	0.0%
Intramurals	30436	Intramural Facility	143,369	137,161	149,736	150,186	450	0.3%
Leadership	30422	Leadership - Special Proj	20,672	16,533	21,025	21,025	0	0.0%
SGA-Student Activities	10558	Student Activities	443,521	422,997	149,403	149,403	0	0.0%
SGA-Student Activities	10716	SAB Red Barn	11,927	11,784	12,000	12,000	0	0.0%
Student Government	01600	Student Govt Assoc	14,040	40,000	0	0	0	0.0%
Student Government	10541	Student Government Association	160,413	107,471	93,497	66,897	(26,600)	-28.5%
Student Government	10542	Student Senate	44,432	35,395	9,200	9,200	0	0.0%
Student Government	10559	SGA-CPC	104,291	104,857	48,000	48,000	0	0.0%
Student Government	10717	Graduate Student Travel	39,522	39,395	20,000	20,000	0	0.0%
Student Government	10728	Student Initiatives	84,841	84,782	15,000	15,000	0	0.0%
Student Government	10743	SGA Student Program Budgets	0	0	600,000	600,000	0	0.0%
Student Government	10806	SGA Tuition Reserve	0	50,324	0	0	0	0.0%
Student Involvement	01594	Student Activities	849,629	950,002	910,407	917,466	7,059	0.8%
Student Involvement	10620	SAC Special Projects	51,868	5,595	35,000	50,000	15,000	42.9%
VP Student Affairs	01018	Office VP/Student Affairs	971,072	1,513,190	1,478,173	1,444,930	(33,243)	-2.2%
VP Student Affairs	01568	Student Affairs Budget Change	(40)	0	0	0	0	0.0%
VP Student Affairs	30226	Project Fund - Student Affairs	159,294	199,627	185,598	206,243	20,645	11.1%
VP Student Affairs	30346	Disc Funds-VP Std Af	21,302	0	0	0	0	0.0%
VP Student Affairs	30429	Student Affairs Project Fund	10,000	10,000	10,000	10,000	0	0.0%
VP Student Affairs	30468	Fees Rem GA VP Student Affairs	84,000	84,000	84,000	84,000	0	0.0%
VP Student Affairs	30486	Fees Remitted-SGA SAB	60,000	60,000	60,000	60,000	0	0.0%
VP Student Affairs	30493	Fees Remitted-SGA T/R	69,400	69,400	69,400	69,400	0	0.0%
VP Student Affairs	30638	Project Fund-Title XI	0	8,617	0	10,000	10,000	0.0%
VP Student Affairs	40029	Fiscal Affairs	0	0	0	47,869	47,869	0.0%
VP Student Affairs	40049	Housing - Cardinal Towne	0	0	0	9,644	9,644	0.0%
VP Student Affairs	40056	Housing - University Pointe	0	0	0	9,443	9,443	0.0%
VP Student Affairs	X0841	ADA Accommodations	0	0	0	150,000	150,000	0.0%
VPSA - Budget	02090	VPSA FY19 Redcuton	0	0	0	(758,131)	(758,131)	0.0%
VPSA-Asst VP Stu Life-SA	30510	Club Programming Committee	5,764	18,508	0	15,000	15,000	0.0%
VPSA-Career Development Center	01034	University Career Center	580,880	591,265	608,180	627,565	19,385	3.2%
VPSA-Career Development Center	10481	Career Fair	25,100	39,692	50,000	50,000	0	0.0%
VP Student Affairs Total			14,262,822	12,781,918	23,860,806	14,987,362	(8,873,444)	-37.2%

Vice President University Advancement

The Office of University Advancement (VPUA) works to further public understanding and recognition of the University of Louisville and its mission—to be the Commonwealth’s premier metropolitan research university and develop public, political, and financial support for the institution. The Office of University Advancement works directly with the University of Louisville Foundation to actively promote and encourage private philanthropy for the advancement of the University of Louisville.

Advancement’s mission is to build enduring relationships through engagement, philanthropy and stewardship to advance the teaching, research and service mission of the University of Louisville.

Our Values: Integrity, Supporting Culture, and Excellence

FY 2017 Goals

1. Raise \$150,000,000 million for university programs and projects
2. Increase alumni giving rate to 14.1%
3. Increase overall annual fund academic donor acquisition to 24,744
4. Increase overall annual fund dollars raised to \$4,783,206
5. Increase overall donor retention rate to 67%
6. Continue commitment to excellence in staff hiring, retention, accountability and productivity

Significant Accomplishments

Advancement leadership believes success depends on the capacity, passion, and commitment of staff. For that reason, they invest resources to ensure they recruit, retain, and train the best people in the business. There is a deep commitment to staff training that includes leadership development. Our results have proven our efforts are worth the investment. Advancement is also committed to staff diversity and in fiscal year 2016, Advancement surpassed their diversity goals. Below are the highlights of these initiatives:

- Diversity Goals:
 - FY 2016 development staff diversity goal of 9% with year end actual being 18%
 - FY 2016 overall staff diversity goal of 13% with year end actual being 15%

Support Unit Summaries

2019 Operating Budget



- Overall staff retention greatly improved in FY 2016; goal of 85% and year-end actual being 84%
- Staff training
 - The unit increased the number of professional development plans among staff, hosted a two-day fundraising workshop for development officers and hosted the Educational Advisory Board (EAB) training for staff on how to increase annual fund giving. Three senior staff attended an EAB conference in Washington DC to learn about the new research on best practices for Advancement. In addition, three senior leaders participated in personal coaching to improve self-awareness and leadership skills.
- Staff Recruitment
 - To improve hiring, the Advancement Talent Management committee developed a new interviewing and selection process that includes a more intensive review of the following: review quality of writing, social media, behavioral interviewing, in-basket tasks, thorough reference checks and improved constituent feedback process.

FY16 Goals Recap

1. Raise \$238,865,574 million for university programs and projects (actual \$241,956,933)
2. Increase the number of alumni donors to 12,239 and reach the 14.6% giving rate (actual 11,905 undergrads; 14.1%)
3. Increase overall annual fund academic donor acquisition to 23,696 (actual 24,742)
4. Increase overall annual fund dollars raised to \$4,783,205 (actual \$4,629,492)
5. Increase overall retention rate to 72% (actual 66%)
6. Increase percentage of funds given to endowment to 54% (actual 39.9%)
7. Build an A+ Alumni Association
8. Continue commitment to excellence in staff hiring, retention, accountability and productivity

Budget Changes

- Due to a cut in funding from the University of Louisville Foundation to Advancement, the University is providing funding of \$1 million to support operations.

Vice President for University Advancement General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
VP University Advancement								
VPUA - Budget	02091	VPUA FY19 Reduction	0	0	0	(57,267)	(57,267)	0.0%
VPUA-Admin	01429	Development Office	0	0	0	63,769	63,769	0.0%
VPUA-Admin	02056	VPUA 2014-15 Procurement Credi	(12,439)	0	0	0	0	0.0%
VPUA-Alumni Association	01429	Development Office	0	0	0	91,952	91,952	0.0%
VPUA-Development	01018	Office VP/Student Affairs	0	0	41,950	42,063	113	0.3%
VPUA-Development	01429	Development Office	0	0	0	850,068	850,068	0.0%
VPUA-Development	10592	Dis Ed - A&S Admin	0	0	89,635	97,497	7,862	8.8%
VP University Advancement Total			(12,439)	0	131,585	1,088,082	956,497	726.9%

Support Unit Summaries

2019 Operating Budget



Central University – Business Activities

Central University Business Activities includes university-wide expenses paid from a central pool. Examples of these expenses include utilities, general insurances, and leases. In fall of 2018, the new academic building, as well as SAC expansion, will be open on Belknap campus, which have an estimated impact to utilities of \$1 million. Part of insurance cost increases are also tied to these buildings.

