



OPERATING BUDGET

2015 | 2016





FY 2015-16
OPERATING BUDGET

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TRANSMITTAL LETTER

To the Board of Trustees:

We are pleased to submit our recommendation for the University of Louisville's Fiscal Year (FY) 2015-2016 Operating Budget. The budget is balanced. For only the second time in the past six fiscal years, the University will not have to contend with a state budget cut. Unlike many other states, however, Kentucky has yet to reinvest in public higher education since the end of the 2007-08 recession, a lack of investment that continues to challenge our ability to maintain our upward trajectory.

To prudently manage University's resources, the University employs seven strategies to ensure financial stability and future growth at UofL. They are:

1. Re-engineering processes and expense management, or "Pick low hanging fruit"
2. Taking "underperforming assets and making them perform"
3. Increasing value from our research and Intellectual Property
4. Create partnerships with the private sector
5. Generate clinical income to support teaching and academics
6. Expanding research mission through creative tools (i.e. TIF districts)
7. Enhancing fund raising


The FY 2015-16 budget increases most tuition rates by 3%, including undergraduate resident and non-resident. Overall, tuition rates at UofL continue to compare favorably to the University's benchmark and competitor institutions. The budget also provides a 3% salary increase pool that, in only a limited way, allows the University to retain and attract the best and brightest faculty and staff.

This budget helps support our continued drive toward further outstanding achievements. Over the past 16 fiscal years, graduation rates have increased by more than 60%, average freshman ACT scores have risen 4 points, there are 121 new endowed chairs, more than 1,450 new patent applications have been filed, and the endowment has grown by more than \$500 million.

The University also continues to move forward with its "21st Century Initiative". Following the state mandate that UofL become a "premier metropolitan university", the 21st Century Initiative seeks to establish the University's academic and research priorities and to identify structures and a culture that will support this work.

While challenges remain, we are committed to doing all we can to keep the University on a trajectory of continued improvement and success. We are clearly a University that has achieved great things and will remain focused on being a “University of the 21st Century”.

We are the University of Louisville.

A handwritten signature in black ink, reading "James R. Ramsey". The signature is fluid and cursive, with a long horizontal stroke extending to the right.

James R. Ramsey, Ph.D.
President











INTRODUCTION


The University of Louisville is a state supported metropolitan research university located in Kentucky's largest city. The University has three campuses. The Belknap Campus, located near Old Louisville, houses eight of the University's twelve colleges and schools. Located in downtown Louisville, the Health Sciences Campus is home to the University's health related programs, including the School of Medicine, School of Dentistry, School of Nursing, and the School of Public Health and Information Sciences. The Shelby Campus is located in eastern Jefferson County and is home to the University's online and continuing education offices.

The University offers undergraduate, graduate, and professional degrees in more than 200 programs. Full-time equivalent enrollment in Fall 2014 was 18,779. There were 6,863 faculty and staff, excluding instruction and research assistants, in 2014.

Table 1 illustrates a few of UofL's extraordinary achievements since FY 1998.

Table 1: Extraordinary Achievements

	Freshman ACT score (avg.)	21.4	23.2	25.3*
	6-year graduation rate	30.1%	33.0%	53.6%*
	Baccalaureate degrees awarded	1,734	1,849	2,821
	Doctoral degrees awarded	76	90	162
	Startup companies (cumulative)	1	6	77
	Patents (Cumulative) applications filed	151	259	1,629
	Research expenditures	\$39.1M	\$80.9M	\$182.4M*
	Endowed chairs & professorships	35	87	156
	Endowment	\$317.0M	\$478.9M	\$842.6M¹
	IP income	\$90K	\$221.3K	\$8.4M



1998
reporting year

2002
reporting year

2014
reporting year

* 2014 data are preliminary and may be revised.
¹ Includes U.L. Trust and separately held endowments.

Source: UofL Office of Institutional Research

In addition to marking the first year in the highly regarded Atlantic Coast Conference, below is a list of some other notable events for the University of Louisville in 2014 and 2015:

- Creation of the John H. Schnatter Center for Free Enterprise, a new academic and research center in the College of Business
- A \$2.3 million grant from the National Institute on Aging to Dr. Barbara Polivka to study asthma
- A \$6.3 million gift for Jazz Studies in the School of Music, the School's largest gift ever
- A \$5 million gift from the Mark K. Oxley Foundation to the College of Education to boost teacher preparedness, retention and professional development
- A \$1.2 million federal grant to the College of Education to boost the number of teachers certified to teach individuals with disabilities
- Continued increases in the "living wage rate" to permanent University staff; it will rise to \$10.50 per hour on July 1, 2015
- Recognition by the Carnegie Foundation for the Advancement of Teaching for the University's commitment to community engagement

Several recently completed or pending construction projects will also have long-lasting impacts on the University community. In 2013, the University opened its state of the art Student Recreation Center. Funded by a student-approved fee, the 128,000 square foot facility is a centerpiece on the University's Belknap Campus. It is also the first University building to be heated and cooled with geothermal energy.

In 2014, the University opened the new 5,300 seat Dr. Mark and Cindy Lynn Soccer Stadium.

Planning has begun on construction of a new classroom building on the Belknap Campus. The \$80 million facility will be funded by the state. Also in 2015, infrastructure work leading to the University's new Engineering and Research Park next to the Speed School will be completed. The research park will house University projects related to the Digital Manufacturing and Design Innovation Institution, funded, in part, by the US Department of Defense.

Rendering of New Classroom Building



Rendering of Engineering and Research Park



BUDGET OVERVIEW

The University of Louisville's Fiscal Year (FY) 2016 Operating Budget is \$1,228,604,700. This is a modest 0.9% increase over the FY 2015 Operating Budget. Due to prudent financial stewardship, the FY 2015-16 budget does not increase employee or employer health insurance contributions, controls utility expenditures, and limits other fringe benefit expenditure increases.

The FY 2015-16 budget includes funding for important initiatives that will help the University continue its upward trajectory. These include:

- A 3% salary increase pool to help the University retain and attract high quality faculty and staff
- A \$1 million increase in central financial aid support
- \$750,000 in seed funding for initiatives related to the University's 21st Century Initiative
- \$500,000 to fund anticipated faculty promotions
- \$250,000 to fund anticipated staff promotions

Table 2 shows the University's total operating budget for FY 2015-16. The budget is divided into two fund groups: general and UofL Corporations. The general fund budget encompasses most general purpose activities of the University including all instructional and operational support expenditures. The primary general fund revenue sources are state appropriation and tuition.

Table 2: UofL Operating Budget FY 2015-16

	FY 2015	FY 2016	FY 15-16 Change	
	Budget	Budget	Amount	Percent
General Funds	\$497,915,300	\$511,237,700	\$13,322,400	2.7%
UofL Corporations	720,000,000	717,367,000	(2,633,000)	-0.4%
Total Operating Budget	\$1,217,915,300	\$1,228,604,700	\$10,689,400	0.9%

Source: UofL Office of Budget and Financial Planning

As shown in Table 2, the FY 2015-16 General Funds budget totals \$511.2 million, an increase of \$13.3 million from FY 2015.

The FY 2015-16 UofL Corporations budget is \$717 million. UofL Corporations include the University of Louisville Research Foundation, Inc., University of Louisville Foundation, Inc., University of Louisville Athletic Association, Inc., the University of Louisville Medical School Fund, Inc., and the Quality and Charity Care Trust (QCCT), Inc. The governing board for each corporation approves the respective budgets for each of these entities. These corporations are included in the University's Operating Budget because they provide critical revenue sources to support academic and research initiatives at the University.

Table 3 shows the primary fund sources for the University's Operating Budget and how they changed over time.

Table 3: Change in General Fund and UofL Corporation Budgets, FY 2001-02 and FY 2015-16

General Fund and Corporations <i>(in millions)</i>	Budget		Change	
	FY 2001-02	FY 2015-16	Amount	Percent
UofL Research Foundation, Inc.	\$124.3	\$462.2	\$337.9	271.8%
Tuition and Fees	86.5	300.4	213.9	247.3%
UofL Foundation, Inc.	39.7	154.7	115.0	289.7%
UofL Athletic Association, Inc.	31.1	92.5	61.4	197.4%
Other General Funds	81.7	77.6	(4.1)	(5.0%)
Net State Appropriation	148.9	141.2	(7.7)	(5.2%)
Total	\$512.2	\$1,228.6	\$716.4	139.9%

Source: Budget and Financial Planning

SEVEN STRATEGIES

To help manage the University's financial activities in these challenging times and help the University become a premier, nationally recognized metropolitan university, UofL has developed seven strategies. Following these strategies, for example, the University has fully leased space at its Shelby Campus, entered into a collaborative partnership with GE to provide rapid prototyping capabilities, and continues to develop new research areas such as using tobacco plants to prevent the transmission of HIV.

The seven strategies are:

1. Re-engineering processes and expense management, or "Pick low hanging fruit".

Table 4 shows UofL's Stewardship, Cost Reduction and Efficiency Efforts for FY 2002 through June 30, 2014.

Table 4: Stewardship, Cost Reduction and Efficiency Efforts FY 02-15

Stewardship, Cost Reduction and Efficiency Efforts	Total \$ recurring unless noted
Revenue Generation	\$47,351,350
Cost Reductions	\$43,595,487
Stewardship	\$27,694,413
Efficiency Efforts	\$11,388,529
TOTAL	\$130,029,779

2. Taking “underperforming assets and making them perform”.



Shelby Campus, UofL



Nucleus Atria Support Center

3. Increasing value from our research and Intellectual Property.



4. Create partnerships with the private sector.



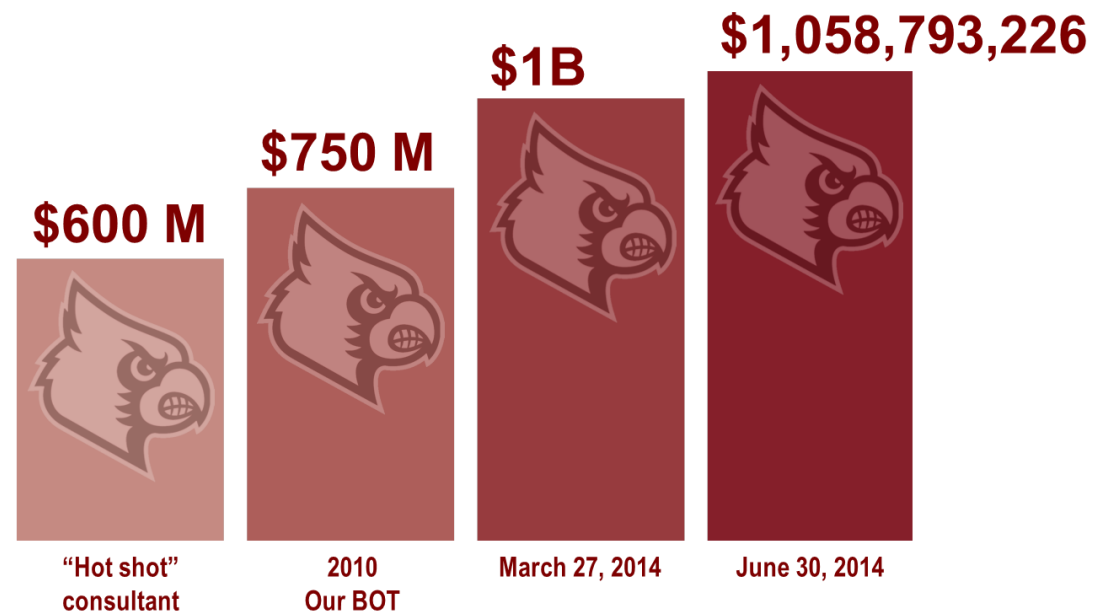
5. Generate clinical income to support teaching and academics.



6. Expanding research mission through creative tools (i.e. Tax Increment Financing (TIF) districts).



7. Enhancing fund raising.



The foundation for the Seven Strategies and the basis for the development of the University's FY 2015-16 Operating Budget is the University's strategic plan.

STRATEGIC AND FINANCIAL PLANNING

The University's current strategic plan is rooted in its goal to become a "premier metropolitan university" by 2020 as mandated by state law. In 2014, the University began work on a supplement to our strategic plan aimed at re-shaping and re-engineering the University. The "21st Century Initiative" is intended to help the University meet its goals and better serve students and the community.

BUDGET VALUES AND PRINCIPLES

Within this strategic vision, a set of budget values and principles guides the decision-making process in the budget. These values and principles focus on maintaining a transparent process, prioritizing competing initiatives, and positioning the University for success. Figure A lists these budget values and principles.

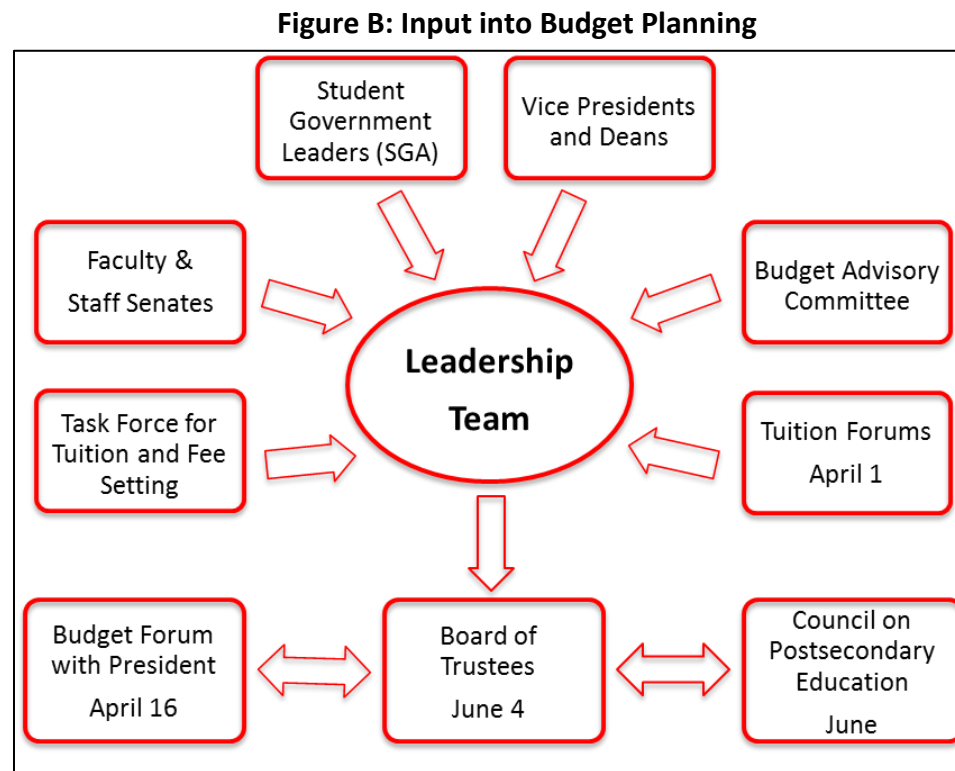
Figure A: Budget Values and Principles

Values	Principles
<ul style="list-style-type: none">• Open and transparent budget development process• Input from the campus community• Sound revenue and expenditure projections• Sound data analysis	<ul style="list-style-type: none">• Keep cost of attendance affordable for Kentucky students• Work collaboratively with University fiscal officers, deans, and vice presidents• Maintain accountability for timely and accurate budget development• Use the 2020 Plan ("University of the 21st Century") as a roadmap

Source: UofL Budget and Financial Planning

INPUT INTO BUDGET PLANNING

As noted in the values and principles above, input from the campus community is a tenet in the development of the University's budget. As a result, budget planning requires University-wide engagement. Throughout the budget development process, all campus constituencies were offered an opportunity to provide input and help prioritize needs. Figure B shows the constituency groups:



Source: UofL Budget and Financial Planning

GENERAL FUND REVENUE**REVENUE ASSUMPTIONS**

The University's FY 2015-16 Operating Budget is based on several revenue assumptions. In total, general fund revenues are expected to be \$13.3 million, or 2.7%, higher than in FY 2014-15. The notable revenues assumptions follow:

1. Tuition and Fees:

- A 3% increase in tuition rates will generate an additional \$6.9 million in tuition revenue
- \$1.3 million in additional online education revenues
- Projected \$1 million increase in tuition revenues for 2nd year of UofL/UK Joint Executive MBA program

2. State Appropriation:

- No change in net state appropriation (i.e., state appropriation *less* state funded debt service *less* funding tied to specific activities such as QCCT)
- State-funded debt service will increase by \$1.3 million

3. Other Revenues

- An additional \$2.5 million transfer from various UofL Corporations
- \$1 million reduction in one-time interest income
- Revenue projections for Auxiliaries, Service Centers, University Housing, and other program budgets are realistic estimates based on anticipated activities in FY 2015-16

General Fund Revenue Summary

2016 Operating Budget



The total General Fund revenue budget for FY 2015-16 is \$511.2 million. The largest source of general fund revenue is tuition and fees, which represents 58.8% of the total general fund revenue budget. State appropriation, including state funded debt service, is \$141.2 million, or 27.6% of the total.

Table 5 shows all major revenue categories in the University's General Fund:

Table 5: General Fund Revenues by Source

Description	FY 2015 Budget	FY 2016 Budget	Percent of Total	FY 15-16 Change
Tuition and Fees	\$288,183,300	\$300,381,821	58.8%	4.2%
State Appropriation and Other State Funds	139,896,900	141,236,300	27.6%	1.0%
Transfers from UofL Corporations	25,650,400	28,152,075	5.5%	9.8%
Hospital-Related Revenue	13,860,900	13,860,900	2.7%	0.0%
Other Non-Educational Revenue	13,740,600	11,113,700	2.2%	-19.1%
University Housing	7,486,900	6,844,213	1.3%	-8.6%
Auxiliary Enterprises	6,373,200	6,383,633	1.2%	0.2%
Organized Activities Related to Instruction	1,140,700	1,227,200	0.2%	7.6%
Service Centers	795,800	1,070,175	0.2%	34.5%
Sales and Services of Educational Activities	786,600	967,700	0.2%	23.0%
Total Projected General Fund Revenue	\$497,915,300	\$511,237,700	100.0%	2.7%

** Includes interest income and program budget adjustments*

Source: UofL Budget and Financial Planning

TUITION AND FEES

The Council on Postsecondary Education (CPE) is the state coordinating agency for the eight public universities in the Commonwealth of Kentucky. One of its responsibilities is to set guidelines for and then approve resident undergraduate tuition rates at all eight universities. Last spring, CPE established a two-year tuition rate increase ceiling of 8% for resident undergraduate students and limited the increase to no more than 5% in either year. The University of Louisville raised its resident undergraduate tuition rate by 5% in FY 2014-15. Under CPE's guidelines, the University's resident undergraduate tuition rate can increase by no more than 3% in FY 2015-16.

Tuition and Fees are budgeted at \$300.3 million for FY 2015-16. This is a 4.2% increase from last year. Of this increase:

- \$6.9 million is attributable to the 3% increase in tuition rates
- \$1.3 million in this budget for increasing demand for online courses
- Approximately \$1 million in other educational programs, such as the UofL/UK Joint Executive MBA

General Fund Revenue Summary

2016 Operating Budget



Table 6 shows the proposed Academic Year (AY) 2015-16 tuition rates for undergraduate, graduate, and professional programs.

Table 6: UofL Academic Year (AY) 2015-16 Regular Tuition Schedule per Semester

University of Louisville Proposed Regular Tuition Schedule				
	AY 2014-15	AY 2015-16	Change	
			Amount	Percent
Undergraduate	<u>Semester Rates</u>			
Resident	5,118	5,271	153	3.0%
Nonresident	12,062	12,424	362	3.0%
Active Duty Military*	250	250	0	0.0%
Graduate				
Resident	5,663	5,832	169	3.0%
Nonresident	11,784	12,137	353	3.0%
Active Duty Military*	250	250	0	0.0%
Law (Full-time Program)				
Resident	9,753	10,046	293	3.0%
Nonresident	18,171	18,716	545	3.0%
Medicine	<u>Annual Rates</u>			
Resident	35,402	36,464	1,062	3.0%
Nonresident	53,812	55,426	1,614	3.0%
Dentistry				
Resident	29,972	30,870	898	3.0%
Nonresident	62,474	64,348	1,874	3.0%
<i>* per credit hour</i>				

Source: UofL Budget and Financial Planning

Table 7 shows the proposed changes to Special Tuition rates for AY 2015-16 for those programs with tuition increases. The course fee for Intensive English as a Second Language course increases by \$500. Online education tuition rates for most degree types increase by 3%.

Table 7: UofL Academic Year (AY) 2015-16 Special Tuition Schedule per Semester

University of Louisville Proposed Special Tuition Schedule				
	AY 2014-15	AY 2015-16	Change Amount Percent	
<u>Semester Rates</u>				
Intensive English as a Second Language (IESL)				
Full-time Day Program	4,590	5,090	500	10.9%
Urban Planning and Public Admin. Programs				
Resident	6,563	6,732	169	2.6%
Nonresident	12,684	13,037	353	2.8%
Online Education (per credit hour)				
Undergraduate	483	497	14	3.0%
Graduate	693	714	21	3.0%
Graduate - Urban Planning & Public Admin	830	855	25	3.0%
Law, full-time	1,066	1,098	32	3.0%
Law, part-time	887	914	27	3.0%
Active Duty Military*	250	250	0	0.0%
<u>Fixed Price, Multi-Year</u>				
Professional MBA Cohort Program				
Resident	32,000	32,000	0	0.0%
Nonresident	32,000	32,000	0	0.0%
Full-time MBA Cohort Program				
Resident	32,000	32,000	0	0.0%
Nonresident	32,000	32,000	0	0.0%

General Fund Revenue Summary

2016 Operating Budget

(Table 7: Continued)

University of Louisville Proposed Special Tuition Schedule				
	AY 2014-15	AY 2015-16	Change	
			Amount	Percent
IMBA Cohort Program				
Resident	32,000	32,000	0	0.0%
Nonresident	32,000	32,000	0	0.0%
Masters in Accountancy Program				
Resident	21,000	21,000	0	0.0%
Nonresident	21,000	21,000	0	0.0%
Ed.D. Practitioner				
Resident	16,200	16,200	0	0.0%
Nonresident	16,200	16,200	0	0.0%
Certificate Program in Accountancy				
Resident	12,900	12,900	0	0.0%
Nonresident	12,900	12,900	0	0.0%
M.S. in Human Resources and Organization Development				
Resident	16,500	16,500	0	0.0%
Nonresident	16,500	16,500	0	0.0%
Active Duty Military (per credit hour)	250	250	0	0.0%

* Excludes Master's of Engineering in Engineering Management Online fixed price program

New or Modified Approved Tuition and Fees

Each year, academic and support units propose new fees, or request to modify existing fees. The Task Force on Tuition and Fee Setting—a committee appointed by the Provost—reviews these requests and recommends a course of action to UofL. Table 8 identifies the fee changes that were approved for Fall 2015.

Table 8: Tuition and Fees Approved for AY 2015-16

Unit	Amount	Description
A&S - Intensive English Program	\$500	Offset higher costs with operations
A&S - Physics and Astronomy	\$50	Fee to support specialized software
Health and Sports Sciences	\$40/\$95	Fee to update lab equipment
Meal Plan	Varies	Resident: 3% increase Commuter: \$25 increase
Meal Plan	Varies	See Meal Plan description
University Housing	Varies	See Housing Rate table

Source: UofL Office of Budget and Financial Planning

Intensive English as a Second Language Program

This \$500 fee increase affects students enrolled in the 20-hour per week program that consists of three courses. Revenue generated from the course fee increase will be used to offset higher costs associated with the program's day-to-day operations and to help it maintain program quality, including the re-introduction of educational field trips throughout campus and the community.

General Fund Revenue Summary

2016 Operating Budget



Physics and Astronomy

A new \$50 fee for six physics courses that use computers and specialized software for weather analysis will be implemented. The fee will be assessed on courses in the Bachelor of Science in Atmospheric Science program. The proposed course fee will affect mainly junior and senior students.

Health and Sports Sciences

New \$95 fee to help fund replacement of aging and deteriorating exercise equipment, fee will be assessed on two fitness courses and a \$40 fee will be assessed on three lab courses.

Meal Plan

All resident meal plan costs will increase 3% in AY 2015-16. The commuter meal plan cost will increase from \$175 to \$200. No individual meal plan will increase by more than \$45. Increases are needed to offset higher operating costs (food, labor). UofL has not increased meal plan costs since 2009.

Housing

Based on projected increases in maintenance and operations costs, as well as other related programmatic costs, housing rates will increase 3% for non-family units and by 2% for family units in the FY 2015-16 budget. Increases apply to the following UofL owned residence halls: Louisville Hall, Medical-Dental Apartments, Miller, Threlkeld, Unitas, and University Tower Apartments. Housing rates were not increased in FY 2014-15. Table 9 shows the recommended housing rates.

Table 9: AY 2015-16 Housing Rate Schedule

University of Louisville Housing Rate Schedule				
	AY 2014-15	AY 2015-16	Change Amount Percent	
<u>Semester Rates</u>				
Traditional Halls (Miller, Threlkeld, Unitas)				
Unitas Triple	1,800	1,900	100	5.6%
Double	2,395	2,466	72	3.0%
Single	2,860	2,945	85	3.0%
Resident Assistant, All Halls				
Live-in Rate	2,000	2,000	0	0.0%
Louisville Hall Suites				
6th Floor double with bath	2,540	2,615	75	3.0%
4th/5th Floor single room	2,860	2,945	85	3.0%
4th/5th Floor double room	2,440	2,515	74	3.0%
3 Bedroom Suite	2,490	2,565	75	3.0%
2 Bedroom Suite	2,530	2,605	76	3.0%
UTA Undergrad Apartments				
2 Bedroom, single	2,920	3,005	85	2.9%
2 Bedroom, double	2,590	2,665	75	2.9%
1 Bedroom, double	2,600	2,675	75	2.9%
Large Studio, double	2,590	2,665	75	2.9%
Standard Studio, double	2,565	2,640	75	2.9%
UTA Family Apts and Medical-Dental Apts			<u>Monthly Rates, per unit</u>	
2 Bedroom	930	945	15	1.6%
1 Bedroom	820	835	15	1.9%
Large Studio	755	770	15	2.0%
Standard Studio	725	740	15	2.0%

Source: UofL Housing and Residence Life office

General Fund Revenue Summary

2016 Operating Budget



STATE APPROPRIATION AND OTHER STATE FUNDS

After four state budget cuts in the past five fiscal years, net state appropriation to the University of Louisville in FY 2015-16 remains unchanged from FY 2014-15. Net state appropriation excludes state funded debt service and other funding tied to specific activities such as the Quality and Charity Care Trust.

Table 10 shows state budget cuts to UofL by fiscal year beginning with FY 2008-09:

Table 10: State Mandated Budget Reductions to UofL by Fiscal Year

(Dollars in millions)

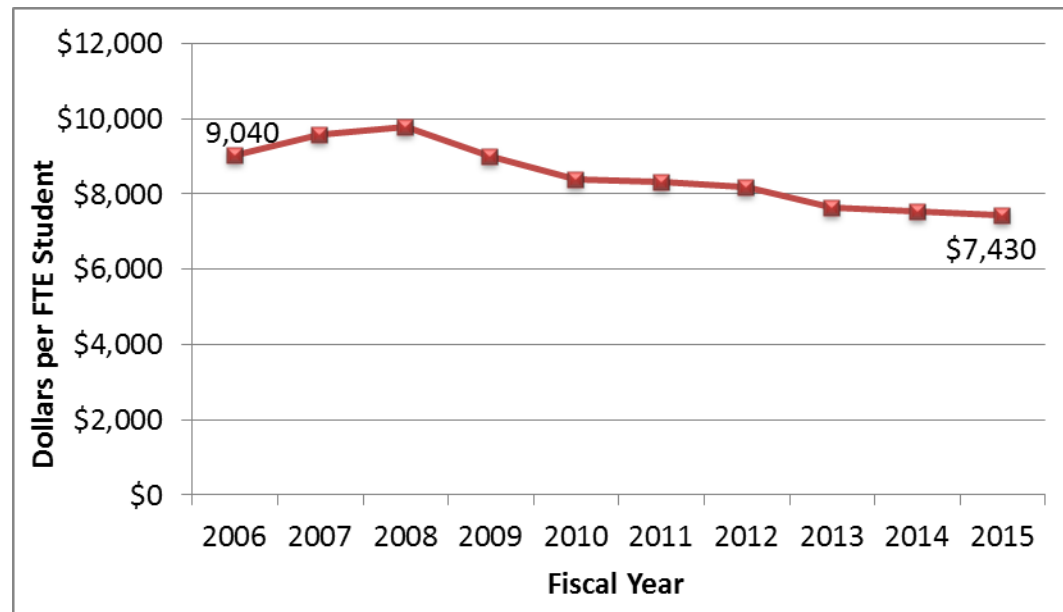
	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
R 2009	(\$10.0)	(\$10.0)	(\$10.0)	(\$10.0)	(\$10.0)	(\$10.0)	(\$10.0)	(\$10.0)
N 2009	(\$3.2)							
R 2010		(\$4.7)	(\$4.7)	(\$4.7)	(\$4.7)	(\$4.7)	(\$4.7)	(\$4.7)
R 2011			(\$2.4)	(\$2.4)	(\$2.4)	(\$2.4)	(\$2.4)	(\$2.4)
R 2012				(\$1.7)	(\$1.7)	(\$1.7)	(\$1.7)	(\$1.7)
R 2013					(\$9.7)	(\$9.7)	(\$9.7)	(\$9.7)
R 2015							(\$2.1)	(\$2.1)
	(\$13.2)	(\$14.7)	(\$17.1)	(\$18.8)	(\$28.4)	(\$28.4)	(\$30.6)	(\$30.6)

Source: Council on Postsecondary Education

Note: Years not listed had no budget cuts. R=Recurring, N=Non-Recurring.

Figure C shows state appropriation per full-time equivalent student:

Figure C: UofL State Appropriation per FTE Student
(using FY 2006 as base year for constant dollars)



Source: Council on Postsecondary Education, US Bureau of Labor Statistics, and
UofL Institutional Research

General Fund Revenue Summary

2016 Operating Budget



TRANSFERS FROM UofL CORPORATIONS

Transfers from UofL Corporations will increase by \$2.5 million in FY 2015-16. Of that amount, \$2 million will come from an increase in tax increment financing revenues and the remainder from commercialization of University technology. This is on top of a \$4.1 million increase in transfers by UofL Corporation in FY 2014-15. Such transfers help fund important University strategic initiatives.

NON-EDUCATIONAL REVENUE

Non-Educational Revenues include various interest income and non-instructional programs. For FY 2015-16, revenues decrease by \$2.6 million because a one-time debt service reserve of \$1.7 million is no longer required and to remove a one-time increase in interest income from FY 2014-15.

SUMMARY OF REVENUE CHANGES

Summary of Revenue Changes

FY 2015-16 Operating Budget

	FY 2015 Budget	Changes	Subtotals	FY 2016 Budget
I. Educational and General Revenue Budget				
<u>A. 2015 E&G Revenue Base Budget</u>	483,259,400			
<u>B. Adjustments to State Appropriation</u>				
Sub-Total Base Adjustments			1,339,400	
Adjusted 2016 E&G Revenue Base Budget				484,598,800
<u>C. Adjustments to Tuition and Fee Revenue</u>				
Projected Tuition Rate Increase (on budgeted enrollment):				
Regular Base (Increase @ 3%)		6,394,900		
Summer Base (Increase @ 3%)		567,300		
Sub-Total Projected Tuition Rate Increase			6,962,200	
Sub-Total Unit Tuition Adjustments			20,000	
Sub-Total Mandatory Student Fees			18,200	
Sub-Total Continuing Education Fees			(61,600)	
Sub-Total Distance Education Fees			3,452,366	
Sub-Total Special Program Fees			2,317,000	
Sub-Total Miscellaneous Fees			(534,275)	
Sub-Total Unit and Course-Based Fees			5,530	
Sub-Total Laboratory Fees			19,100	
Adjusted 2016 E&G Revenue Base Budget				496,797,321
<u>D. Adjustments to Transfers from Affiliated Corporations</u>				
Series A - 2007: General Receipts		(35,000)		
Retirement Fee Revenue		6,675		
PAS International Program		30,000		
Transfer from UofL Foundation, Inc.		2,500,000		
Sub-Total Adj to Transfers from Affiliated Corps			2,501,675	
Adjusted 2016 E&G Revenue Base Budget				499,298,996

General Fund Revenue Summary

2016 Operating Budget



Summary of Revenue Changes

FY 2015-16 Operating Budget

	FY 2015 Budget	Changes	Subtotals	FY 2016 Budget
<u>E. Adjustments to Revenue from Sales and Services of Educational Activities</u>				
Sub-Total Adj to Revenue from Sales and Services of Educational Activities			181,100	
Adjusted 2016 E&G Revenue Base Budget				499,480,096
<u>F. Adjustments to Revenue from Organized Activities Related to Instruction</u>				
Sub-Total Adj to Revenue from Organized Activities Related to Instruction			86,500	
Adjusted 2016 E&G Revenue Base Budget				499,566,596
<u>G. Adjustments to Other Non-Educational Revenue</u>				
Sub-Total Adj to Other Non-Educational Revenue			(2,626,900)	
Adjusted 2016 E&G Revenue Base Budget				496,939,696

II. Auxiliary Enterprises, Service Centers, and Housing Revenue Budgets

<u>A. Auxiliary Enterprises Base Budget</u>	6,373,200			
Contract Vending		(15,000)		
University Parking		(13,867)		
iTech Express		39,300		
Sub-Total Auxiliary Enterprises			10,433	6,383,633
<u>B. Service Centers Base Budget</u>	795,800			
Pathology Core Lab		6,200		
MicroTechnology		(10,000)		
Mass Spectrometry		222,375		
Rapid Prototyping Center		(500)		
Diabetes and Obesity Service Center		(8,500)		
Contract Tech Support Services		11,800		
Urban Studies Institute		50,000		
Bioengineering Lab		3,000		
Sub-Total Service Centers			274,375	1,070,175

Summary of Revenue Changes

FY 2015-16 Operating Budget

	FY 2015 Budget	Changes	Subtotals	FY 2016 Budget
<u>C. University Housing Base Budget</u>	7,486,900			
Conferences & Short-Term Housing		3,490		
RSA & NRHH		4,200		
Housing Administration		<u>(650,377)</u>		
Sub-Total University Housing			<u>(642,687)</u>	6,844,213
Total University Revenue	497,915,300		13,322,400	<u>511,237,700</u>

General Fund Revenue Summary

2016 Operating Budget



	FY 2015 Budget	Adjustments				FY 2016 Budget	Change from FY 2015	
		State Appropriation	Tuition and Fees	Program Budgets	Other Revenue		Amount	Percent
Educational and General Revenue								
Tuition Revenue								
Fall and Spring Tuition	220,255,800	0	6,414,900	0	0	226,670,700	6,414,900	2.9%
Summer School Tuition	18,900,500	0	567,300	0	0	19,467,800	567,300	3.0%
Subtotal Tuition Revenue	239,156,300	0	6,982,200	0	0	246,138,500	6,982,200	2.9%
Fee Revenue								
Continuing Education Fees	3,732,700	0	0	(61,600)	0	3,671,100	(61,600)	-1.7%
Distance Education Fees	17,768,200	0	0	3,452,366	0	21,220,566	3,452,366	19.4%
Special Program Fees	4,188,900	0	0	2,317,000	0	6,505,900	2,317,000	55.3%
Mandatory Student Fees	9,743,100	0	0	18,200	0	9,761,300	18,200	0.2%
Miscellaneous Fees	7,854,700	0	0	(534,275)	0	7,320,425	(534,275)	-6.8%
Course and Unit Based Fees	5,250,000	0	0	5,530	0	5,255,530	5,530	0.1%
Course Laboratory Fees	489,400	0	0	19,100	0	508,500	19,100	3.9%
Subtotal Fee Revenue	49,027,000	0	0	5,216,321	0	54,243,321	5,216,321	10.6%
Total Tuition and Fee Revenue	288,183,300	0	6,982,200	5,216,321	0	300,381,821	12,198,521	4.2%
State Appropriation and Other State Funds								
State Appropriation	139,076,900	1,339,400	0	0	0	140,416,300	1,339,400	1.0%
Other State Funds	820,000	0	0	0	0	820,000	0	0.0%
Transfers from Affiliated Corporations	13,418,300	0	0	0	3,101,675	16,519,975	3,101,675	23.1%
Indirect Cost Transfers	12,232,100	0	0	0	(600,000)	11,632,100	(600,000)	-4.9%
Interest Income	6,291,744	0	0	0	(2,774,017)	3,517,727	(2,774,017)	-44.1%
Sales and Services of Educational Activities	786,600	0	0	0	181,100	967,700	181,100	23.0%
Organized Activities Related to Instruction	1,140,700	0	0	0	86,500	1,227,200	86,500	7.6%
Other Non-Educational Revenue	7,448,856	0	0	0	147,100	7,595,956	147,100	2.0%
Hospital Related Revenue	13,860,900	0	0	0	0	13,860,900	0	0.0%
Total Educational & General Revenue	483,259,400	1,339,400	6,982,200	5,216,321	142,358	496,939,679	13,680,279	2.8%
Auxiliary Enterprises Revenue								
Auxiliaries	6,373,200	0	0	10,433	0	6,383,633	10,433	0.2%
University Housing	7,486,900	0	0	(642,687)	0	6,844,213	(642,687)	-8.6%
Service Centers	795,800	0	0	274,375	0	1,070,175	274,375	34.5%
Total Auxiliary Enterprises Revenue	14,655,900	0	0	(357,879)	0	14,298,021	(357,879)	-2.4%
Total General Fund Revenue	497,915,300	1,339,400	6,982,200	4,858,442	142,358	511,237,700	13,322,400	2.7%
UofL Corporations Revenue	720,000,000	0	0	0	(2,633,000)	717,367,000	(2,633,000)	-0.4%
Total University Revenue	1,217,915,300	1,339,400	6,982,200	4,858,442	(2,490,642)	1,228,604,700	10,689,400	0.9%