Central University – Business Activities General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Central - Business Activities								
University - Bus Serv Purchasi	X0546	Leases	0	0	1,179,900	886,600	(293,300)	-24.9%
University - Bus Serv Purchasi	X0577	Music Licensing Contracts	0	0	16,500	16,500	0	0.0%
University-Bus Serv-Card Serv	X0538	Medical Center Fee	30,379	30,379	0	0	0	0.0%
University-Bus Serv-Card Serv	X0546	Leases	758,537	758,730	0	0	0	0.0%
University-Bus Serv-Card Serv	X0577	Music Licensing Contracts	15,650	15,964	0	0	0	0.0%
University-Bus Serv-Insurance	01078	HSC Utilities	8,606,600	8,922,792	0	0	0	0.0%
University-Bus Serv-Insurance	01083	Shelby Utilities	665,720	702,467	0	0	0	0.0%
University-Bus Serv-Insurance	01416	Belknap Utilities	10,221,774	10,505,478	0	0	0	0.0%
University-Bus Serv-Insurance	01494	Rent-General	0	51,589	0	0	0	0.0%
University-Bus Serv-Insurance	30326	Risk Mgmt Ins Recov	(110,699)	(9,433)	0	0	0	0.0%
University-Bus Serv-Insurance	X0520	Insurance	2,110,306	2,150,599	2,527,800	3,152,800	625,000	24.7%
University-Bus Serv-Insurance	X0522	Small Claims	0	0	4,200	4,200	0	0.0%
University-Bus Serv-Insurance	X0523	Workman's Compensation Grants	(0)	45	0	0	0	0.0%
University-Bus Serv-Insurance	X0839	KSBIT-PL Assessment	379,485	347,735	0	0	0	0.0%
University-Facilities	01078	HSC Utilities	0	0	9,234,816	9,234,816	0	0.0%
University-Facilities	01083	Shelby Utilities	0	0	631,649	631,649	0	0.0%
University-Facilities	01416	Belknap Utilities	0	0	8,931,721	9,931,721	1,000,000	11.2%
University-Facilities	01550	Capital Renewal/Deferred Maint	0	0	1,050,000	1,050,000	0	0.0%
University-Facilities	X0538	Medical Center Fee	0	0	30,379	30,379	0	0.0%
Central - Business Activities Total			22,677,752	23,476,345	23,606,965	24,938,665	1,331,700	5.6%

Central University – Finance

Central University—Finance holds reserve funds to meet unforeseen budget emergencies and to provide financial flexibility during the year. Reserves include:

- Budget Reserve Fund,
- Student Success Initiative,
- Financial Aid Escalator Reserve, and
- Staff Reclassification and Faculty Promotion Funds.

Budget Changes

Many changes and initiatives previously mentioned in the narrative are found here. These include:

- Current use gift recharge strategy of \$20 million;
- Remove the prior one-time strategies related to compensation, procurement, and other operational savings totalling \$13 million;
- \$7.7 million towards student success – recruitment and retention – and the remaining \$2.3 million is directly attributed in the Provost area, and was a redirect of the Credit for Credits program, shown below as Tuition Rate Discount;
- The budget reserve fund is increasing \$5 million for the purposes of improving liquidity;
- Debt service has a transfer of \$2.9 million from Dentistry in order to consolidate all debt service into one area, and \$1 million offset by revenue transfer from Athletics; and
- The student athletic fee decreasing about \$1 million from the fee being cut in half.

Support Unit Summaries

2019 Operating Budget



Central University – Finance General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Central - Finance								
Central Univ - Student	30006	T/R Senior Citizens-KRS 284	0	535,816	302,000	302,000	0	0.0%
Central Univ - Student	30011	T/R High E Tuit W-KRS 020.32	0	689,260	542,000	542,000	0	0.0%
Central Univ - Student	30210	T/R Voc Ed T-6-KRS 020.33	0	1,133,855	137,000	137,000	0	0.0%
Central Univ - Student	30258	Central University Budget Redu	0	0	(13,449,692)	0	13,449,692	-100.0%
Central Univ - Student	30303	Medical Application Fee	0	367	0	0	0	0.0%
Central Univ - Student	X0533	Athletic Subsidy Student Fees	0	1,986,178	1,975,000	987,500	(987,500)	-50.0%
Central Univ-Approp/Transfer	01996	Transfers from UL Foundation	0	10,400,000	0	0	0	0.0%
Central Univ-Approp/Transfer	30329	Miscellaneous Revenue	0	55,576	0	0	0	0.0%
Central Univ-Approp/Transfer	30509	Program Budget Overhead Charge	0	(3,696,172)	(4,020,313)	(4,020,313)	0	0.0%
Central University Reserves	01320	Faculty Promotion Fund	0	0	690,128	435,183	(254,945)	-36.9%
Central University Reserves	01340	Staff Reclass/Promotions	0	0	385,585	274,399	(111,186)	-28.8%
Central University Reserves	01341	Reserve For Adjustments	0	438,981	0	0	0	0.0%
Central University Reserves	01343	Budget Reserve Fund	0	1,398,101	8,600,000	14,694,959	6,094,959	70.9%
Central University Reserves	01710	Student Success Initiative	0	0	0	7,732,885	7,732,885	0.0%
Central University Reserves	01711	Current Use Gifts Recharge	0	0	0	(20,000,000)	(20,000,000)	0.0%
Central University Reserves	01950	Reserve Strategic Initiatives	0	174,870	0	0	0	0.0%
Central University Reserves	02073	21th Cen Seed Funds Restor Med	0	0	250,000	250,000	0	0.0%
Central University Reserves	02074	21st Cen Seed Funds Cancer Res	0	0	250,000	250,000	0	0.0%
Central University Reserves	30026	Fin Aid Escalator	0	0	902,800	902,800	0	0.0%
Central University Reserves	30257	Reserve for Fac & Staff Equity	0	1,298,341	0	0	0	0.0%
Central University Reserves	30304	Student Center Bldg Alloc	0	596,929	500,000	500,000	0	0.0%
Central University Reserves	30329	Miscellaneous Revenue	(178,587)	0	0	0	0	0.0%
Central University Reserves	30509	Program Budget Overhead Charge	(2,060,254)	0	0	0	0	0.0%
Central University Reserves	30639	Tuition Rate Discount	0	0	2,520,000	0	(2,520,000)	-100.0%
Finance - Debt	01517	CEBRB Series M	1,984,325	0	0	0	0	0.0%
Finance - Debt	01566	CEBRB Series P	51,376	0	0	0	0	0.0%
Finance - Debt	01611	2007 General Receipts Series A	2,982,000	1,996,000	1,999,200	0	(1,999,200)	-100.0%
Finance - Debt	01656	2008 General Receipts Series A	6,460,287	4,138,100	1,234,779	4,136,100	2,901,321	235.0%
Finance - Debt	01677	2010 Gen Receipts Series A & B	2,730,514	2,351,810	2,351,810	2,351,810	0	0.0%
Finance - Debt	01682	2011 General Receipts Series A	2,640,650	2,641,250	2,639,850	2,641,350	1,500	0.1%

Unit	Program	Program Description	FY 2016	FY 2017	FY 2018	FY 2019	FY 2018 to 19 Change	
Department			Actuals	Actuals	Budget	Budget		
Finance - Debt	01690	2016 Series A Gen Recpts Bonds	0	1,234,776	1,235,644	1,239,494	3,850	0.3%
Finance - Debt	01691	2016 Series B Gen Recpts Bonds	0	810,888	809,500	2,808,550	1,999,050	246.9%
Finance - Debt	01692	2016 Series C Gen Recpts Bonds	0	1,935,551	2,005,100	2,003,500	(1,600)	-0.1%
Finance - Debt	01704	Gen Receipt 2016D	0	374,764	2,108,050	2,108,050	0	0.0%
Finance - Debt	01705	Gen Receipt 2016E	0	25,220	141,860	1,176,860	1,035,000	729.6%
Finance - Debt	01706	Gen Receipt 2016F	0	2,418,902	3,067,000	3,062,250	(4,750)	-0.2%
Finance - Debt	01944	2012 General Receipts Series A	1,720,250	1,722,000	1,715,750	1,716,750	1,000	0.1%
Finance - Debt	X0535	Bond Trustee Fees	28,620	25,830	54,000	54,000	0	0.0%
Finance - Operational Expense	X0001	Audit Fees	129,818	107,737	90,000	90,000	0	0.0%
Finance - Operational Expense	X0002	Tax Consulting Fees	1,800	55,965	5,000	5,000	0	0.0%
Finance - Operational Expense	X0003	Banking Expense	9,409	14,525	10,000	96,000	86,000	860.0%
Finance - Operational Expense	X0021	Budgetary Control	2,277,000	1,750,000	0	0	0	0.0%
Finance - Operational Expense	X0033	Travel Credit Card Activity	0	722,420	0	0	0	0.0%
Finance - Operational Expense	X0828	Swift Prepaid Gift Card Prgm	(808)	(6,144)	0	0	0	0.0%
Finance - Payroll	X0053	KY Income Tax Payments	0	500	0	0	0	0.0%
Finance - Reserves	01341	Reserve For Adjustments	48,776	0	0	0	0	0.0%
Finance - Reserves	01343	Budget Reserve Fund	15	0	0	0	0	0.0%
Finance - Reserves	01950	Reserve Strategic Initiatives	245,087	0	0	0	0	0.0%
Finance - Reserves	01993	Reserve for Pending Salary Adj	14,659	0	0	0	0	0.0%
Finance - Reserves	02073	21th Cen Seed Funds Restor Med	250,000	0	0	0	0	0.0%
Finance - Reserves	02074	21st Cen Seed Funds Cancer Res	250,000	0	0	0	0	0.0%
Finance - Reserves	02075	Prov strategic VSIP Relocate	864,489	0	0	0	0	0.0%
Finance - Reserves	30304	Student Center Bldg Alloc	535,970	0	0	0	0	0.0%
Finance - Reserves	X0541	Internal Moving Expenses	14,998	0	0	0	0	0.0%
Finance - Reserves	X0594	VSIP Payout	1,310,704	0	0	0	0	0.0%
Finance - Reserves	X0843	Central Fringe Benefit Pool	0	0	0	537,808	537,808	0.0%
Finance - Student	30303	Medical Application Fee	439	0	0	0	0	0.0%
Finance - Student	X0005	Bad Debt Expense	3,009,857	2,410,512	2,500,000	2,500,000	0	0.0%
Finance - Student	X0533	Athletic Subsidy Student Fees	1,987,361	0	0	0	0	0.0%
Finance - Student	X0569	Student Tuit Collection Fees	1,108	204	0	0	0	0.0%
Central - Finance Total			27,309,863	39,742,912	21,552,051	29,515,935	7,963,884	37.0%

Support Unit Summaries

2019 Operating Budget



Central University – Human Resources

Central University Human Resources comprises health insurance, tuition remission, retirement management, and other central university fringe benefits not already budgeted in the units. Many positions and activities were transferred from Central – Human Resources to the primary Human Resources unit. Outside of that reorganization, the primary remaining item here is the pharmacy rebate back to the health plan, reflected as a credit in the Health Insurance program.

Central University – Human Resources General Fund Expenditure Detail

Unit Department	Program	Program Description	FY 2016 Actuals	FY 2017 Actuals	FY 2018 Budget	FY 2019 Budget	FY 2018 to 19 Change	
Central - HR								
HR - Benefits	01058	Health Insurance	0	0	8,299	0	(8,299)	-100.0%
HR - Benefits	17006	Health Insurance	0	0	95,082	0	(95,082)	-100.0%
HR - Benefits	X0271	Tuition Remission-Fac/Staff	1,416,532	334,132	333,970	0	(333,970)	-100.0%
HR - Benefits	X0272	Employee Direct Bill (Grants)	142,032	240,580	119,384	0	(119,384)	-100.0%
HR - Benefits	X0525	Unemployment Comp. (Grants)	(3,116)	14	0	0	0	0.0%
HR - Benefits	X0529	Flex Spending	0	82,000	82,000	0	(82,000)	-100.0%
HR - Benefits	X0551	Health Insurance	12,184	130,284	(95,787)	(614,374)	(518,587)	541.4%
HR - Benefits	X0572	Health Insurance PT Fac/staff	0	13,400	13,400	0	(13,400)	-100.0%
HR - Operational Expense	X0532	Faculty/Staff Directory	13,369	18,000	18,000	18,000	0	0.0%
HR - Operational Expense	X0831	Staff Recognition Gifts	52,773	63,955	41,000	41,000	0	0.0%
Central - HR Total			1,633,774	882,364	615,348	(555,374)	(1,170,722)	-190.3%



BUDGET ANALYSIS

UNIVERSITY of LOUISVILLE

BUDGET TREND DATA

CREDIT HOUR PRODUCTION FROM MAJORING STUDENTS TREND

Academic Unit	Academic Year					Change AY 13-17	
	2013	2014	2015	2016	2017	Amount	Percent
College of Arts & Sciences	232,869	234,905	219,913	207,032	200,997	(31,872)	-13.7%
College of Business	50,814	43,512	53,399	61,194	62,835	12,021	23.7%
School of Dentistry	27,533	29,088	30,360	31,140	29,562	2,029	7.4%
College of Education & Human Dev.	74,007	75,903	75,116	75,361	80,562	6,555	8.9%
School of Interdiscip. & Grad. Studies	317	220	268	386	450	133	42.0%
Kent School of Social Work	12,736	14,215	15,654	16,271	16,376	3,640	28.6%
Brandeis School of Law	11,349	11,145	10,182	9,532	8,989	(2,360)	-20.8%
School of Medicine	31,624	34,798	33,578	33,286	32,332	708	2.2%
School of Music	9,547	9,128	9,175	9,881	9,944	397	4.2%
School of Nursing	31,016	33,482	33,023	31,774	32,704	1,688	5.4%
School of Public Health & Info. Sci.	2,627	2,978	4,599	6,252	7,722	5,095	193.9%
J.B. Speed School of Engineering	57,515	62,199	67,495	69,001	71,334	13,819	24.0%
Total Credit Hours	541,954	551,573	552,762	551,110	553,807	11,853	2.2%