DETAIL STATEMENT OF UNIVERSITY GENERAL FUND REVENUES

Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Tuition and Fees					
Regular Session Tuition					
01276	A&S Dean's Office - Interdisciplinary Studies	9,847	6,820	0	0
01456	Dent - Admin - Dental Hygiene	638,570	939,239	699,500	720,485
01471	Law Administration - Law - Full-time	8,061,544	8,017,081	8,375,600	8,626,868
01472	Law Administration - Law - Part-time	0	0	1,422,500	1,465,175
01490	UGA Administration - Continuing Studies	(2,731)	11,190	0	0
10001	A&S Dean's Office - Tuition	86,281,835	87,772,146	96,327,000	99,216,600
10001	College of Business - Tuition	12,294,968	12,876,085	14,200,300	14,626,309
10001	Dent - Admin - Tuition	19,881,219	23,168,594	14,933,400	15,358,515
10001	ED-Dean Administration - Tuition	18,836,813	20,524,764	20,587,100	21,204,713
10001	Kent School Admin - Tuition	4,990,302	5,130,043	5,135,300	5,289,359
10001	Medicine - Dean's Office - Tuition	24,827,378	26,317,016	26,579,700	27,377,091
10001	Music Administration - Tuition	4,371,193	4,400,727	4,800,700	4,944,721
10001	Nursing Administration - Tuition	4,835,538	5,300,343	5,303,400	5,462,502
10001	Sch of Publ Hlth & Info Sci - Tuition	1,685,338	1,585,083	1,678,300	1,728,649
10001	SIGS Administration - Tuition	0	(2,661)	0	0
10001	Spd-Speed School of Engr Admin - Tuition	12,224,849	13,230,923	13,896,600	14,313,313
10008	A&S Dean's Office - Urban and Pub Affairs Tuition	130,803	687,565	0	0
10769	College of Business - Prof MBA Tuition-COB Share	576,414	491,571	500,000	500,000
10770	College of Business - IMBA Tuition-COB Differential	451,512	533,252	600,000	600,000
10771	College of Business - MACC Tuition-COB Differential	51,804	8,080	60,000	80,000
10772	College of Business - Joint MBA Tuition-COB Share	44,528	66,237	67,500	67,500
10775	College of Business - FT MBA Tuition-COB	899,582	1,029,607	900,000	900,000
10778	COB-School of Accountancy - Certificate in Accounting	36,829	46,220	39,000	39,000
30128	Previous Tuition	0	(1,254)	0	0
30236	A&S Dean's Office - Southern Police Institute	0	115,962	0	0
30487	College of Business - Prof MBA Tuition-University	1,345,174	1,218,524	2,049,900	2,049,900
30488	College of Business - IMBA Tuition-University	112,789	123,645	0	0
30489	College of Business - MAcc Tuition-University	229,269	35,759	0	0
30496	College of Business - Joint MBA Tuition-University	106,835	35,536	0	0
30570	College of Business - UofL Grad Post MBA Tui-COB	0	402	0	0
30572	College of Business - Post MBA Special Programs-Univ	0	44	0	0
X0005	Bad Debt Expense	2,243,025	2,391,128	2,100,000	2,100,000
Total Regular Session Tuition		205,165,228	216,059,670	220,255,800	226,670,700

General Fund Revenue Summary

2016 Operating Budget



Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Summer Session Tuition					
01455	Dent - Admin - Dental Hygiene - Summer	73,546	86,183	68,300	66,049
01469	Law Administration - Law-Full-time	57,079	108,045	77,600	79,928
01470	Law Administration - Law-Part-Time Summer	59,872	14,287	81,500	83,945
10002	A&S Dean's Office - Tuition - Summer	4,249,643	4,652,852	7,897,000	8,134,000
10002	College of Business - Tuition - Summer	974,641	1,007,022	1,098,500	1,131,535
10002	Dent - Admin - Tuition - Summer	3,661	2,497	100	4,403
10002	ED-Dean Administration - Tuition - Summer	2,612,406	2,370,287	3,261,000	3,358,830
10002	Kent School Admin - Tuition - Summer	404,962	431,939	612,700	631,081
10002	Medicine - Dean's Office - Tuition - Summer	458,634	489,093	530,700	546,621
10002	Music Administration - Tuition - Summer	45,256	46,228	71,900	74,057
10002	Nursing Administration - Tuition - Summer	835,168	899,708	951,000	979,530
10002	Sch of Publ Hlth & Info Sci - Tuition - Summer	107,901	136,759	200,700	206,721
10002	Spd-Speed School of Engr Admin - Tuition - Summer	3,861,428	4,715,933	4,049,500	4,171,100
10046	UGA Administration - Continuing Studies - Summer	16,531	3,088	0	0
30532	Sch of Publ Hlth & Info Sci - SPHIS Summer MPH Practicum AID	5,701	27,354	0	0
Total Summer Session Tuition		13,766,428	14,991,275	18,900,500	19,467,800
Subtotal Tuition		218,931,656	231,050,945	239,156,300	246,138,500
Continuing Education					
10417	Delphi Center - Delphi-Professional Developmnt	693,623	617,461	1,000,000	1,000,000
10420	Dent - Continuing Education - Continuing Ed - Dentistry	297,700	318,333	317,000	317,000
10421	A&S Justice Administration - Justice Admin - Continuing Ed	608,458	837,485	892,100	892,100
10423	Spd-Speed School of Engr Admin - Continuing Education - SPD	926	0	0	0
10438	COB-School of Accountancy - Louis A Grief Tax Institute	34,354	37,873	40,000	40,000
10456	Delphi Center - Delphi-Conference & Facilities	782,199	674,054	1,000,000	1,000,000
10519	Law Administration - Labor Law & Estate Planning	62,352	24,484	30,000	30,000
10520	Continuing Med Ed Prof Develop - Continuing Education-Medicine	266,877	181,941	213,000	160,000
10529	Kent School Admin - Kent School Workshops	34,515	30,076	29,100	50,500
10614	Delphi Center - Delphi - Options	121,467	84,357	176,500	176,500
10681	Law Administration - CLE Programs School of Law	0	0	5,000	5,000
10760	COB-CIS - Microsoft Office Specialist Exam Prep	0	1,537	30,000	0
Total Continuing Education		2,902,473	2,807,600	3,732,700	3,671,100

Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Distance Education					
10524	Delphi Center - Delphi - Online	3,556,063	4,081,723	4,320,900	5,809,900
10585	A&S Anthropology - Dis Ed - Anthropology	231,060	266,101	174,000	214,000
10586	A&S Communication - Dis Ed - Communications	937,445	1,035,024	762,000	776,000
10587	A&S Geography/Geosciences - Dis Ed - Geography	77,390	87,208	81,500	114,000
10588	A&S Justice Administration - Dis Ed - Justice Admin	780,223	756,970	635,700	792,000
10589	A&S Psychology - Dis Ed - Psychology	326,968	368,434	310,000	365,000
10590	A&S Political Science - Dis Ed - Political Science	150,118	165,406	130,500	140,600
10591	A&S Sociology - Dis Ed - Sociology	400,524	423,500	380,000	423,500
10592	A&S Dean's Office - Dis Ed - A&S Admin	588,938	654,566	545,000	565,000
10593	ED-Teaching and Learning - Dist Ed-EDTL	183,396	153,600	276,600	118,000
10594	Health and Sports Sciences - Dist Ed-HPES	370,484	389,719	330,000	400,000
10595	ED-Dean Administration - DIST ED-ED ADMIN	182,260	214,958	177,400	202,000
10596	ED-Leadership, Found. & HR Ed - Dist Ed-ELFH	1,013,603	1,278,853	750,000	880,000
10597	College of Business - Dist Ed-COB	0	0	0	50,000
10598	A&S Urban & Public Affairs - A&S Urban & Public Affairs	0	22,192	15,000	20,000
10600	Nursing Administration - Dist Ed-Nursing Admin	45,193	29,127	42,000	33,700
10601	Nursing Education - DIST ED-NURSING	406,730	260,155	378,000	334,196
10602	LIB - Distance Learning - DIST ED-LIBRARY	889,060	1,036,469	650,000	850,000
10603	ED-Education Counsel. Psych. - Dis Ed-ECPY	72,823	99,770	49,800	44,800
10617	Spd-Acad Student Support Srv - Dis Ed-Speed Dean's Office	30,293	48,404	45,000	100,000
10626	A&S Pan African Studies - Distance Ed. -PAS	234,106	268,099	200,000	208,000
10628	Pharmacology - Dist Ed-Pharmacology	1,537	3,856	7,200	3,500
10629	Medicine - School Admin - Dist Ed-Med Dean's Office	171	431	0	0
10630	A&S Women's & Gender Studies - Dist Ed-Women & Gender Studies	182,513	186,905	110,000	180,000
10643	A&S Humanities - Dist Ed-Humanities	240,788	291,047	230,000	284,600
10644	Kent School Admin - Dist Ed-Kent Admin	31,136	70,585	61,800	175,000
10645	Kent - Instruction - Dist Ed-Kent Instruction	280,238	631,792	693,000	1,100,000
10649	Spd-Comp Eng. & Comp Sci. - Dist Ed-SPD Comp Engr/Science	150,971	184,324	120,000	159,000
10651	A&S English - Dist Ed-English	103,058	99,986	85,000	85,000
10652	A&S Philosophy - Dist. Ed- Philosophy	190,348	241,881	200,000	233,440
10653	A&S Fine Arts - Dist Ed-Fine Arts	152,967	194,855	159,000	177,000
10654	A&S Modern Languages - Dist Ed-Class/Mod Languages	487,012	543,856	420,000	470,000
10658	Spd-Civil & Environmental Eng - Dist Educ-Civil & Environ Engr	50,648	38,709	48,300	53,800
10659	A&S Theatre Arts - Dist Educ-Theatre Arts	102,429	112,173	61,200	61,200
10672	A&S Dean's Office - Distance Ed-Social Change	5,404	7,147	5,000	5,000
10673	A&S Mathematics - Distance Ed-Mathematics	0	0	0	15,000

General Fund Revenue Summary

2016 Operating Budget



Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
10674	A&S Physics - Distance Ed-Physics	86,382	69,121	64,000	66,000
10676	SIGS Interdisciplinary Program - Dist Ed-SIGS Bioethics MA	863	5,497	0	0
10677	SIGS Interdisciplinary Program - Dist Ed-SIGS Dean's Office	288	670	0	0
10708	Law Administration - Distance Education-Law	17,417	21,843	5,000	5,000
10709	A&S Chemistry - Chemistry Distance Edu	127,628	137,241	88,000	100,000
10710	A&S Biology - Biology Distance Education	244,038	273,771	160,000	197,300
10714	A&S Urban & Public Affairs - UPA	118,563	100,523	122,000	122,000
10715	A&S History - Distance Education-History	189,898	236,560	172,000	182,000
10719	Spd-Industrial Engineering - Dist Educ-SPD Industrial Engr	37,350	178,883	110,000	252,000
10723	A&S Political Science - Paralegal D.E.	20,580	26,365	21,300	40,000
10727	COB-Finance - Dist Ed-Finance	32,691	43,094	60,000	120,000
10733	Spd-Mechanical Engineering - Dist Educ-SPD Mechanical	26,114	14,896	17,000	17,000
10736	Music History - DE for Music History	46,141	60,219	42,000	51,400
10737	Music Administration - DE for Music Administration	5,127	6,739	5,000	6,730
10738	Spd-ECE - Dist Ed-SPD ECE	0	2,643	5,000	5,000
10741	COB-CIS - Comp Info Sys - Dist Educ-CIS	45,527	20,059	60,000	60,000
10755	Spd-Chemical Engineering - Dist Educ-SPD Chemical	7,546	13,419	10,000	10,000
10758	COB-Management - Dist Ed-Management	0	48,128	51,000	108,000
10779	Dent-Dist Ed - Dental Admin	0	5,559	500	500
10780	Dent-Dist Ed - Dental Hygiene	0	623	5,000	5,000
10783	Spd-Eng Fund - Dist Ed-Eng Fund	0	0	16,500	20,000
10784	COB-Economics - Dist Ed-Economics	0	0	0	70,000
10790	A&S Special Projects - D E Peace, Justice & Conflict	0	0	0	9,400
10800	COB-School of Accountancy - Dist Ed-Accountancy	0	0	0	30,000
30374	Dist Ed Tuition Rev-University	4,445,134	5,118,384	4,300,000	4,300,000
	Total Distance Education	17,907,181	20,632,062	17,768,200	21,220,566

Special Instructional Programs

10424	A&S Intensive English - IESL-Intnsv Engl as Scnd Lang	333,209	302,945	366,000	366,000
10427	Community Music - Community Music Program	176,129	185,566	200,000	200,000
10435	A&S Justice Administration - SPI Special Fees	29,151	32,474	40,000	40,000
10436	COB-Equine - Equine Steward Accreditation	6,821	3,718	20,000	20,000
10441	ED-ELFH-FORD/GE/UPS Program - ELFH/GE/Off campus program	163,097	237,335	169,000	200,000
10470	ED-ELFH-EDAD Coop.Program - UL/WKU & ELOD/ED.D	112,349	107,415	164,100	0
10504	UGA Administration - Reach Virtual Math Center	14,075	16,950	12,700	12,700
10610	College of Business - Miami/Europe	497,580	501,532	400,000	400,000
10668	ED-Leadership, Found. & HR Ed - Workforce Completr Degree Prog	601,800	633,708	486,800	600,000

Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
10675	College of Business - Global MBA Program	162,655	203,323	175,000	175,000
10679	Spd-Industrial Engineering - SPD-IE German Ph.D Program	181,003	0	0	0
10680	Spd-Industrial Engineering - SPD-Panama MEEM Program	84,251	117,617	100,000	172,000
10687	Dent - Admin - Grad Ped Dent Residency Fee	41,643	41,643	42,000	56,000
10688	Dent - Admin - Grad Periodontic Residency Fee	62,465	65,935	63,000	63,000
10689	Dent - Admin - Grad Endodontic Residency Fee	42,000	69,405	42,000	42,000
10690	Dent - Admin - Grad Orthodontic Residency Fee	83,286	83,286	84,000	84,000
10725	College of Business - Perm State/Russia MBA Program	13,500	0	20,900	0
10731	A&S Dean's Office - Panama QLU Program	347,016	363,832	320,500	382,000
10746	Spd-Speed School of Engr Admin - SPD-GE Edison Program	264,974	302,400	302,400	302,400
10751	Dent - Admin - Grad Prosthodontic Res Fee	0	0	42,000	42,000
10759	ED-Leadership, Found. & HR Ed - M.S. HR & OLL Ft. Knox	0	49,416	120,000	113,100
10776	College of Business - Post MBA Tuition-UL Grad	0	0	3,000	3,000
10777	College of Business - Post MBA Tuition-Non UL Grad	0	0	3,000	3,000
10782	College of Business - UL-UK Joint Exec MBA Prog	0	0	1,012,500	1,012,500
10791	ED-Expressive Therapies - Art Therapy Tuition	0	0	0	666,700
10792	College of Business - UK Portion of ExecMBA Program	0	0	0	1,012,500
10793	ED-Education Counsel Psych. - Cardinal Success Prg-Off-Site	0	0	0	538,000
30391	Kent School Admin - Credit For Learning Tuition	544,924	770,126	0	0
30533	Nursing Education - Owensboro Medical HEA Systems	33,531	20,159	0	0
Total Special Instructional Programs		3,795,457	4,108,784	4,188,900	6,505,900
Mandatory Student Fees					
10147	SGA Student Act Fee	462,667	470,726	465,600	465,600
10528	Student Service Fee	2,889,529	2,940,416	2,812,900	2,812,900
10579	Campus Health Services	4,098,494	4,284,410	4,070,100	4,070,100
10764	ASL Lab Fee	0	0	0	14,000
10767	Interpreter Training Lab Fee	0	0	0	2,200
10789	SPHIS CPH exam fee	0	0	0	2,000
30304	Student Center Bldg Alloc	529,995	531,645	500,000	500,000
X0533	Athletic Subsidy Student Fees	1,926,432	1,960,347	1,401,000	1,401,000
X0536	Ath Facility Student Fee	530,052	531,705	493,500	493,500
Total Mandatory Student Fees		10,437,168	10,719,250	9,743,100	9,761,300

General Fund Revenue Summary

2016 Operating Budget



Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Miscellaneous Fees					
01361	LIB - Admin - University Libraries	21,558	11,851	0	0
01457	Dent - Admin - Dental Applicat Fees	157,644	244,844	102,000	102,000
01459	SIGS Administration - SIGS Admission Fees	184,621	200,707	160,700	160,700
01496	Admissions - Undergraduate Application Fees	478,152	472,606	415,000	415,000
01497	Registrar - Transcript Fees	140,888	141,331	138,800	138,800
10433	Anatomical Science/Neurobiology - Microscope Rental	22,903	0	22,100	22,100
10478	Admissions - Orientation Fees	510,316	468,819	431,000	431,000
10479	Admissions - University Testing	137,495	153,486	130,000	160,800
10480	Registrar - Diploma Replacement	4,913	4,430	4,000	4,000
10481	VPSA-Career Development Center - Career Fair	28,316	35,201	25,000	50,000
10527	VPF-Bursar - Hope Tax Credit	22,415	20,312	35,000	35,000
10700	Music-Applied Studies - Music Recital Fees	2,540	2,543	2,000	2,000
10747	VPF-Controller - Student Recreation Center Fee	0	2,891,575	4,536,100	3,948,025
10752	Medicine - School Admin - Visiting Med Student Fee	2,657	3,294	2,600	600
30272	International Affairs - International Scholars Fee	0	0	14,000	14,000
30281	International Affairs - Foreign Student Fees	41,614	40,016	40,000	40,000
30297	VPF-Controller - Graduation Fees	(20)	(10)	0	0
30298	VPF-Controller - Candidancy Fees - Doc Prgm	1,187,679	1,240,050	1,143,200	1,143,200
30299	VPF-Controller - Candidacy Fees - Masters	263,292	236,562	240,200	240,200
30301	VPF-Controller - Stl Proc & Late Fees	32,141	43,173	125,000	125,000
30302	VPF-Controller - Late Registration Fee	183,537	241,250	165,000	165,000
30303	VPF-Controller - Medical Application Fee	107,765	98,713	105,000	105,000
30340	VPF-Controller - Law Application Fee	31,738	27,218	18,000	18,000
30555	Intramurals - Student Recreation Center Fee	2,055,848	(1,308)	0	0
Total Miscellaneous Fees		5,618,012	6,576,663	7,854,700	7,320,425
Course and Unit Based Fees					
10574	Dent - Clinic Support - Dental Instrument Rental	2,976,508	3,325,690	3,476,000	3,399,000
10696	Sch of Publ Hlth & Info Sci - SPHIS Technology Fee	22,606	24,788	23,000	19,830
10697	Office of Medical Education - Medical School Technology Fee	322,851	318,265	310,000	325,500
10699	Spd-Acad Student Support Srv - Spd-Technology Fee	133,583	145,252	131,000	131,000
10701	Music Administration - Music Non-Major Fees	3,966	6,742	2,500	8,700
10702	Music Administration - Music Major Fees	113,298	108,352	105,000	109,000
10703	Law Administration - Law School Technology Fees	40,008	38,726	40,000	40,000
10706	College of Business - Technology-Career Managmnt Fee	302,009	306,317	330,000	330,000
10711	Law Administration - Law School Orientation Fee	9,700	6,780	9,000	9,000

Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
10748	Nursing Education - NSG Instructional Course Fee	190,860	395,729	366,500	366,500
10750	Nursing Education - Acute Care NP Cln Course Fee	397	992	1,000	1,000
10768	College of Business - Graduate Business Course Fee	1,029	1,206	6,000	6,000
10774	College of Business - IMBA/MBA Intl Trip Fund	513,979	529,007	450,000	450,000
10788	Ed-Nystrand Ctr Excel. Ed - TEAD field and clinical fee	0	0	0	60,000
Total Course and Unit Based Fees		4,630,793	5,207,844	5,250,000	5,255,530

Course Laboratory Fees

10686	Nursing Education - NUR U/G Clinical Lab Fee	17,540	33,342	0	0
10691	A&S Mathematics - Mathematics Lab Fees	2,261	2,380	2,300	2,400
10693	A&S Chemistry - Chemistry Lab Fees	49,374	101,830	85,000	100,000
10694	A&S Biology - Biology Lab Fees	54,348	54,815	50,000	50,000
10695	A&S Urban & Public Affairs - Urban & Pub Affair Lab Fee	14,847	12,603	13,600	13,600
10698	SPD-Lutz Micro Fabrication Lab - Spd-Cleanroom Lab Fee	1,091	1,091	1,500	1,500
10705	Nursing Education - Graduate Nursing Clinical Fee	12,929	15,118	13,000	13,000
10707	Health and Sports Sciences - HSS Course Fee	126,989	117,046	117,000	117,000
10712	A&S Theatre Arts - Theatre Arts Lab Fees	297	198	300	300
10713	A&S Physics - Physics Lab Fees	36,373	38,790	32,000	36,000
10729	Spd-Bio-Engineering - SPD-Biomedical Microfluidics	1,754	491	5,000	5,000
10749	Nursing Education - Nursing Clinical Course Fee	57,063	124,238	146,000	146,000
30237	A&S Dean's Office - Lab Fee-Studio Art	53,496	44,714	23,700	23,700
Total Course Laboratory Fees		428,362	546,656	489,400	508,500

Total Tuition and Fees

264,651,103 281,649,804 288,183,300 300,381,821

State Appropriation and Other State Funds

State Appropriation

30305	State Appropriation	160,203,427	163,278,240	139,076,900	140,416,300
Total State Appropriation		160,203,427	163,278,240	139,076,900	140,416,300

Other State Funds

10458	Ky Equine Industry Pari-Mutuel	878,190	1,010,356	400,000	400,000
10459	Equine Trust Program	100,000	100,000	60,000	60,000
10460	Partners Pollution Prevention	360,000	535,514	360,000	360,000
20067	Growth Inhibit Genomic Quadrup	0	26	0	0
20079	State Research Funds	0	172,750	0	0
Total Other State Funds		1,338,190	1,818,646	820,000	820,000

Total State Appropriation and Other State Funds

161,541,617 165,096,886 139,896,900 141,236,300

General Fund Revenue Summary

2016 Operating Budget



Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Transfers from Affiliated Corporations					
01611	2007 General Receipts Series A	17	20	0	0
01621	Debt Service Reserve	2,966,200	0	200,000	(35,000)
01677	2010 Gen Receipts Series A & B	886,092	814,677	885,000	885,000
01684	Annual Transfers	0	0	0	200,000
01942	Transfer from ATH Association	2,000,000	0	0	0
01996	Transfers from UofL Foundation	0	0	4,100,000	6,600,000
10762	Retirement Fee Revenue	0	0	480,200	486,875
10785	PAS International Programs	0	0	0	30,000
30241	Minority Programs	(69)	(200)	0	0
30380	Series N transfer from ULRF	1,129,441	1,131,160	1,133,100	1,733,100
30495	2007 General Receipts Series A	2,526,640	2,526,216	2,527,700	2,527,700
30529	2008 General Receipts Series A	4,092,318	4,092,318	4,092,300	4,092,300
X0584	InterCo Receivable	15,473	0	0	0
Total Transfers from Affiliated Corporations		13,616,112	8,564,191	13,418,300	16,519,975
Indirect Cost Transfers					
30323	Overhead Recovery	11,580,700	11,580,700	12,180,700	11,580,700
52004	Research Council Grant	2,044,281	1,767,517	51,400	51,400
Total Indirect Cost Transfers		13,624,981	13,348,217	12,232,100	11,632,100
Interest Income					
30312	Discounts Earned	293	311	10,000	10,000
30314	Interest Inc-Sinking Fund	815,949	720,000	2,493,944	719,927
30316	Interest Inc-Repo Agmt	88,924	226,373	100,000	100,000
30317	Interest Inc-CEBRB	1,050,300	74,965	2,050,300	1,050,300
X0024	Short Term Investments	34,076	1,519,040	1,637,500	1,637,500
Total Interest Income		1,989,542	2,540,688	6,291,744	3,517,727
Sales and Services of Educational Activities					
01105	Music Library -	0	20	0	0
01370	Ensembles and Conducting-Band - Marching Band	2,800	0	0	0
10428	Community Music - Non-credit Dance	106,843	95,724	111,000	107,000
10440	COB-Equine - KY International Equine Summit	0	0	20,000	0
10461	Delphi Center - Delphi - General & Admin	(12,281)	(12,885)	0	(13,900)
10474	International Service Learning - Int'l Service Learning	419,041	642,464	525,000	675,000
10482	Disability Resources - Disabled Student Services	29,097	15,565	30,000	30,000

General Fund Revenue Summary
2016 Operating Budget

Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
10571	Spd-Bio-Food - Food Process Prgm	6,675	8,239	0	0
10577	Archives & Special Collections - Photographic Archives	15,039	17,360	21,000	0
10581	Spd-Ctr for Infrastructure Res - Center for Infrastructure	100,000	75,000	75,000	75,000
10637	Registrar - Veterans Student Services	0	0	4,600	4,600
10753	VP-Community Engagement - SPEAC	100	0	0	0
10797	SPD School Engr Admin - SPD-Engineering Garage (EG)	0	0	0	90,000
30125	SIGS Administration - SIGS Admissions	(201)	(142)	0	0
30410	Cultural Center - Cultural Center Student Prog	155	0	0	0
Total Sales and Services of Educational Activities		667,268	841,344	786,600	967,700

Organized Activities Related to Instruction

01111	A&S Biology - Biology	3,763	0	0	0
01114	A&S Fine Arts - Fine Arts	65	0	0	0
01123	A&S Psychology - Psychology	4,171	0	0	0
01125	A&S Theatre Arts - Theatre Arts	132	0	0	0
01126	A&S Communication - Communications	0	2,983	0	0
01150	College of Business - Business School-Administration	(61)	0	0	0
01175	ED-Leadership, Found. & HR Ed - Leder.,Fnd.,Hr Ed	0	475	0	0
01178	ED-Learning Improvement Ctr - ECPY Clinical Training	1,930	2,397	0	0
01184	Law Library - Law - Library	164	191	0	0
01186	Music Administration - Music - Administration	2,648	1,642	0	0
01187	Music Instruction - Music - Instruction	14,365	14,261	0	0
01212	Anatomical Science/Neurobiology - Anatom Sc & Neurbiology	3,244	0	0	0
01213	Biochemistry - Biochemistry	23,040	1,643	0	0
01215	Pharmacology - Pharmacology	(722)	722	0	0
01241	Biomedical Engineering - Biomedical Engineering	6,787	9,196	0	0
01250	Nursing Administration - Nursing Administration	105	60	0	0
01278	A&S Humanities - Ctr for Humanity and Society	178	0	0	0
01294	A&S Theatre Arts - Afr-Amer Theatre Prog Project	2,424	2,807	0	0
01298	A&S Honor's Program - University Honors Program	(1,068)	174	0	0
01367	Music Theory - Music-Theory/Composition	4,081	0	0	0
01434	A&S Pan African Studies - PAS Int'l Programs	3,650	0	0	0
01559	College of Business - COB Grad Progs Administration	6,148	5,402	0	0
01620	Delphi Center - QEP Ideas to Action	4,484	5,900	0	0
01644	A&S Communication - A&S Speech Course Pack	5,481	2,976	0	0
10426	A&S Honor's Program - Honors Travel Fund	43,220	22,400	45,000	45,000
10444	A&S Theatre Arts - Theatre Arts Project	1,737	2,807	2,000	2,000

General Fund Revenue Summary

2016 Operating Budget



Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
10445	GSH & Rauch Planetarium - GSH & Rauch Planetarium	144,730	153,684	320,800	383,600
10457	A&S 20th Century Lit Conf - 20th Century Lit Conference	49,661	19,708	39,400	39,400
10530	College of Business - Family Business Center	195,211	197,199	213,500	237,200
10575	Dent - Surgical & Hospital - Sterilizer Service Program	182,341	187,528	170,000	170,000
10576	Law Administration - U of L Law Review	18,893	16,231	15,000	15,000
10664	Law Administration - Law Journal Annual Symposium	0	0	5,000	5,000
10685	Admissions - AP Summer Institute	130,557	128,464	155,000	155,000
30238	A&S Theatre Arts - Theatre Ticket Sales	32,838	30,087	15,000	15,000
30253	ED-Ed. Resource Tech Center - Educ Media Center	5,680	5,467	0	0
30280	Metropolitan College - Metropolitan Col Rem	160,000	160,000	160,000	160,000
30386	Anesthesiology - Fireworks of Anesthesia-Clark	950	0	0	0
Total Organized Activities Related to Instruction		1,050,829	974,402	1,140,700	1,227,200

Other Non-Educational Revenue

01003	Univ Counsel Office	0	9,513	0	0
01010	Cultural Center - Cultural Center	0	(350)	0	0
01014	Delphi-Credit Course Support	0	1,474	0	0
01015	Women's Center - Women's Center	859	994	0	0
01028	Admissions - Admissions	5,816	1,683	0	0
01060	VP Business Affairs - DEHS Administration	170,173	175,121	41,293	41,293
01099	VP-Community Engagement - Community Engagement	7,098	0	0	0
01103	LIB - Kornhauser Library - Health Sciences Library	5,000	99	0	0
01104	LIB - Kersey Scientific Library	0	12	0	0
01106	LIB - University Archives	0	6,253	0	0
01113	A&S English - English	60	2,983	0	0
01115	A&S Geography/Geosciences - Geosciences	4,250	4,420	0	0
01120	A&S Philosophy - Philosophy	3,000	0	0	0
01121	A&S Physics - Physics	6,000	0	0	0
01195	UGA Administration - UGA Administration	35,000	0	0	0
01221	Med- Dept Admin - Medicine	0	5,501	0	0
01236	Cancer Center - Ky Afr American Against Cancer	(826)	0	0	0
01284	A&S Dean's Office - A&S Contingency Fund	2,874	0	0	0
01406	Anatomical Science/Neurobiology - Bequeathal Program	4,982	621	0	0
01409	Cancer Center - Kentucky Cancer Program	123	0	0	0
01410	Cancer Center - Cancer Outr W Loui Program	2,411	37	0	0
01421	Environmental Health & Safety - Hazard Material Management	(288)	0	0	0
01436	Inst for Bioethics, Hlth & Law - Inst. For Bio, Health&Law	6,000	0	0	0

General Fund Revenue Summary
2016 Operating Budget

Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
01494	Contract Admin - Rent-General	202,020	207,739	193,300	183,900
01512	Office of the President - Special Projects	(9,163)	(3,675)	0	0
01520	Audit Services - Privacy Office	50,536	0	0	0
01631	VPF-Controller - Special Travel	8,392	222	0	0
01634	A&S Programs-Diversity	0	1,000	0	0
01656	2008 General Receipts Series A	0	21	0	0
01670	A&S American Sign Language	0	18,253	0	0
01675	VP-Community Engagement - CE Faculty Grant	0	40,000	0	0
01682	2011 General Receipts Series A	1,548	0	0	0
01943	One-Time Compensation	1,905,641	0	0	0
01988	Law-Student Life	0	8,000	0	0
01998	Peds - Neonatal	0	0	533,250	533,250
02001	Med - OB/GYN GF Contracts	0	0	1,603,101	1,603,101
02002	Peds - Infectious Disease	0	0	19,400	19,400
10455	Public Safety - KLEFPF	112,383	119,707	127,100	139,500
10476	Intramurals - Intramural Fees	175,148	141,056	180,000	225,000
10544	Speed - Student Council	0	120	0	0
10583	VP Information Technology - LAMC Networking	110,613	110,613	110,600	110,600
10620	Student Activities - SAC Special Projects	39,225	63,543	35,000	49,000
10631	Cardinal Card & Procard Office - Procurement Card	445,832	460,387	425,000	435,000
10638	Financial Aid - Pell Administrative Allowance	25,985	25,350	27,000	27,000
10656	Environmental Health & Safety - Radiation Safety	54,070	55,337	55,800	55,800
10666	A&S Anthropology - A&S Archaeology Curation	260	375	0	0
10667	VP Information Technology - Clearwire EBS Lease	222,411	240,000	240,000	240,000
10670	Purchasing - EProcurement	572,627	0	0	0
10671	Liberal Studies Speaker's Fund	0	5,391	0	0
10678	Campus Health Services - Campus Health-Promo/Educ Svcs	246,217	253,144	302,300	302,300
10722	ED-Early Learning Campus - Early Learning Campus	1,067,566	1,249,285	1,339,300	1,339,300
10730	NUR U/G Clinical Lab Fee-OMHS	0	14,625	0	0
10734	Dean of Students - University Mobile	819	1,913	2,000	2,500
10740	ED-Leadership, Found. & HR Ed - WHRE Panam	47,250	85,244	28,800	0
10756	Public Safety - Evidence and Seizure	15,683	0	0	0
10757	Music - Music Therapy	0	3,163	20,000	20,000
10781	VP Information Technology - Communications Services	0	0	765,900	840,300
10787	Military Initiatives	0	323,543	0	0
10798	A&S Theatre Arts - Theatre Arts Ticket Revenue	0	0	0	29,000
30104	Office of the Provost - Ombudsman Office	100	12,000	0	0

General Fund Revenue Summary

2016 Operating Budget



Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
30144	VPUA-Comm & Marketing - Advertising Income	41,584	0	63,500	63,500
30185	Public Safety - Dept of Public Safety	111,693	75,207	0	0
30245	A&S English - A & S Henry James Review	0	(55)	0	0
30319	VPF-Controller - Returned Check Fees	2,930	2,431	4,000	4,000
30325	VPF-Controller - Flex Plan Recovery	195,977	270,278	165,000	165,000
30329	VPF-Controller - Miscellaneous Revenue	2,320	7,027	25,000	25,000
30330	Radiation Safety Office - Radiation Safety Inc	20,000	20,000	20,000	20,000
30341	Postal Service - Key Maintenance	4,080	3,200	0	0
30343	Physical Plant - Recycling Program	10,331	6,436	0	0
30345	Dean of Students - Misc Income-Std Life	200	2	0	0
30477	Cardinal Card & Procard Office - Cardinal Card Clearing Online	1,836	2,272	0	0
30478	Campus Health Services - PEACC Program	12,500	25,000	0	0
30480	VPF-Controller - Unclaimed Property Misc Rev	7,386	32,357	35,000	35,000
30545	VPF-Bursar - Bursar-Credit Cards	(3)	660	0	0
30562	Sch of Publ Hlth & Info Sci - SPHIS 7 State Tuition	77,728	133,476	0	0
30569	Assessment	0	25,000	0	0
X0021	VPF-Controller - Budgetary Control	71,915	0	0	0
X0273	VPF-Controller - Health South Bldg-Investment	176,924	0	0	0
X0284	Office of the Provost - Health Mgmt Admin	0	714,321	714,321	514,321
X0551	Health Insurance	0	527,240	0	0
X0569	VPF-Bursar - Student Tuit Collection Fees	(3,157)	47,854	0	0
X0829	Office of the Provost - GHN Fitness Facility	0	372,891	372,891	572,891
H0005	Hospital Microbiology	0	47,983	0	0
Z1635	Peds-Card - Peds-KCH Transplant Support	20,000	0	0	0
Total Other Non-Educational Revenue		6,301,946	5,958,299	7,448,856	7,595,956

Service Centers

S0009	IT Communication Services - Communications Services	756,714	764,860	0	0
S0029	SPD-Conn Center - SPD-Inst Advanc Mtrls-Renew En	198,319	96,771	100,000	100,000
S0082	Pathology - Pathology Core Lab	60,786	47,026	61,800	68,000
S0083	SPD-Lutz Micro Fabrication Lab - Microtechnology Service Center	85,591	49,820	75,000	65,000
S0085	Purchasing - Central Stores-Stockroom	81,760	57,068	60,000	60,000
S0088	A&S Chemistry - NMR Maintenance	12,552	15,648	20,000	20,000
S0090	LIB - HSC Library Services - HSC Library Services	242	0	0	0
S0092	A&S Chemistry - Mass Spectrometry Service Ctr	17,344	20,781	10,000	232,375
S0093	Spd-Rapid Prototyping - Spd-Rapid Prototyping SC	195,524	227,036	250,500	250,000
S0094	Med - Diabetes and Obesity Center	0	0	8,500	0

Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
S0095	Exec VPR - Research - CPM Shared Resources	2,228	18,506	40,000	40,000
S1067	A&S Urban & Public Affairs - Urban Studies Institute	51,519	77,815	25,000	75,000
S1069	IT Design & Printing Services - Design And Printing Services	178,824	158,714	100,000	100,000
S1070	IT Contract Tech Support Svcs - Contract Technology Support	67,152	89,069	30,000	41,800
S1071	IT Contract Tech Support Svcs - Workstation Replacement	3,920	5,394	0	0
S1076	Med - Bioengineering Laboratory	0	2,100	15,000	18,000
S9012	Cancer Center - Microarray Facility	0	6,229	0	0
S9013	Cancer Center - Molecular Modeling Facility	0	7,200	0	0
S9014	Cancer Center - Biophysics Lab	960	1,300	0	0
S9015	Cancer Center - Nuclear Mag Res Facility-Nmr	10,659	10,800	0	0
Total Service Centers		1,724,094	1,656,138	795,800	1,070,175
University Housing					
40012	Housing and Residence Life - Unitas Tower	1,218,100	1,345,097	0	0
40013	Housing and Residence Life - Conferences & Short-Term Housing	0	0	184,000	187,490
40014	Housing and Residence Life - Miller Hall	959,610	1,276,007	0	0
40016	Housing and Residence Life - Univ Tower	890,554	975,002	0	0
40018	Housing and Residence Life - Stevenson Hall	232,781	267,367	0	0
40020	Housing and Residence Life - Threlkeld Hall	1,039,358	1,117,353	0	0
40022	Housing and Residence Life - Med/Dent Apts	318,702	355,599	0	0
40024	Housing and Residence Life - The Complex	859,912	736,571	0	0
40025	Housing and Residence Life - Louisville Hall	1,026,277	1,044,882	0	0
40031	Housing and Residence Life - RSA & NRRH	0	0	0	4,200
40033	Housing and Residence Life - Housing Administration	352,443	344,687	7,302,900	6,652,523
40038	VPF-Controller - Housing Series F	0	868,119	0	0
Total University Housing		6,897,738	8,330,683	7,486,900	6,844,213
Auxiliary Enterprises					
40002	Contract Admin - Food Service Contract	159,910	30,000	30,000	30,000
40004	Contract Admin - Contract Vending	149,524	155,584	155,000	140,000
40005	Contract Admin - Bookstore Operations	357,477	306,425	315,000	315,000
40007	Parking Administration - University Parking	5,215,031	4,540,272	5,369,500	5,355,633
40009	A&S Justice Administration - SJA/SPI Dormitory	274,593	327,932	250,000	250,000
40044	IT Contract Tech Support Svcs - ITECH EXPRESS	192,353	190,999	253,700	293,000
Total Auxiliary Enterprises		6,348,888	5,551,213	6,373,200	6,383,633

General Fund Revenue Summary

2016 Operating Budget



Program Number	Description	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Hospital Related Revenue					
01401	Executive VP - Health Affairs - Hosp Clinical Subsidy	1,900,000	1,900,000	1,790,000	1,790,000
01463	Executive VP - Health Affairs - Hospital Rent	749,996	749,996	750,000	750,000
30331	VPF-Controller - Uh Inc-Recovery Ser	497,938	547,731	512,700	512,700
30332	VPF-Controller - Hospital Services-DTL	149,723	99,929	50,000	50,000
30611	UMC, Inc Additional Rent	0	2,259,264	0	0
H9000	Executive VP - Health Affairs - UL Hos Affiliation Agreement	15,333,334	17,812,500	10,758,200	10,758,200
HA000	Kentucky One Holding	0	16,068,917	0	0
Total Hospital Related Revenue		18,630,990	39,438,337	13,860,900	13,860,900
Total General Fund Revenue		497,045,107	533,950,204	497,915,300	511,237,700
UofL Corporations		569,869,384	524,801,997	720,000,000	717,367,000
Total University Revenue		1,066,914,491	1,058,752,201	1,217,915,300	1,228,604,700

GENERAL FUND EXPENDITURES

EXPENDITURE ASSUMPTIONS

General fund expenditures are expected to be \$13.3 million, or 2.7%, higher than in FY 2014-15. Notable expenditure adjustments follow:

1. Salaries and Fringe Benefits

- A 3% increase in salaries and wages will increase general fund expenditures by a projected \$6.7 million in FY 2015-16
- The salary increase amount includes an increase in the living wage rate from \$10.25 to \$10.50 per hour beginning July 1, 2015. This will be the second of a four year planned increase in the minimum wage rate earned by regular University employees. By July 1, 2017, the living wage rate will be \$11 per hour.
- The 3% salary and wage pool also includes \$125,000 for an additional calendar work day in FY 2015-16 for hourly employees
- With the 3% increase in salaries and wages, associated fringe benefits (e.g., retirement, social security) increase by \$1.25 million

2. Utilities

- \$248,000 increase in utility costs associated with new facilities and increased square footage is included in the FY 2015-16 budget

3. Other Expenditures

- \$2.5 million incremental reduction in expenditures associated with the Voluntary Separation Incentive Program (VSIP)
- \$1 million increase in central university financial aid
- \$5.1 million increase in program budget expenditures. Program budget expenditures must be paid from revenues generated by those programs. For example, faculty and staff costs associated with specific online education courses frequently operate as program budgets and would be funded by online education tuition revenues.
- \$750,000 in seed funding for focus areas associated with the “21st Century Initiative”

The University of Louisville general fund expenditure budget is balanced to the general fund revenue budget. Fiscal Year 2015-16 general fund expenditures for the University total \$511.2 million. Table 11 shows general fund expenditures by category for FY 2015-16:

Table 11: General Fund Expenditures by Category for FY 2015-16

<i>Educational and General Expenditure Budget</i>	FY 2015 Budget	Changes	FY 2016 Budget
A. Fixed Costs			
A.1 Salaries	257,783,540	124,900	257,908,440
A.2 Fringe Benefits	74,760,955	(338,000)	74,422,955
Total Fixed Costs	332,544,495	(213,100)	332,331,395
B. Current Expenses			
B.1 Financial Aid	53,911,932	0	53,911,932
B.2 Utilities	22,941,835	248,000	23,189,835
B.3 Debt Service	19,147,757	(312,000)	18,835,757
B.4 Other Current Expenses	58,006,480	0	58,006,480
Total Current Expenses	154,008,004	(64,000)	153,944,004
C. Strategic Initiatives			
C.1 3% Salary Increase	0	6,550,600	6,550,600
C.2 Fringe Benefits for 3% Increase	0	1,250,000	1,250,000
C.3 Faculty Promotions	0	500,000	500,000
C.4 Staff Reclassification/Promotions	0	250,000	250,000
C.5 Seed Fund for 21st Century Focus Areas	0	750,000	750,000
C.6 Financial Aid Increase	0	1,013,500	1,013,500
Total Strategic Initiatives	0	10,314,100	10,314,100
D. Budget Balancing Strategies			
D.1 VSIP	0	(2,500,000)	(2,500,000)
Total Budget Balancing Strategies	0	(2,500,000)	(2,500,000)
E. Expenses Offset by Revenue/Credits	0	6,072,567	6,072,567
F. Auxiliary Budgets	11,362,801	(287,167)	11,075,634
Total University Expenditures	497,915,300	13,322,400	511,237,700

Source: UofL Budget and Financial Planning

General Fund Expenditure Summary

2016 Operating Budget

Utilities

Table 12 shows utility expenditures at the University from FY 2012-13 through FY 2015-16. For this period, total utility expenditures increased by 1.2%. Energy performance savings and sustainability initiatives are contributing to our ability to keep costs low.