Source: UofL Institutional Research

Budget Trends

2019 Operating Budget



EXPENDITURE BUDGET HISTORY BY UNIT, GENERAL FUNDS FY 2015 THROUGH FY 2019

(dollars in thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	5 Year % Change
Academic Units						
Arts and Sciences	\$62,186	\$62,404	\$63,778	\$65,014	\$63,475	2.1%
Business	22,615	22,804	23,161	23,102	23,183	2.5%
Education	20,226	21,327	22,477	20,384	20,462	1.2%
Graduate School	13,191	13,126	13,611	11,689	12,229	-7.3%
Kent School	5,324	5,748	6,369	6,646	7,300	37.1%
Law	9,716	9,730	9,860	10,484	10,817	11.3%
Libraries	16,158	16,166	16,476	16,861	16,704	3.4%
Music	7,168	6,769	6,935	7,487	7,536	5.1%
Speed School	23,439	23,872	25,025	26,880	26,339	12.4%
Total Academic Units	180,025	181,946	187,692	188,547	188,046	4.5%
Health Sciences						
Dentistry	18,536	18,996	25,952	27,463	23,870	28.8%
EVP Health Affairs	11,402	12,735	36,156	11,184	11,969	5.0%
Medicine	53,837	53,101	51,545	43,459	39,887	-25.9%
Nursing	6,233	6,357	6,429	7,186	7,049	13.1%
Public Health	5,060	5,222	5,182	4,662	4,041	-20.1%
Total Health Sciences	95,068	96,411	125,264	93,955	86,817	-8.7%

Expenditure Budget History by Unit, General Funds FY 2015 through FY 2019 (cont.)

(dollars in thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	5 Year % Change
Support Units						
Athletics	1,788	1,905	1,905	1,906	2,141	19.7%
Audit Services	0	0	0	1,171	1,358	N/A
Office of the CFO	41,185	11,428	0	3,252	3,239	-92.1%
Office of the COO	76,935	56,929	62,817	53,542	53,836	-30.0%
EVP Research & Innov.	11,316	11,117	11,153	11,046	10,880	-3.9%
EVP Univ. Provost	65,981	66,113	70,461	70,013	75,261	14.1%
Human Resources	3,118	3,450	0	2,384	2,662	-14.6%
President	5,243	6,265	6,446	8,439	8,400	60.2%
VP Community Engage.	801	824	836	838	803	0.3%
VP Student Affairs	16,245	15,357	14,690	23,861	14,987	-7.7%
VP Univ. Advancement	210	226	299	132	1,088	419.3%
Total Support Units	222,823	173,615	168,605	176,583	174,655	-21.6%
Central University						
Business Activities	0	24,490	22,837	23,607	24,939	N/A
Finance	0	34,147	43,171	21,552	29,559	N/A
Human Resources	0	628	769	615	(555)	N/A
Total Central University	0	59,265	66,778	45,774	53,942	N/A
Total General Funds	\$497,915	\$511,238	\$548,339	\$504,859	\$503,460	1.1%

Source: Budget and Financial Planning

Budget Trends

2019 Operating Budget

TEN-YEAR SALARY INCREASE TREND BY PERSONNEL CATEGORY

Fiscal Year	Amount of Salary Increase ¹	Percent of Salary Increase	Salary Increase Policy				
			Faculty	Instructional Lump Sum	Administrators	Professional and Administrative	Classified
2019	0	0.0%	0%	0%	0%	0%	0%
2018	0	0.0%	0%	0%	0%	0%	0% ²
2017 ³	3,674,000	2.0%	Avg. 2%	2.0% ATB	0%	0% - 2%	0% - 2%
2016 ⁴	6,720,616	3.0%	0% - 6%	3.0% ATB	0% - 3%	0% - 3%	0% - 3%
2015 ⁵	4,059,575	2.0%	0% - 4%	2.0% ATB	0% - 4%	\$600 or 2%	\$600 or 2%
2014	7,964,254	4.0%	0% - 6%	4.0% ATB	0% - 6%	\$1,200 or 4%	\$1,200 or 4%
2013	4,180,534	0.0% ⁶	\$1,200	0%	\$1,200	\$1,200	\$1,200
2012	6,252,345	3.0%	0% - 5%	0.03	0% - 5%	\$1,200 or 3%	\$1,200 or 3%
2011	3,785,361	0.0% ⁷	\$1,200	0%	0%	\$1,200	\$1,200
2010	2,379,367	1.2% ⁸	\$0	0%	0%	0%	0%

Notes:

- Salary increase amounts do not include fringe benefits.
- Eligible classified personnel received a salary increase due to the living wage rate increase.
- Salary increase amount in this year does not include permanent "catch-up" adjustments received by selected faculty and staff. Administrators earning greater than \$150,000 are excluded from the salary increase.
- Salary increases for some P&A and Classified staff may have slightly exceeded 3% due to a Staff Senate recommendation about how the merit increase should be distributed.
- Salary increase amount in this year does not include permanent "catch-up" adjustments received by selected faculty.
- \$1,200 to each full time employee with satisfactory performance. President, Provost, Deans and Vice Presidents are excluded.
- \$1,200 to each full time employee with satisfactory performance. Administrators excluded.
- \$700 to each full time employee with satisfactory performance became CAR this year.

Avg' = Average; "ATB" = Across the Board

Source: Budget and Financial Planning



UofL CORPORATIONS

UNIVERSITY of LOUISVILLE



NON-GENERAL FUND REVENUES AND EXPENDITURES

This section summarizes information about the university's non-general fund operations. These include gifts and endowments, athletics, clinical programs, and sponsored research. Table 16 shows the budget amounts for non-general funds by corporation. The governing board for each corporation approves the respective budget for each of these entities at their board meetings. The numbers reported here are preliminary and may change until approved at their board meetings.

Table 16: Non-general Fund Revenues and Expenditures by Corporation

(dollars in millions)

Budget by Corporation	FY 2018	FY 2019	FY 18-19 Change	
	Budget	Budget	Amount	Percent
University of Louisville Research Foundation, Inc.	\$539.0	\$538.6	(\$0.5)	-0.1%
University of Louisville Athletic Association, Inc.	\$104.5	\$107.7	\$3.2	3.1%
University of Louisville Foundation, Inc.	\$82.4	\$65.1	(\$17.3)	-21.0%
Total UofL Corporations' Budgets	\$726.0	\$711.4	(\$14.6)	-2.0%

Source: UofL Budget Office, ULRF, ULF, and ULAA

UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION, INC.

The University of Louisville Research Foundation (ULRF) is a non-profit corporation affiliated with the University of Louisville. The Research Foundation was established in 1989 for the purpose of promoting and supporting research projects, investigations, clinical operations, and other activities relating to the educational, scientific, literary, health care and public service missions of the university.

Table 17 shows ULRF budgeted revenues and expenditures for FY 2018 and FY 2019. For FY 2019, the ULRF budget totals \$538.6 million, relatively flat over the prior year.

Table 17: UofL Research Foundation, Inc. Budget
(dollars in millions)

Revenues	FY 2018	FY 2019	FY 2018-19 Change	
	Budget	Budget	Amount	Percent
Clinical Operations	\$318.5	\$337.1	\$18.5	5.8%
Sponsored Agreements - Direct	\$104.8	\$105.0	\$0.2	0.1%
Sponsored Agreements - F&A	\$24.0	\$24.5	\$0.5	2.1%
Hospital Affiliations	\$30.5	\$28.6	(\$1.9)	-6.3%
Financial Aid	\$61.2	\$43.4	(\$17.8)	-29.0%
Total Revenue	\$539.0	\$538.6	(\$0.5)	-0.1%
Expenditures				
Salaries	\$231.8	\$243.6	\$11.7	5.1%
Fringe Benefits	\$53.0	\$54.1	\$1.1	2.0%
Operating Expense	\$254.2	\$240.9	(\$13.3)	-5.2%
Total Expenditures	\$539.0	\$538.6	(\$0.5)	-0.1%

Source: UofL Budget Office, ULRF

ULRF has two primary sources of revenues: 1) clinical operations, and 2) grants and contracts and their associated facilities and administrative (F&A) cost recoveries. In addition, a component which was included in general funds in previous years, hospital related revenues such as Kentucky One Health are now included in the ULRF budget.

Clinical Operations

Clinical services revenues total \$337.1 million, or 63 percent, of the Research Foundation's total budget for FY 2019. Clinical services revenues come from patient care and laboratories including primary care, radiology, oncology, neonatal care, and cardiology, among a host of others. Clinical services revenues also include financial support from affiliated hospitals for clinical services, academic programs, and house staff (interns and residents).

The School of Medicine accounts for about 91 percent of all clinical operations' revenues at the University of Louisville. Within the School of Medicine, approximately 50 percent of FY 2019 budgeted clinical revenues come from hospital support for graduate medical education of residents and interns, as well as for radiology, neonatology, and pediatric programs.

Clinical services revenues for the School of Dentistry primarily come from the Dental Clinic and graduate residency programs.

All budgeted clinical services revenues for the School of Nursing come from its nursing practice program.

Clinical revenue within the Executive Vice President for Health Affairs (EVPHA) office is derived from the Upper Payment Limit (UPL) received from Medicaid. These funds are invested in a variety of areas within the HSC Schools, Centers, and Institutes with the majority being reinvested into the School of Medicine.

Sponsored Agreements – Direct

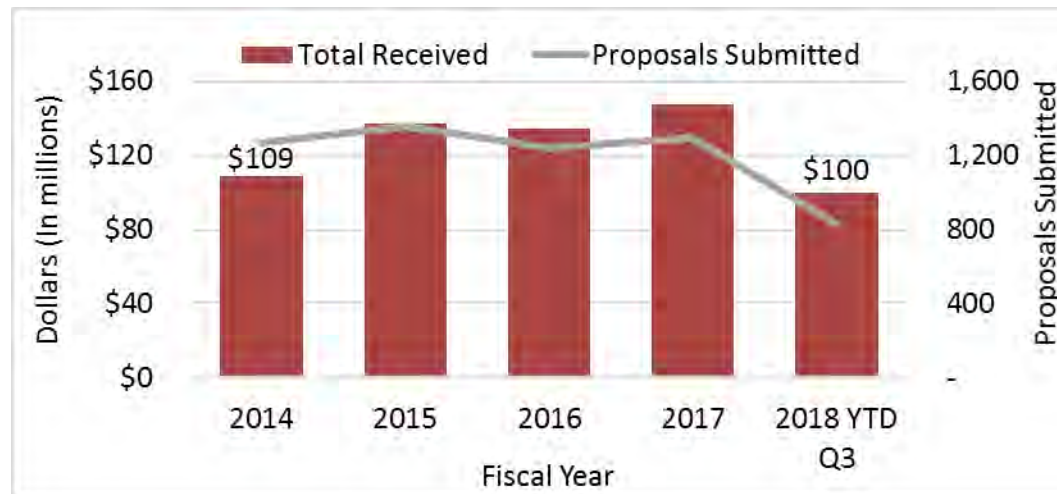
Sponsored agreements (which are also referred to as grants and contracts) revenues come from awards by government, for-profit and non-profit entities to UofL faculty and researchers. Grants and contracts are the second largest component of the ULRF budget, totaling \$105 million in FY 2019.

There are three types of sponsored agreement activities at the university:

- Grants: provide financial assistance for basic research, training or community service projects;
- Contracts: provide financial support for a specific task and are usually initiated by a sponsor; and
- Other Awards: include cooperative agreements, purchase orders and sub-agreements.

Figure H shows sponsored program awards and grant proposal submissions at the University of Louisville for fiscal years 2014 through the third quarter of FY 2018. Typically, there is a lag between proposals and awards. That is, a proposal may be presented in Year 1, but the award might not be received until the subsequent year(s). Though not all proposals are funded, there is a positive correlation between the number of proposals submitted and award dollars.

Figure H: Sponsored Research Awards Received by UofL, FY 2014 through FY 2018 Third Quarter



Source: UofL Research Foundation, Inc.

Source of Funds

Figure I shows sponsored research awards to the University of Louisville by sponsor type through the third quarter of FY 2018. Federal direct and federal flowthrough funding accounted for \$72 million of funding. Clinical trials awards were the next largest at \$9 million. While federal direct are granted to UofL directly from a government agency, such as NIH or NSF, federal flowthrough occurs when, for example, these agencies award grant money to another institution such as a medical center or university and that institution uses federal grant money to pay the University of Louisville for services.

Figure I: Sponsored Research Funds Received by UofL by Sponsor Type in FY 2018 through Third Quarter



Source: UofL Research Foundation, Inc.

Table 18 breaks out federal sponsored research awards to the University of Louisville. Funding from federal sources has been steadily growing since FY 2014, with funding from the National Institutes of Health being the largest and most consistent source of federal awards over this period, averaging about 74 percent of all federal dollars. Funding from the National Science Foundation and from the Health Resources and Services Administration—which awards grants to institutions to improve and expand health care services for underserved people—are the other primary sources of federal awards to UofL.

Table 18: Federal Direct Award Dollars by Agency FY 2014 through FY 2018 Third Quarter