Table 12: Utility Expenditure Analysis by Campus and Fiscal Year

Utility Expenditures	Fiscal Year Actuals		FY 2015 Budget	FY 2016 Budget	% Change FY 15-FY 16
	2012-13	2013-14			
Belknap					
Salary & Fringe	26,818	19,933	-	-	N/A
Electric	5,934,630	6,417,165	6,911,623	7,008,190	1.40%
Water	1,587,139	1,318,149	1,673,257	1,696,089	1.36%
Natural Gas	1,537,332	1,990,610	2,688,041	2,726,642	1.44%
All Other Operating Expenses	2,341,122	2,299,322	331,500	331,500	0.00%
Department Credits	(845,188)	(966,512)	(1,141,779)	(1,141,779)	0.00%
Other	-	-	-	-	N/A
Total Belknap	10,581,853	11,078,667	10,462,642	10,620,642	1.51%
HSC					
Electric	3,379,309	3,231,584	2,858,004	2,858,004	0.00%
Water	771,082	713,189	996,026	996,026	0.00%
Natural Gas	78,495	111,262	274,004	274,004	0.00%
Steam/Chilled Water	4,624,879	5,169,663	5,386,484	5,386,484	0.00%
All Other Operating Expenses	61,076	65,301	1,402,469	1,402,469	0.00%
Department Credits	(433,585)	(440,684)	(514,684)	(514,684)	0.00%
Total HSC	8,481,256	8,850,314	10,402,303	10,402,303	0.00%
Shelby					
Electric	357,349	387,688	343,352	390,739	13.80%
Water	74,649	77,969	84,238	95,578	13.46%
Natural Gas	135,169	181,426	220,817	252,090	14.16%
All Other Operating Expenses	10,718	8,453	58,200	58,200	0.00%
Department Credits	-	-	(195,351)	(195,351)	0.00%
Total Shelby	577,885	655,536	511,256	601,256	17.60%
Total Utility Expenditures	19,640,994	20,584,518	21,376,201	21,624,201	1.16%

Excludes HSC CII M&O

Source: Senior VP for Finance and Administration

Debt Service

Debt service is the cost to the University of borrowing money to pay for capital projects that generate benefits over a long period. Debt service payments are made by the University and, on occasion by the Commonwealth of Kentucky, over a period of years to pay off the debt. Bonds are frequently used to pay for projects such as research facilities, academic buildings, and parking garages. For FY 2015-16, total debt service payments will be \$18.8 million.

Table 13 details the changes in principal and interest payments by debt service series:

Table 13: Debt Service Change Schedule

Debt Service	FY 2015	Changes	FY 2016
2007 Series A Gen Receipts Bonds	2,983,000	(1,000)	2,982,000
2008 Series A Gen Receipts Bonds	6,456,325	3,900	6,460,225
2010 Series A and B Gen Receipts Bonds	2,732,018	(1,506)	2,730,512
2011 Series A Gen Receipts - Student Rec Center	2,643,150	(2,459)	2,640,691
2012 Series A Gen Receipts Bonds	1,725,249	(5,000)	1,720,249
CEBRB (Series M) 88.5%	2,024,616	(268,487)	1,756,129
CEBRB (Series M) Parking portion 11.5%	263,086	(34,888)	228,198
CEBRB (Series P)	320,313	(2,560)	317,753
Total Debt Service	19,147,757	(312,000)	18,835,757

Source: Senior VP for Finance and Administration

General (Gen) Receipts Bonds are pledged from unrestricted revenues such as tuition and state appropriation. The General Receipts series are:

- 2007 Series A funded renovations at the Home of the Innocents, indirect costs related to the Center for Predictive Medicine, land acquisition and construction of the Patterson Baseball Stadium, Trager Field House, and the YUM! Practice Facility. Final Maturity is 2027.

General Fund Expenditure Summary

2016 Operating Budget



- 2008 Series A funded the second HSC Parking Garage, Dental School Renovation, and indirect costs in the construction of the Clinical and Translational Research Building. Final Maturity is 2028.
- 2010 Series A and B is a combination of Build America Bonds and Qualified Energy Conservation Bonds that were used for energy conservation measures in multiple buildings on all campuses. Final Maturity is 2027.
- 2011 Series A was for the construction of the Student Recreation Center. Final Maturity is 2031.
- 2012 Series A was used to repay former CEBRB Series N and O. Final Maturity is 2023.

CEBRB, or Consolidated Educational Buildings Revenue Bonds, are pledged from tuition and fees. The two outstanding CEBRB Series are:

- Series M was used for the construction of Lutz Hall, Belknap Parking Garage, the first HSC parking garage, the Hazardous Waste Storage Facility, East Campus land (Cardinal Park), Education School building, Law School expansion, Belknap Theatre, School of Business, Red Barn, MITC, Speed School addition, Houchens building, and Ekstrom Library. Final Maturity is 2016.
- Series P was used to acquire Home of the Innocents property. Final Maturity is 2025.

STRATEGIC INITIATIVES

For FY 2015-16, the University has allocated \$10.3 million toward strategic initiatives. These initiatives are aligned with the University's strategic plan and will contribute to the goal of making the University of Louisville a premier metropolitan research university by 2020. Table 14 summarizes the University's strategic initiatives.

Table 14: FY 2015-16 Investment in Strategic Initiatives

Investment in Strategic Initiatives	
<u>Description</u>	<u>Amount</u>
<i>Student Initiatives</i>	
Total Student Initiatives	<u>1,013,500</u>
<i>Faculty & Staff Initiatives</i>	
Faculty/Staff salary increase pool of 3%	6,550,600
Fringe associated with salary increase	1,250,000
Faculty promotions	500,000
Staff reclassifications and promotions	250,000
Total Faculty & Staff Initiatives	<u>8,550,600</u>
Total Seed Funds for 21st Century Focus Areas	<u>750,000</u>
Total Investment in Strategic Initiatives	<u><u>10,314,100</u></u>

Student Initiatives

- Financial Aid. Centrally budgeted undergraduate financial aid will increase by 3% to keep pace with the 3% increase in undergraduate tuition rates. By making more financial aid available to students, the average net tuition cost that UofL students pay is considerably lower than “sticker price”. For Fall 2013, approximately 94% of first-time UofL full-time baccalaureate degree-seeking students were offered scholarships or grants.

General Fund Expenditure Summary

2016 Operating Budget



Faculty and Staff Initiatives

- Salary and Wage Pools. An additional \$6.5 million for a 3% salary increase pool, plus associated fringe benefits of \$1.3 million, are included in the FY 2015-16 budget.
- Faculty Promotion Fund. A total of \$500,000 is included in the FY 2015-16 budget for faculty promotions. These funds are held centrally and will be used to fund faculty salary increases that occur during the fiscal year based on academic rank and tenure decisions. These academic promotion funds are typically awarded on top of the performance based salary increase.
- Staff Promotion and Reclassification Fund. \$250,000 is provided for the Staff Promotion and Reclassification Fund. These funds are used to match a department's contribution to any staff promotions or reclassifications that occur during the fiscal year. Requests to use this fund usually arise as a result of expanded duties in a position, promotion to a higher level of duties, or an administrative determination that current duties are incorrectly classified.

Seed Funds for 21st Century Focus Areas Initiatives

- Seed Funding. The three focus areas are Cancer Research, Restorative Medicine, and Product Realization. Funding will be provided for three years. Continued funding will be based upon review of metrics.

UNIVERSITY BUDGET BALANCING STRATEGIES FOR FY 2015-16

Sustaining momentum is difficult in an austere budgetary climate. Despite these challenges, the University has continued its "Upward Trajectory". The University has fiscally adapted through meaningful resource stewardship, cost reductions, and efficiency efforts that span the past decade. In fact, a majority of state appropriation cuts (in real and constant dollars) have been absorbed centrally.

Voluntary Separation Incentive Program (VSIP)

The VSIP program—an early retirement incentive plan initiated in FY 2012-13—is expected to generate an additional \$2.5 million in savings in FY 2015-16. A total of 285 faculty and staff members participated in the program.

SUMMARY OF EXPENDITURE CHANGES

Summary of Expenditure Changes

FY 2015-16 Operating Budget

	FY 2015 Budget	Changes	Subtotals	FY 2016 Budget
<i>I. Educational and General Expenditure Budget</i>				
<u>A. 2015 Expenditure Base Budget</u>	486,552,499			
<u>B. Fixed Costs</u>				
Sub-Total Personnel Services, Fringe Benefits			(213,100)	
Sub-Total Current Expenses			248,000	
Change in Debt Service Requirements:				
Series A - 2007: General Receipts Bonds		(1,000)		
Series A - 2008: General Receipts Bonds		3,900		
Series A and B - 2010: General Receipts Bonds		(1,506)		
Series A - 2011: General Receipts Student Rec Center		(2,459)		
Series A - 2012: General Receipts Bonds		(5,000)		
Series M: Consol Educ Bldg Rev Bonds 88.5%		(303,375)		
Series P: Consol Educ Bldg Rev Bonds		(2,560)		
Sub-Total Change in Debt Service Requirements			(312,000)	
Adjusted 2016 E&G Expenditure Base Budget				486,275,399
<u>C. Other Expenditures</u>				
Sub-Total Other Expenditures			582,262	
Adjusted 2016 E&G Expenditure Base Budget				486,857,661
<u>D. Investment in Strategic Initiatives</u>				
Faculty and Staff Initiatives:				
Salary Increase (3%)		6,550,600		
Fringe Increase (on 3% salary)		1,250,000		
Faculty Promotions		500,000		
Staff Reclassification/Promotion Fund		250,000		
Sub-Total Faculty and Staff Initiatives			8,550,600	
Sub-Total Student Initiatives			1,013,500	
Sub-Total 21st Century Initiatives:			750,000	
Adjusted 2016 E&G Expenditure Base Budget				497,171,761

General Fund Expenditure Summary

2016 Operating Budget



Summary of Expenditure Changes

FY 2015-16 Operating Budget

		FY 2015 Budget	Changes	Subtotals	FY 2016 Budget
<u>E. Unit Budget Reductions, Savings, and Balancing Strategies</u>					
Sub-Total Balancing Strategies				(2,500,000)	
Adjusted 2016 E&G Expenditure Base Budget					494,671,761
<u>F. Expenditure Changes Offset by Specific Revenue or Departmental Credits</u>					
Sub-Total Continuing Education				(61,600)	
Sub-Total Distance Education				3,454,194	
Sub-Total Special Programs				2,249,100	
Sub-Total Miscellaneous Fees				(552,775)	
Sub-Total Unit and Course-Based Fees				16,930	
Sub-Total Laboratory Fees				35,300	
Sub-Total Sales and Services of Educational Activities				150,500	
Sub-Total Organized Activities Related to Instruction				86,500	
Sub-Total Other Non-Educational Programs				225,953	
Adjusted 2016 E&G Expenditure Base Budget					500,275,863
<u>II. Auxiliary Enterprises, Service Centers, and Housing Expense Budgets</u>					
<u>A. Auxiliary Enterprises Base Budget</u>					
		3,080,101			
Contract Vending	40004		(15,000)		
University Parking	40007		18,215		
iTech Express	40044		39,300		
Sub-Total Auxiliary Enterprises				42,515	3,122,616
<u>B. Service Centers Base Budget</u>					
		795,800			
Pathology Core Lab	S0082		6,200		
MicroTechnology	S0083		(10,000)		
Mass Spectrometry	S0092		222,375		
Rapid Prototyping Center	S0093		(500)		
Diabetes and Obesity Service Center	S0094		(8,500)		
Urban Studies Institute	S1067		50,000		

Summary of Expenditure Changes

FY 2015-16 Operating Budget

		FY 2015 Budget	Changes	Subtotals	FY 2016 Budget
Contract Tech Support Services	S1070		11,800		
Huson Nanotech Facility			38,630		
Bioengineering Lab	S1076		3,000		
Sub-Total Service Centers				313,005	1,108,805
C. University Housing Base Budget		7,486,900			
Facilities	40011		(351,638)		
Conferences & Short-Term Housing	40013		69,762		
Residence Education & Programming	40015		(118,906)		
Desk Operations & Security	40017		30,011		
Information Technology	40019		54,863		
Assignments & Billing	40021		78,283		
Marketing & Assessment	40023		(53,561)		
Fiscal Affairs	40029		9,510		
RSA & NRHH	40031		3,300		
Housing Administration	40033		(478,119)		
Sub-Total University Housing				(756,495)	6,730,405
Total University Expenditures		497,915,300		13,322,400	511,237,700
University Projected Surplus / (Deficit)					0

General Fund Expenditure Summary

2016 Operating Budget



Summary of Changes in General Fund Operating Budget by CSD

	FY 2015 Budget	New Funds	Offset by Revenue	Transfers	Salary Increase		Unit Budget				FY 2016 Budget	Change from Original	Percent Change
					Fac/Staff Admin.	ILS / PT Fac	Fixed Costs	Reductions and Other Credits	Policy Initiatives	2016 Fringe Benefits			
Academic Units:													
Academic Support Programs	3,871,214	40,000	(3,285)	4,025,576	41,855	1,327	0	(1,652,700)	79,872	718,264	6,452,878	2,581,664	66.69%
College of Arts and Sciences	60,509,606	0	791,254	(927,813)	1,134,238	103,689	0	(1,052,874)	0	12,110,061	60,428,522	(81,084)	-0.13%
College of Business	22,555,243	0	1,179,330	(274,157)	361,692	28,066	0	(1,107,072)	0	3,466,415	22,741,648	186,405	0.83%
School of Dentistry	18,228,114	0	(134,466)	(199,759)	482,255	46,286	0	0	0	3,414,682	18,681,989	453,875	2.49%
College of Education and Human Development	19,329,415	65,000	833,732	(125,187)	361,708	42,569	0	(352,300)	0	4,011,785	20,361,633	1,032,218	5.34%
School of Interdisciplinary and Graduate Studies	4,059,757	0	0	0	19,656	614	0	0	0	629,696	3,987,835	(71,922)	-1.77%
Kent School of Social Work	5,092,615	0	436,684	(97,212)	89,097	15,370	0	(98,295)	0	1,056,317	5,517,505	424,890	8.34%
Brandeis School of Law	6,382,119	0	0	98,874	136,169	2,745	0	(189,044)	0	1,249,418	6,457,101	74,982	1.17%
Libraries	18,651,646	0	179,000	(124,389)	154,632	6,754	0	(243,356)	0	2,227,519	18,696,757	45,111	0.24%
School of Medicine	53,043,435	0	(51,786)	(293,188)	880,951	11,576	0	0	0	9,221,772	53,513,632	470,197	0.89%
Metropolitan College - UL	240,250	0	0	0	0	0	0	0	0	0	240,250	0	0.00%
School of Music	6,261,607	0	(62,758)	0	114,319	8,455	0	(458,686)	0	1,310,281	5,861,656	(399,951)	-6.39%
School of Nursing	6,226,249	0	(73,335)	(76,155)	167,038	9,872	0	0	0	1,331,878	6,349,029	122,780	1.97%
Other Academic Programs	9,341,704	0	1,447,663	(54,831)	84,430	16,831	0	0	0	1,209,483	10,967,379	1,625,675	17.40%
School of Public Health and Information Sciences	5,056,270	0	(1,170)	5,988	98,286	671	0	0	0	984,367	5,215,357	159,087	3.15%
J. B. Speed School of Engineering	22,785,107	0	327,088	(16,261)	421,657	22,882	0	(629,648)	0	4,548,176	23,182,016	396,909	1.74%
Undergraduate Affairs	3,505,806	0	(13)	22,191	43,885	0	0	0	0	598,870	3,581,320	75,514	2.15%
Total Academic Units	265,140,157	105,000	4,867,938	1,963,677	4,591,868	317,707	0	(5,783,975)	79,872	48,088,984	272,236,507	7,096,350	2.68%

General Fund Expenditure Summary 2016 Operating Budget

Summary of Changes in General Fund Operating Budget by CSD

	FY 2015 Budget	New Funds	Offset by Revenue	Transfers	Salary Increase		Unit Budget				FY 2016 Budget	Change from Original	Percent Change
					Fac/Staff Admin.	ILS / PT Fac	Fixed Costs	Reductions and Other Credits	Policy Initiatives	2016 Fringe Benefits			
Support Units:													
Athletics	0	0	0	39,900	1,197	0	0	0	0	10,048	51,145	51,145	n/a
Mandatory Transfers (Debt Service)	19,147,755	0	0	0	0	0	(312,041)	0	0	0	18,835,714	(312,041)	-1.63%
Enrollment Management	7,139,815	0	28,824	85,615	112,971	1,734	0	0	0	1,599,287	7,501,587	361,772	5.07%
Executive VP Health Affairs	11,401,542	0	(123,777)	959,135	83,641	2,030	0	(912,298)	0	1,116,371	11,531,995	130,453	1.14%
Executive VP Research and Innovation	10,880,839	0	0	(324,950)	129,516	2,914	0	0	0	1,889,557	10,682,122	(198,717)	-1.83%
General Institutional Expenses	4,755,910	0	0	(66,315)	7,773	0	453,354	0	0	95,066	4,283,387	(472,523)	-9.94%
Institutional Support:													
Office of the President	4,759,333	188,578	(1,755)	505,609	47,678	696	0	0	0	1,008,229	5,615,161	855,828	17.98%
Provost Office	7,688,202	0	0	(396,258)	74,437	694	0	0	0	1,225,258	7,238,889	(449,313)	-5.84%
Sr. VP Finance and Administration	0	0	0	3,180,940	1,740	0	0	0	0	2,315,657	3,504,156	3,504,156	n/a
VP Business Affairs	7,884,508	0	31,599	63,520	161,043	11,832	0	0	0	2,414,592	8,287,001	402,493	5.10%
VP Finance	6,172,475	100,000	(18,468)	(768,531)	102,688	3,880	0	0	0	50,706	5,532,010	(640,465)	-10.38%
VP Human Resources	2,411,193	0	(5,426)	965,127	39,836	529	0	0	0	182,512	3,450,378	1,039,185	43.10%
VP Information Technology	12,327,569	0	2,191	(655,661)	193,064	2,229	0	0	0	1,398,173	11,984,875	(342,694)	-2.78%
VP University Advancement	209,525	0	0	0	4,082	0	0	0	0	489,158	226,392	16,867	8.05%
VP Community Engagement	801,204	0	0	7,574	8,410	139	0	0	0	0	824,306	23,102	2.88%
Physical Plant	48,612,753	0	0	(845,618)	379,446	48,704	248,000	0	0	321,476	48,610,566	(2,187)	0.00%
Reserves	14,660,845	0	0	(854,791)	331,763	0	0	895,221	1,056,656	6,317,219	16,401,833	1,740,988	11.88%
Student Affairs	7,581,342	0	(382,564)	97,076	89,563	2,134	0	0	0	4,978,623	7,471,418	(109,924)	-1.45%
Student Financial Aid	53,911,932	0	0	15,400	0	0	0	0	1,013,500	1,313,254	54,940,832	1,028,900	1.91%
Student Government Association	1,065,600	0	(1,553)	0	0	0	0	0	0	0	1,065,600	0	0.00%
Total Support Units	221,412,342	288,578	(470,929)	2,007,772	1,768,848	77,515	389,313	(17,077)	2,070,156	26,725,186	228,039,367	6,627,025	2.99%
Auxiliary Enterprises:													
Auxiliary Enterprises	3,080,101	0	29,609	0	20,360	2,024	0	0	0	365,511	3,122,616	42,515	1.38%
Service Centers	795,800	0	231,038	(4,596)	48,028	1,472	0	0	0	708,552	1,108,805	313,005	39.33%
University Housing	7,486,900	0	(673,174)	(79,028)	16,130	1,540	0	0	0	326,738	6,730,405	(756,495)	-10.10%
Total Auxiliary Enterprises	11,362,801	0	(412,527)	(83,624)	84,518	5,036	0	0	0	1,400,801	10,961,826	(400,975)	-3.53%
Total General Funds	497,915,300	393,578	3,984,482	3,887,825	6,445,234	400,258	389,313	(5,801,052)	2,150,028	76,214,971	511,237,700	13,322,400	2.68%
UofL Corporations	720,000,000	0	(2,633,000)	0	0	0	0	0	0	0	717,367,000	(2,633,000)	-0.37%
Total University	1,217,915,300	393,578	1,351,482	3,887,825	6,445,234	400,258	389,313	(5,801,052)	2,150,028	76,214,971	1,228,604,700	10,689,400	0.88%

General Fund Expenditure Summary

2016 Operating Budget

EXPENSES BY NATURAL OBJECT, FY 2015-16

	General Funds	Non-General Funds	Total
Personnel Services			
Salaries			
Faculty	\$130,205,333	\$144,398,762	\$274,604,095
Administrators and P&A	\$81,817,040	\$110,964,748	\$192,781,788
Classified Staff	\$34,108,881	\$13,818,406	\$47,927,287
Student Assistants	\$13,364,059	\$8,951,767	\$22,315,826
Other Salaries	\$4,365,173	\$39,539,256	\$43,904,429
Total Salaries	\$263,860,486	\$317,672,939	\$581,533,425
Fringe Benefits			
Health Insurance	\$27,622,284	\$24,499,928	\$52,122,212
Retirement Programs	\$22,014,845	\$20,102,132	\$42,116,977
FICA	\$19,925,556	\$17,702,737	\$37,628,293
Other Fringe Benefits	\$6,924,780	\$8,290,635	\$15,215,415
Total Fringe Benefits	\$76,487,465	\$70,595,432	\$147,082,897
Total Personnel Services	\$340,347,951	\$388,268,371	\$728,616,322
Current Expenses			
Operating Expenses	\$82,868,098	\$188,687,109	\$271,555,207
Scholarships, Aid, and Tuition Remission	\$54,437,510	\$74,979,741	\$129,417,251
Utilities	\$23,189,835	\$0	\$23,189,835
Equipment	\$21,844,313	\$584,215	\$22,428,528
IT, Maintenance, and Other Services	\$3,713,350	\$28,810	\$3,742,160
Travel	\$1,678,517	\$537,449	\$2,215,966
Departmental Credits	(\$32,321,125)	(\$1,200,000)	(\$33,521,125)
Other Current Expenses	\$15,479,251	\$65,481,305	\$80,960,556
Total Current Expenses	\$170,889,749	\$329,098,629	\$499,988,378
Total University Expenditures	\$511,237,700	\$717,367,000	\$1,228,604,700

EXPENSES BY CSD, FY 2015-16

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
Academic Support Programs								
General	1,593,335	4,409,680	359,054	0	0	718,264	(627,455)	6,452,878
UofL Corporations	357,281	10,504	18,015	33,000	16,000	130,103	1,156,036	1,720,940
Total	1,950,616	4,420,184	377,069	33,000	16,000	848,367	528,581	8,173,818
College of Arts and Sciences								
General	5,418,751	32,591,310	1,925,928	4,854,567	27,784	12,103,277	3,506,905	60,428,522
UofL Corporations	1,125,642	1,052,978	217,347	1,001,522	227,386	876,591	5,951,736	10,453,204
Total	6,544,393	33,644,288	2,143,275	5,856,089	255,170	12,979,868	9,458,641	70,881,726
Athletics								
General	41,097	0	0	0	0	10,048	0	51,145
UofL Corporations	30,129,348	0	831,578	84,500	685,395	7,014,144	53,785,035	92,530,000
Total	30,170,445	0	831,578	84,500	685,395	7,024,192	53,785,035	92,581,145
Auxiliary Enterprises								
General	382,619	0	575,333	16,799	6,630	356,033	1,785,202	3,122,616
UofL Corporations	0	0	0	0	0	0	0	0
Total	382,619	0	575,333	16,799	6,630	356,033	1,785,202	3,122,616
College of Business								
General	2,124,760	11,444,572	344,575	375,250	11,400	3,466,415	4,974,676	22,741,648
UofL Corporations	119,204	1,161,387	76,401	42,200	126,583	356,140	8,178,552	10,060,466
Total	2,243,964	12,605,959	420,976	417,450	137,983	3,822,555	13,153,228	32,802,114
Mandatory Transfers (Debt Service)								
General	0	0	0	0	0	0	18,835,714	18,835,714
UofL Corporations	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	18,835,714	18,835,714
School of Dentistry								
General	1,918,533	7,921,725	1,979,284	31,110	55,871	3,414,682	3,360,784	18,681,989
UofL Corporations	1,559,083	3,440,881	2,003,380	95,720	354,200	1,942,932	15,479,306	24,875,504
Total	3,477,616	11,362,606	3,982,664	126,830	410,071	5,357,614	18,840,090	43,557,493

General Fund Expenditure Summary

2016 Operating Budget

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
College of Education and Human Development								
General	3,548,371	10,087,944	839,014	751,067	108,968	3,968,469	1,057,800	20,361,633
UofL Corporations	809,433	269,561	42,077	144,000	70,298	354,012	2,194,180	3,883,561
Total	4,357,804	10,357,505	881,091	895,067	179,266	4,322,481	3,251,980	24,245,194
Enrollment Management								
General	3,763,141	0	491,597	114,027	35,070	1,599,287	1,498,465	7,501,587
UofL Corporations	166	166	166	0	0	166	26,489	27,152
Total	3,763,307	166	491,763	114,027	35,070	1,599,453	1,524,954	7,528,739
Executive Vice President Health Affairs								
General	2,996,038	2,672,610	962,480	62,360	10,000	1,115,846	3,712,661	11,531,995
UofL Corporations	6,070,324	369,867	376,201	0	354,540	1,688,423	39,331,774	48,191,128
Total	9,066,362	3,042,477	1,338,681	62,360	364,540	2,804,269	43,044,435	59,723,123
Executive Vice President Research and Innovation								
General	5,286,719	166,040	669,340	113,050	45,708	1,875,412	2,525,853	10,682,122
UofL Corporations	241,239	18,714	47,578	25,000	6,000	100,565	44,790	483,885
Total	5,527,958	184,754	716,918	138,050	51,708	1,975,977	2,570,643	11,166,007
Student Financial Aid								
General	0	0	0	0	0	0	54,940,832	54,940,832
UofL Corporations	0	0	0	828,017	0	63,342	61,207,448	62,098,807
Total	0	0	0	828,017	0	63,342	116,148,280	117,039,639
General Institutional Expenses								
General	337,856	10,000	0	3,000	0	548,420	3,384,111	4,283,387
UofL Corporations	34,039	997	997	0	0	12,573	114,680	163,285
Total	371,895	10,997	997	3,000	0	560,993	3,498,791	4,446,672
Institutional Support								
General	26,692,470	145,565	5,726,273	445,452	310,082	9,367,737	3,975,589	46,663,168
UofL Corporations	9,475,089	184,550	866,298	351,600	95,042	3,017,619	11,953,225	25,943,422
Total	36,167,559	330,115	6,592,571	797,052	405,124	12,385,356	15,928,814	72,606,590

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
School of Interdisciplinary and Graduate Studies								
General	806,109	0	133,943	2,265,004	8,000	629,696	145,083	3,987,835
UofL Corporations	363	363	363	30,000	0	4,943	23,423	59,455
Total	806,472	363	134,306	2,295,004	8,000	634,639	168,506	4,047,290
Kent School of Social Work								
General	1,252,051	2,693,866	60,784	232,750	0	1,054,323	223,731	5,517,505
UofL Corporations	1,020,872	353,455	99,501	54,600	40,220	467,671	295,616	2,331,934
Total	2,272,923	3,047,321	160,285	287,350	40,220	1,521,994	519,347	7,849,439
Brandeis School of Law								
General	1,222,020	3,758,708	134,485	0	500	1,249,418	91,970	6,457,101
UofL Corporations	48,552	335,986	16,643	0	88,577	87,872	2,558,318	3,135,949
Total	1,270,572	4,094,694	151,128	0	89,077	1,337,290	2,650,288	9,593,050
Libraries								
General	1,697,424	3,032,083	1,667,093	358,628	11,159	2,227,519	9,702,851	18,696,757
UofL Corporations	11,702	215,519	54,797	0	84,879	96,159	1,454,029	1,917,083
Total	1,709,126	3,247,602	1,721,890	358,628	96,038	2,323,678	11,156,880	20,613,840
School of Medicine								
General	4,624,456	28,201,017	2,459,614	652,643	2,906,348	9,208,144	5,461,410	53,513,632
UofL Corporations	55,076,553	130,810,920	8,454,770	4,098,564	36,818,247	50,663,907	104,249,290	390,172,251
Total	59,701,009	159,011,937	10,914,384	4,751,207	39,724,595	59,872,051	109,710,700	443,685,883
Metropolitan College								
General	0	0	0	0	0	0	240,250	240,250
UofL Corporations	860,963	74,785	135,082	0	0	372,940	10,808,579	12,252,348
Total	860,963	74,785	135,082	0	0	372,940	11,048,829	12,492,598
School of Music								
General	580,123	3,064,873	247,766	272,200	54,522	1,280,759	361,413	5,861,656
UofL Corporations	11,992	296,007	11,992	81,000	72,600	122,801	1,689,288	2,285,679
Total	592,115	3,360,880	259,758	353,200	127,122	1,403,560	2,050,701	8,147,335

General Fund Expenditure Summary

2016 Operating Budget



	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
School of Nursing								
General	832,597	3,381,592	233,019	228,000	0	1,331,878	341,943	6,349,029
UofL Corporations	346,263	656,999	34,665	46,920	29,248	289,366	999,176	2,402,636
Total	1,178,860	4,038,591	267,684	274,920	29,248	1,621,244	1,341,119	8,751,665
Other Academic Programs								
General	3,324,184	403,191	108,277	133,331	264,108	1,209,483	5,524,805	10,967,379
UofL Corporations	14,537	626	29,505	0	1,500	20,065	36,314	102,547
Total	3,338,721	403,817	137,782	133,331	265,608	1,229,548	5,561,119	11,069,926
Physical Plant								
General	1,285,147	0	12,735,701	0	286,249	6,316,565	27,986,904	48,610,566
UofL Corporations	612	612	612	0	0	612	97,879	100,328
Total	1,285,759	612	12,736,313	0	286,249	6,317,177	28,084,783	48,710,894
School of Public Health and Information Sciences								
General	369,440	3,419,868	99,750	160,000	0	984,367	181,932	5,215,357
UofL Corporations	959,859	3,538,011	210,069	399,362	5,382	1,153,491	914,734	7,180,906
Total	1,329,299	6,957,879	309,819	559,362	5,382	2,137,858	1,096,666	12,396,263
Reserves								
General	693,416	340,233	470,394	0	0	4,996,061	9,901,729	16,401,833
UofL Corporations	0	0	0	0	0	0	0	0
Total	693,416	340,233	470,394	0	0	4,996,061	9,901,729	16,401,833
Service Centers								
General	2,057,802	0	436,867	66,711	83,327	708,702	(2,244,604)	1,108,805
UofL Corporations	321,682	2,749	12,787	0	0	96,641	16,493	450,352
Total	2,379,484	2,749	449,654	66,711	83,327	805,343	(2,228,111)	1,559,157
J.B. Speed School of Engineering								
General	3,694,485	12,249,737	633,430	979,074	53,365	4,534,103	1,037,822	23,182,016
UofL Corporations	2,218,630	1,597,837	235,389	1,633,762	463,159	1,583,842	5,944,976	13,677,597
Total	5,913,115	13,847,574	868,819	2,612,836	516,524	6,117,945	6,982,798	36,859,613

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Total
Student Affairs								
General	3,147,518	21,400	697,854	217,001	21,824	1,313,254	2,052,567	7,471,418
UofL Corporations	149,374	3,342	40,247	2,000	0	72,168	280,380	547,510
Total	3,296,892	24,742	738,101	219,001	21,824	1,385,422	2,332,947	8,018,928
Student Government Association								
General	0	0	0	23,320	0	13,096	1,029,184	1,065,600
UofL Corporations	29	29	29	0	0	4,427	301	4,817
Total	29	29	29	23,320	0	17,523	1,029,485	1,070,417
Undergraduate Affairs								
General	1,535,546	0	0	616,953	59,258	598,870	770,693	3,581,320
UofL Corporations	1,643	1,643	1,643	0	0	1,643	262,624	269,197
Total	1,537,189	1,643	1,643	616,953	59,258	600,513	1,033,317	3,850,517
University Housing								
General	591,032	0	288,907	391,762	5,000	304,775	5,148,929	6,730,405
UofL Corporations	275	275	275	0	0	275	43,957	45,057
Total	591,307	275	289,182	391,762	5,000	305,050	5,192,886	6,775,462
University Total								
General	81,817,040	130,205,333	34,108,881	13,364,059	4,365,173	76,487,465	170,889,749	511,237,700
UofL Corporations	110,964,748	144,398,762	13,818,406	8,951,767	39,539,256	70,595,432	329,098,629	717,367,000
Total	192,781,788	274,604,095	47,927,287	22,315,826	43,904,429	147,082,897	499,988,378	1,228,604,700

General Fund Expenditure Summary

2016 Operating Budget



EXPENSES BY PROGRAM CLASSIFICATION STRUCTURE (PCS), FY 2015-16

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Capital Outlay	Total
Academic Support									
General	14,979,386	6,755,815	2,995,971	5,158,474	160,544	7,822,992	11,116,912	7,746,161	56,736,255
UofL Corporations	9,086,978	18,913,101	1,838,063	468,625	1,215,908	7,275,912	66,365,602	494,291	105,658,478
Total	24,066,364	25,668,916	4,834,034	5,627,099	1,376,452	15,098,904	77,482,514	8,240,452	162,394,733
Auxiliaries									
General	992,301	0	859,240	408,561	11,630	663,529	6,880,482	421	9,816,164
UofL Corporations	30,129,348	0	831,578	84,500	685,395	7,014,144	53,785,035	0	92,530,000
Total	31,121,649	0	1,690,818	493,061	697,025	7,677,673	60,665,517	421	102,346,164
Instruction									
General	9,669,945	106,573,371	3,068,846	3,990,016	2,912,410	32,017,581	15,790,159	113,594	174,135,922
UofL Corporations	33,253,699	54,399,616	4,115,519	4,843,013	33,534,818	27,148,446	42,530,877	8,551	199,834,539
Total	42,923,644	160,972,987	7,184,365	8,833,029	36,447,228	59,166,027	58,321,036	122,145	373,970,461
Institutional Support									
General	28,728,957	6,881,090	6,559,717	454,912	318,792	14,834,881	11,804,679	546,741	70,129,769
UofL Corporations	9,208,936	1,395,960	1,007,201	215,500	55,018	3,165,014	11,598,609	11,783	26,658,023
Total	37,937,893	8,277,050	7,566,918	670,412	373,810	17,999,895	23,403,288	558,524	96,787,792
Maintenance & Operation of Physical Plant									
General	2,286,565	0	12,779,869	0	286,249	6,653,778	27,838,094	1,051,500	50,896,055
UofL Corporations	8,082	8,082	15,841	0	0	10,839	1,238,889	16,173	1,297,905
Total	2,294,647	8,082	12,795,710	0	286,249	6,664,617	29,076,983	1,067,673	52,193,960
Public Service									
General	2,350,158	426,598	1,802,039	71,338	321,446	1,512,836	3,287,832	5,000	9,777,247
UofL Corporations	11,984,328	33,961,148	3,715,118	225,516	1,817,286	12,643,120	36,609,583	0	100,956,100
Total	14,334,486	34,387,746	5,517,157	296,854	2,138,732	14,155,956	39,897,415	5,000	110,733,347
Research									
General	8,066,477	9,037,558	2,618,692	421,908	146,662	5,708,518	3,818,398	355,200	30,173,413
UofL Corporations	17,038,665	35,679,360	2,224,049	2,070,772	2,218,416	13,109,241	43,646,099	53,417	116,040,019
Total	25,105,142	44,716,918	4,842,741	2,492,680	2,365,078	18,817,759	47,464,497	408,617	146,213,432

	Administrative / P&A Salaries	Faculty Salaries	Classified Salaries	Student Assistants	Other Salaries	Fringe Benefits	Operating Expenses	Capital Outlay	Total
Service Centers									
General	2,057,802	0	436,867	66,711	83,327	708,702	(2,879,064)	634,460	1,108,805
UofL Corporations	0	0	0	0	0	0	0	0	0
Total	2,057,802	0	436,867	66,711	83,327	708,702	(2,879,064)	634,460	1,108,805
Student Financial Aid									
General	103,128	0	5,000	2,257,004	0	697,111	54,994,060	0	58,056,303
UofL Corporations	0	0	0	929,817	2,000	81,594	72,139,800	0	73,153,211
Total	103,128	0	5,000	3,186,821	2,000	778,705	127,133,860	0	131,209,514
Student Services									
General	12,582,321	530,901	2,982,640	535,135	124,113	5,867,537	8,935,170	14,236	31,572,053
UofL Corporations	254,712	41,495	71,037	114,024	10,415	147,122	599,921	0	1,238,726
Total	12,837,033	572,396	3,053,677	649,159	134,528	6,014,659	9,535,091	14,236	32,810,779
Mandatory Transfers (Debt Service)									
General	0	0	0	0	0	0	7,458,714	11,377,000	18,835,714
UofL Corporations	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	7,458,714	11,377,000	18,835,714
University Total									
General	81,817,040	130,205,333	34,108,881	13,364,059	4,365,173	76,487,465	149,045,436	21,844,313	511,237,700
UofL Corporations	110,964,748	144,398,762	13,818,406	8,951,767	39,539,256	70,595,432	328,514,414	584,215	717,367,000
Total	192,781,788	274,604,095	47,927,287	22,315,826	43,904,429	147,082,897	477,559,850	22,428,528	1,228,604,700

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PROGRAM BUDGETS

The University has more than 200 program budgets. Table 15 identifies programs with notable budget changes in FY 2015-16.