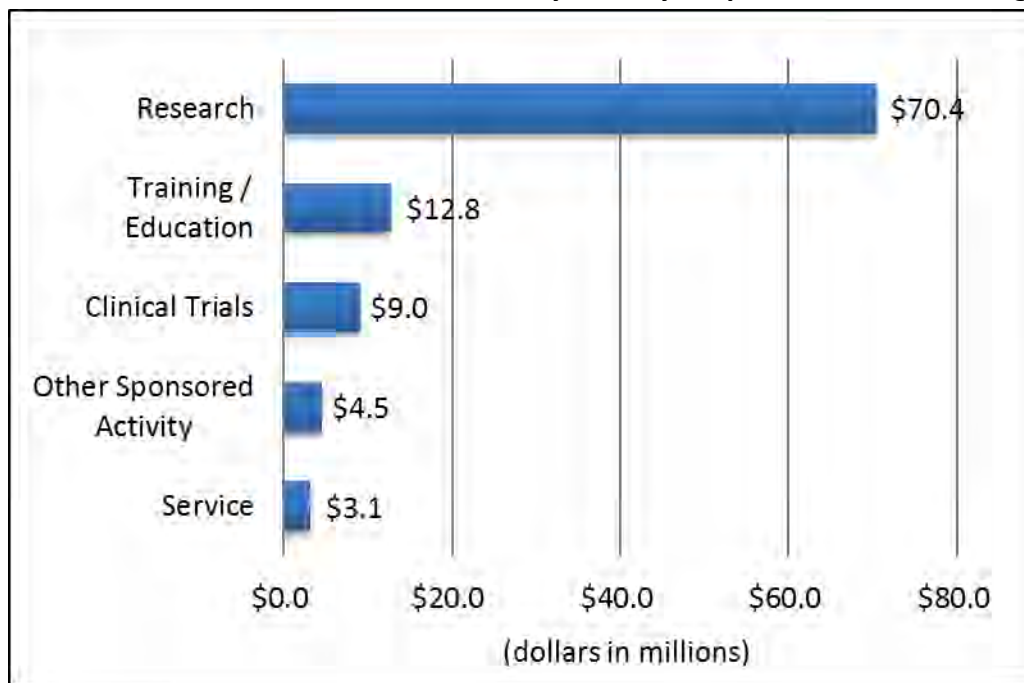
Federal Agency	2014	2015	2016	2017	2018 YTD Q3
National Institutes of Health	\$42,618,870	\$48,553,178	\$59,265,186	\$58,325,491	\$39,177,072
National Science Foundation	1,291,941	3,057,008	6,414,223	5,653,385	4,975,767
Health Resources and Services Administration	3,779,020	3,164,354	3,526,673	3,149,276	3,263,920
Department of Health and Human Services	2,496,030	1,947,436	2,430,078	1,974,156	2,189,213
Department of Education	2,472,498	2,604,710	2,495,615	2,245,841	1,878,712
Department of Defense	1,213,632	4,595,883	2,668,396	1,874,510	1,208,479
Centers for Disease Control	605,097	275,148	1,302,631	1,183,186	1,183,594
Other Federal	996,911	1,154,332	1,043,727	1,977,359	1,055,077
Environmental Protection Agency	691,702	255,912	125,494	481,572	589,464
NASA	-	444,555	300,000	90,430	147,247
National Institute of Justice	-	377,187	283,984	652,299	-
Total	\$56,165,701	\$66,429,703	\$79,856,007	\$77,607,505	\$55,668,545

Source: UofL Research Foundation, Inc.

Use of Funds

Figure J shows sponsored program award dollars to the University of Louisville by purpose for FY 2018 through the third quarter. The vast majority, 67 percent, was used to fund research activities. Approximately 10 percent helped fund training and education activities. These training funds typically come from the National Research Service Award (NRSA), a function of the National Institutes of Health, and are designed to train individuals to conduct research and prepare for research careers. Other sponsored activity includes analytical studies and surveys, treatment analysis, and program implementation – specifically state mandated programs. Clinical trials are chiefly pharmaceutical testing and new therapy testing. Service activities include community outreach programs as well as public health screenings.

Figure J: Sponsored Research Award Dollars Received by UofL by Purpose in FY 2018 through Third Quarter



Source: UofL Research Foundation, Inc.

Sponsored Agreements – Facilities and Administrative Cost Recoveries (F&A)

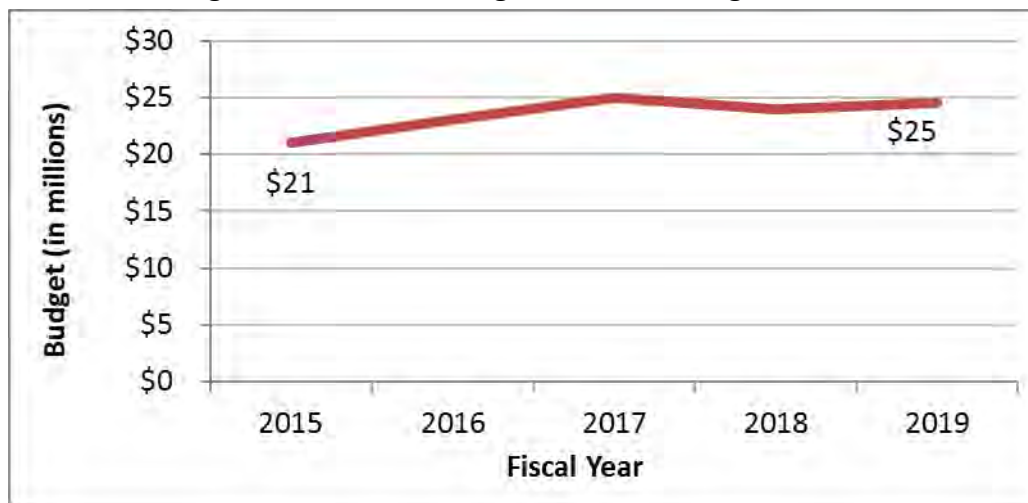
All federally funded grants and contracts allow for the recovery of central administrative and infrastructure costs associated with supporting faculty, researchers, and staff funded by the grants and contracts. The Facilities and Administrative cost recovery rate, or F&A rate, is currently 54 percent in FY 2019.

A portion of F&A revenues fund the following research support activities:

- Research Infrastructure Funds (RIF) – Investments in infrastructure that support efforts to secure and maintain extramurally funded research programs, including departmental and individual RIFs.
- University Scholars – This program, initiated by a dean, then reviewed by a full committee, and ultimately approved by the provost and president, is used to recruit and retain faculty who exhibit substantial credentials in research. These credentials are then reviewed in three years to determine if the requirements, such as published works and grants garnered, have been met.
- Debt Service – Debt service payments on university buildings that house research activities.

Figure K shows budgeted F&A cost recoveries from FY 2015 through FY 2019. When a sponsored program or grant is awarded, F&A costs are typically reimbursed as a percentage of the direct costs of the project. The current negotiated federal rate for F&A is 54 percent. Being relatively consistent to the prior two years, F&A revenues in FY 2019 are expected to increase by half a million.

Figure K: UofL F&A Budget FY 2015 through FY 2019



Source: UofL Research Foundation, Inc.

Hospital Affiliations

In November 2012, the university, Commonwealth of Kentucky, UMC, and KentuckyOne Health, Inc. revised the joint operating agreement. The arrangement gave KentuckyOne Health oversight of most day-to-day operations of University Hospital, but UMC retained ownership of its assets and continued to operate the Center for Women and Infants. Also under the plan, KentuckyOne Health was to invest \$114.5 million in key university clinical services and departments. Following a series of issues and discussions, all parties agreed to end the arrangement on June 30, 2017.

Although the agreement with KentuckyOne Health concluded at the end of FY 2017, the university will continue to utilize funds received in previous years over the next few fiscal years. These funds are designated for specific purposes and are budgeted for units on the Health Sciences Campus. The majority of these funds will be used for faculty salaries and startup packages.

Financial Aid

This includes federal student aid in the form of Pell Grants, as well as the Supplemental Educational Opportunity Grant (SEOG). In FY 2018, additional items related to instructional and teaching grants were also included, but for reporting purposes have been removed in FY 2019, accounting for most of the decrease of \$17.8 million. The decrease is also partially due to the expiration of the Federal Perkins Loan program, which provided low-interest loans to undergraduate, graduate, and professional college students with an exceptional financial need.

UNIVERSITY OF LOUISVILLE ATHLETIC ASSOCIATION, INC.

The University of Louisville Athletic Association (ULAA) is a Kentucky not-for-profit corporation organized in 1984 for the purpose of promoting intercollegiate athletic activities of the University of Louisville. ULAA's mission to provide quality intercollegiate athletic programs through a comprehensive sports program requires a financial commitment of both operating and capital resources.

For FY 2019, the total budget for ULAA is \$107.7 million. This is \$3.2 million, or 3.1 percent, higher than the prior year. Part of this change is additional funding for athletic scholarships. For FY 2019, an estimated \$16 million will be spent on student athlete financial aid. Table 19 summarizes ULAA's FY 2019 operating budget, which is presented in full to the ULAA Board.

Table 19: University of Louisville Athletic Association Budget
(dollars in millions)

Revenues	FY 2018	FY 2019	FY 2018-19 Change	
	Budget	Budget	Amount	Percent
Football Program	\$39.7	\$43.6	\$3.9	9.9%
Papa John's Cardinal Stadium	\$0.5	\$0.5	\$0.0	4.8%
Basketball	\$29.4	\$25.6	(\$3.8)	-13.0%
Annual Seat Donations	\$16.9	\$15.4	(\$1.6)	-9.2%
NCAA/Conference Distribution	\$1.1	\$1.4	\$0.3	27.3%
Marketing	\$10.6	\$16.7	\$6.1	57.5%
Earnings from UL Foundation	\$0.5	\$0.0	(\$0.5)	-100.0%
Gender Equity Commitment	\$1.3	\$0.9	(\$0.4)	-28.8%
Other	\$4.5	\$3.6	(\$0.9)	-19.9%
Total Revenue	\$104.5	\$107.7	\$3.2	3.1%
Expenditures				
Salaries	\$36.4	\$36.4	\$0.0	0.1%
Fringe Benefits	\$7.4	\$8.3	\$0.9	12.3%
Operating Expense	\$60.7	\$63.0	\$2.3	3.7%
Total Expenditures	\$104.5	\$107.7	\$3.2	3.1%

Source: University of Louisville Athletic Association

ULAA Revenues

- Football ticket sales are budgeted using actual FY 2018 sales figures and a small increase for new seats in the expansion.
- Basketball ticket sales are budgeted at a slight reduction to actual FY 2018 sales figures.
- Annual donation revenue is projected to decrease due to the new Federal Tax Cuts and Jobs Act.
- Marketing revenue is increasing \$6 million in FY 2019 as a result of the new Adidas contract.

ULAA Expenses

- Financial aid increased 3.27 percent which includes a 4 percent increase in tuition and additional funds for student managers and summer aid.
- Fringe benefits increase for the new Federal excise tax on high wage earners as part of the Federal Tax Cuts and Jobs Act.
- Arena related expenses are increasing \$2.03 million due to the new arena fee included in the amended agreement.
- Contingency is increasing \$4.5 million due to:
 - \$3.5 million for undesignated contingency.
 - \$400,000 for capital maintenance. The \$1.1 million budget for capital maintenance within the contingency fund is 1% of the total ULAA budget.
- Debt service and administrative savings of \$3.6 million.

UNIVERSITY OF LOUISVILLE FOUNDATION, INC.

The University of Louisville Foundation, Inc. (Foundation), an independent non-profit 501(c)(3) corporation, was established in 1970 to support the university's mission. The Foundation invests and manages gifts and endowments for the benefit of the university. Table 20 shows the University's projected support from the Foundation for FY 2018 and FY 2019.

Budgeted revenues and expenses show as a decrease by \$17.3 million year-over-year, primarily due to a change in budgeting methodologies. That is, whereas FY 2018 included all projected carryover gift money available, FY 2019 only takes into account the expected gift spending of the University. With regards to Other Foundation Funds, FY 2018 included activities within ULF – such as Foundation Entities (e.g. Nucleus Real Property, Inc.) and the Cardinal Golf Club – which are no longer reported in this subset of the Foundation budget. The FY 2019 budget shown below now reflects only the anticipated support to the University from the Foundation, as represented within the Foundation's approved budget.

Table 20: University of Louisville Foundation Budget
(dollars in millions)

	FY 2018	FY 2019	FY 2018-19 Change	
	Budget	Budget	Amount	Percent
Revenues				
Gift Funds	\$37.7	\$26.7	(\$11.0)	-29.1%
Restricted Endowment Funds	\$23.4	\$25.8	\$2.4	10.1%
Other Foundation Funds	\$21.4	\$12.6	(\$8.7)	-40.9%
Total Revenue	\$82.4	\$65.1	(\$17.3)	-21.0%
Expenditures				
Salaries	\$35.0	\$26.2	(\$8.8)	-25.2%
Fringe Benefits	\$8.9	\$6.2	(\$2.7)	-30.3%
Operating Expenses	\$38.6	\$32.7	(\$5.8)	-15.1%
Total Expenditures	\$82.4	\$65.1	(\$17.3)	-21.0%

Source: ULF, Inc.

The Office for Foundation Financial Affairs is responsible for all financial and budgeting operations of the University of Louisville Foundation, Inc. and its corporate affiliates. The office invests and manages funds to support the university's education, research and service goals. The funds are used for scholarships, endowments, research chairs, grants and other academic initiatives.

Prudent investing by the Office for Foundation Financial Affairs allows the university to:

- Retain a leadership position in research, prevention, and treatment by ensuring that the university's medical professionals receive world-class training and support.
- Produce some of the nation's most prestigious scholars by providing financial support through scholarship programs that serve both financial and enrichment purposes, as well as various academic, athletic, and career pursuits during a time when public financial support for higher education has declined and education costs continue to increase.
- Be an economic engine for the City of Louisville, the Commonwealth of Kentucky, and the region by increasing the number of private sector partnerships, leveraging financial resources, and increasing research and commercialization income.

Gift Funds

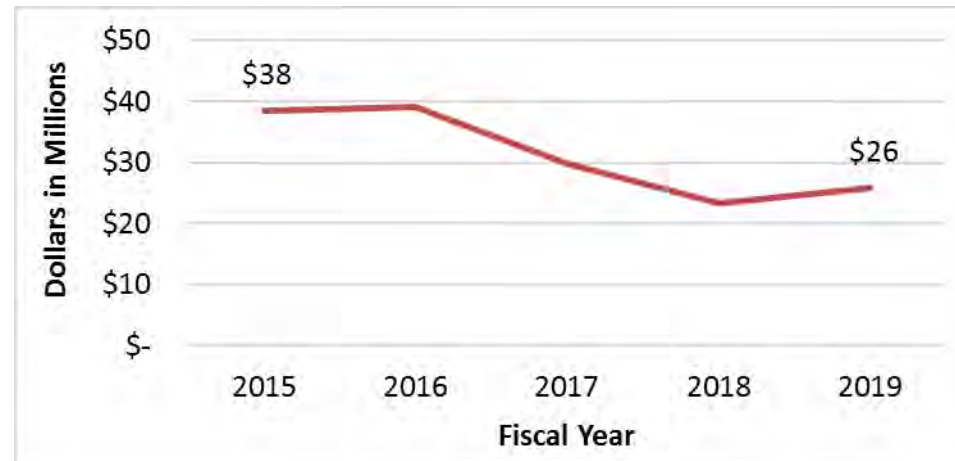
These are contributions for the benefit of the university that can be spent in their entirety. Pledges from donors, historical analysis of donation trends, and fundraisers' familiarity with the local community and alumni serve as the primary means for estimating future gifts. For FY 2019, current-use gifts are expected to total \$26.7 million. Most of these funds are designated to a specific school, but not otherwise restricted.