Table 15: Notable Changes in FY 2015-16 Program Budgets

Unit Name	Program Name	Revenue Change (\$)	Percent Change	Expenditure Change (\$)	Percent Change	Reason for Change
College of Business	UK Portion of Exec. MBA Program	1,012,500	NEW	1,012,500	NEW	New program to budget the UK portion of the Joint UK-UofL Executive MBA Program.
College of Education & Human Development	Art Therapy	666,700	NEW	500,000	NEW	New tuition sharing program with the revenue being generated by students enrolled in Art Therapy.
College of Education & Human Development	Cardinal Success Program	538,000	NEW	538,000	NEW	New tuition sharing program with the revenue coming from classes taught off-site by the College of Education as part of the Cardinal Success program.
Vice President Student Affairs	International Service Learning-SA	150,000	28.6%	150,000	28.6%	Continued program growth and student participation.
College of Education & Human Development	Workforce Completer Degree Program	113,200	23.3%	90,600	23.3%	Higher student enrollment.
J.B. Speed School of Engineering	SPD - First Build	90,000	NEW	90,000	NEW	New program associated with First Build to manage the training activities anticipated to begin in FY16 and other functions of First Build.
College of Education & Human Development	Ed.D Practitioner Doctorate	(164,100)	-100.0%	(164,100)	-100.0%	Removing program for FY16; new cohort of students in FY17.

Unit Name	Program Name	Revenue Change (\$)	Percent Change	Expenditure Change (\$)	Percent Change	Reason for Change
Vice President Student Affairs	Student Recreation Center	(588,075)	-13.0%	(585,575)	-30.9%	Reduced expectations for philanthropic contributions.
University Housing	All Housing	(642,687)	-8.6%	(642,687)	-8.6%	Two halls, Center and Wellness, will be closed. Reduced income expectations.
Various	All Other Program Budgets (127 Programs)	450,838	1.5%	440,299	1.3%	Average revenue increase for the other 127 general program budgets is \$3,550 with the average expense increase being \$3,467.
Other Academic Programs	Delphi On-Line Courses - 25%	1,489,000	34.5%	1,470,600	40.3%	Enrollment Growth.
Kent School of Social Work	Kent Dist Ed Instruction	407,000	58.7%	407,000	58.7%	Increases based on historical actuals and growth in enrollment. Additional expenses are for infrastructure investment to support student services and unit strategic initiatives.
University Libraries	Library - 3%	200,000	30.8%	200,000	30.8%	Increase based on expected increase in distribution of distance education tuition dedicated to the library for there support services.
College of Arts and Sciences	Justice Admin Continuing Education	156,300	24.6%	156,300	24.6%	Budget increase based on additional expenses and anticipation of continued increase in distance education revenue.
J.B. Speed School of Engineering	Industrial Eng.	142,000	129.1%	142,000	129.1%	Program Growth
College of Education & Human Development	ELFH Dept.	130,000	17.3%	130,000	17.3%	Increase based on growing enrollment and course offerings.

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Unit Name	Program Name	Revenue Change (\$)	Percent Change	Expenditure Change (\$)	Percent Change	Reason for Change
Kent School of Social Work	Kent Admin	113,200	183.2%	113,200	183.2%	Enrollment growth. Additional expenses are for infrastructure investment to support student services and unit strategic initiatives.
College of Education & Human Development	Teaching and Learning Continuing Education	(158,600)	-57.3%	(158,600)	-57.3%	Decreased course offerings.
Mixed	All other Distance Education (54 Programs)	959,566	11.8%	1,007,594	11.5%	Average increase in other 54 distance education programs is \$17,770 and expenses is \$18,659.
Service Centers	CREAM (Mass Spectrometry)	222,375	2223.8%	222,375	2223.8%	Increase in biomedical research across the entire community.
Service Centers	All Other Service Centers (17 programs)	52,000	7.0%	52,000	7.0%	Average increase in revenue and expenses for the other 17 service centers is \$3,059.
University Total		5,339,217	8.7%	5,171,506	8.4%	

DETAIL STATEMENT OF UNIVERSITY GENERAL FUND EXPENDITURES

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Academic Support Programs					
01004	Univ Faculty Senate	80,672	82,081	106,031	96,612
01013	International Affairs	493,473	519,207	514,932	554,941
01038	Staff Grievance	8,393	8,394	16,485	10,161
01060	DEHS Administration	1,512,167	1,723,347	1,611,362	1,549,652
01288	Univ. Press Of Ky.	4,630	0	6,480	6,480
01320	Faculty Promotion Fund	0	0	183,027	268,276
01329	Intrnlization Program	34,818	33,138	25,000	24,714
01330	McConnell Ctr Pol Leadership	213,028	171,418	180,885	187,533
01331	Faculty Grievance	0	0	0	0
01386	Muhammad Ali Institute	269,269	228,754	282,687	284,508
01420	Infectious Waste Disposal	81,347	73,097	43,000	43,000
01421	Hazard Material Management	123,054	109,871	129,849	129,849
01422	Industrial Hygiene	16,439	15,734	16,000	16,000
01577	Biological Safety	64,492	42,594	9,000	9,000
02075	Prov Strategic VSIP Rellocate	0	0	0	2,485,858
10433	Microscope Rental	0	10,078	22,100	22,100
10469	Asbestos Removal	(2,058)	(19,859)	0	0
10611	Fee - Rem-Inst Eur Stu	23,667	22,317	28,000	27,818
10656	Radiation Safety	60,146	44,008	55,800	55,800
30271	Internatl Ctr Isic	(5,094)	(3,600)	0	0
30272	International Scholars Fee	0	(4,050)	14,000	14,000
30281	Foreign Student Fees	0	19,293	0	40,000
30558	Deans & VPS Recruitment	0	0	526,576	526,576
30568	Enrollment Pressure	0	0	100,000	100,000
Total Academic Support Programs		2,978,444	3,075,824	3,871,214	6,452,878
College of Arts and Sciences					
01108	A & S Administration	2,088,667	1,510,810	2,299,191	2,423,449
01109	A&S Academic Advising Center	1,490,894	1,480,928	1,455,031	1,498,896
01110	Anthropology	1,108,445	1,119,129	1,045,925	1,093,638
01111	Biology	2,760,614	2,871,289	2,929,398	2,919,486
01112	Chemistry	3,652,926	3,510,497	3,700,885	3,626,519
01113	English	4,323,964	4,447,048	4,424,725	3,992,592
01114	Fine Arts	2,348,718	2,496,127	2,327,326	2,333,443
01115	Geosciences	1,059,245	1,238,283	1,250,084	1,313,237
01116	History	2,091,027	2,212,045	2,176,875	2,190,582

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Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
01117	Mathematics	3,140,997	3,316,222	3,316,176	3,481,502
01118	Modern Languages	2,649,073	2,747,558	2,675,278	2,761,758
01119	Pan African Department	949,898	866,575	1,070,980	1,106,877
01120	Philosophy	1,127,462	1,172,741	1,072,861	1,055,546
01121	Physics	2,042,099	2,286,784	2,297,970	2,241,344
01122	Political Science	2,011,332	2,028,506	2,164,954	2,175,067
01123	Psychology	3,014,763	3,107,448	3,139,704	3,241,664
01124	Sociology	1,515,650	1,630,542	1,669,293	1,748,269
01125	Theatre Arts	1,167,335	1,176,979	1,146,674	1,041,331
01126	Communications	1,828,817	1,959,130	1,948,232	1,924,102
01128	Air Force ROTC	68,172	59,437	60,070	63,344
01129	Army ROTC	55,646	57,905	56,039	66,583
01130	A&S Honors Program	225,600	244,737	267,959	287,475
01131	Humanities Division	810,117	1,048,703	1,073,263	1,115,493
01132	Women's Studies	617,714	623,702	607,555	637,970
01156	A&S Urban & Public Affairs	361,219	371,650	378,656	279,113
01276	Interdisciplinary Studies	0	0	76	76
01277	Liberal Studies Prog	42,628	43,449	41,663	43,969
01278	Ctr for Humanity and Society	97,608	54,374	10,731	10,731
01279	A&S General Ed Curriculum	0	6,060	57,941	58,464
01280	A&S Reallocation	10,028	98,996	135,208	275,487
01281	A&S Technology	57,507	79,867	18,487	18,487
01283	A&S Saturday Academy	25,988	155	3,179	0
01284	A&S Contingency Fund	846,666	687,023	147,381	157,595
01285	Faculty Development-A&S	4,262,390	4,427,279	4,711,241	4,158,517
01287	Cmte on Academic Publications	3,154	7,970	6,000	6,000
01289	Writing Center	51,958	56,693	54,029	56,916
01291	Logistics and Distribution Institute	0	0	19,058	16,459
01292	Moore Observatory	7,922	6,848	6,953	6,953
01293	Paralegal Studies Program	32,055	28,173	25,351	26,221
01294	Afr-Amer Theatre Prog Project	41,726	57,219	42,970	44,212
01295	A&S Debate Program	144,291	126,218	107,187	112,161
01296	Justice Admin Instruction	1,576,369	1,504,163	1,695,126	1,507,947
01297	Southern Police Institute	164,160	161,200	161,183	168,831
01304	A&S Urban & Public Affairs	1,717,144	1,673,787	1,941,065	1,830,904
01306	A&S Urban & Public Affairs	58,816	206,298	0	0
01313	General Education Curriculum	10,131	11,947	15,537	15,642

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
01434	PAS Int'l Programs	(7,594)	11,871	0	0
01447	A&S PAS Summer Initiative Fund	1,454	0	0	0
01526	Mathematics Designated Fund	3,031	592	0	0
01549	A&S Urban & Public Affairs	190,809	0	0	0
01573	A&S Facilities	20,333	5,014	9,852	9,852
01579	LAS Program	10,877	9,906	0	0
01580	Belknap Research Infrastructur	1,278	1,316	0	0
01605	Anne Braden Institute	24,307	22,081	0	0
01628	Intercultural Communication In	514	(3,131)	0	0
01629	Ctr.Study of Crime & Justice	15,180	6,793	0	0
01632	Initiatives-Diversity	0	0	35	0
01633	A&S Outreach Programs	16,986	14,354	6,278	6,493
01634	A&S Programs-Diversity	33,284	41,692	6,277	6,492
01635	Intl Assn-Intercultural Comm	25	0	0	0
01638	A&S International Program	136,933	149,300	0	0
01644	A&S Speech Course Pack	1,551	5,323	0	0
01653	A&S Enrollment Pressure	307,814	243,511	0	4,837
01654	A&S ULCGIS	3,828	2,077	0	0
01658	A&S Arts and Culture	22,233	(1,547)	0	0
01660	A&S-Geography MS	142	23,582	(1,212)	(312)
01661	A&S-Communications MA	32	34,401	0	0
01662	MA	0	35,849	(1,212)	(312)
01663	A&S-Sociology PhD Program	5,552	46,252	(1,090)	(1,116)
01664	Physics PhD Program	0	40,000	0	0
01665	BS Atmospheric Science	0	172,991	0	0
01670	A&S American Sign Language	222,655	480,089	161,407	174,669
01940	Grad. Certificate Translation	9,695	4,685	0	0
01999	01999 - Pan-African Ph.D. Program	0	450	0	0
02008	A&S 2014-15 Budget Reduction	0	0	(347,900)	(347,900)
02034	A&S 2014-15 Procurement Credit	0	0	(8,288)	(8,288)
10421	Justice Admin - Continuing Ed	719,291	736,343	892,100	892,100
10424	IESL-Intnsv Engl as Scnd Lang	313,943	304,116	366,000	366,000
10426	Honors Travel Fund	58,588	0	45,000	45,000
10435	SPI Special Fees	28,701	32,310	40,000	40,000
10444	Theatre Arts Project	6,058	5,729	2,000	2,000
10457	20th Century Lit Conference	41,333	52,099	39,400	39,400
10585	Dis Ed - Anthropology	150,502	246,495	174,000	214,000

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Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
10586	Dis Ed - Communications	951,978	1,009,365	762,000	776,000
10587	Dis Ed - Geography	68,625	107,736	81,500	114,000
10588	Dis Ed - Justice Admin	648,876	851,153	635,700	792,000
10589	Dis Ed - Psychology	398,634	400,271	310,000	365,000
10590	Dis Ed - Political Science	130,329	177,287	130,500	140,600
10591	Dis Ed - Sociology	405,462	400,699	380,000	423,500
10592	Dis Ed - A&S Admin	557,308	737,404	545,000	565,000
10598	A&S Urban & Public Affairs	0	0	15,000	20,000
10626	Distance Ed. -PAS	157,301	237,255	200,000	208,000
10630	Dist Ed-Women & Gender Studies	102,070	111,378	110,000	180,000
10643	Dist Ed-Humanities	242,001	348,808	230,000	284,600
10651	Dist Ed-English	103,761	126,565	85,000	85,000
10652	Dist. Ed- Philosophy	203,372	200,718	200,000	233,440
10653	Dist Ed-Fine Arts	162,395	177,237	159,000	177,000
10654	Dist Ed-Class/Mod Languages	705,419	459,161	420,000	470,000
10659	Dist Educ-Theatre Arts	87,472	90,295	61,200	61,200
10672	Distance Ed-Social Change	4,196	3,698	5,000	5,000
10673	Distance Ed-Mathematics	0	0	0	15,000
10674	Distance Ed-Physics	69,352	84,391	64,000	66,000
10683	Ctr for Asain Dem Conferences	708	0	0	0
10691	Mathematics Lab Fees	0	0	2,300	2,400
10693	Chemistry Lab Fees	45,689	56,739	85,000	100,000
10694	Biology Lab Fees	26,338	40,626	50,000	50,000
10695	Urban & Pub Affair Lab Fee	19,452	12,540	13,600	13,600
10709	Chemistry Distance Edu	76,318	127,369	88,000	100,000
10710	Biology Distance Education	251,858	189,405	160,000	197,300
10712	Theatre Arts Lab Fees	0	0	300	300
10713	Physics Lab Fees	3,850	51,041	32,000	36,000
10714	UPA	142,980	163,067	122,000	122,000
10715	Distance Education-History	101,512	168,205	172,000	182,000
10723	Paralegal D.E.	19,535	21,053	21,300	40,000
10731	Panama QLU Program	232,602	204,732	320,500	382,000
10764	ASL Lab Fee	0	0	0	14,000
10767	Interpreter Training Lab Fee	0	0	0	2,200
10785	PAS International Programs	0	(17,087)	0	30,000
10786	Center on Race and Inequality	0	2,185	0	0
10790	D E Peace, Justice & Conflict	0	0	0	9,400

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
10798	Theatre Arts Ticket Revenue	0	0	0	29,000
20003	Select Enrichmnt&Global Profil	0	4,542	0	0
20044	Biomarker Discover Inter Lung	100	3,580	0	0
30237	Lab Fee-Studio Art	3,128	5,419	0	0
30238	Theatre Ticket Sales	(696)	74	0	0
30241	Minority Programs	16,633	15,457	9,746	10,013
30242	A&S-Dean's Disc Fund	134,744	535,000	0	0
30243	College Articulation Program	109,115	112,256	112,728	118,041
30244	Faculty Recruitment-A&S	58,651	36,802	0	0
30245	A & S Henry James Review	17,293	15,921	0	0
30367	Humanities Doctoral Program	3,490	4,014	0	0
30371	Heuser Space Lease	25,776	0	0	0
30387	Masolo Fund	15,312	8,082	0	0
30566	CMHD Chapman	20,265	18,043	0	0
30575	A&S VSIP Reserve	0	0	(211,885)	(568,839)
Total College of Arts and Sciences		60,323,476	62,849,518	60,509,606	60,428,522

College of Business

01150	Business School-Administration	2,515,167	2,876,941	1,734,469	1,402,548
01151	Advising Center-COB	678,177	604,489	617,840	640,159
01153	School Of Accountancy	2,343,708	2,305,486	2,366,053	2,505,326
01154	Dept Of Economics	1,503,587	1,722,397	1,985,810	2,103,274
01155	Management	1,694,292	2,031,061	2,047,768	1,806,459
01300	COB-Technology	112,346	117,798	121,143	127,474
01301	Finance	1,666,840	1,529,223	2,176,228	1,727,701
01302	Entrepreneurship	374,175	545,435	646,849	675,301
01303	Marketing Department	1,465,529	1,507,190	1,559,760	1,356,980
01305	Computer Info Systems	1,760,365	1,832,701	1,851,809	1,723,807
01548	Entrepreneurship PhD	207,377	220,542	248,765	253,082
01554	COB Honors Program	29,597	32,021	0	0
01559	COB Grad Progs Administration	167,421	33,780	55,000	0
01560	Faculty Research Fund	161,020	0	0	0
01569	Equine Education Improvements	615,567	673,813	726,733	748,755
01572	Career Ctr Technology Tools	22,519	25,215	25,000	0
01584	COB TRAVEL FUND	125,397	71,372	0	0
01640	COB Faculty Summer Salaries	297,307	258,647	300,285	319,636
01941	COB Lecturer Salaries	240,230	225,708	210,419	225,866

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Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
02009	COB 2014-15 Budget Reduction	0	0	(107,500)	0
02035	COB 2014-15 Procurement Credit	0	0	(3,913)	0
10436	Equine Steward Accreditation	1,414	6	20,000	20,000
10438	Louis A Grief Tax Institute	52,329	37,989	40,000	40,000
10440	International Center Equine Event Facility Management	0	0	20,000	0
10458	Ky Equine Industry Pari-Mutuel	725,774	60,139	400,000	400,000
10459	Equine Trust Program	0	0	60,000	60,000
10530	Family Business Center	216,848	217,771	213,500	237,200
10597	Dist Ed-COB	0	(49)	0	50,000
10610	Miami/Europe	365,411	369,773	400,000	400,000
10675	Global MBA Program	178,972	126,461	175,000	175,000
10706	Technology-Career Managmnt Fee	332,930	309,171	330,000	330,000
10725	Perm State/Russia MBA Program	3,356	3,562	20,900	0
10727	Dist Ed-Finance	19,702	28,961	60,000	120,000
10741	Dist Educ-CIS	4,681	7,882	60,000	60,000
10758	Dist Educ-MGT	0	35,312	51,000	108,000
10760	Microsoft Office Specialist Exam Prep	0	1,719	30,000	0
10768	Graduate Business Course Fee	0	0	6,000	6,000
10769	Prof MBA Tuition-COB Share	0	38	500,000	500,000
10770	IMBA Tuition-COB Differential	0	1,535	600,000	600,000
10771	MAcc Tuition-COB Differential	0	0	60,000	80,000
10772	Joint MBA Tuition-COB Share	0	0	67,500	67,500
10774	IMBA/MBA Intl Trip Fund	0	0	450,000	450,000
10775	FTMBA Tuition-COB Share	0	25,976	900,000	900,000
10776	Post MBA UL Grad Tuition	0	0	3,000	3,000
10777	Post MBA Non-UL Grad Tuition	0	0	3,000	3,000
10778	Certificate in Accounting	0	0	39,000	39,000
10782	UK-UL Joint Executive MBA Program	0	0	1,012,500	1,012,500
10784	Dist Ed-Economics	0	0	0	70,000
10792	UK Portion of ExecMBA Program	0	0	0	1,012,500
10800	Dist Ed-Accountancy	0	0	0	30,000
30353	Ulmer Career Management Center	357,448	302,150	525,184	320,668
30383	Graduate Business Course Fee	333	1,904	0	0
30490	Prof MBA Tuition-COB Share	413,737	464,445	0	0
30491	IMBA Tuition-COB Differential	326,819	438,875	0	0
30492	MAcc Tuition-COB Differential	34,378	8,075	0	0
30497	Joint MBA Tuition-COB Share	0	24,000	0	0
30502	Student Help-CIS/MGT	10,551	10,218	10,260	10,304

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
30503	Student Help-ACCT/FIN	16,746	11,787	10,260	10,304
30504	Student Help-ECON/MKT	14,788	9,580	10,260	10,304
30542	IMBA/MBA Intl Trip Fund	328,439	318,556	0	0
30548	FTMBA Tuition-COB	583,457	884,185	0	0
30561	Certificate in Accounting	0	15,219	0	0
30564	COB Faculty/Staff Develop Fund	7,313	0	0	0
30576	COB VSIP Reserve	0	0	(84,639)	0
Total College of Business		19,976,049	20,329,056	22,555,243	22,741,648
School of Dentistry					
01199	Dental Administration	1,526,394	1,453,402	1,486,612	1,366,980
01200	Ortho Ped & Special Care	513,675	506,571	512,935	555,566
01201	Mol Cel Cran Biol Sc	166,302	387,181	593,848	589,604
01202	Gen Dentistry & Oral Medicine	2,179,944	2,741,772	2,817,064	3,167,640
01204	Oral Health & Rehabilitation	2,515,001	2,636,377	3,305,995	2,425,436
01205	Surgical & Hospital	1,312,287	1,401,810	1,562,451	1,421,836
01206	Research	131,059	83,477	66,054	15,000
01207	Dental Clinic Supprt	2,500,749	2,339,189	2,286,609	2,414,591
01208	Student Affairs-DTL	512,274	509,959	513,408	541,260
01209	Academic Support	9,428,365	10,276,824	112,443	176,635
01210	Birth Defects Research Center	115,409	307,283	643,182	636,502
01355	Dean Research Program	184,220	213,331	200,000	200,000
01457	Dental Applicat Fees	423	695	0	0
01458	DTL-Dean's Disc Fund	292,737	0	0	0
02010	Dent 2014-15 Budget Reduction	0	0	(93,500)	(33,828)
02036	Dent 2014-15 Procurement Credit	0	0	(20,487)	(20,487)
02063	Oral Immunol & Infect Diseases	0	0	0	1,046,754
10420	Continuing Ed - Dentistry	287,517	284,527	317,000	317,000
10574	Dental Instrument Rental	2,868,728	3,196,613	3,476,000	3,399,000
10575	Sterilizer Service Program	131,610	134,643	170,000	170,000
10687	Grad Ped Dent Residency Fee	42,000	41,286	42,000	56,000
10688	Grad Periodontic Residency Fee	125,442	65,400	63,000	63,000
10689	Grad Endodontic Residency Fee	42,000	69,405	42,000	42,000
10690	Grad Orthodontic Residency Fee	84,000	82,572	84,000	84,000
10751	Grad Prosthodontic Fee	0	0	42,000	42,000
10779	Dist Ed	0	4,082	500	500
10780	Dist Ed - Dental Hygiene	0	596	5,000	5,000
Total School of Dentistry		24,960,135	26,736,995	18,228,114	18,681,989

General Fund Expenditure Summary

2016 Operating Budget

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
College of Education and Human Development					
01159	Education-Administration	805,556	814,680	826,719	1,036,182
01160	CTR FOR MATH & SCIENCE ED	91,492	95,660	178,745	111,958
01162	CEHD Education Research	281,850	371,072	291,695	302,721
01163	Educ & Counsel Psych	1,617,288	1,782,021	1,649,720	1,660,100
01165	Teaching & Learning	1,055,311	1,083,740	1,169,841	1,164,623
01166	EDTL-Mid & Sec. Edu	1,577,515	1,450,621	1,641,669	1,557,076
01167	EDTL-Spec. Educ.	1,019,038	979,792	1,247,044	1,292,535
01168	Min Teach Recruitment Program	94,422		1,679	4,254
01169	Intd Ctr Res Erl Chd	343,911	286,993	308,906	262,224
01170	ED-Academic Advising	1,073,470	1,026,441	1,029,869	1,099,704
01171	JCPS/UL Part Program	20,960	19,394	20,152	21,733
01172	NYSTRAND CTR EXC ED	733,386	791,637	186,949	211,556
01173	ED - Instruction	786,369	730,053	952,104	1,037,304
01174	ED-Academic Support	719,730	653,307	595,574	693,114
01175	Leder.,Fnd.,Hr Ed	1,241,763	1,444,433	1,634,268	1,605,502
01177	HPES	2,371,520	2,527,802	2,406,772	2,383,996
01178	ECPY Clinical Training	3,917	485	4,364	4,364
01179	Ed Resourc & Tech Center	717,795	624,976	407,152	347,268
01227	State Autism Training Center	203,504	167,607	212,131	220,781
01622	Workforce Leadership	80,609	95,336	102,386	90,409
01624	Early Childhood Develop. Ctr	106,233	141,080	204,738	207,039
01667	ED-NRCCTE	32,428	73,608	154,052	0
02011	ED 2014-15 Budget Reduction	0	0	(96,100)	0
02037	ED 2014-15 Procurement Credit	0	0	(4,396)	0
10441	ELFH/GE/Off campus program	38,999	22,037	169,000	200,000
10470	UL/WKU & ELOD/ED.D	50,775	6,992	164,100	0
10593	Dist Ed-EDTL	196,158	212,760	276,600	118,000
10594	Dist Ed-HPES	256,598	427,773	330,000	400,000
10595	DIST ED-ED ADMIN	174,708	124,585	177,400	202,000
10596	Dist Ed-ELFH	676,242	794,610	750,000	880,000
10603	Dis Ed-ECPY	25,479	50,555	49,800	44,800
10668	Workforce Completr Degree Prog	302,256	336,354	389,400	480,000
10707	HSS Course Fee	130,090	115,116	117,000	117,000
10722	Early Learning Campus	1,885,660	1,878,977	1,677,600	1,677,600
10740	WHRE Panam	81,017	101,508	28,800	0
10759	M.S. HR & OLL Ft. Knox	0	30,687	96,000	90,500

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
10788	TEAD field and clinical fee	0	0	0	60,000
10791	Art Therapy Tuition	0	0	0	500,000
10793	Cardinal Success Prg-Off-Site	0	0	0	463,000
30255	Ed-Dean's Disc Funds	7,908	0	0	0
30577	EDHD VSIP Reserve	0	0	(22,318)	(185,710)
Total College of Education and Human Development		18,803,961	19,262,690	19,329,415	20,361,633

School of Interdisciplinary and Graduate Studies

01196	SIGS Administration	822,725	868,278	884,782	912,143
01197	SIGS- Graduate Stipends	2,410,326	2,336,943	2,697,057	2,579,691
01460	SIGS Projects	25,381	37,070	31,169	31,169
01997	SIGS Humanities/Social Sci Buyouts	0	0	40,000	40,000
02012	SIGS 2014-15 Budget Reduction	0	0	(26,000)	(26,000)
02038	SIGS 2014-15 Procurement Credit	0	0	(318)	0
30125	SIGS Admissions	358,601	346,331	433,067	448,402
30522	Interdisc Pgm-Bioethics	70,436	78,474	0	2,430
30523	SIGS - Tuition Match	165,114	142,100	0	0
30534	Sponsored Rsch Tuition Awards	442,178	379,496	0	0
30535	Dissertation Completion Award	78,935	31,320	0	0
30536	Strategic Plan Tuition Awards	545,284	360,926	0	0
30540	Interdisciplinary PhD Tuition	25,370	3,136	0	0
30543	Ethnic Minority Tutition Award	221,531	256,008	0	0
Total School of Interdisciplinary and Graduate Studies		5,165,880	4,840,083	4,059,757	3,987,835

Kent School of Social Work

01180	Kent-Instruction	2,063,487	2,078,989	2,011,592	1,604,126
01349	KNT Sch Adm/Student Services	187,060	184,907	183,778	197,421
01350	KNT Sch Field Instruction	239,145	256,648	158,600	111,963
01351	Kent Administration	487,301	632,986	570,722	802,782
01352	Kent - Assoc Dean Res	268,237	278,023	283,108	290,379
01353	Jnt Soc Work Phd Program	487,739	427,914	498,039	493,721
01354	Family Therapy Program	708	0	0	0
01468	Kent Discretionary	20,678	20,092	0	0
01614	Kent School-BSW Instruction	563,408	560,317	612,513	677,079
01679	KNT Social Work Oncology	96,518	114,334	93,899	92,297
01956	2012-13 Budget Reduction	0	0	(62,400)	(62,400)
02013	KNT 2014-15 Budget Reduction	0	0	(27,400)	0

General Fund Expenditure Summary

2016 Operating Budget



Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
02039	KNT 2014-15 Procurement Credit	0	0	(784)	0
10529	Kent School Workshops	28,693	24,616	29,100	50,500
10644	Dist Ed-Kent Admin	6,758	56,907	61,800	175,000
10645	Dist Ed-Kent Instruction	215,651	448,737	693,000	1,100,000
30373	Kent Technology Fund	20,771	34,083	0	0
30391	Credit For Learning Tuition	0	0	0	5,034
30462	Kent School Oncology Initiativ	21,399	42,530	0	0
30501	Kent School-Research Admin	(600)	0	0	0
30521	KNT 2008 Weber Award	5,927	6,703	0	0
30580	KNT VSIP Reserve	0	0	(12,952)	(20,397)
Total Kent School of Social Work		4,712,881	5,167,785	5,092,615	5,517,505
Brandeis School of Law					
01182	Law Administration	1,134,867	525,361	710,111	704,568
01183	Law - Instruction	3,774,879	3,836,635	4,328,672	4,202,159
01270	Law Technology Fund	223,544	257,100	274,660	265,726
01356	Law School Career Services	145,024	177,468	160,587	197,463
01357	Law School Admission	189,356	219,633	239,600	245,548
01359	Law - Hlth Serv Center	146,211	150,267	153,030	158,748
01360	Law & Entrepren Program	107,140	132,341	108,848	113,443
01473	Law-Dean's Disc Fund	(830)	0	0	0
01545	Law Public Service Program	36,903	0	0	0
01908	Law Budget Reduction	1,654	622	0	0
01945	Law Clinic	104,941	107,154	66,958	70,817
01946	Law Resource Center	25,578	143,999	144,896	196,881
01947	Moot Court	35,010	42,709	0	0
01988	Law-Student Life	6,755	186,646	152,722	182,155
01989	Law-Communications	678	26,076	25,313	101,244
02007	Law School Reallocation Pools	0	0	0	132,167
02014	Law 2014-15 Budget Reduction	0	0	(56,900)	0
02040	Law 2014-15 Procurement Credit	0	0	(1,604)	0
10519	Labor Law & Estate Planning	43,749	35,803	30,000	30,000
10576	U of L Law Review	22,452	18,325	15,000	15,000
10664	Law Journal Annual Symposium	0	0	5,000	5,000
10681	CLE Programs School of Law	0	0	5,000	5,000
10703	Law School Technology Fees	52,994	25,092	40,000	40,000

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
10708	Distance Education-Law	4,431	8,862	5,000	5,000
10711	Law School Orientation Fee	8,855	7,753	9,000	9,000
30581	LAW VSIP Reserve	0	0	(33,774)	(222,818)
	Total Brandeis School of Law	6,064,188	5,901,846	6,382,119	6,457,101

University Libraries

01103	Health Sciences Library	1,423,840	1,525,096	1,525,252	1,525,252
01104	Kersey Scientific Library	0	378	0	0
01105	Music Library	135,111	131,667	131,009	131,009
01106	University Archives	13,441	20,942	11,753	11,753
01107	Oral History	2,462	2,282	3,006	3,006
01184	Law - Library	2,599,422	2,220,920	2,493,283	2,531,082
01361	University Libraries	13,162,161	13,970,866	13,962,804	13,837,282
01475	Library-Dean's Disc Fund	710,000	0	0	0
02015	LIB 2014-15 Budget Reduction	0	0	(97,700)	0
02041	LIB 2014-15 Procurement Credit	0	0	(1,693)	(1,693)
10577	Photographic Archives	12,306	14,113	21,000	0
10602	DIST ED-LIBRARY	557,275	466,134	650,000	850,000
30582	LIB VSIP Reserve	0	0	(47,068)	(190,934)
	Total University Libraries	18,616,017	18,352,398	18,651,646	18,696,757

School of Medicine

01212	Anatom Sc & Neurbiology	2,747,765	2,512,003	2,443,385	2,434,326
01213	Biochemistry	2,166,824	2,158,994	2,203,077	2,063,823
01214	Microbiology	1,222,977	1,276,905	1,293,919	1,334,725
01215	Pharmacology	2,115,573	1,745,790	1,697,319	2,131,517
01216	Physiology	2,123,736	2,451,399	2,134,983	2,258,132
01217	Pathology	1,241,453	1,204,176	1,487,184	1,537,835
01218	Anesthesiology	842,389	1,080,453	1,122,445	1,166,850
01219	Family/Community Medicine	1,658,515	1,539,381	1,468,051	1,495,599
01220	Emergency Medicine	203,167	204,725	204,922	188,572
01221	Medicine	3,371,758	3,246,789	3,476,881	3,390,698
01222	Cardiovascular Disease Rsch	334,014	340,938	222,966	224,729
01223	Obstetrics And Gynecology	1,228,416	996,100	1,084,394	1,052,744
01224	Neurology	1,154,595	917,723	914,957	982,310
01225	Ophthalmology	1,190,087	1,184,472	1,125,599	1,166,666
01226	Pediatrics	1,358,223	1,200,434	1,235,659	1,249,014