Restricted Endowment Funds

These are financial assets contributed for the benefit of the university by individuals or corporations with the expectation that they provide, in perpetuity, a regular source of funds to the university. Many donors who establish endowments place restrictions on how those funds can be spent. For example, some endowments may only be used on student scholarships. Other endowments may have few, if any, restrictions on their use.

“Spending policy” refers to the portion of an existing endowment that can be spent in a given fiscal year. Spending amounts are a function of investment rates of return for the endowment and the market value of the endowment’s principal. Figure L summarizes endowment spending policy amounts for FY 2015 through FY 2019 for the university.

Figure L: Endowment Spending Policy Amounts, by Fiscal Year



Source: ULF, Inc.

Other Foundation Funds pay fundraising expenses and other advancement activities, including academic program enhancement, margin of excellence initiatives, and Foundation administration.

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CAPITAL BUDGET

UNIVERSITY of LOUISVILLE



CAPITAL BUDGET SUMMARY

The Commonwealth of Kentucky requires all state government agencies to maintain a six-year Agency Capital Plan that is updated every two years. The first two years of the plan become the basis for the state biennial capital budget request to the Legislature. The six-year plan includes all contemplated new construction, renovations, research equipment, and information technology system purchases and leases, and property leases. In accordance with state statutes KRS 45.810 and 45.750, legislative authorization is required for the following types of projects regardless of funding source:

- Construction/renovation project of \$600,000 or more;
- Equipment purchase of \$200,000 or more;
- Information technology system cost of more than \$600,000; and
- Any lease more than \$200,000 per year.

Capital projects not authorized in the state's biennial budget may be authorized by the legislative Capital Projects and Bond Oversight Committee during the interim session.

In the 2016-2018 state biennial budget the university received agency bond authorization and issued \$55 million for the expansion of Papa John's Cardinal Stadium. The stadium expansion will enclose the north end of the field and add 10,000 chair back seats, 1,000 club seats, 65 premium loge level seating, 10 field level suites, and office space for football coaches and staff. When construction is complete, total stadium seating capacity will increase to 65,000. The project is estimated to be complete prior to the beginning of the 2018 football season.



Rendering of Expansion to Papa John's Cardinal Stadium

Two projects approved in the 2014-2016 biennial state budget were the construction on a new Belknap Campus classroom building and a renovated Student Activities Center (SAC), both of which will be completed by Fall 2018. The \$80 million classroom facility will be funded by the state and feature state-of-the-art classrooms and learning spaces focused on student success. Maintenance and operating expenses fall to UofL. The \$40 million SAC renovation will enhance the student experience on the Belknap Campus by providing improved dining options, university bookstore, and student-centered meeting and lounge areas.



*Rendering of
Renovated Student Activities Center*



*Rendering of
New Academic Building*

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- ¹ For first-time, full-time, baccalaureate degree-seeking students.
 - ² Ibid.
 - ³ UofL News. Cindy Hess. <http://uoflnews.com/releases/recent-uofl-graduate-wins-fulbright-to-brazil/>. Sep. 12, 2017.
 - ⁴ UofL. Office of Institutional Research. "Just the Facts".
 - ⁵ Ibid.
 - ⁶ UofL News. March 5, 2018.
 - ⁷ UofL News. March 5, 2018.
 - ⁸ UofL News. "UofL celebrates cybersecurity education grant." Nov. 14, 2017. <http://uoflnews.com/section/science-and-tech/uofl-celebrates-cybersecurity-education-grant/> (accessed: March 26, 2018)
 - ⁹ Ibid.
 - ¹⁰ Ibid.
 - ¹¹ UofL News. "UofL employees, alumni, kick off Homecoming 2017 with community service." Oct. 10, 2017. Bria Staten-Favors. <http://uoflnews.com/post/uofltoday/uofl-employees-alumni-kick-off-homecoming-2017-with-community-service/>
 - ¹² Kentucky Long-term Policy Research Center. "Education and the Common Good: Social Benefits of Higher Education in Kentucky." 2001. e-archives.ky.gov/pubs/LPRC/education_and_the_common_good(2001).pdf
 - ¹³ Center for Business and Economic Research. University of Kentucky. "Education Pays Everywhere!". October 2015. <http://cpe.ky.gov/data/reports/CBER-EducationPaysEverywhere.pdf> (accessed March 26, 2018).
 - ¹⁴ Pew Research Center. *The Rising Cost of Not Going to College*. Washington, D.C.: The Pew Research Center, 2014.
Robert Putnam. *Bowling Alone*. 2000.
Association of Governing Boards of Universities and Colleges. "The Business of Higher Education." 2017. https://www.agb.org/sites/default/files/report_2017_guardians_business_higher_ed_0.pdf
 - ¹⁵ National Student Clearinghouse Research Center. "Current Term Enrollment Estimates—Fall 2017." Dec. 19, 2017. <https://nscresearchcenter.org/current-term-enrollment-estimates-fall-2017/> (accessed March 26, 2018)
 - ¹⁶ American Academy of Arts & Sciences. "A Primer on the College Student Journey." Cambridge, MA. 2016. www.amacad.org/cfue
 - ¹⁷ Chronicle of Higher Education. "National College Enrollments Continue to Slide." December 16, 2016. <https://www.insidehighered.com/quicktakes/2016/12/19/national-college-enrollments-continue-slide>
 - ¹⁸ Center on Budget and Policy Priorities. "Funding Down, Tuition Up." August 16, 2016. <http://www.cbpp.org/research/state-budget-and-tax/funding-down-tuition-up>
 - ¹⁹ State Higher Education Executive Officers Association. "State Fiscal Support for Higher Education, by State, Fiscal Years 2011-12, 2014-15, 2015-16, and 2016-17." 2017. <http://education.illinoisstate.edu/grapevine/tables/>
 - ²⁰ National Conference of State Legislatures. "Performance-based Funding for Higher Education." July 31, 2015. <http://www.ncsl.org/research/education/performance-funding.aspx>

- ²¹ National Conference of State Legislatures. "Performance-based Funding for Higher Education." July 31, 2015. <http://www.ncsl.org/research/education/performance-funding.aspx>
- ²² National Conference of State Legislatures. "Performance-based Funding for Higher Education." July 31, 2015. <http://www.ncsl.org/research/education/performance-funding.aspx>
- ²³ Mitchell, Josh. "Soaring Student Debt Prompts Calls for Relief; Real-Estate Agents, Farmers and Others from Outside the Education Sector Lobby for Measures to Reduce the Loan Burden." Wall Street Journal (Online) Sep. 14, 2016. ProQuest. Web. 19 Apr. 2017.
- ²⁴ The College Board. "Trends in Higher Education." Table 2A. https://trends.collegeboard.org/.../2015-trends-college-pricing-source-data-12_16_15.xls
- ²⁵ The Institute for College Access & Success. Project on Student Debt. State by State Data. <http://ticas.org/posd/map-state-data#>
- ²⁶ Kentucky Council on Postsecondary Education. Office of Research and Policy Analysis. "Student Loan Default and Repayment in Kentucky." Sep. 2016.
- ²⁷ U.S. Department of Education (n.d.). Three-Year official cohort default rates for schools. <http://www2.ed.gov/offices/OSFAP/defaultmanagement/index.html>
- ²⁸ Kentucky Council on Postsecondary Education. "Total degrees and credentials: by public institution and level (2007-17)." <http://cpe.ky.gov/data/reports/TotalDegreesAwardedByLevel200717Public.pdf> (accessed March 26, 2018).