General Fund Expenditure Summary

2016 Operating Budget

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
01228	GMMR-Pediatrics	73,294	74,582	74,978	78,450
01229	GMMR-McFerron Chair	2,063	2,085	2,471	2,666
01230	Psychiatry & Mh	1,675,094	1,663,373	1,671,492	1,703,904
01231	Diagnostic Radiology	866,009	1,162,520	1,070,034	1,095,608
01232	Radiation Oncology	297,345	354,724	329,238	273,980
01233	Surgery	2,200,994	2,013,141	1,975,664	1,917,853
01234	AHES-Surgery Training	39,576	22,484	31,633	25,976
01235	Orthopedic Surgery	362,071	323,239	344,872	219,776
01236	Ky Afr American Against Cancer	7,880	7,845	7,932	7,879
01237	Oncology Res Som	234,120	247,491	249,803	255,368
01238	Cancer Center	104,138	107,834	107,635	112,313
01240	Research Resource Center	842,606	781,088	856,942	945,237
01241	Biomedical Engineering	48,189	14,635	(20,465)	(20,465)
01242	Ky Residency Program	3,844,264	3,143,126	3,343,206	3,357,593
01243	Neurological Surgery	162,274	227,050	251,896	268,633
01244	Neuroscience Research	173,004	167,449	168,998	172,983
01246	Rural Health Care	797,882	701,940	747,022	742,073
01247	AHES-HSC	880,672	789,419	814,509	772,342
01402	Gen & Mol Med Research	87,363	92,519	179,455	158,207
01403	Medical Administration	1,523,099	1,954,914	428,545	474,393
01404	Ambulatory Care Building - Academic Use Fee	0	0	66,000	66,000
01405	Cancer Center Usage Fee	0	0	89,800	89,800
01406	Bequeathal Program	55,483	44,651	25,000	24,834
01407	Ophthalm & Visual Science	127,166	136,427	124,434	124,952
01408	Audiology	459,743	449,314	531,154	541,878
01409	Kentucky Cancer Program	815,503	829,212	712,402	731,320
01410	Cancer Outr W Loui Program	107,363	124,633	108,128	102,815
01411	Breast Cancer Research	144,890	153,316	148,634	153,139
01412	Prim Cr Res Prg Glasgow	1,417,491	1,385,340	1,331,695	1,350,683
01413	Integrated Prog-Biological	631,277	655,077	679,636	695,858
01436	Inst. For Bio, Health&Law	208,266	174,892	234,273	247,395
01478	Neuroscience Training	68,315	96,280	76,327	79,484
01521	Kidney Disease Ctr Jump Start	38,706	40,344	41,190	43,809
01565	Weiskopf CEC Autism Service	15,065	14,923	14,936	15,071
01575	Department Of Urology	118,993	97,530	123,063	113,995
01582	Cardiology Research Initiative	1,013,296	780,680	860,893	849,354
01610	Cardiovascular Innovation Inst	516,941	459,624	539,524	547,447

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
01657	Speech Pathology	196,816	256,442	195,599	217,241
01659	Microbiology-CPM/BSL Faculty	597,951	457,126	477,335	462,547
01983	Peds-Child Psychiatry	138,617	245,172	241,414	247,810
01992	MR Research Facility	2,060	316,416	0	0
01998	Pediatrics Neonatal	0	533,120	540,892	456,240
02001	General Funds-Contracts	0	954,903	1,644,244	1,437,300
02002	Infectious Disease	0	0	19,175	18,102
02005	Cardiothoracic Surgery	0	0	254,855	263,384
02016	MED 2014-15 Budget Reduction	0	0	(274,900)	0
02042	MED 2014-15 Procurement Credit	0	0	(95,213)	(95,213)
10520	Continuing Education-Medicine	274,670	280,991	213,000	160,000
10628	Distance Education-Pharmacology	0	1,802	7,200	3,500
10697	Medical School Technology Fee	286,531	577,248	310,000	325,500
10732	IT-Brown Cancer Center	(20)	(9,195)	0	0
10752	Visiting Med Student Fee	4	17	2,600	600
20009	Tweak/FN14 Sys Musvular Astrop	9	2,263	0	0
20010	Prim Strategy of Hema StemCell	0	213	0	0
20011	Small Molecul Inhibitors Mutag	0	1,767	0	0
20012	MG53-Mediated Mem Repair Cardi	0	1,385	0	0
20015	Mini-Swine Model Retinitis Pig	0	20	0	0
20016	Procathepsin D Breast Cancer	(573)	0	0	0
20019	Understand&Predict Cancer Risk	0	87	0	0
20020	Bioenerg Regul Hum Cardiac Cel	0	1,699	0	0
20021	Target Therapy Cancr treatment	0	9	0	0
20023	Novel Molecular Diagnostic ID	0	7	0	0
20024	Leukotriene B4 Receptor-Disease	0	(113)	0	0
20025	Characterization Dual MIF/D-DT	0	129	0	0
20028	Urinary Biomarkers Renal Dis	(2,319)	0	0	0
20029	Method-Diag Inflam Bowel Dis	6,450	1,546	0	0
20031	Hepatic Targeted Liposomes	0	(65)	0	0
20034	Hip Fracture Prevention Tech	0	8,859	0	0
20039	MRI of the Insulin Cardimeta	106,638	5,420	0	0
20041	VAMP3 New Drug Metastatic Mel	0	123	0	0
20042	Novel Composition Pan-Oncogenc	0	63	0	0
20043	Combination Diagnostc Test	0	522	0	0
20045	Therapeutic Modulatn MicroRNA	0	7,350	0	0
20046	Dev Prognstc Sys Gene Signatur	0	2,287	0	0

General Fund Expenditure Summary

2016 Operating Budget



Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
20047	Discvr Biomrk Resp Viral Infec	(479)	0	0	0
20049	Nov Treatmnts Neuro Auto Immun	(3,962)	0	0	0
20050	Inhibit Err-prone DNA Polmeras	0	(576)	0	0
20051	Cell Therapy Cardiac Failure	0	37	0	0
20052	Target TRAF Autoimmune Disease	0	198	0	0
20053	Cancer Stem Cell Consortium	4,379	0	0	0
20054	Validated Animal Model	0	33,227	0	0
20055	Light-Absorbing Nanoparticles	0	1,301	0	0
20057	Development of the Soy Peptide	0	2,467	0	0
20058	Quadruplex-Targeted Molecules	8	2,938	0	0
20061	Identfy Predct Biomrk Brst Can	0	9,172	0	0
20062	FcRX Treat Red Blood Cells	13,795	4,557	0	0
20063	CXCR Target Chemotherape Agent	252	9,688	0	0
20065	Proteomic Biomarks Hepatic Hem	0	21	0	0
20066	Innate Immune Respon Predict	0	3,225	0	0
20068	Target Cancer Metabolism	6,733	37,962	0	0
20069	High Fructose Feed Coppr Def	(4,747)	0	0	0
20070	A Novel Method Creation Induce	(425)	0	0	0
20073	Role of Potato Virus Y Nuclear	(410)	(23)	0	0
20074	Gut-Derived Toxin & Non-alcoh	10,413	0	0	0
20075	Keller, Bradley	145	22,726	0	0
20076	A Novel Genetc Markr Color Can	2,484	896	0	0
20077	Promo of Tumor Cell Apoptosis	0	36	0	0
20078	Inflatable Double Wall Balloon	0	20	0	0
30473	RWJ Summer Med/Dent Ed Fin Aid	44,126	49,496	0	0
30524	Post Bac Pre-Med Cert Program	101,140	165,818	0	4,142
30539	T/R RWJ Out of State Tuition	79,910	82,981	0	0
30547	Faculty Release Funds	107,712	94,632	0	0
30551	Autism SD Family Support Proj	0	3,075	0	0
52004	CRIF-Inst Molecular Cardiology	0	0	0	1,235
H0004	Hospital Biochemistry	169,362	153,757	162,271	163,940
H0005	Hospital Microbiology	563,457	488,653	501,394	508,653
H0006	Hospital Pharm & Toxicology	0	949	0	0
H0009	Hospital Anesthesiology	426,326	398,106	428,085	432,054
H0012	Hospital Family Practice	556,755	535,636	551,741	488,916
H0013	Hospital Emergency Med	575,233	585,503	619,829	615,248
H0015	Hospital-Medicine	1,685,842	1,053,562	1,453,679	1,397,693
H0019	Hospital Ob/Gyn UL	38,263	38,147	37,622	37,336

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
H0020	Hospital Neurology	161,439	751,553	585,817	605,239
H0025	Hospital Research Services	1,368,989	1,412,586	1,450,912	1,844,342
H0028	Hospital Administration	790,962	499,865	1,733,068	1,421,723
H0029	Hospital Renovations Account	177,084	91,767	150,000	150,000
H0034	Hospital Int Pgm In Biomed Sc	87,637	96,897	96,417	88,554
H0039	Hospital-Gen Clinic Res Ctr	42,792	82,585	96,687	25,722
H0040	Hospital Neuroscience Training	53,343	91,694	174,106	152,263
H0043	Hospital Curr Dev & Evaluation	0	117	0	0
H0044	Hospital-Biochemistry	456,766	266,083	491,294	457,041
H0046	Biomedical Eng/Hospital Assco	485,219	534,907	515,622	595,884
S9012	Genomics Facility	0	0	0	6,493
Z1635	Peds-KCH Transplant Support	11,501	8,499	0	0
Total School of Medicine		52,538,805	52,616,334	53,043,435	53,513,632

Metropolitan College

01347	Metropolitan College - U of L	240,250	240,250	240,250	240,250
Total Metropolitan College		240,250	240,250	240,250	240,250

School of Music

01185	Music-Academic Support	9,997	10,424	10,000	10,000
01186	Music - Administration	1,300,484	1,288,940	1,348,296	1,389,819
01187	Music - Instruction	971,498	967,399	1,048,632	767,532
01268	Music - Education	303,642	310,605	315,976	5,107
01363	Music Instr Replacement & Rent	37,122	40,144	39,125	5,125
01364	University Chorus	8,199	1,901	0	0
01365	Music - Applied Studies	1,426,872	1,475,751	1,496,662	10
01366	Music - Instrumental	198,489	216,480	213,825	119
01367	Music-Theory/Composition	439,112	462,214	440,798	2,000
01368	Music History	226,189	234,582	386,144	49,787
01369	Division of Bands	161,414	133,367	125,889	30
01370	Marching Band	285,686	267,639	169,622	154,231
01480	Afr Amer Hert Mus In	12	1,462	0	0
01481	L Fees,Unclm Key Dp	46	0	0	0
01482	Faculty Recruit-Music	6,400	17,204	2,000	2,000
01581	Jazz Studies	374,001	395,473	383,509	1,098
01960	2012-13 Budget Reduction	0	0	(118,200)	(44,200)
01990	Academic & Professional Studies	0	0	0	1,122,039
01991	Performance Studies	0	0	0	2,080,042

General Fund Expenditure Summary

2016 Operating Budget

Program Number		FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
02017	Music 2014-15 Budget Reduction	0	0	(37,000)	0
02043	Music 2014-15 Procurement Credit	0	0	(2,213)	0
10427	Community Music Program	171,456	185,280	200,000	200,000
10428	Non-credit Dance	108,587	106,077	111,000	107,000
10700	Recital Fees	0	0	2,000	2,000
10701	Music Non-Major Fees	0	0	2,500	8,700
10702	Music Major Fees	75,578	49,500	105,000	109,000
10736	Distance Education Music History	0	0	12,371	51,400
10737	DE for Music Administration	0	500	5,000	6,730
10757	Music Therapy	20,443	(3,676)	20,000	20,000
30585	MUS VSIP Reserve	0	0	(19,329)	(187,913)
39086	Music - Discretionary Funds	27,839	24,066	0	0
Total School of Music		6,153,067	6,185,332	6,261,607	5,861,656
School of Nursing					
01250	Nursing Administration	725,397	804,102	665,973	685,786
01251	Nursing-Education	2,605,690	2,728,372	3,014,367	2,956,132
01371	Nursing Oncology Res	87,103	97,277	180,180	176,447
01484	Nursing-Dean's Disc Fund	616,089	550,583	1,479	51,472
01529	Nursing Research	475,665	471,438	485,259	569,305
01534	Nursing Academic Affairs	571,082	728,640	774,993	804,064
01641	Learning Resource Ctr-SON	1,357	5,073	5,000	5,000
01642	Office of Student Services-SON	9,566	14,914	10,000	10,000
01961	2012-13 Budget Reduction	0	0	(90,677)	(90,677)
02018	Nursing 2014-15 Budget Reduction	0	0	(34,100)	0
02044	Nursing 2014-15 Procurement Credit	0	0	(758)	0
10600	Dist Ed-Nursing Admin	0	0	42,000	33,700
10601	DIST ED-NURSING	274,586	203,348	378,000	334,195
10686	NUR U/G Clinical Lab Fee	37,841	14,147	0	0
10705	Graduate Nursing Clinical Fee	12,525	18,946	13,000	13,000
10730	NUR U/G Clinical Lab Fee-OMHS	(8,190)	0	0	0
10748	Instructional Course Fee	0	0	366,500	366,500
10749	Nursing Clinical Course Fee	36,353	70,402	146,000	146,000
10750	Acute Care NP Cln Course Fee	0	0	1,000	1,000
30352	Dis Ed-NUR Undergraduate	50	0	0	0
30533	Owensboro Medical HEA Systems	448,692	810,661	110,939	131,157
H0026	Hospital Nursing School	129,830	68,069	157,094	155,948
Total School of Nursing		6,023,636	6,585,971	6,226,249	6,349,029

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Other Academic Programs					
01014	Delphi-Credit Course Support	324,504	334,591	340,254	345,064
01176	Fort Knox Program	154,490	144,014	180,241	186,942
01298	University Honors Program	583,844	592,610	652,393	680,987
01307	Delphi - Telecourses	69,201	74,274	76,746	80,194
01333	Delphi - Technology	416,970	426,120	413,334	410,820
01336	Faculty Personnel	90,515	308,635	100,000	100,000
01513	WUOL FM Radio	72,737	40,010	72,737	72,737
01620	QEP Ideas to Action	515,127	458,105	540,178	564,110
10417	Delphi-Professional Developmnt	695,670	682,716	1,000,000	1,000,000
10445	GSH & Rauch Planetarium	281,508	252,534	320,800	383,600
10456	Delphi-Conference & Facilities	686,532	812,283	1,000,000	1,000,000
10461	Delphi - General & Admin	505,369	562,738	668,300	672,800
10524	Delphi - Online	2,916,546	3,467,104	3,652,600	5,123,200
10614	Delphi - Options	176,174	119,181	176,500	176,500
30126	Delphi - Teaching & Learning	155,545	173,027	165,767	170,425
30587	OAP VSIP Reserve	0	0	(18,146)	0
Total Other Academic Programs		7,644,734	8,447,941	9,341,704	10,967,379
School of Public Health and Information Sciences					
01248	Public Health	989,792	614,106	642,764	665,074
01249	Ctr Hlth Srv Pol Res	289,565	292,954	316,069	327,180
01437	Epidemiology & Population Hlth	817,862	911,458	947,549	846,284
01438	Bioinfor. And Biostats	778,758	534,884	771,271	739,539
01439	KY Healthcare Infrastructure	194,685	195,019	203,152	310,053
01440	Env And Occ Hlth Sci	574,309	703,278	722,679	731,207
01441	Hlth Promo and Behavioral Sci	176,212	522,273	618,943	729,694
01607	Health Mgmt and System Science	277,801	83,518	99,247	103,594
02019	SPHIS 2014-15 Budget Reduction	0	0	(27,000)	0
02045	SPHIS 2014-15 Procurement Credit	0	0	(649)	0
10696	SPHIS Technology Fee	35,303	17,162	23,000	19,830
10789	SPHIS CPH exam fee	0	0	0	2,000
20018	Develop&evaluate degrad Polymr	0	69	0	0
30532	SPHIS Summer MPH Practicum AID	19,069	29,672	0	0
30562	SPHIS 7 State Tuition	77,728	133,476	0	0
H0031	Hospital Sch Of Public Health	235,780	236,087	239,505	241,550
H0035	Hosp Health Promo & Behav Sci	248,021	182,494	256,337	253,123
H0045	Health Mgmt & Systems Science	223,379	245,429	243,403	246,229
Total School of Public Health and Information Sciences		4,938,264	4,701,879	5,056,270	5,215,357

General Fund Expenditure Summary

2016 Operating Budget

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
J.B. Speed School of Engineering					
01133	SPD Administration	1,078,026	919,435	1,064,927	1,091,167
01135	SPD-Business Center	306,319	296,613	636,534	509,261
01136	Chemical Engineering	1,270,074	1,270,019	1,486,307	1,651,524
01137	Civil & Env Engineering	1,352,867	1,386,229	1,445,483	1,511,552
01138	CECS	2,194,820	2,104,215	2,243,364	2,328,328
01139	Mechanical Engineering	2,434,678	2,518,387	2,656,519	2,763,985
01140	ECE	2,378,744	2,639,554	2,582,076	2,505,255
01141	SPD-Office of Academic Affairs	961,028	1,441,429	1,609,957	1,681,342
01142	SPD - Eng. Fundamentals	1,646,920	1,322,472	1,306,155	1,312,145
01144	Industrial Engineering	1,418,165	1,566,430	1,351,889	1,524,811
01145	Center for Ergonomics	82,032	108,518	108,727	112,307
01146	SPD Interdispln Program	181,506	296,932	597,349	504,575
01147	Ky Pollution Program	43,077	33,537	41,537	43,085
01148	Vogt Engineering Center	248,568	162,735	284,083	297,249
01149	SPD Rapid Prototyping	106,455	119,722	0	0
01373	SPD-Grad Stip & Tuition	45,502	0	0	0
01374	CHE Sum Res/Tech	56,767	5,404	0	0
01375	Civ Eng Sum Res/Tech	161,713	15,181	0	0
01376	Mech Sum Res/Tech	73,174	5,941	0	0
01381	SPD-Information Technology	245,617	550,781	485,930	595,611
01383	Eng Ed Enhance Prog	59,857	31,755	300,000	300,000
01384	SPD-Logistics & Dist	156,719	61,574	199,465	216,853
01385	Bio-Engineering	1,175,167	1,295,256	1,483,885	1,542,272
01442	IE Sum Res/Teach	117,483	15	0	0
01443	ECE Sum Res/Tch	142,568	15,484	0	0
01445	CECS Sum Res/Teach	104,072	18,713	0	0
01488	SPD Faculty Recruit	16,290	10,034	0	0
01530	SPD-Lutz Microfabrication L.	191,549	246,237	198,765	162,807
01533	SPD-Electrooptics Inst	47,692	66,991	20,498	21,540
01535	The Stream Institute	132,733	151,879	174,669	181,547
01536	SPD-Ctr for Transportation	343,245	174,308	201,969	0
01555	SPD-Bio Sum Res/Teach	72,213	0	0	0
01609	SPD-Inst Advanc Mtrls-Renew En	644,498	940,928	1,068,646	1,084,777
01930	Conn Center	14,453	90,834	0	0
02020	Spd 2014-15 Budget Reduction	0	0	(134,200)	(134,200)
02046	Spd 2014-15 Procurement Credit	0	0	(7,807)	0

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
10460	Partners Pollution Prevention	520,248	252,727	360,000	360,000
10571	Food Process Prgm	34,532	8,239	0	0
10581	Center for Infrastructure	194,022	20,292	75,000	75,000
10617	Dis Ed-Speed Dean's Office	2,358	21,640	45,000	100,000
10649	Dist Ed-SPD Comp Engr/Science	116,511	113,423	120,000	159,000
10658	Dist Educ-Civil & Environ Engr	0	90,871	48,300	53,800
10679	SPD-IE German Ph.D Program	53,850	13,535	0	0
10680	SPD-Panama MEEM Program	121,368	167,065	100,000	172,000
10698	Spd-Cleanroom Lab Fee	0	0	1,500	1,500
10699	Spd-Technology Fee	107,078	109,275	131,000	131,000
10719	Dist Educ-SPD Industrial Engr	20,198	96,854	110,000	252,000
10729	SPD-Biomedical Microfluidics	1,254	1,125	5,000	5,000
10733	Dist Educ-SPD Mechanical	4,442	9,743	17,000	17,000
10738	Distance Education SPD Mechanical	0	0	5,000	5,000
10746	SPD-GE Edison Program	160,284	254,101	302,400	302,400
10755	Dist Educ-SPD Chemical	3,276	7,235	10,000	10,000
10783	Spd-Dist Education	0	0	16,500	20,000
10797	SPD Administration	0	0	0	90,000
20004	Chitosan Coated Gold Nanopartic	0	983	0	0
20005	High Resolution Dielectric Img	0	2	0	0
20006	Next Generation RFID Telemetry	0	285	0	0
20026	Phasic Left Ventricular Asst	0	6,413	0	0
20030	Reengineer Aged Bruchs Membrn	0	10,956	0	0
20032	An Improvd & Pack KnudsenPump	0	2,100	0	0
20035	A Micro-Preconcentratn Method	0	13	0	0
20036	Repid Detection&Profil Can Cel	0	499	0	0
20037	Development Catalyst & Process	0	1,976	0	0
20040	Measuring Peak Blast Wave Pres	4,311	2,749	0	0
20056	Non-Invasive Determin Intravas	1,848	5,129	0	0
20072	Developmnt of Econo Pressure	0	532	0	0
30089	WKU/UofL Eng Program	123,009	174,094	115,943	119,097
30282	RR-Chemical Engineering	41,194	34,202	0	0
30283	RR-CEE Engineering	29,061	101,731	0	0
30284	RR-CECS	56,649	48,280	0	0
30285	RR-Mechanical Engineering	27,713	42,387	0	0
30286	RR-ECE	46,063	125,490	0	0
30287	RR-Industrial Engineering	35,178	45,061	0	0

General Fund Expenditure Summary

2016 Operating Budget



Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
30288	SPD Cost Sharing	172,935	163,130	0	0
30289	SPD Research Incentive Fund	59,001	359,448	0	0
30290	SPD Dean's Discretionary Fund	0	8,737	0	0
30361	SPD Enhancement Fund	4,798	114,320	0	5,001
30389	SPD-Bio Res Release	157,394	282,320	0	0
30399	SPD-Res Adm Research Release	22,699	44,326	0	0
30498	Spd-Eng. Fund Research Rel.	24,219	77,424	0	0
30589	SPD VSIP Reserve	0	0	(84,263)	(527,018)
S0097	SPD Huson Nanotechnology Facility	0	0	0	23,443
Total J. B. Speed School of Engineering		21,650,085	22,686,254	22,785,107	23,182,016
Undergraduate Affairs					
01195	UGA Administration	1,105,788	902,871	953,255	944,266
01308	REACH Learning Resources	645,400	648,173	778,568	743,286
01310	Reach	1,029,230	851,486	785,959	824,061
01312	Undergraduate Initiative	29,242	69,391	99,936	71,510
01538	Gen Ed Assessment	90,769	42,342	58,198	58,380
01539	Freshman First Year Initiative	294,569	285,630	322,749	338,501
01540	Office of Advising Practice	495,852	498,536	576,303	588,616
02021	UGA 2014-15 Budget Reduction	0	0	(27,100)	0
02047	UGA 2014-15 Procurement Credit	0	0	(5,701)	0
10504	Reach Virtual Math Center	12,674	15,585	12,700	12,700
30590	UGA VSIP Reserve	0	0	(49,061)	0
Total Undergraduate Affairs		3,703,523	3,314,014	3,505,806	3,581,320
Vice President Athletics					
30630	Ath Promo & Marketing	0	0	0	51,145
Total Vice President Athletics		0	0	0	51,145
Mandatory Transfers (Debt Service)					
01517	CEBRB Series M	4,981,200	4,721,550	2,287,702	1,984,326
01566	CEBRB Series P	319,118	322,488	320,313	317,752
01611	2007 General Receipts Series A	2,981,700	2,981,200	2,983,000	2,982,000
01656	2008 General Receipts Series A	6,457,025	6,456,825	6,456,325	6,460,225
01677	2010 Gen Receipts Series A & B	2,734,244	2,733,245	2,732,018	2,730,512
01682	2011 General Receipts Series A	2,641,550	2,643,325	2,643,150	2,640,650
01944	2012 General Receipts Series A	1,718,969	1,717,800	1,725,249	1,720,249
40034	Housing Series E	357,988	356,125	0	0
40038	Housing Series F	969,728	80,494	0	0
Total Mandatory Transfers (Debt Service)		23,161,520	22,013,052	19,147,757	18,835,714

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Enrollment Management					
01026	Enrollment Management	168,587	260,111	203,710	173,911
01027	Commencement	279,679	271,377	300,000	300,000
01028	Admissions	2,357,699	2,459,999	2,217,519	2,339,698
01029	Minority Recruitment	242,718	218,661	250,746	313,851
01030	Admissions Publications	156,195	148,455	82,482	82,482
01031	Registrar's Office	1,062,163	1,110,166	1,220,646	1,229,446
01032	Financial Aid Administration	1,770,261	1,842,197	1,822,561	1,932,038
01309	ULtra	313,957	189,941	305,048	267,461
01495	Univ Catalogues & Bulletins	4,319	(15)	0	0
02033	EMO 2014-15 Budget Reduction	0	0	(41,400)	0
02059	EMO 2014-15 Procurement Credit	0	0	(2,803)	0
10478	Orientation Fees	557,785	533,651	431,000	431,000
10479	University Testing	190,518	208,384	180,300	211,100
10480	Diploma Replacement	4,104	4,898	4,000	4,000
10637	Veterans Student Services	(3,998)	(5,806)	4,600	4,600
10638	Pell Administrative Allowance	25,985	25,350	27,000	27,000
10655	Orientation Offset	30,000	30,000	30,000	30,000
10685	AP Summer Institute	119,090	101,989	155,000	155,000
30607	EMO VSIP Reserve	0	0	(50,594)	0
Total Enrollment Management		7,279,061	7,399,357	7,139,815	7,501,587
Executive Vice President Health Affairs					
01198	Executive VP-Health Affairs	599,735	992,471	572,753	585,262
01401	Hosp Clinical Subsidy	1,900,000	1,900,000	1,790,000	1,790,000
01463	QCCT Rent	0	0	1,500,000	1,500,000
01647	Bio-Safety Lab Vivarium Opns	282,619	224,056	222,014	222,765
01652	KOH Clinical Trials Unit	390,466	387,552	401,971	372,844
02004	VSIP Holding	0	0	1,312,487	1,043,437
02024	EVPHA 2014-15 Budget Reduction	0	0	(6,100)	0
02050	EVPHA 2014-15 Procurement Credit	0	0	(734)	(734)
10579	Campus Health Services	3,820,884	4,668,385	4,070,100	4,070,100
10678	Campus Health-Promo/Educ Svcs	266,408	268,346	302,300	302,300
30478	PEACC Program	145,692	173,260	141,382	153,968
30611	UMC, Inc Additional Rent	0	1,080,548	0	0
H0048	Hospital-EVP Health Affairs	1,467,244	1,463,857	1,497,340	1,492,053
HA02A	KY One Cardiology	0	20,662	0	0
Total Executive Vice President Health Affairs		8,873,047	11,179,136	11,803,513	11,531,995

General Fund Expenditure Summary

2016 Operating Budget

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Executive Vice President for Research and Innovation					
01016	Research Admin Operating	912,741	995,631	1,030,046	1,086,240
01391	Research Administration	971,994	616,852	828,290	889,765
01392	VP Res Matching Funds	1,650,785	1,503,463	1,845,427	1,884,469
01393	Research Support	0	0	144,297	140,004
01394	Sponsored Programs Dev	457,152	616,268	500,291	451,100
01395	Grants Management OP	1,162,719	1,057,761	1,207,021	1,202,820
01396	KIESD Office - Operating	117,913	117,560	125,510	126,526
01397	LODI	341,634	236,424	320,300	315,399
01398	Technology Transfer Office	1,059,318	1,054,835	1,190,673	1,176,237
01399	Industry Contract - Operating	1,081,307	866,892	945,430	610,167
01400	Research Integrity	258,230	315,579	374,455	371,075
01425	Grants & Contracts Accounting	684,536	590,929	641,913	643,092
01564	Research Faculty Initiatives	300,000	0	157,071	157,730
01645	Ctr F/Predictive Medicine-BSL	1,108,597	996,964	1,070,756	1,048,436
01937	Export Control - Operating	104,632	110,729	126,845	128,719
02025	Exec VPR 2014-15 Budget Reduction	0	0	(77,800)	0
02051	Exec VPR 2014-15 Procurement Credit	0	0	(5,283)	0
02061	Office of Industry Engagement	0	0	0	335,890
30537	CPM Start up Funds	104,551	53,928	47,981	50,657
30602	EVPRi VSIP Reserve	0	0	5,645	(67,651)
30631	EVPRi Structural Indemnity	0	0	0	131,447
55000	Research Council Grant	1,815,670	2,482,341	0	0
Total Executive Vice President Research and Innovation		12,131,778	11,616,153	10,478,868	10,682,122
General Institutional Expenses					
30509	Program Budget Overhead Charge	(3,444,551)	(3,244,972)	(3,360,313)	(3,360,313)
X0001	Audit Fees	71,155	92,949	75,000	75,000
X0002	Tax Consulting Fees	1,500	1,700	2,100	2,100
X0003	Banking Expense	8,166	7,823	11,500	11,500
X0005	Bad Debt Expense	1,872,284	399,664	2,100,000	2,100,000
X0021	Budgetary Control	2,170,400	0	0	0
X0025	Student Accounts Receivable	(3,837)	(3,015)	0	0
X0030	Payroll Overpayments	0	1,409	0	0
X0037	Mandatory Student Meal Plan	(60)	60	0	0
X0045	Vehicle Tow Charges	(3,961)	1,340	0	0
X0076	Pay Taxes Prior Year	0	15,798	0	0

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
X0271	Tuition Remission-Fac/Staff	333,970	333,970	333,970	333,970
X0272	Employee Direct Bill (Grants)	119,384	119,384	119,384	119,384
X0273	Health South Bldg-Investment	62,701	2,225,872	0	0
X0520	Insurance	1,465,782	1,781,545	2,288,850	2,288,850
X0521	Columbia Settlement	0	0	162,000	162,000
X0522	Small Claims	325	755	4,200	4,200
X0523	Workman's Compensation Grants	359,300	359,300	359,300	0
X0525	Unemployment Comp. (Grants)	23,800	23,800	23,800	0
X0527	Pre Employ Physical	2,089	1,183	0	0
X0529	Flex Spending	58,135	65,206	82,000	82,000
X0531	Empl Assistance Ref Program	52,115	0	58,875	0
X0532	Faculty/Staff Directory	16,852	14,787	18,000	18,000
X0533	Athletic Subsidy Student Fees	1,926,432	1,960,346	1,401,000	1,401,000
X0535	Bond Trustee Fees	28,453	30,983	54,000	54,000
X0538	Medical Center Fee	30,379	30,379	30,379	30,379
X0539	Grants & Memberships	59,465	64,717	65,623	65,623
X0540	Prof Fees-Legal Services	626,170	867,869	215,561	215,561
X0541	Internal Moving Expenses	0	0	35,000	35,000
X0546	Leases	750,669	756,612	753,816	753,816
X0551	Health Insurance	0	527,240	4,170	20,426
X0553	Racial Equality	87,590	89,585	111,517	94,495
X0554	Status On Women	66,938	77,499	111,517	94,495
X0572	Health Insurance PT Faculty/Staff	0	0	26,000	13,400
X0577	Music Licensing Contracts	14,956	15,459	16,500	16,500
X0589	Student Credit Balances	(354)	0	0	0
X0592	Time & Attendance Fees	0	3,798	0	0
X0594	VSIP Payout	1,757	7,964,428	0	0
X0826	COPD,Program of Excellence	6,627	1,384	1,514	1,354
X0828	Swift Prepaid Gift Card Prgm	0	(1,083)	0	0
X0830	Procurement Savings	0	0	(390,353)	(390,353)
X0831	Staff Recognition Awards	0	57,457	41,000	41,000
Total General Institutional Expenses		6,764,633	14,645,233	4,755,910	4,283,387

Institutional Support

Office of the President

01001	Office Of President	1,036,318	1,390,325	1,472,859	1,554,645
01002	Univ-Wide Special Events	0	210	0	0
01003	Univ Counsel Office	582,946	707,452	617,840	801,396

General Fund Expenditure Summary

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Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
01037	Staff Senate	36,887	24,091	49,127	30,493
01100	New & Public Information	657,346	759,857	880,815	909,320
01101	University Communications	691,127	665,775	979,006	879,897
01314	Comm For Interco Athletics	3,998	4,883	13,078	7,000
01316	Pres Comm/Recognition Program	39,890	43,386	50,482	50,664
01317	U of L Retiree Assoc	0	0	2,300	2,300
01318	Admin Support Services Center	537,353	441,249	436,967	429,220
01337	University Boards	16,493	31,418	2,261	2,261
01504	Intrgrated Mktg Plan	172,222	201,433	175,614	175,614
01505	HSC News & Public Information	115,554	193,711	124,075	29,196
01509	News Bureau	496,925	610,029	514,142	702,739
01511	Information Centers	216,687	243,831	187,217	178,539
01512	Special Projects	277,278	281,190	3,264	3,264
10787	Military Initiatives	0	197,588	0	0
01901	Budget Reduction	0	0	(13,200)	(13,200)
01966	2012-13 Budget Reduction	0	0	(45,400)	(45,400)
02003	02003 - CPA Review Services	0	179,808	0	0
02022	IS1 2014-15 Budget Reduction	0	0	(38,300)	(38,300)
02048	IS1 2014-15 Procurement Credit	0	0	(13,093)	(13,093)
30223	Cardinal Advertising	40,000	40,000	40,000	40,000
30265	Executive Searches	0	141,429	0	0
30594	IS1 VSIP Reserve	0	0	(61,881)	(61,881)
30633	Gen Counsel Prior Yr Bud Cut	0	0	0	(9,513)
Total Office of the President		4,921,026	6,157,664	5,377,173	5,615,161

Executive Vice President and University Provost

01005	Audit Services	727,376	715,742	767,023	767,161
01008	Office Of The Provost	1,654,523	1,840,583	1,772,019	1,933,362
01010	Cultural Center	386,729	503,575	609,019	627,901
01015	Women's Center	227,913	245,099	243,337	249,332
01323	Inst Research & Plan	1,320,022	1,408,681	1,433,593	1,574,768
01326	Affirmative Action Fund	0	0	14,324	14,231
01328	Black Family Conference	24,348	30,085	2,821	2,834
01520	Privacy Office	46,615	54,136	0	2,902
01543	Information Security Office	228,084	123,369	235,613	212,890
01544	Institutional Compliance	206,306	222,139	243,167	305,781
01646	Paul Weber Awards	0	50,000	50,000	50,000

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
01669	Sustainability Office	124,194	106,889	120,257	122,192
01912	Budget Reduction	0	0	(16,908)	0
01936	Conflict of Interest	83,175	25,931	28,913	0
01967	2012-13 Budget Reduction	0	0	(15,122)	0
02023	IS2 2014-15 Budget Reduction	0	0	(60,000)	0
02049	IS2 2014-15 Procurement Credit	0	0	(7,680)	(1,409)
02077	Prov VSIP Strategic Disc Funds	0	0	0	727,714
10660	Employee Immigration	361	16,837	0	0
30104	Ombudsman Office	131,258	85,156	68,834	71,875
30197	Diversity Initiative	252,073	229,284	281,703	288,317
30221	Black Diamond Choir	30,136	24,353	28,821	28,932
30279	Fac & St Exc Dev Initiative	100,000	(25,808)	0	50,000
30364	Diversity Support Fund	57,644	47,240	0	0
30384	Diversity-Presidents's Plan	10,749	0	10,448	52,199
30410	Cultural Center Student Prog	7,536	7,443	0	0
30413	Muhammad Ali Scholars	9,038	9,828	0	0
30507	Quality Management-QMS	14,550	(12,446)	0	0
30531	Programming Porter Scholars	15,152	9,305	0	0
30538	Faculty Grievance	1,116	267	0	0
30546	Garden Commons	2,046	395	0	0
30563	Climate Action Plan	180,988	174,754	182,000	180,424
30565	Sister Cities Young Scholars	(1,000)	(1,000)	0	0
30567	African Amer Male Initiative	8,673	10,338	0	0
30569	Assessment	20,265	31,860	0	0
30595	IS2 VSIP Reserve	0	0	(6,070)	0
30613	Academic Analytics	0	51,980	0	0
30632	Audit Prior Yrs Budget Cuts	0	0	0	(22,517)
Total Vice President and University Provost Office		5,869,868	5,986,014	5,986,112	7,238,889
Vice President Business Affairs					
01036	VP for Business Affairs	864,399	722,137	863,288	633,381
01039	VPBA Technology Support	307,097	312,729	310,673	324,272
01040	Assoc. VPBA Admin	236,662	288,251	305,521	311,486
01041	Contract Adm & Risk Mgmt	232,343	244,715	241,030	253,941
01044	Purchasing Office	839,660	862,262	1,034,720	818,585
01045	Central Receive & Distribution	180,894	161,445	180,631	100,367
01046	Property & Inventory Control	99,206	106,702	106,702	168,002
01047	Postal Service	554,990	530,578	576,178	572,323

General Fund Expenditure Summary

2016 Operating Budget

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
01085	Unv Plan Dsgn & Construction	623,186	600,402	671,202	601,933
01086	Contractual Security	1,566	(2,979)	16,706	18,893
01424	Reallocation Fund	0	0	4,228	4,228
01648	Bio-Safety Lab Facility Opns	589,534	555,864	550,982	475,673
01904	Budget Reduction	0	0	(18,098)	(18,098)
01968	2012-13 Budget Reduction	0	0	(1,082,400)	(469,334)
02028	IS3 2014-15 Budget Reduction	0	0	(222,300)	(208,249)
02054	IS3 2014-15 Procurement Credit	0	0	(4,828)	(4,828)
10455	KLEFPF	(10,075)	0	0	0
10465	Bulk Mailing	15,673	19,623	0	0
10631	Procurement Card	377,348	437,086	425,000	435,000
10670	EProcurement	381,022	(5,748)	0	0
10756	Evidence and Seizure	11,102	0	0	0
30185	Dept of Public Safety	3,769,350	3,756,237	3,851,247	4,057,212
30326	Risk Mgmt Ins Recov	(10,494)	(9,844)	0	0
30335	Discretionary Funds VPBA	(4,722)	200,000	0	0
30338	Acces Secur/Alarm Sy	24,291	24,751	24,076	24,076
30339	Campus Card	255,359	251,494	234,780	246,673
30596	IS3 VSIP Reserve	0	0	(184,830)	(58,535)
Total Vice President Business Affairs		9,338,391	9,055,704	7,884,508	8,287,001
Vice President Information Technology					
01089	VP Information Technology	1,017,121	1,102,341	1,525,640	1,492,571
01092	Data Center Services	0	720	0	0
01094	Info Tech Fin & Administration	424,522	415,231	0	0
01334	Res Network (VBNS)	299,478	236,795	240,000	240,000
02031	IS4 2014-15 Budget Reduction	0	0	(77,200)	0
02057	IS4 2014-15 Procurement Credit	0	0	(20,480)	(20,480)
10442	KYVL Voyager West Hup Hosting	0	7	0	0
10583	LAMC Networking	165,908	110,600	110,600	110,600
10667	Clearwire EBS Lease	108,376	221,300	240,000	240,000
10781	Communication Services	0	0	241,900	316,300
30355	Technology Support Services	6,819,321	7,525,189	7,652,897	7,068,677
30392	Hardware/Software Maintenance	7,220,122	6,129,820	2,537,207	2,537,207
30597	IS4 VSIP Reserve	0	0	(122,995)	0
Total Vice President Information Technology		16,054,847	15,742,003	12,327,569	11,984,875

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Vice President University Advancement					
02056	VPUA 2014-15 Procurement Credit	0	0	(12,439)	(12,439)
H0047	Deval-Administration	208,375	44,528	221,964	238,831
	Total Vice President University Advancement	208,375	44,528	209,525	226,392
Vice President Community Engagement					
01099	Community Engagement	769,060	800,989	806,437	829,406
01672	Community Engagement Award	9,862	48	0	0
01675	CE Faculty Grant	60,032	56,652	0	0
02027	IS6 2014-15 Budget Reduction	0	0	(5,100)	(5,100)
02053	IS6 2014-15 Procurement Credit	0	0	(133)	0
30347	Upward Bound	2,914	10,613	0	0
30550	Promised Neighborhoods	773	16,241	0	0
	Total Vice President Community Engagement	842,642	884,542	801,204	824,306
Vice President Finance					
01007	VP Finance	589,584	680,839	926,723	559,254
01048	Financial Administration	193,480	192,186	230,798	62,376
01049	Bursar's Office	630,225	711,311	759,295	873,258
01053	Controller's Office	385,905	396,208	376,924	391,687
01321	Budget and Financial Planning	709,458	851,928	669,073	529,692
01556	University Accounting	421,957	459,037	492,972	298,637
01558	Accounting Operations	639,100	605,170	651,638	604,023
01617	Treasury Operations	180,694	184,741	208,210	225,886
01627	VPF Budget Process Redesign	0	420	0	0
01631	Special Travel	60	0	0	0
01683	Bursar's Office PCI	0	100,830	100,000	100,000
01931	Position Management	155,072	160,026	168,120	175,684
01932	Payroll Services	649,227	680,810	675,814	725,823
01934	HCM System Support	373,978	402,370	423,300	448,504
01942	Transfer from ATH Association	500,000	0	0	0
01948	Contro. Off. Prof. Development	13,791	20,029	0	0
01949	Fin. Systems Prof. Development	3,874	8,018	0	0
01980	HCMS Professional Development	17,967	1,797	0	0
01981	PS Professional Development	6,464	0	0	0
01984	BFP Professional Development	1,248	920	0	0
01985	Payroll Services-TALX	20,316	20,926	20,000	20,000

General Fund Expenditure Summary

2016 Operating Budget

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
02026	IS7 2014-15 Budget Reduction	0	0	(37,400)	0
02052	IS7 2014-15 Procurement Credit	0	0	(975)	(975)
10527	Hope Tax Credit	22,415	15,210	35,000	35,000
10615	Elavon	(15,891)	(92,692)	0	0
10718	PCI-DSS	120	(1,292)	0	0
10721	Bursar's/Student Collections	(1,570)	0	0	0
30262	Discretionary Funds-VPF	211,418	150,995	80	80
30303	Medical Application Fee	355	595	0	0
30329	Miscellaneous Revenue	(42,484)	(71,288)	0	0
30362	Financial Systems	406,745	415,058	519,373	460,626
30479	HigherOne	3,814	0	45,000	41,130
30494	Research Support CPE Pass-Thru	0	537	0	0
30545	Bursar-Credit Cards	482	1,380	0	0
30600	IS7 VSIP Reserve	0	0	(91,470)	(18,675)
Total Vice President Finance		6,077,804	5,896,070	6,172,475	5,532,010
Vice President Human Resources					
01057	Staff Development Program	23,943	26,024	24,022	24,022
01058	University Personnel	1,900,164	1,899,666	1,926,333	1,830,564
01426	Staff Recognition Program	77,369	16,267	30,200	30,000
01673	Discretionary Fund	0	29,889	0	0
01928	Budget Reduction	0	0	(21,700)	0
01973	2012-13 Budget Reduction	0	0	(14,200)	0
02029	IS8 2014-15 Budget Reduction	0	0	(12,600)	0
02055	IS8 2014-15 Procurement Credit	0	0	(1,062)	(1,062)
10613	Background Check&Classified Ad	2,734	13,016	0	0
10762	Retirement Fee Revenue	0	(273,656)	480,200	486,875
X0284	Health Management Administrati	(54,873)	675,399	711,359	507,088
X0829	GHN Fitness Facility	(16,167)	310,972	372,891	572,891
Total Vice President Human Resources		1,933,170	2,697,578	3,495,443	3,450,378
Sr. VP Finance and Administration					
02062	Office Sr. VP Fin & Admin	0	0	0	662,084
02078	Strategic Pos Reallocate Pool	0	0	0	2,842,072
Total Sr. VP Finance and Administration		0	0	0	3,504,156

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
Physical Plant					
01063	Belknap Administration	3,506,808	3,786,060	4,261,411	4,013,955
01064	Belknap Int/Ext Maint	1,711,264	1,558,516	1,665,312	1,513,010
01065	Belknap Elect Operation	1,410,673	1,458,692	1,256,353	1,310,187
01066	Belknap Mechanic Oper	2,089,108	2,062,096	1,639,162	1,687,165
01067	Belknap Energy Mgt	314,622	257,288	308,083	275,636
01068	Belknap General Maint	1,134,445	1,151,657	1,228,963	1,286,798
01069	Belknap Svc To Aux	(203,000)	(219,688)	(150,048)	(150,048)
01070	Belknap Custodial Services	4,295,827	4,282,366	4,606,780	4,544,414
01071	Belknap Grounds Maintenance	1,033,522	985,035	964,377	887,233
01072	Physical Plant Safety Program	19,550	19,849	20,000	20,000
01073	Belknap Repairs & Renovations	(931,647)	(281,109)	(612,536)	(616,221)
01074	HSC Administration	820,194	771,008	1,010,287	815,353
01075	HSC Building M&O	3,552,171	3,285,049	3,702,464	3,648,005
01076	HSC Custodial Services	2,712,829	2,731,758	3,277,056	3,370,976
01077	HSC Ground Maintenance	193,026	202,064	218,034	182,822
01078	HSC Utilities	8,481,255	8,850,314	10,402,303	10,402,303
01079	Shelby Administration	39,121	41,329	47,604	46,738
01080	Shelby Building M&O	121,153	122,791	117,570	121,156
01081	Shelby Custodial Services	91,884	77,980	94,010	101,274
01082	Shelby Grounds M&O	6,854	9,453	6,854	6,854
01083	Shelby Utilities	577,884	655,536	511,256	601,256
01084	President's Home	1,103	0	5,000	5,000
01414	Belknap Maintenance Reserve	181,199	240,012	257,319	257,319
01415	Class Equip Replm Fund	5,918	5,127	5,000	5,000
01416	Belknap Utilities	10,581,853	11,078,667	10,462,642	10,620,642
01417	Tennis Center M&O	100	0	0	0
01418	Cardinal Park M&O	225,271	210,830	186,062	188,423
01519	Cardinal Stadium M&O	979,098	1,021,444	941,849	965,934
01550	Capital Renewal/Deferred Maint	1,050,000	1,050,000	1,050,000	1,050,000
01570	HSC CII M&O	750,867	834,109	878,362	897,292
01613	HSC Repairs & Renovations	61,123	37,959	(32,212)	(48,474)
01649	Louisville Scholar House M&O	118,486	116,981	126,567	133,707
01650	Shelby Bio Safety Lab M&O	415,091	472,921	488,473	501,766
02060	Procurement Credit	0	0	(34,909)	(34,909)
30343	Recycling Program	0	8,724	0	0
30603	PP VSIP Reserve	0	0	(296,695)	0
Total Physical Plant		45,347,653	46,884,821	48,612,753	48,610,566

General Fund Expenditure Summary

2016 Operating Budget



Program Number	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
University Reserves				
01340 Staff Reclass/Promotions	0	0	305,831	400,679
01341 Reserve for Adjustments	0	39,000	2,035,711	3,306,715
01343 Budget Reserve Fund	0	0	4,124,511	4,507,611
01344 Reserve for Administrators' Increases	0	0	70,831	375,621
01651 Reserves for Compensation	0	0	87,312	60,604
01950 Reserve for Strategic Initiatives	0	40,000	1,096,373	852,804
01993 Reserve for Pending Salary Adjustments	0	0	630,082	585,639
01995 VSIP Savings	0	792,000	(895,221)	0
02072 21st Cen Seed Funds Prod Real	0	0	0	250,000
02073 21th Cen Seed Funds Restor Med	0	0	0	250,000
02074 21st Cen Seed Funds Cancer Res	0	0	0	250,000
30257 Staff Salary Catchup Reserve	0	0	146,276	146,890
30304 Student Center Bldg Alloc	529,993	531,645	500,000	500,000
30397 Reserve for Fringe Benefits	0	0	6,559,139	4,915,270
30555 Student Recreation Center Fee	(585,692)	0	0	0
Total University Reserves	(55,699)	1,402,645	14,660,845	16,401,833
Student Affairs				
01018 Office VP/Student Affairs	931,653	1,311,295	1,306,944	1,120,826
01020 Dean of Students	569,309	505,176	554,804	703,389
01034 University Career Center	660,665	565,039	646,979	635,943
01589 Service Learning	44,675	0	0	0
01593 Intramurals/Recreation	544,629	512,082	546,170	540,421
01594 Student Activities	779,101	721,162	726,214	898,781
01596 Commuter Services	(118)	0	0	0
01597 Counseling Center	478,265	429,201	453,913	462,463
01604 Disability Services	328,649	273,446	274,328	292,801
02032 VPSA 2014-15 Budget Reduction	0	0	(31,100)	0
02058 VPSA 2014-15 Procurement Credit	0	0	(1,404)	0
10474 Int'l Service Learning	384,408	612,152	525,000	675,000
10476 Intramural Fees	166,771	119,345	180,000	225,000
10481 Career Fair	27,764	27,475	25,000	50,000
10482 Disabled Student Services	28,323	15,565	30,000	30,000
10620 SAC Special Projects	39,007	59,001	35,000	49,000
10734 University Mobile	(61)	1,913	2,000	2,500
10747 Student Recreation Center	(0)	307,644	1,892,950	1,307,375
30226 Project Fund - Student Affairs	0	217,940	234,734	180,013

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
30229	Community Center	78,886	1,643	0	0
30345	Misc Income-Std Life	200	0	0	0
30346	Disc Funds-VP Std Af	16,290	4,800	0	0
30418	Alternate Spring Break	2,284	0	0	0
30419	Leadershape	4,921	(22)	0	0
30422	Leadership - Special Proj	19,504	18,018	20,525	21,025
30423	Lead	0	2,960	541	0
30429	Student Affairs Project Fund	10,000	10,000	10,000	10,000
30433	Stud Grievance Officer	9,519	9,803	0	0
30434	Dean of Students Programming	9,119	30,000	0	0
30435	Student Leadership	56,517	45,486	50,166	0
30436	Intramural Facility	193,804	187,939	148,867	153,073
30510	Club Programming Committee	15,548	8,426	0	0
30606	SA VSIP Reserve	0	0	(50,289)	0
40015	Residence Education & Programming	0	0	0	113,808
Total Student Affairs		5,399,633	5,997,487	7,581,342	7,471,418

Student Financial Aid

30001	Aid Federal Matching	535,051	439,977	533,227	549,222
30006	T/R Senior Citizens-KRS 284	388,935	377,233	302,000	302,000
30008	T/R Supervis Teach-KRS 2845	352,961	418,059	400,000	400,000
30009	Fees Rem-PhD Tuition	1,722,886	2,012,799	1,918,114	1,918,114
30010	Cheerleader Scholarships	1,537	1,500	50,700	50,700
30011	T/R High E Tuit W-KRS 020.32	502,933	508,656	542,000	542,000
30014	T/R-J B Speed Museum	2,765	6,820	2,200	2,200
30015	Fees Rem- Education	119,007	114,076	122,600	122,600
30018	Fees Rem-Grad Dental	59,003	54,841	98,600	98,600
30019	VP Research Aid	6,424	15,363	28,800	28,800
30020	Tr Frgn Exc Agreemts	122,896	125,365	130,800	130,800
30023	Fees Remitted - GRA Medical Neurosciences	0	51,049	51,500	51,500
30024	Trustees Acad Schol	14,767,904	15,613,311	14,925,600	15,373,400
30026	Financial Aid Escalator	0	0	702,800	752,800
30027	Tuition Remission DSA - A&S	0	0	46,600	46,600
30028	T/R DSA-COB	52,108	55,500	55,500	55,500
30030	T/R DSA-Music	31,710	32,000	33,600	33,600
30031	T/R DSA-Medicine	26,000	26,000	97,300	97,300
30035	University Grants	386,637	270,024	404,300	416,400
30036	Martin Luther King Scholars	75,458	48,466	77,000	79,300

General Fund Expenditure Summary

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Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
30037	Overseer Mentor Prog	1,750	5,250	5,400	5,400
30039	Woodford R Porter Fund	5,744,421	5,596,011	7,156,700	7,371,400
30040	Spd-Undergrad Scholarships	180,386	189,142	210,500	210,500
30041	Ocr Medical Scholarship	62,000	62,000	62,000	62,000
30042	Music School Scholarship	384,883	446,899	513,300	513,300
30043	Marching Band Scholarship	186,606	226,618	330,500	330,500
30044	General Dentistry Scholarship	221,900	221,653	221,900	221,900
30045	N/R Scholarship-Law	318,433	488,500	237,100	237,100
30046	N/R Scholarship-Med	508,400	508,400	508,400	508,400
30047	ROTC-Army	34,734	54,567	59,200	59,200
30048	ROTC Matching	33,242	58,885	59,700	59,700
30049	Actor's Theatre Scholarship	28,700	28,700	28,700	28,700
30050	Creative Writing Scholarship	23,270	21,411	23,500	23,500
30051	Debate Team Scholars	105,567	89,153	113,900	113,900
30053	Law School Scholarship	345,871	795,624	450,700	350,700
30054	Law Sch Minority Scholarship	137,500	131,100	138,600	138,600
30055	KY Reg Awards-Music	25,830	27,700	29,000	29,000
30057	Fee Remitted GA Kent	86,600	88,404	66,844	66,844
30058	Kent School Scholars	212,000	212,000	212,000	212,000
30059	Commonwealth Scholars	873,453	904,275	924,000	951,700
30060	Ath Gender Equity Scholars	929,010	929,010	929,010	973,067
30062	Fees Remitted - GA A&S	3,498,520	4,008,348	4,036,922	4,036,922
30063	Fee Rem-GA COB	118,396	225,818	291,900	291,900
30065	Fees Rem - GA EDHD	815,005	873,059	523,184	523,184
30066	Fees Rem-GA Grad	145,053	83,284	366,432	366,432
30067	Fees Rem-GA Medicine	620,104	639,062	584,200	584,200
30068	Fees Rem-GA Music	349,736	349,736	349,736	349,736
30069	SPD Fees Rem. - GA	610,380	715,054	871,402	871,402
30070	Fees Remitted GA-UGA	153,299	173,573	167,300	167,300
30072	Tuit Rem-Omfs Reside	73,500	73,500	73,500	73,500
30073	JCPS Teach Grd Prg	243,494	242,099	271,100	271,100
30075	T/R State KRS 2841	42,764	53,326	39,000	39,000
30076	T/R State KRS 2842	71,019	36,068	111,000	111,000
30077	T/R State KRS 505	178,220	153,285	139,000	139,000
30078	T/R State KRS 515	873,778	942,698	917,734	917,734
30079	T/R State KRS 507	230,112	163,473	183,000	183,000
30080	Retention Scholarship	808,557	808,557	808,557	829,900

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
30084	T/R State KRS 2847	342,856	405,193	371,000	371,000
30209	SIGS Student Res& Travel Award	17,231	30,978	31,000	31,000
30210	T/R Voc Ed T-6-KRS 020.33	355,805	592,438	137,000	137,000
30356	Need Based Financial Aid	2,743,375	2,893,299	3,032,000	3,123,000
30360	Fees Rem.-GA Nursing	160,760	134,111	158,062	158,062
30372	Fees Remitted-GAs Public Hlth	137,087	103,494	143,162	143,162
30376	UGA High S&Dual Cred Tui Remim	98,133	79,929	179,900	179,900
30394	National Scholars Program	3,963,989	4,118,173	4,115,895	4,239,400
30468	Fees Rem GA VP Student Affairs	99,763	80,576	84,000	84,000
30482	Cardinal Covenant	2,311,810	2,571,109	2,467,000	2,541,000
30485	Transfer Scholarships	111,125	128,975	146,500	150,900
30486	Fees Remitted-SGA SAB	60,000	60,000	60,000	60,000
30493	Fees Remitted-SGA T/R	61,338	69,400	69,400	69,400
30526	Army ROTC Housing&Sub Sch	108,000	117,000	202,000	202,000
30527	Air Force ROTC Housing&Sub Sch	113,496	167,674	164,000	164,000
30549	Kent Graduate Assistant BSW	4,153	9,179	12,851	12,851
30553	Yellow Ribbon Graduate	18,948	27,428	0	0
30554	Yellow Ribbon Undergraduate	75,731	115,116	0	0
Total Student Financial Aid		49,214,304	52,501,349	53,911,932	54,940,832

Student Government Association

01600	Student Govt Assoc	1,860	3,684	0	0
10541	Student Government Association	76,383	124,146	89,300	87,809
10542	Student Senate	48,000	48,170	31,000	9,200
10543	A&S Student Council	10,813	11,328	9,800	8,100
10544	Speed - Student Council	18,256	21,119	17,800	19,400
10546	Law - Student Bar Association	13,654	17,338	14,500	15,800
10547	Music - Student Council	426	464	200	700
10548	COB-Student Council	3,336	5,721	4,000	6,900
10549	Education - Student Council	325	0	1,700	1,300
10550	Graduate - Student Council	4,818	7,295	7,000	13,600
10552	Medical - Student Council	14,655	14,852	17,300	19,400
10553	Dental - Student Council	11,985	15,193	12,000	19,100
10555	Student Council - Nursing	7,469	8,805	7,000	7,700
10556	Kent School Student Council	6,383	7,000	7,000	5,300
10557	Student Radio WLCV	(195)	(127)	0	0
10558	Student Activities	141,397	329,818	148,000	149,491
10559	SGA-CPC	47,999	96,168	48,000	48,000

General Fund Expenditure Summary

2016 Operating Budget



Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
10662	Public Health Info Science	3,827	6,095	4,000	6,800
10716	SAB Red Barn	11,960	11,798	12,000	12,000
10717	Graduate Student Travel	0	0	20,000	20,000
10728	Student Initiatives	9,642	75,992	15,000	15,000
10743	SGA Student Program Budgets	199,553	5,937	600,000	600,000
Total Student Government Association		632,546	810,795	1,065,600	1,065,600
Auxiliary Enterprises					
40002	Food Service Contract	177,291	30,107	30,000	30,000
40004	Contract Vending	(501)	20,000	20,000	5,000
40005	Bookstore Operations	151,034	98,096	112,500	112,500
40007	University Parking	2,432,405	1,230,540	2,413,901	2,432,116
40009	SJA/SPI Dormitory	254,546	299,412	250,000	250,000
40044	ITECH Express	217,628	159,819	253,700	293,000
Total Auxiliary Enterprises		3,232,404	1,837,974	3,080,101	3,122,616
Service Centers					
S0009	Communications Services	1,186,694	1,586,533	0	0
S0029	SPD-Inst Advanc Mtrls-Renew En	72,047	124,435	100,000	100,000
S0082	Pathology Core Lab	4,968	52,589	61,800	68,000
S0083	Microtechnology Service Center	58,166	125,732	75,000	65,000
S0085	Central Stores-Stockroom	(85,630)	199,633	60,000	60,000
S0088	NMR Maintenance	10,497	16,744	20,000	20,000
S0091	Dahlem Supercomputer Lab ServC	(16,322)	23,113	0	0
S0092	Mass Spectrometry Service Ctr	132,864	84,725	10,000	232,375
S0093	Spd-Rapid Prototyping SC	173,054	205,808	250,500	250,000
S0094	DOC Service Center	(2,630)	(525)	8,500	0
S0095	CPM Shared Resources	(9,479)	6,453	40,000	40,000
S0097	SPD-Huson Nanotechnology Facil	0	0	0	45,123
S1067	Urban Studies Institute	61,211	88,431	25,000	75,000
S1069	Design And Printing Services	148,650	(213,343)	100,000	100,000
S1070	Contract Technology Support	153,702	5,610	30,000	41,800
S1071	Workstation Replacement	(110,025)	(83,801)	0	0
S1076	Orthoped Bioengineering Lab Sc	1,158	333	15,000	18,000
S9012	Genomics Facility	18,031	20,598	0	(6,493)
S9013	Molecular Modeling Facility	14,358	29,062	0	0
S9014	Biophysics Lab	(1,931)	577	0	0
S9015	Nuclear Mag Res Facility-Nmr	19,981	(1,943)	0	0
Total Service Centers		1,829,363	2,270,764	795,800	1,108,805

Program Number	Program Name	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
University Housing					
01019	Housing & Residence Life	0	346	0	0
40011	Facilities	0	0	3,010,300	2,658,662
40012	Unitas Tower	881,600	573,777	0	0
40013	Conferences & Short-Term Housing	0	0	(153,500)	(83,738)
40014	Miller Hall	356,838	598,328	0	0
40015	Residence Education & Programming	0	0	823,400	704,494
40016	Univ Tower	545,456	524,432	0	0
40017	Desk Operations & Security	0	0	604,600	634,611
40018	Stevenson Hall	(99)	2,113	0	0
40019	Information Technology	0	0	958,200	1,013,063
40020	Threlkeld Hall	428,040	361,634	0	0
40021	Assignments & Billing	0	0	239,700	317,983
40022	Med/Dent Apts	288,736	226,736	0	0
40023	Marketing & Assessment	0	0	152,400	98,839
40024	The Complex	536,003	537,068	0	0
40025	Louisville Hall	520,841	538,964	0	0
40026	Housing Reserve	100,000	19,000	110,000	110,000
40027	Residence Edu& Programming	95,096	66,292	0	0
40029	Fiscal Affairs	0	0	148,300	157,810
40031	RSA & NRHH	0	0	40,700	44,000
40033	Housing Administration	1,845,083	2,622,773	1,552,800	1,074,681
	Total University Housing	5,597,594	6,071,463	7,486,900	6,730,405
Quality and Charity Care Trust					
01462	QCCT Expenditures	17,588,439	17,788,164	0	0
	Total Quality and Charity Care Trust (QCCT)	17,588,439	17,788,164	0	0
Total General Funds		496,735,795	520,176,663	497,915,300	511,237,700
Total UofL Corporations		543,511,759	519,804,552	720,000,000	717,367,000
Total University Expenditures		1,040,247,554	1,039,981,215	1,217,915,300	1,228,604,700

ACADEMIC UNIT SUMMARIES

Academic Support Programs

Academic Support Programs (ASP) support the academic and operational activities of the University. This includes the McConnell Center, the Muhammad Ali Institute for Peace and Justice, and the International Center, each of which provides a range of services for UofL's international students. ASP also includes the Department of Environmental Health and Safety and other functions in the Division of Business Affairs related to planning, facilities, and programs that support the University's strategic 2020 Plan: Making It Happen.

Educational Excellence

- The McConnell Center attracts top students to the University of Louisville through its scholarships, outreach programs, and public events; nurturing and promoting the most outstanding undergraduate leaders in the Commonwealth. The McConnell Scholars—students who excel in the classroom—also take part in intense extracurricular seminars and lectures led by top-tier faculty from across the nation to enhance their educational experiences as college students.
- The International Center provides academic support services for students who seek to enrich their university experience and education by studying abroad.

Research Scholarship & Creative Activity

- The International Center provides documentation and support on study abroad programs offered by the university and other institutions. It also provides programmatic support to UofL faculty on research and teaching grants abroad and to foreign scholars in residence at UofL.

Community Engagement

- The McConnell Center hosts conferences, lectures, and seminars that reach thousands of people in the Louisville community, including annual seminars on economics, classical learning, major debates on the American founding, twentieth-century American History, and the evolution of American political thought. These activities also provide continuing education credits to dozens of public and private school teachers in Kentucky.

Diversity, Opportunity & Social Justice

- The International Center serves more than 700 incoming international students and coordinates study abroad for more than 800 American students each year.
- The Muhammad Ali Institute for Peace and Justice, in collaboration with the Muhammad Ali Center headquartered downtown Louisville, bring to the world the vision and passion for peace that has become the enduring legacy of Muhammad Ali. These Centers work in partnership to develop initiatives that promote Muhammad Ali's six core values as well as peace, social justice, and cross cultural understanding, especially in educational and training programs for young people. The Ali Institute also links the Ali Center to the resources of the University of Louisville, providing access to faculty knowledge for research and program development. They are currently partnering to extend the Ali Scholars Program on a global scale in collaboration with selected colleges and universities around the world.

The Business Affairs Department of Environmental Health and Safety (DEHS), formed in 1984, now includes 21 staff and 1 student employee. DEHS includes Environmental Operations, Industrial Hygiene, Radiation Safety, Biosafety, Emergency Management and Administration. The mission of DEHS is to assist the University in its teaching, research and public service mission by promoting a safe and healthful environment, provide service to its clients and work to maintain regulatory compliance. Between 85 and 90 percent of the department's service to the university involves the research community and decreasing the administrative burden on researchers is a top priority. Providing assistance, consulting services, periodic auditing and training promotes the safe university environment and promotes regulatory compliance. DEHS is restructuring the Biosafety program and implementing a software tool to ease the burden on the research community and increase the efficiency of DEHS operations.

Goals

The Business Affairs' Department of Environmental Health and Safety educates the university community in an effort to promote safety and health for staff, faculty and students. DEHS utilizes a mixture of classroom training sessions and online training programs targeted at research laboratories, physical plant, clinics, art departments, students in engineering and science departments, and others to increase compliance with health and safety regulations and standards.

Research

The Department of Environmental Health and Safety restructured the biosafety office and processes to streamline and improve responsiveness while maintaining a compliant culture. This restructuring resulted in the reduction-in-force of three full-time staff.

Significant Accomplishments

- *Bioraft Managing Environmental Health and Safety Information in Laboratories*
DEHS implemented a new software solution, Bioraft, for tracking Principal Investigators (PI) laboratory hazardous materials use, lab personnel, required training and lab inspections. Bioraft has all of the current DEHS training programs, and a 5-year history of personnel training. The inspection module will be completed in 2015. This will allow lab inspections to be tracked and reviewed in the Bioraft system. PIs are currently putting their information (including Chemical Hygiene Plan and Laboratory inventory) into Bioraft. This will provide the most efficient method of tracking information, facilitating inspections and monitoring laboratories while decreasing the burden on researchers. Both DEHS and the research community will have access to real time information that will improve the efficiency across the board.
- *Fluoroscopy Training in the Clinical Setting*
The Food and Drug Administration and Joint Commission recommend that all physicians who utilize fluoroscopy for patient procedures have training on minimizing risk to patients and maximizing the safety of personnel. The Radiation Safety Office has implemented online fluoroscopy training and is required for physician credentialing through the Medical Staff Office. Training is required every 4 years for each physician requesting fluoroscopy privileges. Training history is now being kept in Bioraft. This training is conducted as part of our contracted services to University Hospital.
- *Department of Transportation/International Air Transport Association Training*
DEHS annually reallocates funds for online training programs for PIs and researchers in order to safely ship hazardous agents in compliance with federal regulations. DEHS developed a training program to accomplish the same safety and compliance levels as provided in the commercial product but personalized for UofL. This new training was placed in Bioraft and is being used by everyone needing shipping training in the university. This project will save approximately \$10,000 annually.

- *Setting the Standard in Higher Education Emergency Management*
DEHS helped to form the Kentucky Higher Education Emergency Management Association and was chosen as the host of the 3rd Annual Best Practices in Higher Education Emergency Management Conference March 2015. The conference brings together more than 100 participants from across the US and Canada. Participating in the association and hosting the conference have been revenue neutral.
- *Coordinated Effort to Clean-up a Flood in CTR BSL3 Lab and Renovation Work*
DEHS worked closely with Center for Predictive Medicine Facility management, Physical Plant, Risk Management, research investigators and 3rd party consultants to clean up flooded laboratories and coordinated efforts with research staff to disinfect the laboratory suite and transfer infectious materials to an alternate location.
- *CDC-USDA Inspection of Regional Biocontainment Laboratory Expanded ABSL3 Facility*
DEHS manage oversight of UofL select agent registration with CDC/USDA enabling research faculty to safely conduct research with select agents and Risk Group-3 microorganisms. DEHS worked closely with the management of CPM-RBL to prepare for federal regulatory inspection of the existing facility as well as the 10-million dollar expansion of ABSL3 facility that will provide GLP-capability for research projects. Five inspectors were on-site for two days and were complimentary of the facility management and compliance oversight. This was reflected in the inspection report that included nine observations; none of which raise any serious safety concerns. UofL select agent program continues to be in good standing with federal regulations with the oversight provided by DEHS staff.

Budget Changes

The Department of Environmental Health and Safety is restructuring the biosafety office to streamline and improve responsiveness while maintaining a compliant culture which resulted in the reduction-in-force of three full-time staff and contracting with a biosafety consultant to assist in the redesign. The FY 2016 budget includes \$500,000 in centrally held Faculty Promotion Funds. In addition, several VSIP positions were transferred to ASP for strategic reallocation purposes. As a result, operating expenses carry the associated VSIP credit.

Academic Unit Summaries

2016 Operating Budget

Academic Support Programs

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	151,580	261,808	413,388
Faculty	4,409,680	10,504	4,420,184
P&A Staff	1,441,755	95,473	1,537,228
Classified Staff	359,054	18,015	377,069
Student Assistants	0	33,000	33,000
Other Salaries & Wages	0	16,000	16,000
Fringe Benefits	718,264	130,103	848,367
Total Personnel Expenses	7,080,333	564,904	7,645,237
Current Expenses			
Supplies and Expenses	(500,907)	970,684	469,777
IT, Maint., Other Services	6,021	0	6,021
Travel	8,731	185,352	194,083
Departmental Credits	(141,300)	0	(141,300)
Total Current Expenses	(627,455)	1,156,036	528,581
Grand Total	6,452,878	1,720,940	8,173,818

Budget Highlights - Academic Support Programs

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$3,871,214
New Funds	\$40,000	
Expenditure Items Offset by Revenue	(\$3,285)	
Significant Transfers	\$4,025,576	
Faculty and Staff Salary and Wage Increases	\$43,182	
Fixed Costs	\$0	
Unit Reductions	(\$1,652,700)	
Policy Initiatives	\$79,872	
Change in Fringe Benefits	\$49,019	
Subtotal		\$2,581,664
FY 2016 Budget		\$6,452,878

College of Arts and Sciences at a Glance				
Year Established	1907	Academic Regalia Inter-Collegiate Color		White
Facilities and Main Office	Gardiner Hall - 2nd Floor			
Financial Information				
Fiscal Year	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
General Fund by CSD				
College of Arts & Sciences	\$60,323,476	\$62,849,518	\$60,509,606	\$60,428,522
Financial Aid	448,759	542,640	703,000	703,000
Other Academic Programs	583,844	592,610	652,393	680,987
Auxiliaries	459,119	489,313	305,000	577,375
Other	15,443	11,328	16,280	14,580
Total General Fund	\$61,830,642	\$64,485,409	\$62,186,279	\$62,404,464
Non-General Fund by Type				
Endowments			\$6,899,513	\$6,364,226
Gifts			2,033,223	1,575,470
Other			2,494,054	2,513,508
Total Non-General Fund			\$11,426,790	\$10,453,204
Total College of Arts & Sciences			\$73,613,069	\$72,857,668
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	1,667	1,641	Not available	Not available
Degrees Awarded Change Per Year	-1.7%	-1.6%	at printing	at printing
Enrollment by Level				
Bachelor's	9,231	9,230	8,685	
Master's	539	516	536	
Doctoral	385	392	400	
Post-Doctoral	8	10	9	
Total	10,163	10,148	9,630	
Graduate Certificate Enrollment	93	42	37	

College of Arts and Sciences

Mission

Arts & Sciences endeavors to combine the traditional principles of a liberal education, or the development of the whole person through free inquiry, critical analysis and aesthetic appreciation, along with the guiding principles of the University of Louisville as an urban research institution. Through excellence in research and teaching, and curricula that incorporate interdisciplinary studies, public intellectualism, outreach, community-based learning, and engaged scholarship the College of Arts & Sciences has a foundational role in the fulfillment of the University's mission.

We believe that an excellent education in the liberal arts and sciences is the best preparation for life and work in a world of increasing diversity and ever-accelerating change because it prepares our graduates to be informed and critical thinkers, creative problem-solvers, and confident communicators.

By the numbers

- More than 7,600 undergraduate majors and nearly 540 graduate students
- 17 new tenured or tenure-track faculty hired August 2014
- Average number of students per tenured/tenure-track faculty member was 26.8
- 648 publications, including 517 peer-reviewed
- 238 premier venue works
- \$5.2 million externally funded grants
- 5 humanities, 2 social sciences, and 6 natural sciences faculty named University or Distinguished Scholars
- More than 400 full-time faculty and 200 staff

Goals 2015-2016 (passed by the Faculty Assembly, March 27, 2015)

A strong College of Arts & Sciences is the heart of a premier research university. Current monetary pressures require the College to identify the goals that advance its core mission of education, research, and community engagement. These goals are in the following categories: 1) faculty and scholarship; 2) educational effectiveness and student success; 3) partnerships, collaboration, and community

engagement; 4) financial sustainability and budget management; and 5) administration. The document concludes with a brief comment on external benchmarks of success.

1) Faculty and Scholarship - The University of Louisville is a Carnegie Research I University and the College of Arts & Sciences is its research hub, principally due to the activities of its full-time tenured and tenure-track faculty. The College seeks to improve the quality, quantity, community impact, and reputation of the scholarly activities it performs. The College must therefore:

- Substantially increase the number of full-time, tenured and tenure-track faculty;
- Ensure that full-time faculty receive nationally competitive remuneration;
- Ensure that each department is fully staffed;
- Reduce reliance on part-time faculty;
- Enhance intramural and extramural funding of research, creative, and scholarly activities;
- Improve the quality of graduate programs and provide competitive funding to GTAs.

2) Educational Effectiveness and Student Success - The College should be an inclusive, rigorous scholarly environment where students are excited about learning, and where they can succeed through graduation and graduate and professional education, if desired. The College must therefore:

- Increase six-year graduation rates and student retention while remaining financially accessible to all who can be successful;
- Implement an innovative and high-quality general education program that meets rigorous standards of student achievement;
- Maintain and enhance the strength and currency of the curriculum in a context that protects the academic freedom of both faculty and students;
- Increase classroom space and the availability and currency of classroom technology;
- Enhance students' opportunities to study abroad and to engage in meaningful educational experiences off campus such as service learning and internships;
- Offer an array of educational enrichment opportunities including student participation in research, small seminar classes, and a high-quality honors program;
- Assist students with employment or graduate/professional school placement and track graduates;

- Reduce material and procedural barriers to graduation and student retention by improving financial aid, limiting tuition increases, improving advising, and ensuring course availability;
- Provide a learning environment that is intellectually challenging while promoting mutual respect, physical safety and security;
- Increase building capacity and faculty and staff numbers to ensure accessibility to all qualified students.

3) Partnerships, Collaboration, and Community Engagement - The College is committed to community engaged scholarship, teaching, and enrichment opportunities for students. The College should regard the community as a collaborator in its research and educational mission; the people of Louisville should regard the College as an indispensable asset and the Belknap campus as a hub of the intellectual life of the community. To promote these goals the College must:

- Enable faculty to count engaged scholarship toward promotion and tenure;
- Increase intramural funding for community outreach, especially for students;
- Increase the accessibility of community resources for student use, and of University resources for community use.

4) Financial Sustainability and Budget Management - Success for the College of Arts & Sciences depends on a well-managed budget that allows all departments and programs to plan for the future with confidence. It also depends on a productive and cooperative relationship with University administration. Due to the Commonwealth's reduced investment in public higher education, the College's financial future depends on fundraising. To these ends, the College must:

- Ensure that department chairs and program directors are continually apprised of their current budget situation and prospects for the future;
- Ensure budget transparency and promote faculty governance in budget and planning;
- Promote an endowment fund driven by College priorities, including student success and faculty research priorities;
- Maintain a strategic and cooperative relationship with central administration on matters of finance and budgeting.

5) Administration and Staff - Operations and planning require a well-organized and transparent administration which builds trust among all constituents and stakeholders on all levels. To that end, the College must:

- Define key roles and responsibilities and ensure that resources are aligned effectively;
- Identify, document, communicate, and enforce key administrative processes;
- Fill communication gaps and ensure that pertinent information reaches appropriate audiences;
- Commit to a professional, respectful, and rewarding work environment, and a family-friendly workplace for all employees;
- Promote equity across and within units, recognizing staff and all categories of faculty not just with adequate compensation but with a meaningful degree of control over their working conditions and opportunities to participate in College governance.

External recognition of these goals validates our success as a College and as a University. Thus, the College is dedicated to helping the University maintain its Carnegie Community Engaged University designation, and to meeting the long-term goal of housing a chapter of Phi Beta Kappa.

Instruction

The College of Arts & Sciences offers education in the liberal arts and sciences through the General Education program and through a range of majors in the natural and physical sciences, the social and behavioral sciences, and the arts and humanities. With more than 8,000 students and 30 academic departments and programs, the College of Arts & Sciences taught 285,458 undergraduate credit hours and 23,980 graduate credit hours during academic year 2014.

A degree from the College of Arts & Sciences provides a solid foundation upon which to build future academic, professional and personal successes. Students of the arts and sciences learn how to think critically; but our students don't just think--they do. They explore, create, research, communicate, collaborate, and actively engage in the world around them. As a result, Arts & Sciences graduates are adaptable, innovative, and highly attuned problem-solvers who make meaningful contributions to their chosen professions and their respective communities.

Arts & Sciences graduate students are engaged in state-of-the-art research and investigation. Their publications have appeared in peer-reviewed journals at the top of their respective fields. The Colleges hopes to increase the number of assistantships for graduate students to compete with peer institutions in recruiting the best students. Job placement of Arts & Sciences graduate students is consistently strong.

The College's commitment to interdisciplinary education extends into the realm of graduate studies. As such, in collaboration with five other academic units (Brandeis School of Law, School of Medicine, School of Public Health and Information Sciences, School of Nursing, and Kent School of Social Work), Arts & Sciences offers 11 graduate dual degree programs.

Degrees offered

- 51 Bachelor's Degree Programs
- 1 Associate's Degree Program
- 2 Undergraduate Certificate Programs
- 13 Doctoral Programs
- 24 Master's Programs
- 11 Dual Degree Programs
- 12 Graduate Certificate Programs

Research

By the numbers:

- Faculty average more than 600 scholarly publications and creative works per year
- In the past five years, Arts & Sciences has raised more than \$38 million to support scholarly work. Funding sources vary widely, and often the work is collaborative with co-investigators.
- FY 2013-14 Award Total awards based on PI/CO-PI % collaboration: \$5,227,104

Research and creative activity highlights:

- Psychological and Brain Sciences has been continuously funded by National Institutes of Health for 22 years in support of research on language development, cognitive development, and developmental disabilities.
- Faculty members from the Department of English have been the Principal Investigators on a U.S. State Department Grant entitled "Study of the United States Institute for Scholars on Contemporary American Literature" for 14 years. This award averages more

than \$280,000 per year and brings 18 post-doctoral fellows from around the world to campus to study contemporary American literature.

- The Groundwork Education in Mathematics and Science project is a collaborative effort between UofL and Jefferson County Public Schools partnering mathematics and science faculty and students from Arts and Sciences, mathematics and science educators from the College of Education and Human Development, and teachers from the public schools to form teams to develop and implement inquiry-based instruction in mathematics and science.
- Chemistry and Math participate in developing a novel UTA (Undergraduate Teaching Assistantship) instructional program funded by an NSF grant that shows improved student success.

Engaged Scholarship highlights:

- English faculty and student researchers teamed with Liberal Studies Project to offer the inaugural Digital Media Academy to 20 fifth-grade girls from local schools.
- History faculty and students are entering the second year of a three-year, multi-faceted community history project on the Parkland neighborhood and West Louisville.
- The Resilient Families Project, an outreach effort of the Department of Psychological and Brain Sciences, provides programs to strengthen families and promote resilience in parents and children experiencing homelessness.
- Biology faculty and student researchers collaborate with the Louisville Olmsted Parks Conservancy to understand the impacts of invasive plant species in the woodlands of Cherokee Park.
- Anthropology faculty and student researchers teamed with Louisville Metro Government on the project “Louisville Farmers Markets Coordination, Access and Capacity Building” with the goal of increasing access to markets and healthy foods by low-income populations.

Significant Accomplishments

- Since 2000, there have been 88 Fulbright award winners at the University of Louisville and 67 have come from the College of Arts & Sciences.

- The College's faculty, staff and students are currently participating in or leading more than 200 community engagement programs and projects, from our 24 departments and more than 30 centers and institutes.
- The Kentucky Council on Postsecondary Education cited Arts & Sciences' CONECT (Caring of New Students Experiencing College Transitions) Peer Mentor Program as exemplifying best practices for diversity programs.
- Philanthropy tripled in FY2014, from a previous year's total of \$3,353,809 to a FY14 total of \$10,631,183. Most are estate gifts. Gifts include:
 - \$1,500,000 for the Judaic Studies Chair
 - \$500,000 for the Psychology Department for ongoing research
 - \$150,000 for the Lord Mathematics Lab
- The Psi Chi chapter of the national Psychology honors society received the "Model Chapter" award from the society in 2014.
- The UofL chapter of the Society of Physics Students has been named an Outstanding Chapter 16 years in a row—one of only a few chapters in the country to have so many consecutive wins.
- In March 2014, the Sociology department received a Seal of Excellence from the Sociologists for Women in Society organization in recognition of the department's commitment to both the presence of women faculty and the importance of scholarship on gender and inequality.

Budget Changes

- The College of Arts & Sciences made several organizational and staffing changes in financial administration.
- Two new but experienced development officers were hired to assist with fundraising. The new team helped raise \$4.5 million in philanthropy and \$3 million in cash as of mid-April.
- A Service Center pilot project was implemented with five academic departments in January 2015. The financial accounting reports will be extended college-wide in July 2015.
- More than \$1.3 million in one-time expenses were added to the budget as recurring commitments.
- A hiring priority process was established to replace the tradition of filling vacancies.
- Several positions, and their respective VSIP savings reduction, were transferred to ASP for centrally prioritized reallocation.

College of Arts & Sciences
Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	260,000	21,057	281,057
Faculty	32,591,310	1,052,978	33,644,288
P&A Staff	5,158,751	1,104,585	6,263,336
Classified Staff	1,925,928	217,347	2,143,275
Student Assistants	4,854,567	1,001,522	5,856,089
Other Salaries & Wages	27,784	227,386	255,170
Fringe Benefits	12,103,277	876,591	12,979,868
Total Personnel Expenses	56,921,617	4,501,468	61,423,085
Current Expenses			
Supplies and Expenses	2,982,707	5,827,905	8,810,612
IT, Maint., Other Services	307,172	0	307,172
Travel	217,026	123,831	340,857
Departmental Credits	0	0	0
Total Current Expenses	3,506,905	5,951,736	9,458,641
Grand Total	60,428,522	10,453,204	70,881,726

Budget Highlights - College of Arts & Sciences

General Funds			
Description	FY 2016 Changes	Totals	
FY 2015 Budget			\$60,509,606
New Funds		\$0	
Expenditure Items Offset by Revenue		\$791,254	
Significant Transfers		(\$927,813)	
Faculty and Staff Salary and Wage Increases		\$1,237,927	
Fixed Costs		\$0	
Unit Reductions		(\$1,052,874)	
Policy Initiatives		\$0	
Change in Fringe Benefits		(\$129,578)	
Subtotal			(\$81,084)
FY 2016 Budget			\$60,428,522

Academic Unit Summaries

2016 Operating Budget

College of Business at a Glance				
Year Established	1953	Academic Regalia Inter-Collegiate Color		Drab
Facilities and Main Office	College of Business Building			
Financial Information				
Fiscal Year	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
General Fund by CSD				
College of Business	\$19,976,049	\$20,329,056	\$22,555,243	\$22,741,648
Financial Aid	52,108	55,500	55,500	55,500
Other	3,336	5,721	4,000	6,900
Total General Fund	\$20,031,493	\$20,390,278	\$22,614,743	\$22,804,048
Non-General Fund by Type				
Endowments			\$7,536,625	\$8,436,602
Gifts			2,577,633	1,623,864
Other (including credits)			0	0
Total Non-General Fund			\$10,114,258	\$10,060,466
Total College of Business			\$32,729,001	\$32,864,514
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	627	591	Not available	Not available
Degrees Awarded Change Per Year	4.2%	-5.7%	at printing	at printing
Enrollment by Level				
Bachelor's	1,685	1,358	1,690	
Master's	391	369	354	
Doctoral	11	13	12	
Total	2,087	1,740	2,056	
Graduate Certificate Enrollment	27	31	35	

College of Business

The mission of the College of Business (COB) is to offer high quality undergraduate and graduate business programs that prepare our students for responsible and rewarding careers. We enhance the intellectual and economic vitality of our city, the region and the broader business community through our academic programs, research, and community outreach activities.

The COB was founded in 1953. The college has approximate enrollment of 1,650 undergraduates, 250 graduates, and has 77 faculty and 54 staff.

Goals

- Achieve broad recognition as the leading business school in this region and one of the leading metropolitan research business schools in the United States.
- Provide our students a competitive advantage in the job market by offering specialized programs and professional certifications.
- Think entrepreneurially and focus on special program offerings.

Instruction

The college offers a Bachelor of Science in Business Administration degree with majors in Accounting, Computer Information Systems, Equine Business, Finance and Marketing. The COB also offers a Bachelor of Arts in Economics and a Bachelor of Science in Economics. Graduate programs include several Master of Business Administration (MBA) choices, such as the full-time program, the evening Working Professional MBA, the weekend Working Professional MBA, the Entrepreneurship MBA, and the European MBA. In the fall 2014, a Joint Executive MBA between the University of Kentucky and University of Louisville was launched. Other Joint MBA programs are available with the Brandeis School of Law (MBA/JD), the Speed School of Engineering (MBA/MEng), and the School of Medicine (MBA/MD). Joint programs can also be structured to meet the needs of students in any college in the university. Other graduate programs include a Master of Accountancy degree and a Ph.D. degree in entrepreneurship.

The undergraduate program increased by 177 students in the past academic year.

Research

Several faculty members are widely recognized as national leaders in their disciplines. They perform path-breaking work in important areas, including urban futurism and new business development.

Significant Accomplishments

- COB undergraduate students adhere to the highest admission and graduation standards of any school in the Commonwealth and the college's academic standards match the standards of the best public universities in the country.
- COB graduate students have the highest admission test scores and undergraduate credentials of any school in Kentucky – standards that are nationally competitive.
- COB doctoral students in entrepreneurship have achieved success as young teacher scholars.
- The COB is actively engaged in such endeavors as the Signature Partnership, the development and sponsorship of the "Thrivals Day" at IdeaFest, and numerous endeavors related to business development—such as, Venture Connectors, Enterprise Corp., Nucleus, and the Cardinal Venture Fund II.
- The COB has 18 active international partnerships that provide an opportunity for students from abroad to study at UofL, and for our students to live and study abroad. Opportunities for international learning experiences are available to all undergraduate and graduate students.
- The COB has developed innovative new programs that enhance the reputation of the COB and UofL, while producing revenues that provide funds to support our core programs at the graduate and undergraduate levels.
- The COB continues to invest financial resources into our classrooms, student study space, and student career center with the latest technology such as smartboards, short-throw projectors, and desktop and application virtualization in our computer labs.

Budget Changes

- The college had 10 faculty and 4 staff take early retirement under VSIP.
- Several positions, and their respective VSIP savings reductions, were transferred to ASP for centrally prioritized reallocation.
- Budget changes include various increases to program budgets and a \$1 million budget for the University of Kentucky share of the Executive MBA Program; UofL handles student billing for the joint program.
- The changes in significant transfers and unit reductions include salary adjustments, budget reductions and credits.

College of Business

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	0	0	0
Faculty	11,444,572	1,161,387	12,605,959
P&A Staff	2,124,760	119,204	2,243,964
Classified Staff	344,575	76,401	420,976
Student Assistants	375,250	42,200	417,450
Other Salaries & Wages	11,400	126,583	137,983
Fringe Benefits	3,466,415	356,140	3,822,555
Total Personnel Expenses	17,766,972	1,881,914	19,648,886
Current Expenses			
Supplies and Expenses	4,847,890	8,171,302	13,019,192
IT, Maint., Other Services	121,786	0	121,786
Travel	5,000	7,250	12,250
Departmental Credits	0	0	0
Total Current Expenses	4,974,676	8,178,552	13,153,228
Grand Total	22,741,648	10,060,466	32,802,114

Budget Highlights - College of Business

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$22,555,243
New Funds	\$0	
Expenditure Items Offset by Revenue	\$1,179,330	
Significant Transfers	(\$274,157)	
Faculty and Staff Salary and Wage Increases	\$389,758	
Fixed Costs	\$0	
Unit Reductions	(\$1,107,072)	
Policy Initiatives	\$0	
Change in Fringe Benefits	(\$1,454)	
Subtotal		\$186,405
FY 2016 Budget		\$22,741,648

Academic Unit Summaries

2016 Operating Budget

School of Dentistry at a Glance				
Year Established	1887	Academic Regalia Inter-Collegiate Color		Lilac
Facilities and Main Office	501 South Preston Street			
Financial Information				
Fiscal Year	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
General Fund by CSD				
School of Dentistry	\$24,960,135	\$26,736,995	\$18,228,114	\$18,681,989
Financial Aid	295,400	295,153	295,400	295,400
Other	11,985	15,193	12,000	19,100
Total General Fund	\$25,267,521	\$27,047,341	\$18,535,514	\$18,996,489
Non-General Fund by Type				
Clinics and Program Support			\$18,121,048	\$18,555,255
Endowments			864,635	1,134,897
Gifts			878,227	815,789
Other			2,115,896	4,369,563
Total Non-General Fund			\$21,979,806	\$24,875,504
Total School of Dentistry			\$40,515,320	\$43,871,993
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	123	171	Not available	Not available
Degrees Awarded Change Per Year	-12.8%	39.0%	at printing	at printing
Enrollment by Level				
Bachelor's	132	132	133	
Master's	49	71	62	
Doctoral	441	477	477	
Post-Doctoral	9	8	6	
Total	631	688	678	
Graduate Certificate Enrollment	7	-	-	

School of Dentistry

The University of Louisville School of Dentistry, founded in 1887, educates students, conducts research, and provides quality dental care to the community to fulfill the School's urban and statewide missions. The School serves 480 D.M.D. students, 60 Dental Hygiene students, 58 postgraduate students/residents in 7 residency programs, and 16 M.S. in Oral Biology students and has approximately 150 full- and part-time faculty and 200 staff.

During 2014-15, the school created the Department of Oral Immunology and Infectious Diseases. Seven nationally and internationally recognized scientists are exploring topics related to oral health and systemic disease. The School's Strategic Plan includes the goals of successfully completing the accreditation site visits of the Graduate Prosthodontics program in March 2015, followed by successful completion in September 2015 of the accreditation process for advanced education programs in endodontics, general practice, orthodontics, pediatric dentistry, oral surgery and periodontics, as well as the D.M.D. and dental hygiene programs at large.

Goals

- Expand and enhance curricula in new and emerging areas.
- Promote a patient-centered clinical enterprise that allows students to gain competency as entry-level oral health care professionals, and employs measures to improve quality in the delivery of oral health care.
- Support and enhance a diverse, humanistic learning and work environment.
- Promote a school-wide climate of professional development that values research, teaching and service.
- Enhance and develop scholarship and research.
- Provide an environment in the advanced education programs that produces comprehensively trained health care professionals.
- Diversify and develop revenues to ensure resources to sustain and enhance the mission of the school.

Instruction

- During 2014, the partnership between Jagellonian University in Krakow, Poland and the University of Louisville School of Dentistry continued as two dental students from each University spent two weeks at the sister institution. Students engaged in curricular sharing, "shadowing" dental students and faculty, and participating in cross-cultural activities.

- The DMD Class of 2014 was the first graduating class that represented the new enrollment of 120 per class.

Research

- Three National Institute of Health Research Project (R01) grants were renewed in the new Department of Oral Immunology and Infectious Diseases.
- A patent application was submitted describing peptidomimetic compounds targeting *P. gingivalis*.
- Five summer research students will present their findings at the 2015 International Association for Dental Research in Boston.

Significant Accomplishments

- During 2013-14, the University of Louisville School of Dentistry Richard L. Miller Oral Health Clinic in Elizabethtown moved to a new location with more dental operatories and equipment, enabling an increase in service delivery to persons with HIV. Funding from the Commonwealth of Kentucky via the Ryan White Part B Grant supported the relocation.
- A Financial Manager was hired in Fall 2014 to increase efficiency in patient billing and collections. In addition, clinic reception staff were reorganized into Patient Service Representative positions with expanded duties for patient scheduling and financial services onsite in clinical areas.

Budget Changes

- During 2014-15, the School created a sixth academic department, the Department of Oral Immunology and Infectious Diseases. The department houses the School's Master's and Ph.D. programs in Oral Biology and conducts extensive research in oral bacteria and its influence on diseases in other parts of the body. The budget is reorganized for 2015-16 between the existing Department of Oral Health and Rehabilitation and the new department.
- The Dental Instrument Rental program budget revenue and expense budgets were reduced to align with historical revenue.
- Six faculty and nine staff took advantage of the University's Voluntary Separation Incentive Program (VSIP).

School of Dentistry
Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	362,035	40,000	402,035
Faculty	7,921,725	3,440,881	11,362,606
P&A Staff	1,556,498	1,519,083	3,075,581
Classified Staff	1,979,284	2,003,380	3,982,664
Student Assistants	31,110	95,720	126,830
Other Salaries & Wages	55,871	354,200	410,071
Fringe Benefits	3,414,682	1,942,932	5,357,614
Total Personnel Expenses	15,321,205	9,396,197	24,717,402
Current Expenses			
Supplies and Expenses	3,371,669	16,679,306	20,050,975
IT, Maint., Other Services	71,115	0	71,115
Travel	0	0	0
Departmental Credits	(82,000)	(1,200,000)	(1,282,000)
Total Current Expenses	3,360,784	15,479,306	18,840,090
Grand Total	18,681,989	24,875,504	43,557,493

Budget Highlights - School of Dentistry

General Funds		
Description	FY 2016 Changes	Totals
FY 2015 Budget		\$18,228,114
New Funds		\$0
Expenditure Items Offset by Revenue	(\$134,466)	
Significant Transfers	(\$199,759)	
Faculty and Staff Salary and Wage Increases	\$528,541	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$259,559	
Subtotal		\$453,875
FY 2016 Budget		\$18,681,989

Academic Unit Summaries

2016 Operating Budget

College of Education and Human Development at a Glance				
Year Established	1968	Academic Regalia Inter-Collegiate Color		Light Blue
Facilities and Main Office	College of Education and Human Development Building - Room 124			
Financial Information				
Fiscal Year	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
General Fund by CSD				
College of Education	\$18,803,961	\$19,262,690	\$19,329,415	\$20,361,633
Other Academic Programs	435,998	396,547	501,041	570,542
Financial Aid	362,501	356,175	393,700	393,700
Other	325	0	1,700	1,300
Total General Fund	\$19,602,785	\$20,015,413	\$20,225,856	\$21,327,175
Non-General Fund by Type				
Endowments			\$1,728,569	\$1,739,477
Gifts			952,305	712,292
Other			1,227,500	1,431,792
Total Non-General Fund			\$3,908,374	\$3,883,561
Total College of Education			\$24,134,230	\$25,210,736
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	868	925	Not available	Not available
Degrees Awarded Change Per Year	-5.8%	6.6%	at printing	at printing
Enrollment by Level				
Bachelor's	2,314	2,412	2,432	
Master's	991	1,007	954	
Doctoral	209	226	222	
Total	3,514	3,645	3,608	
Graduate Certificate Enrollment	13	19	14	

College of Education and Human Development

The College of Education and Human Development (CEHD) embraces the University's mission as a metropolitan research university committed to advancing the intellectual, cultural, and economic development of our diverse communities and citizens. We promote the highest levels of learning and social, emotional, and physical health and well-being for all children, individuals, and families. Our mission is to advance knowledge and understanding across our disciplines and constituencies and to develop leaders in education, who will inform policy, improve practice, strengthen communities, and address pressing social concerns. We seek to continually improve the quality of life for all in our metropolitan community, the Commonwealth of Kentucky, and the nation.

About the CEHD

The CEHD was founded in 1968 when it branched off from the College of Arts and Sciences. Offices and classrooms were scattered around the Belknap Campus for more than a decade before being brought together under one roof in 1981 when the new CEHD building was completed and opened. The College of Education and Human Development consists of six departments, Leadership, Foundations and Human Resource Education; Educational and Counseling Psychology, Counseling and College Student Personnel; Health and Sport Sciences; Early Childhood and Elementary Education; Middle and Secondary Education; and Special Education. The Education Resource and Technology Center, the Office and Research, and Education Advising and Student Services provide support for all faculty, staff and students. Gheens Science Hall and Rauch Planetarium is also part of the CEHD. The Department of Health and Sport Sciences joined the college in 1987.

CEHD by the numbers:

- Second largest total undergraduate and largest graduate enrollment: total enrollment for fall 2014 was 3,246
- Number of full-time term, tenure-track, and tenured faculty is 96
- Number of full-time staff, including the Early Learning Campus is 121
- 10 new tenure-track, tenured, and term faculty were hired in 2014
- Total number of peer-reviewed publications in 2014 was 149, surpassing the Scorecard goal of 100
- The CEHD moved up 10 places, from #74 to #64, in the 2015 *U.S. News & World Report's* rankings of the Best Graduate Schools of Education.

A new dean was hired in 2014. In 2013, the departments of Educational and Counseling Psychology, Counseling, and College Student Personnel, and Leadership, Foundations and Human Resource Education hired new chairs. The CEHD selected the Henry Heuser, Jr. Endowed Chair in Urban Education Partnerships who will join the college full-time in August 2015.

The CEHD's Department of Educational and Counseling Psychology, Counseling and College Student Personnel opened the first Cardinal Success Program at Shawnee High School in fall 2014. The program is managed by director and faculty member, Dr. Eugene Foster, and staffed by doctoral students in the department's counseling psychology program. This program will offer counseling services for students at Shawnee and their families. In addition, the department will open another Cardinal Success program site at the Nia Center in West Louisville in 2015.

CEHD Goals 2015-2016

- Establish a stronger brand and identity for the college
- Balance research and scholarship trajectory with faculty workloads during a time of budget reductions
- Update physical facilities and infrastructure
- Expand, improve, and more effectively engage public and alumni relations
- Improve development and donor relations
- Continue to improve national reputation and ranking
- Improve response to changing landscape of competition, public scrutiny and accountability demands
- Better address inequities and socio-economic disparities of education in our community

Key Priorities for the College

- High quality teaching and learning
- Consequential scholarship or the seamless transfer of theory to practice
- Engagement through authentic outreach and partnerships to make a positive difference
- Diversity, equity and inclusion
- Innovation and stewardship for impact and sustainability

Instruction

In 2014, the Master of Arts in Higher Education Administration program was ranked 3rd among the top 25 online Higher Education programs nationwide by TheBestSchools.org.

The Sport Administration program was ranked 9th in the nation by the Sport Management Degree Guide for Best Sport Management Degree programs.

Since 1998, undergraduate enrollment has increased by 277% and credit hours produced increased by 42%. Since 1998, graduate enrollment decreased by 31% due primarily to the economy and other career advancement pathways for teachers, but the college still has the largest number of graduate students at the university.

The CEHD partnered with the College of Arts and Sciences and Jefferson County Public Schools in creating a Graduate Certificate in Diversity Literacy. This certificate is designed to enhance educators' knowledge and skills in the theory and practice of equity, diversity and what it means, the personal and social effects of diversity, and how it shapes lives, workplaces and pedagogy.

International Learning Program

During 2014, CEHD faculty and staff hosted international teaching and learning experiences for students in the countries of Belize, Peru, Ireland, and Scotland. For many students, this was a life changing experience that influenced their career paths and philosophies about understanding different cultures and the value of education. In 2015, students will have the opportunity to teach in Belize, study in Ireland higher education and cultural influences on counseling and psychology, and examine educational leadership systems in Peru. In addition, students in the Department of Health and Sport Sciences will go to Toronto, Canada to learn about large-scale sport management at the ParaPan Am Games.

Research

Three faculty in the Department of Special Education, were awarded a \$1.2 million grant from the U.S. Department of Education's Office of Special Education Programs. The grant will provide scholarships to undergraduates who seek dual teaching certification in elementary education and moderate/severe disabilities.

Funding Rates for the CEHD

- 66 submissions for 2014
- 44 awards for 2014: 16 federal, 1 local, 2 misc. / other, 19 private/non-profit, and 6 state
- 66% funding rate
- 10 first-time PIs involved in getting grants
- 51 Graduate Assistantships: funded by the CEHD, grant funded, university funded

Federal awards of grants and contracts have increased by 253% and outright gifts have increased by 379% since 1998.

Significant Accomplishments

The Mary K. Oxley Foundation awarded the college \$5 million towards the CEHD's work with the Signature Partnership Initiative (SPI). The college's Departments of Early Childhood and Elementary Education and Middle and Secondary Education partner with five local schools where the SPI program is based. The UofL Foundation matched the gift by awarding \$2.5 million towards the program. The gift will support initiatives such as the clinical model of teacher and leadership preparation, Summer Boost and Summer Flight programs, Clinical Fellows, comprehensive professional development that is based in the schools, and provide funding for teachers who want to pursue National Board for Professional Teaching Standards (NBPTS) certification.

The Early Learning Campus (ELC) received the prestigious National Association for the Education of Young Children (NAEYC) accreditation. In addition, the ELC is now a Kentucky Teacher Internship Program for students finishing their preparation to become teachers.

CEHD has had four Fulbright Scholars in the past five years.

Budget Changes

Competency Based Education - The Department of Leadership, Foundations and Human Resource Education is launching a new competency based education (CBE) program that focuses on the adult learner. This anticipated revenue-producing program will be implemented through the Bachelor of Science in Organizational Leadership and Learning program with a track in Healthcare Leadership. The degree requires 123 credit hours of which 45 credit hours may be completed under CBE. Students would be able to complete major courses under the CBE format within one academic year.

Art Therapy Program - The CEHD's Art Therapy Program has been expanded to allow increased student enrollment. As one of only a handful of programs in the country, it has more applicants than current funding can support. Expansion of the program will allow increased revenue and the ability to hire additional faculty for the students.

Signature Partnership Initiative - The CEHD will, as part of our core mission, continue its work with the Signature Partnership Initiative due to the \$5 million gift from the Mary K. Oxley Foundation and the \$2.5 million matching gift from the UofL Foundation. Five Jefferson County Public Schools (JCPS), who are in UofL's SPI arena and their students and teachers, will be impacted by the gift. Without the gift, the program could not have continued.

Voluntary Separation Incentive Program (VSIP) - Several CEHD senior faculty members with administrative roles participated in the VSIP program. Several positions, and their respective VSIP savings reduction, were transferred to ASP for centrally prioritized reallocation and the total for the CHED is \$382,300.

The new revenue and expense budgets for the CBE and the Art Therapy programs total more than \$830,000.

Academic Unit Summaries

2016 Operating Budget



College of Education and Human Development

Financial Information

FY 2016 Budget

	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	240,000	0	240,000
Faculty	10,087,944	269,561	10,357,505
P&A Staff	3,308,371	809,433	4,117,804
Classified Staff	839,014	42,077	881,091
Student Assistants	751,067	144,000	895,067
Other Salaries & Wages	108,968	70,298	179,266
Fringe Benefits	3,968,469	354,012	4,322,481
Total Personnel Expenses	19,303,833	1,689,381	20,993,214
Current Expenses			
Supplies and Expenses	816,535	2,172,180	2,988,715
IT, Maint., Other Services	119,071	0	119,071
Travel	122,194	22,000	144,194
Departmental Credits	0	0	0
Total Current Expenses	1,057,800	2,194,180	3,251,980
Grand Total	20,361,633	3,883,561	24,245,194

Budget Highlights - College of Education and Human Development

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$19,329,415
New Funds	\$65,000	
Expenditure Items Offset by Revenue	\$833,732	
Significant Transfers	(\$125,187)	
Faculty and Staff Salary and Wage Increases	\$404,277	
Fixed Costs	\$0	
Unit Reductions	(\$352,300)	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$206,696	
Subtotal		\$1,032,218
FY 2016 Budget		\$20,361,633

School of Interdisciplinary and Graduate Studies at a Glance				
Year Established	1918	Academic Regalia Inter-Collegiate Color		Dark Blue
Facilities and Main Office	Houchens Building - Room 105			
Financial Information				
	FY 2013	FY 2014	FY 2015	FY 2016
Fiscal Year	Actual	Actual	Budget	Budget
General Fund by CSD				
Graduate School	\$5,165,880	\$4,840,083	\$4,059,757	\$3,987,835
Financial Aid	8,195,707	9,286,751	9,124,626	9,124,626
Other	4,818	7,295	7,000	13,600
Total General Fund	\$13,366,405	\$14,134,128	\$13,191,383	\$13,126,061
Non-General Fund by Type				
Endowments			\$970	\$36,426
Gifts			23,935	16,789
Other			30,256	6,240
Total Non-General Fund			\$55,161	\$59,455
Total Graduate School			\$13,246,544	\$13,185,516
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	8	5	Not available	Not available
Degrees Awarded Change Per Year	-20.0%	-37.5%	at printing	at printing
Enrollment by Level				
Master's	13	10	11	
Doctoral	3	7	7	
Total	16	17	18	
Graduate Certificate Enrollment	18	1	-	

School of Interdisciplinary and Graduate Studies

The School of Interdisciplinary and Graduate Studies (SIGS) provides administrative support, professional development and student academic services for post-baccalaureate instructional programs leading to certificates and master's and doctoral degrees. In addition, it is the enrollment unit for interdisciplinary master's and doctoral programs. The School of Interdisciplinary and Graduate Studies supports the efforts of all University of Louisville academic units in providing programs leading to advanced degrees in the arts, humanities, social sciences, natural sciences, education, engineering, biomedical and health sciences, business, and engineering. This includes the current offerings of advanced studies in 74 areas at the master's level and 35 at the doctoral level. In the current fiscal year the unit hired a new Associate Director of Admissions and Diversity Recruitment and filled a retirement position with a new Coordinator of Graduate Student Services.

Goals

- In the upcoming year SIGS will continue to implement an "Entrepreneurial Academy", which is the third leg of the PLAN Academy (Professional development, Life skills, Academic development, and Networking skills) program. The other two legs include the Graduate Teaching Assistant Academy and the Grant Writing Academy.
- To promote an "Out-of-State Merit Tuition Award" and Diversity Recruitment programs.
- Continue to work with the unit deans on assessment of graduate programs. Last year we provided the deans with degree productivity and retention numbers for doctoral programs, and this year we will do the same for master's programs.
- SIGS will also reach out to the community to develop a Graduate Dean's Advisory Council which will include alumni and community member to help steer development of SIGS' strategic plan in future years.

Instruction

SIGS provides support to faculty who wish to create interdisciplinary graduate training tracks under the umbrella of the two master's and one doctoral interdisciplinary graduate degree programs housed in SIGS, and provides scholarships to seed these programs. Currently there are three interdisciplinary tracks: the Master of Arts in Interdisciplinary Studies with a Concentration in Bioethics and Medical Humanities, the Doctoral Program in Interdisciplinary Studies with a Specialization in Bioinformatics, and the Doctoral Program in

Interdisciplinary Studies with a Specialization in Translational Neuroscience. An interdisciplinary master's track in Sustainability is undergoing review, and three doctoral tracks are in various stages of development: Biomedical Engineering, Translational Cancer Biology and Oral Biology. SIGS also offers a mechanism for students to develop an ad hoc degree program, in consultation with a faculty steering committee, under the auspices of the interdisciplinary graduate programs. In total, there are currently 16 students enrolled across the active interdisciplinary tracks and in ad hoc programs.

Research

SIGS is the host unit for the National Science Foundation Graduate Research Fellowship Program, which is a highly competitive scholarship program available to doctoral students. One doctoral student has graduated with support from the program and there is currently one Doctoral Fellow funded by this program. SIGS provides tuition awards for graduate students who receive stipends from grants, contracts and other extramural funding programs. In 2013-14, SIGS awarded 88 University Fellowships (including those to the Integrated Programs in Biomedical Sciences (IPIBS) and Grosscurth programs), 24 Doctoral Dissertation Completion Awards, 12 Diversity Fellowships and Scholarships and 139 tuition-only awards. SIGS sponsors the Graduate Student Honor awards for fall and spring commencement and the Faculty Mentor Awards for the spring Commencement.

Significant Accomplishments

Last fiscal year, SIGS implemented a new Non-resident Tuition Waiver Program and an Out-of-State Merit Tuition program which offers a tuition award to cover the non-resident portion of tuition to help recruit highly meritorious graduate students who might not normally consider UofL. This program mainly targets master's students in support of the university's strategic plan to attract qualified students to master's programs.

SIGS partnered with the College of Arts and Sciences Research Office to implement a "Grant Writing Academy" and with the School of Business and the Office of the Vice President for Research and Innovation to start a new "Entrepreneurial Academy". SIGS, in partnership with the Writing Center, sponsors the Dissertation Writing Retreat annually, and this year sponsored the offering of English 599, "Disciplinary Writing for Graduate Students", a course aimed at helping all students, but particularly international students, be successful writers in their disciplines.

Academic Unit Summaries

2016 Operating Budget



SIGS participated in the first GRADLab, which is a minority student recruitment event made possible through our membership in the National GEM Consortium. The GRADLab was hosted at UofL, and co-sponsored by the University of Kentucky, University of Cincinnati, University of Tennessee, Knoxville, and Lexmark as the corporate sponsor.

Budget Changes

Last year, SIGS application fee revenues increased by 9%.

SIGS was allowed to retain an Assistant Director of Graduate Admissions position, which was filled with a new Associate Director of Admissions and Diversity Recruitment. Beginning July 1, the half-time position of PLAN Program Manager will become full-time.

School of Interdisciplinary and Graduate Studies

Financial Information

FY 2016 Budget

	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	242,251	0	242,251
Faculty	0	363	363
P&A Staff	563,858	363	564,221
Classified Staff	133,943	363	134,306
Student Assistants	2,265,004	30,000	2,295,004
Other Salaries & Wages	8,000	0	8,000
Fringe Benefits	629,696	4,943	634,639
Total Personnel Expenses	3,842,752	36,032	3,878,784
Current Expenses			
Supplies and Expenses	41,068	23,423	64,491
IT, Maint., Other Services	7,048	0	7,048
Travel	96,967	0	96,967
Departmental Credits	0	0	0
Total Current Expenses	145,083	23,423	168,506
Grand Total	3,987,835	59,455	4,047,290

Budget Highlights - School of Interdisciplinary and Graduate Studies

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$4,059,757
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	\$0	
Faculty and Staff Salary and Wage Increases	\$20,270	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	(\$92,192)	
Subtotal		(\$71,922)
FY 2016 Budget		\$3,987,835

Kent School of Social Work at a Glance				
Year Established	1936	Academic Regalia Inter-Collegiate Color		Citron
Facilities and Main Office	Oppenheimer Hall			
Financial Information				
Fiscal Year	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
General Fund by CSD				
Kent School	\$4,712,881	\$5,167,785	\$5,092,615	\$5,517,505
Financial Aid	216,153	221,179	224,851	224,851
Other	6,383	7,000	7,000	5,300
Total General Fund	\$4,935,416	\$5,395,964	\$5,324,466	\$5,747,656
Non-General Fund by Type				
Endowments			\$165,543	\$153,606
Gifts			187,853	135,273
Other			1,852,238	2,043,055
Total Non-General Fund			\$2,205,634	\$2,331,934
Total Kent School			\$7,530,100	\$8,079,590
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	195	193	Not available	Not available
Degrees Awarded Change Per Year	-1.0%	-1.0%	at printing	at printing
Enrollment by Level				
Bachelor's	115	141	170	
Master's	337	387	455	
Doctoral	30	32	29	
Total	482	560	654	

Kent School of Social Work

The Raymond A. Kent School of Social Work, founded in 1936, seeks to prepare competent social workers who practice from a strong professional value base to serve the metropolitan mission of the University. These social workers are educated to practice with individuals, families, and communities and to promote social justice. In the context of a research institution, the Kent School is committed to knowledge development that informs the practice of social work, recognizing the importance of collaboration among differing disciplines to solve complex social problems. The School offers BSW, MSSW, and Ph.D. degrees and also offers a certificate in Marriage and Family Therapy, which may be obtained in conjunction with the Master's degree in Social Work or following graduate degree completion in social work or other related fields.

In 2007, the School inaugurated a BSW program that educates students for generalist practice at entry level positions in social work; thereby enabling them to practice competently, under professional supervision, with a variety of client systems, and at all system levels. It also prepares students for advanced graduate work in social work. The Kent School has a current enrollment of more than 170 undergraduate students.

The MSSW program, the oldest of all of the program offerings, is designed to help students achieve their maximum potential through a carefully structured curriculum of foundation and specialized courses. Kent students may participate in dual degree programs with the Brandeis School of Law (J.D.), the Department of Pan African Studies (M.A.), the Louisville Presbyterian Theological Seminary (M.Div.), the Department of Bioethics and Medical Humanities (M.A.), and the Department of Women's and Gender Studies (M.A.). The Ph.D. in Social Work, begun in 1997, is intended to produce top quality (1) teachers and researchers for social work education programs and (2) administrators, policy analysts, program planners, and program evaluators for human service organizations. The Kent School has a current enrollment of more than 430 graduate students.

In 2011, the School hired Dr. Karen Kayser to fill the LaRocca Chair in Oncology Social Work. It is the first chair of its kind in the country and is intended to help the Kent School become a school of destination for students and faculty who want to learn and conduct research in psychosocial oncology. It also supports the strategic interests of the James Graham Brown Cancer Center at the University of Louisville to become a nationally recognized comprehensive cancer center.

Goals

The goals of the Kent School of Social Work over the next few years is to increase the number of BSW graduates and MSSW online student enrollment. Additionally, the faculty and staff continue to strive to increase research and scholarship, achieve annual diversity goals by increasing enrollment of minorities, and increase annual philanthropy to the School and by members of the School to outside agencies.

Instruction

The Kent School of Social Work has embarked on an aggressive agenda to incorporate a full online graduate social work program for students with or without a BSW foundation. For the 2014-15 academic year, the MSSW online program attracted more than 300 applicants of which 164 students were admitted. The School will celebrate its first cohort of graduates from the MSSW online program in May, 2015.

Research

The Kent School continues to expand its research on trauma informed counseling and services under the leadership of Dr. Bibhuti Sar as Principal Investigator of three federally-funded studies. Dr. Anita Barbee also continues to assist in engaging various community service agencies as well as state and local institutions. The team collaborated with other sponsors to offer the “Empowerment & Transitions Over the Lifespan: Promoting Veteran Cultural Competence” during the 2014 Week of Valor. The conference provided information to individuals in the community who provide services to veterans and focused on topics including transition into employment, female veteran’s issues, housing, and access to healthcare.

The School’s research portfolio remains at approximately \$15 million despite budget cuts and less money being available in core areas of social work research. Published scholarship of the Kent School faculty continues to show an increase.

Significant Accomplishments

The Kent School continues to provide more than \$2 million of contributed service to residents of Kentucky through its Practicum Program. In serving Kentucky in 2014, the School had 228 MSSW students in practicum placements throughout Kentucky in 85 agencies in 24 counties. In addition, 28 MSSW placements served Indiana and the School had 65 MSSW students in practicum placements serving

Academic Unit Summaries

2016 Operating Budget



residents of Arkansas, California, Colorado, Connecticut, Florida, Georgia, Massachusetts, Maryland, Michigan, Minnesota, Missouri, Mississippi, North Carolina, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Tennessee, Texas, Utah, Virginia, Vermont, Washington, and West Virginia. One MSSW student completed an international practicum in Japan. The minimum total amount of time contributed to the community for all MSSW students was 144,900 hours.

The Kent School also had 60 BSW students in 27 agencies serving three states, four counties in Kentucky, two counties in Indiana, and one county in Ohio.

- The Kent School remains a leader within the University for diversity in student enrollment. As a percent of total enrollment, African American enrollment by program type is: 19% in BSW; 20% in MSSW; and 14% in Ph.D. Overall, 39% of students in the BSW program, 33% in the MSSW program, and 17% in the Ph.D. program are non-white.
- Several positions, and their respective VSIP savings reduction, were transferred to ASP for centrally prioritized reallocation.

Kent School of Social Work

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	241,104	0	241,104
Faculty	2,693,866	353,455	3,047,321
P&A Staff	1,010,947	1,020,872	2,031,819
Classified Staff	60,784	99,501	160,285
Student Assistants	232,750	54,600	287,350
Other Salaries & Wages	0	40,220	40,220
Fringe Benefits	1,054,323	467,671	1,521,994
Total Personnel Expenses	5,293,774	2,036,318	7,330,092
Current Expenses			
Supplies and Expenses	182,254	292,996	475,250
IT, Maint., Other Services	25,551	0	25,551
Travel	15,926	2,620	18,546
Departmental Credits	0	0	0
Total Current Expenses	223,731	295,616	519,347
Grand Total	5,517,505	2,331,934	7,849,439

Budget Highlights - Kent School of Social Work

General Funds		
Description	FY 2016 Changes	Totals
FY 2015 Budget		\$5,092,615
New Funds	\$0	
Expenditure Items Offset by Revenue	\$436,684	
Significant Transfers	(\$97,212)	
Faculty and Staff Salary and Wage Increases	\$104,467	
Fixed Costs	\$0	
Unit Reductions	(\$98,295)	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$79,246	
Subtotal		\$424,890
FY 2016 Budget		\$5,517,505

Brandeis School of Law at a Glance				
Year Established	1846	Academic Regalia Inter-Collegiate Color		Purple
Facilities and Main Office	Wilson W. Wyatt Hall - 2301 South Third Street			
Financial Information				
Fiscal Year	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
General Fund by CSD				
School of Law	\$6,064,188	\$5,901,846	\$6,382,119	\$6,457,101
Libraries	2,599,422	2,220,920	2,493,283	2,531,082
Financial Aid	801,804	1,415,224	826,400	726,400
Other	13,654	17,338	14,500	15,800
Total General Fund	\$9,479,067	\$9,555,328	\$9,716,302	\$9,730,383
Non-General Fund by Type				
Endowments			\$2,177,427	\$2,338,661
Gifts			1,142,109	797,288
Other (including credits)			0	0
Total Non-General Fund			\$3,319,536	\$3,135,949
Total School of Law			\$13,035,838	\$12,866,332
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	126	115	Not available	Not available
Degrees Awarded Change Per Year	-0.8%	-8.7%	at printing	at printing
Enrollment by Level				
Doctoral	396	381	350	

Louis D. Brandeis School of Law

As the fifth oldest continually operating law school in the nation, the University of Louisville's Brandeis School of Law, founded in 1846, has a long tradition of commitment to its students. The school provides students with outstanding contemporary instruction and abundant practical opportunities to enhance skills and gain experiences both in and outside of ordinary legal practice.

The Brandeis School of Law is fully accredited by the American Bar Association and is a member of the Association of American Law Schools. It includes more than 350 students, 28 faculty and 27 staff members. The J.D. Program requires the completion of 90 semester hours, which can be accomplished on either a full-time or part-time basis. The full-time program is a three-year course of study for students who are able to devote virtually all of their time to the study of law. The part-time program is designed to meet the needs of students who have outside obligations, and is generally completed in four or five years.

While at Brandeis School of Law, students experience small classes and thoughtful professors and staff members who care deeply about each student's professional development. Many opportunities exist for students to contribute to a professor's cutting-edge research or participate in one of three law journals that address the most important issues. Law students excel in national moot competitions and engage in dozens of student organizations and the Louisville Law Review. Being located in Louisville allows students to help community members in externships and clinics, and to engage with the city's bench and bar community. All of this is accomplished while being consistently recognized as a Best Value Law School.

Dual-Degree Programs

UofL students have the opportunity to build on their law degrees by combining the study of law with another academic or professional discipline. UofL Law offers eight dual-degree programs:

- J.D./M.A. Juris Doctor/Master of Arts in bioethics and medical humanities
- J.D./M.A. Juris Doctor/Master of Arts in humanities
- J.D./M.A. Juris Doctor/Master of Arts in political science
- J.D./M.B.A. Juris Doctor/Master of Business Administration
- J.D./M.Div. Juris Doctor/Master of Divinity
- J.D./M.S.S.W. Juris Doctor/Master of Science in Social Work
- J.D./M.P.A. Juris Doctor/Master of Public Administration
- J.D./M.U.P. Juris Doctor/Master of Urban Planning

Goals

The Brandeis School of Law adopted a strategic plan in April 2014. The plan includes a revised mission statement and sets out goals and a detailed set of strategies in the following areas:

Education and Curriculum

- Ensure students develop skills, knowledge, and values for lifelong effectiveness in solving problems and seeking justice.

Research

- Produce and support research and scholarship that have a high level of impact on scholarship (the academic body of knowledge and ideas), law, public policy, and/or social institutions.

Interdisciplinary Inquiry

- Develop a strong program of interdisciplinary education, scholarship, and service.

Community Engagement

- Actively engage the community in addressing public problems, resolving conflicts, seeking justice, and building a vibrant and sustainable future through high-quality research, innovative ideas, and application of research to solve public problems and serve the public.

Diversity

- Actively engage diverse participants in an academic community that is strengthened by its diversity and its commitment to social justice, opportunity, sustainability, and mutual respect.

Resources

- Increase resources, including developing new sources of funding that enable the Law School to fulfill the critical aspects of its mission and to achieve its goals and strategies, while also adhering to the Law School's long-standing commitment to students' access to a relatively affordable J.D. program.

Skills Training and Clinical Opportunities

Students begin skills training at UofL Law in their first year with the development of legal analysis. Basic Legal Skills is devoted entirely to the skills of legal writing, analysis, advocacy and legal research. In their second and third years, students can select courses, seminars, extramural advocacy competitions, and externships designated as “skills experiences”. These courses are specifically designed to provide substantial instruction in professional lawyering skills, including trial and appellate advocacy, dispute resolution, counseling, interviewing, negotiating, problem solving, factual investigation, organization and management of legal work, and drafting. In addition, the law school offers a litigation skills certificate and a transactional skills certificate, equipping students with appropriate experience to excel in their chosen careers.

Third-year students working at the Robert and Sue Ellen Ackerson Law Clinic serve as the primary contact for, and represent clients who are, victims of domestic violence in Jefferson Family Court or clients who have forcible detainer (eviction) cases before Jefferson District Court. Third-year students also partner with the MBA entrepreneurship competition teams in developing award-winning business plans. Upper-level students who pursue a clinical externship acquire valuable experiences and legal skills by working with judges and lawyers in various settings, including representing clients through organizations like Legal Aid or Kentucky Refugee Ministries; preparing and trying cases through criminal justice agencies such as the Commonwealth Attorney’s Office or the Public Defender’s office; or working on tax law with the local Internal Revenue Service office.

International Study

The faculty of the University of Louisville Louis D. Brandeis School of Law reaffirms that study of the legal systems and cultures of other countries enhances students’ legal education. The Law School has developed relationships with foreign law schools; students who study abroad at these partner schools may qualify for tuition or other advantage. However, students are also free to arrange for study at non-partner institutions.

Academic Success

The Academic Success Office at UofL Law is committed to helping every student develop the skills necessary for success during school, on the bar exam, and later in their careers. The Academic Success Office offers a structured study group program for all first-year law

students, provides personal academic counseling and support, and sponsors continuing orientation workshops on a variety of topics, including note taking, outlining, time management, stress resilience, and exam preparation.

Research

Twenty-three faculty members published approximately 98 works in 2013 on topics including professional responsibility, privacy, free speech, trademark, copyright, remedies, employment law, mental illness, disability discrimination and affirmative action. The scholarship that the faculty is publishing is making significant impacts in at least three ways:

- First, faculty members are influencing knowledge and ideas, as reflected by citations to their scholarship and invitations to speak at national and international scholarly forums.
- Second, faculty members are prominent experts who are involved in efforts to reform law and public policy.
- Third, their scholarship leads to opportunities for faculty to serve on local, regional, and national projects that benefit the community and to contribute their expertise to these public-service projects.

The law school also participates in several grants.

CASE Grant: Since 2010, The Law Clinic in collaboration with the Legal Aid Society (LAS) and the Center for Women and Families (CWF), with generous funding from the Office on Violence Against Women (OVW), has successfully served more than 650 unmarried victims of domestic violence in Jefferson County through the Collaboration and Advocacy to provide Safety and Empowerment (CASE) Project. Now with the award of another three-year grant in fall 2014, the work can be expanded by: including the Kentucky Association of Sexual Assault Programs (KASAP) as a strategic partner; providing holistic legal services to victims of domestic violence and sexual assault in not only Jefferson County, but also Bullitt, Oldham, and Shelby Counties; and implementing outreach to college and university survivors of sexual assault and provide legal representation in Title IX Administrative Hearings.

2014 Multi-Disciplinary Research Grant on Social-Ecological Resilience: The 2014 MRG from the University of Louisville Office of the Executive VP of Research is being used for research, in-depth interviews and surveys to assess the relationship between law and public participation in how eight watershed governance institutions in Kentucky and Tennessee have adapted to changing conditions. The principal investigator is Brandeis Professor Tony Arnold. The team is gleaning data and developing models that will lead to further funding proposals, such as the National Science Foundation Program in Law and Social Sciences.

Significant Accomplishments

- Bar passage rate of Brandeis graduates exceeded state average.
- Ranked #1 by U.S. News and World Report as Most Efficient School and named an over-performing law school by the Tax Blog.
- The Ackerson Law Clinic has helped more than 1,000 clients with domestic violence issues.
- Selected to host the National Conference of Law Reviews in 2015.
- Law Review ranked in top quarter of law reviews.
- Won the intrastate mock trial competition.
- Donated more than 7,100 hours in public service.
- Three faculty members in 2014 received prestigious awards from Louisville Bar organizations recognizing their service and contributions to the legal profession.
- Hosted a United State Supreme Court Justice, an award winning journalist, and the Kentucky Supreme Court.

Budget Changes

Understanding the fiscal challenges of funding legal education today the Law School continues to find new sources of revenue to support its mission. Some financial highlights include:

- Designed a Law School Alumni Challenge that raised more than \$400,000 in its first two years.
- Completed the funding for a new chair in business law.
- Reinvested and brought in new dollars to endowment accounts totaling \$2.6 million over the last three years.
- Identified revenue producing strategies in the strategic plan to be implemented in 2015-16.
- Required all departments to submit and adhere to budgets.

Brandeis School of Law
Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	277,783	0	277,783
Faculty	3,758,708	335,986	4,094,694
P&A Staff	944,237	48,552	992,789
Classified Staff	134,485	16,643	151,128
Student Assistants	0	0	0
Other Salaries & Wages	500	88,577	89,077
Fringe Benefits	1,249,418	87,872	1,337,290
Total Personnel Expenses	6,365,131	577,631	6,942,762
Current Expenses			
Supplies and Expenses	88,970	2,524,982	2,613,952
IT, Maint., Other Services	0	0	0
Travel	3,000	33,336	36,336
Departmental Credits	0	0	0
Total Current Expenses	91,970	2,558,318	2,650,288
Grand Total	6,457,101	3,135,949	9,593,050

Budget Highlights - Brandeis School of Law

General Funds		
Description	FY 2016 Changes	Totals
FY 2015 Budget		\$6,382,119
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	\$98,874	
Faculty and Staff Salary and Wage Increases	\$138,914	
Fixed Costs	\$0	
Unit Reductions	(\$189,044)	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$26,238	
Subtotal		\$74,982
FY 2016 Budget		\$6,457,101

University Libraries

The University Libraries serve as the University's intellectual center, fulfilling the information and research needs of a diverse population through collections of more than 2.3 million volumes. The University Libraries include Archives and Special Collections, the Dwight Anderson Music Library, the Law Library, the Margaret M. Bridwell Art Library, the Sidney I. Kornhauser Health Sciences Library, and the William F. Ekstrom Library. UofL, an active member of the Association of Research Libraries (ARL), ranks 92nd in the ARL Investment Index.

Notable UL Numbers

- 3 million users enter University Libraries facilities annually
- 294 database subscriptions are maintained in support of user research
- 88,133 journals are available online
- 60,930 e-books are available online
- 1,022,434 full text articles were requested by users

Goals

- Serve as a campus leader in resource information and data management.
- Continue developing strong partnerships with other campus units.
- Upgrade and enhance physical spaces where appropriate.
- Maintain a close dialog with groups such as our student and faculty advisory boards, the Student Government Association, and the Faculty Senate.
- Pursue alternative funding to provide a rich set of resources and services to our users.

Research

- In calendar year 2013, University librarians published 36 articles, reviews, and other reports; fourteen of which were published in peer-reviewed articles.

- Archives and Special Collections collaborated with Legacies Unlimited, Inc. to present an exhibit of historical photographs at Celebrating the Legacy of Black Louisville; staff advised community members on preservation of family documents and photographs.
- Since 2013, Archives and Special Collections has made all 898 extant issues of a local African-American community newspaper freely available online through the Digital Collections, and invited the community to assist in transcribing its articles; more than 10,000 articles have been made searchable through this community engagement effort.
- Kornhauser Health Sciences Library clinical librarians conducted 538 literature searches, sent 7,234 article pdfs and 5,569 citations, and met with clinicians in 448 clinical or research meetings or classes.
- Kornhauser Health Science Library hosted the National Library of Medicine traveling exhibits “Binding Wounds, Pushing Boundaries: African Americans in Civil War Medicine” and “Maimed Men-Life and Limb: The Toll of the American Civil War”.

Significant Accomplishments

- The Louisville Underground Music Archive Project was highlighted by local media, including WHAS, the Courier-Journal, WFPK, Insider Louisville, Louisville Music News, and Louisville Music Culture; the project held two donation days at the Flea Off Market to build awareness of the project as well as to receive donations.
- The Bridwell Art Library upgraded selected furnishings and equipment for users.
- University Library faculty gave 1,037 information literacy presentations to 22,936 participants.
- Kornhauser Health Sciences Library was awarded a contract from KentuckyOne Health to manage a virtual library, including provision of services and resources, to all KentuckyOne facilities and staff. This includes 18 hospitals, 9 of which are rural hospitals and more than 3,000 physicians in private practice.
- Kornhauser Health Sciences Library cleared bound journals to create additional space for 10 study rooms and an expanded open study and vending area. All study rooms were renovated to include glass surfaced walls for writing, and wall-mounted monitors capable of integrating with patrons’ personal devices.

Community Engagement

- Signature Partnership: Wayside 100 Project: Wayside Christian Mission College and Career program contributing to the educational, social and economic potential of the homeless.
- Alfred Binet Education School: provide job skills and experience for special needs students.
- Simmons College of Kentucky Partnership: library service provided to Simmons faculty and students.
- The Stern J. Bramson Award for outstanding photographic achievement: awarded annually to a high school student from a local public, private or parochial school.
- Kornhauser Health Sciences Library received a grant from the National Network of Libraries of Medicine/ Greater Midwest Chapter, to provide health information services and resources to underserved members of the public as well as underserved health professionals.
- Kornhauser Health Sciences Library manages the Louisville Medical Consortium providing consistent information resources for HSC students rotating through the seven teaching hospitals.
- National Network of Libraries of Medicine/Greater Midwest Region Outreach Library Program to provide information services to underserved groups of health professionals.

Budget Changes

- The University Libraries have been reorganizing and merging units to achieve programmatic and financial efficiencies. We expect this trend to continue in the near term. The libraries actively seek out campus partnerships to move our strategic plan forward. We continue to emphasize growing private philanthropy and alternative funding sources.
- Several positions, and their respective VSIP savings reduction, were transferred to ASP for centrally prioritized reallocation.
- \$200,000 increase for Libraries' portion of distance education funds.

University Libraries

Financial Information

FY 2016 Budget

Personnel Expenses

	General Funds	UofL Corporations	Total
Administrators	190,944	0	190,944
Faculty	3,032,083	215,519	3,247,602
P&A Staff	1,506,480	11,702	1,518,182
Classified Staff	1,667,093	54,797	1,721,890
Student Assistants	358,628	0	358,628
Other Salaries & Wages	11,159	84,879	96,038
Fringe Benefits	2,227,519	96,159	2,323,678
Total Personnel Expenses	8,993,906	463,054	9,456,960

Current Expenses

Supplies and Expenses	9,624,418	1,454,029	11,078,447
IT, Maint., Other Services	31,652	0	31,652
Travel	46,781	0	46,781
Departmental Credits	0	0	0
Total Current Expenses	9,702,851	1,454,029	11,156,880

Grand Total

18,696,757	1,917,083	20,613,840
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Budget Highlights - University Libraries

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$18,651,646
New Funds	\$0	
Expenditure Items Offset by Revenue	\$179,000	
Significant Transfers	(\$124,389)	
Faculty and Staff Salary and Wage Increases	\$161,386	
Fixed Costs	\$0	
Unit Reductions	(\$243,356)	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$72,470	
Subtotal		\$45,111
FY 2016 Budget		\$18,696,757

Academic Unit Summaries

2016 Operating Budget

School of Medicine at a Glance				
Year Established	1837	Academic Regalia Inter-Collegiate Color		Green
Facilities and Main Office	School of Medicine Abell Admin Building - 323 East Chestnut Street			
Financial Information				
Fiscal Year	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
General Fund by CSD				
School of Medicine	\$52,538,805	\$52,616,334	\$53,043,435	\$53,513,632
Financial Aid	596,400	596,400	667,700	667,700
Auxiliaries	53,934	100,691	85,300	79,507
Academic Support Programs	0	10,078	22,100	22,100
Other	303,901	240,291	240,828	243,519
Total General Fund	\$53,493,040	\$53,563,795	\$54,059,363	\$54,526,458
Non-General Fund by Type				
Clinics and Program Support			\$210,429,200	\$244,851,433
Endowments			37,010,598	43,342,527
Gifts			36,235,502	31,647,547
Other			55,661,231	70,330,744
Total Non-General Fund			\$339,336,531	\$390,172,251
Total School of Medicine			\$393,395,894	\$444,698,709
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	259	241	Not available	Not available
Degrees Awarded Change Per Year	2.8%	-6.9%	at printing	at printing
Enrollment by Level				
Master's	108	114	128	
Doctoral	825	798	796	
Post-Doctoral	102	99	123	
Total	1,035	1,011	1,047	

School of Medicine

The School of Medicine, founded in 1837, includes 831 full-time faculty, 59 part-time faculty, and 1,170 gratis faculty as well as 1,367 staff and 625 students. Our mission is to improve the health of our patients and our communities through excellence and leadership in education, patient care, research, and community engagement. In this last year, two significant personnel changes occurred:

- Hired a new Chief Financial Officer who comes from UK with significant experience in clinical operations and is in the process of creating a team to oversee and improve processes and procedures in the area of clinical revenues, healthcare delivery and assuring the Medical School's clinical operations are at peak functioning.
- Hired a new Chief of Staff for the School of Medicine, who is rolling out programs for staff engagement, staff productivity and staff recognition. A major initiative in the upcoming year is a mentoring program for staff.

Goals

- Integrate learning across disciplines and professions to prepare physicians and scientists for 21st century challenges.
- Perform transformative research that positively impacts the health and welfare of our communities.
- Provide an exceptional patient experience and high quality outcomes through University of Louisville Physicians.
- Actively collaborate with our communities to improve health through partnerships, outreach, advocacy, and engaged scholarship.
- Create and sustain effective leadership and infrastructure to support our mission, and serve as strong financial stewards to assure efficiency and sustainability.

Instruction

- In fall 2014, the School's curriculum was transformed from a traditional five course format to fully integrated, organ-system-based courses.
- New fully integrated second year medical school curriculum has been developed and will be implemented in fall 2015.

- Medical students are now required to receive narrative assessment, on areas other than content or skills mastery, in the preclinical years in any course with small groups or laboratory experiences that involve regular faculty-student interaction. It includes feedback and observations related to behavior, attitudes, interpersonal skills, interactions with peers and faculty, and professionalism.
- The School has incorporated more formal faculty development sessions to prepare faculty to teach the new curriculum.
- Incorporation of more self-directed learning opportunities for medical students in the newly designed curriculum allow students ample opportunities during their preclinical years to practice and master the skills associated with lifelong learning. This has been accomplished by:
 - Decreased the number and percentage of lecture hours in the preclinical years (less than 45% of the curriculum is lecture format).
 - Increased self-directed learning opportunities by introducing problem-based learning sessions into the curriculum for spring 2015 for first and second year students that include all of the components of the Liaison Committee on Medical Education definition of self-directed learning: self-assessment of learning needs; independent identification, analysis, and synthesis of relevant information; and appraisal of the credibility of information sources.
 - Recruited and developed 32 faculty for problem-based learning sessions who are equipped to teach and assess in formats required for effective student mastery of the skills necessary for lifelong learning

Research

Several newly funded grants, including:

- Five-year, \$14.7 million grant from the National Institutes of Health (NIH) to Dr. Kenneth Palmer to develop a gel containing a specific protein that will prevent the transmission of HIV.
- Five-year, \$11.25 million in NIH funding continues support of diabetes and obesity research at UofL.
- Kosair Charities grant of \$7.3 million to support Dr. Andrea Behrman's research in pediatric spinal cord injury (supplemented by \$2.7 million from a previous gift from the late Owsley Frazier).
- Helmsley Charitable Trust grant of \$5.5 million to support innovative cancer research by UofL scientists in Louisville and Owensboro.
- Helmsley Foundation Grant of \$1.5 million to support Dr. Andrea Behrman's research in pediatric spinal cord injury
- Award to Dr. Chendil Damodaran of \$1.4 million from the NIH to develop new targets in prostate cancer treatment

- UofL named as one of nine centers in U.S. for pulmonary fibrosis research, treatment.
- Establishment of the Tamburro Symposium on Environmental Chemicals and Liver Disease by a grant from the NIH. This symposium brought world leaders to the University of Louisville for the first Carlo H. Tamburro Symposium on Environmental Toxins and Liver Injury.

Significant Accomplishments

- The School of Medicine is in the beginning stages of a true Shared Services model which will create economies of scale and increased efficiencies for its business operations.
- The School of Medicine created an LGBT Center on campus and is sponsoring several programs, including Safe Zone Training for staff.
- Redesign and complete update to the SOM Instruction Building, bringing student oriented technologies up to 21st Century standards.
- Department of Medicine initiated a Global Health Initiative where service, education, and research take place with a priority on improving health and achieving equity in health for all people worldwide.
- The Valve Team at UofL Physicians and Jewish Hospital, part of KentuckyOne Health, successfully completed a new life-saving heart procedure for patients with mitral regurgitation who are too ill for open-heart surgery. This is the first time in Louisville the minimally invasive MitraClip procedure has been completed.
- Creation of a Certified Nurse Mid-Wife Program; first of its kind in Metro Louisville.

Budget Changes

- Partnership with KyOne provided \$19 million for specific programs across the School of Medicine.
- Creation of the Department of Cardiovascular and Thoracic Surgery, which involved a reorganization of the Department of Surgery.

Academic Unit Summaries

2016 Operating Budget

School of Medicine

Financial Information

FY 2016 Budget

	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	153,037	379,050	532,087
Faculty	28,201,017	130,810,920	159,011,937
P&A Staff	4,471,419	54,697,503	59,168,922
Classified Staff	2,459,614	8,454,770	10,914,384
Student Assistants	652,643	4,098,564	4,751,207
Other Salaries & Wages	2,906,348	36,818,247	39,724,595
Fringe Benefits	9,208,144	50,663,907	59,872,051
Total Personnel Expenses	48,052,222	285,922,961	333,975,183
Current Expenses			
Supplies and Expenses	8,723,482	104,249,290	112,972,772
IT, Maint., Other Services	42,196	0	42,196
Travel	732	0	732
Departmental Credits	(3,305,000)	0	(3,305,000)
Total Current Expenses	5,461,410	104,249,290	109,710,700
Grand Total	53,513,632	390,172,251	443,685,883

Budget Highlights - School of Medicine

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$53,043,435
New Funds		\$0
Expenditure Items Offset by Revenue	(\$51,786)	
Significant Transfers	(\$293,188)	
Faculty and Staff Salary and Wage Increases	\$892,527	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	(\$77,356)	
Subtotal		\$470,197
FY 2016 Budget		\$53,513,632

Metropolitan College

Metropolitan College (MC) is a joint education-workforce/economic-development initiative of the University of Louisville (UofL), Jefferson Community and Technical College (JCTC), Louisville Metro, the State of Kentucky, and founding business partner UPS. MC is bound by a consortium agreement for the purpose of increasing post-secondary educational opportunities for the citizens of Kentucky along with promoting workforce development and community economic development in the Louisville Metro region. With special financial support from state and local governments, MC develops, coordinates and promotes workforce, education and student development opportunities for its participants and business partners.

Metropolitan College was a critical element of the incentive package that convinced UPS to remain in Kentucky and to expand its major overnight air hub, Worldport. This uniquely innovative program offers access to post-secondary education opportunities for eligible employees in the Next Day Air operation at UPS Worldport in Louisville. Acknowledged by the U.S. Department of Labor for best practices in innovative solutions to workforce development, MC and UPS offer a well-documented solution for the elimination of the financial barriers to higher education for residents of Kentucky. Since its inception in 1998, this innovative partnership has helped thousands of students pursue a free education and on-the-job training while reducing the annual turnover rate of new hires from 100% to less than 20%. In October 2009, Metropolitan College was one of 14 programs nationally to be featured in a report published by Workforce Strategy Center with funding from the Bill & Melinda Gates Foundation. In 2013, Metropolitan College was among the top 25 programs recognized by Harvard's Kennedy School Ash Institute for Democratic Governance and Innovation as part of their Innovations in American Government Awards program.

To fulfill the program's mission of assisting students to integrate their academic, work and personal lives, Metropolitan College has a team of Student Development Counselors who assist students with a wide array of support services designed to ensure their success both in the workplace and in the classroom. The MC Student Development Team has been recognized by the National Council on Student Development for exemplary practice in the field. In addition to UPS, MC has facilitated post-secondary education opportunities for the employees of Community Alternatives Kentucky (CAKY), a subsidiary of ResCare, and Humana.

Academic Unit Summaries

2016 Operating Budget



Developing a responsive and educated workforce for the region and state has always been a key component of the MC model. Metropolitan College partnered with Kentuckiana Works (Louisville's Workforce Investment Board) and Jefferson Community and Technical College to develop the CREW Career Center. CREW (Connecting Resources, Education and Workforce) provides comprehensive career development services for all Jefferson Community and Technical College students, all MC participants, and also operates as a career one-stop for citizens in the Louisville Metro region.

Budget Changes

- Metropolitan College has budgeted \$8 million for the last few years for tuition payments. Due to increased participation and increased tuition rates, that figure will increase to \$10 million in FY 2016.

Metropolitan College

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	0	93,361	93,361
Faculty	0	74,785	74,785
P&A Staff	0	767,602	767,602
Classified Staff	0	135,082	135,082
Student Assistants	0	0	0
Other Salaries & Wages	0	0	0
Fringe Benefits	0	372,940	372,940
Total Personnel Expenses	0	1,443,769	1,443,769
Current Expenses			
Supplies and Expenses	240,250	10,808,579	11,048,829
IT, Maint., Other Services	0	0	0
Travel	0	0	0
Departmental Credits	0	0	0
Total Current Expenses	240,250	10,808,579	11,048,829
Grand Total	240,250	12,252,348	12,492,598

Budget Highlights - Metropolitan College

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$240,250
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	\$0	
Faculty and Staff Salary and Wage Increases	\$0	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$0	
Subtotal		\$0
FY 2016 Budget		\$240,250

School of Music at a Glance				
Year Established	1932	Academic Regalia Inter-Collegiate Color		Pink
Facilities and Main Office	School of Music Building			
Financial Information				
Fiscal Year	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
General Fund by CSD				
School of Music	\$6,153,067	\$6,185,332	\$6,261,607	\$5,861,656
Financial Aid	629,029	733,217	906,400	906,400
Other	426	464	200	700
Total General Fund	\$6,782,522	\$6,919,012	\$7,168,207	\$6,768,756
Non-General Fund by Type				
Endowments			\$1,640,940	\$1,871,302
Gifts			510,413	414,377
Other			0	0
Total Non-General Fund			\$2,151,354	\$2,285,679
Total School of Music			\$9,319,561	\$9,054,435
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	65	74	Not available	Not available
Degrees Awarded Change Per Year	-11.0%	13.8%	at printing	at printing
Enrollment by Level				
Bachelor's	284	285	301	
Master's	72	67	61	
Total	356	352	362	

School of Music

The School of Music continues to have a major impact on the cultural life of Louisville and the Commonwealth of Kentucky. Its faculty and administration provide leadership and talent for such groups as the Louisville Orchestra, the Kentucky Opera Association, and Chamber Music Society. Performing groups representing the Chamber Music Society of Louisville and the Speed Museum Endowed Music Series offer public concerts at the School of Music. In addition, each year there are dozens of concerts and recitals performed by faculty, students, and student organizations. The School also sponsors dance and pre-collegiate programs in the Dance Academy and Music Preparatory programs, hosts a music therapy clinic for the community, and hosts the region's only university-based Suzuki String Teacher Education program.

The School of Music is accredited by the National Association of Schools of Music and offers programs which lead to the Bachelor of Arts with an emphasis in music general or jazz; the professional degree Bachelor of Music with an emphasis in music education, performance, music history, music theory, composition, music therapy or piano pedagogy; Master of Music with emphasis in performance, music history, music theory, composition, conducting, jazz, or piano pedagogy; and Master of Music Education.

Goals

The School of Music's primary goal is to enhance the quality of life for the University and general public through performances, compositions, research, and instruction. Faculty members are involved in research and creative activities that result in the creation of new music, performances, recordings, publications, and applications of music to health care and wellness.

Instruction

Musicians from the school are recognized nationally and internationally for exceptionally high standards of musical achievement. Student ensembles including wind band, symphony orchestra, and jazz ensembles are regularly invited to perform in regional, national and international meetings of their professional organizations.

Choral music ensembles appear at national and international venues where the groups have distinguished themselves among the top choirs in the world. There is a growing program of international initiatives that bring international students to the university in which UofL music students benefit from opportunities to study overseas. Faculty soloists and ensembles appear in national and international venues where they have become known for musical standards of the highest order, particularly in the creation and performance of new music.

The school provides subsidized violin studies in west Louisville schools, and has extended its regional outreach efforts on campus through the establishment of a String Academy funded by a prestigious grant from the Starling Foundation, a community jazz ensemble, and a Music Therapy Clinic housed in the School of Music.

Hundreds of secondary school age students visit the school annually to participate in the many workshops and events sponsored at the School of Music.

Significant Accomplishments

From 2011 through 2014, the school admitted the largest groups of students in its history. Average ACT scores for entering freshmen in music typically exceed the university average with many music students qualifying for National Scholar awards, in addition to qualifying for the prestigious Brown Fellowship, Porter Scholar, and Fulbright Scholar programs.

The Cardinal Singers choral ensemble is ranked first among mixed choirs competing in international choral competitions with recent invitations and performances in Europe, Asia, and Latin America, as well as performances in premier venues in the United States.

Budget Changes

The school ranks in the top 5% of Kentucky music programs in fundraising each year and in 2014 received confirmation of its largest gift to date, an estate gift of \$6.3 million directed to the School of Music Jazz Studies program. The school's endowment ranks near the top of all comparably-sized music school endowments.

Several positions, and their respective VSIP savings reduction, were transferred to ASP for centrally prioritized reallocation.

Academic Unit Summaries

2016 Operating Budget

School of Music

Financial Information

FY 2016 Budget

	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	210,631	0	210,631
Faculty	3,064,873	296,007	3,360,880
P&A Staff	369,492	11,992	381,484
Classified Staff	247,766	11,992	259,758
Student Assistants	272,200	81,000	353,200
Other Salaries & Wages	54,522	72,600	127,122
Fringe Benefits	1,280,759	122,801	1,403,560
Total Personnel Expenses	5,500,243	596,391	6,096,634
Current Expenses			
Supplies and Expenses	307,819	1,675,472	1,983,291
IT, Maint., Other Services	23,645	0	23,645
Travel	29,949	13,816	43,765
Departmental Credits	0	0	0
Total Current Expenses	361,413	1,689,288	2,050,701
Grand Total	5,861,656	2,285,679	8,147,335

Budget Highlights - School of Music

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$6,261,607
New Funds	\$0	
Expenditure Items Offset by Revenue	(\$62,758)	
Significant Transfers	\$0	
Faculty and Staff Salary and Wage Increases	\$122,774	
Fixed Costs	\$0	
Unit Reductions	(\$458,686)	
Policy Initiatives	\$0	
Change in Fringe Benefits	(\$1,281)	
Subtotal		(\$399,951)
FY 2016 Budget		\$5,861,656

School of Nursing at a Glance				
Year Established	1979	Academic Regalia Inter-Collegiate Color		Apricot
Facilities and Main Office	Health Sciences Campus, K-Wing - 555 South Floyd Street - Suite 3019			
Financial Information				
Fiscal Year	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
General Fund by CSD				
School of Nursing	\$6,023,636	\$6,585,971	\$6,226,249	\$6,349,029
Other	7,469	8,805	7,000	7,700
Total General Fund	\$6,031,105	\$6,594,776	\$6,233,249	\$6,356,729
Non-General Fund by Type				
Clinics and Program Support			\$469,439	\$818,309
Endowments			340,631	392,659
Gifts			417,670	351,197
Other			185,779	840,471
Total Non-General Fund			\$1,413,519	\$2,402,636
Total School of Nursing			\$7,646,768	\$8,759,365
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	245	272	Not available	Not available
Degrees Awarded Change Per Year	-1.6%	11.0%	at printing	at printing
Enrollment by Level				
Bachelor's	1,218	1,322	1,273	
Master's	127	128	121	
Doctoral	17	21	19	
Total	1,362	1,471	1,413	
Graduate Certificate Enrollment	7	13	10	

School Nursing

The School of Nursing, founded in 1974, offers programs leading to the Bachelor of Science (BSN), Master of Science (MSN) and the PhD in Nursing. The strategic aim of the School is to transform health care through creation of new knowledge, provision of care, community and professional service, policy development, and workforce development. With 51 full-time tenured, tenure track and term faculty and 22 staff, the school advises, mentors and educates nearly 1,300 students.

University of Louisville School of Nursing is where the science of nursing transforms the art of caring.

- Faculty and students continue to provide more than 47,350 service learning hours to Louisville Metro citizens.
- The School continues to grow its global presence with study abroad experiences for students in Belize, Botswana, Croatia, Scotland and Africa.
- Aggressive stewardship of our alumni and other key stakeholders and partners continues to increase its philanthropic support.

Instruction

Three options are currently available for students seeking a bachelor's degree in nursing: 1) the pre-licensure generic BSN; 2) a BSN extension program in Owensboro; and 3) a fully online registered nurse completion RN-BSN.

New in summer, 2015 is the Master's Entry into Professional Nursing (MEPN). It replaces the second degree accelerated BSN (for students with a bachelor's degree or higher in another major) who will now earn both the bachelor's degree and a master's degree in nursing.

Students pursuing a nurse practitioner master's degree in nursing currently have six advanced practice registered nurse (APRN) options: Adult Acute care, Adult/Gerontology, Family, Neonatal, Psychiatric Mental Health and Women's Health/Family Nurse Practitioner. Masters' students can also earn another specialty population certificate through a post MSN or any of the foci. In addition, a MSN certificate in health professions education exists. The BSN and MSN programs are fully accredited by the Commission on Collegiate Nursing Education through December 31, 2021.

Graduate students may also earn a PhD via either BSN to PhD or MSN to PhD options in order to conduct research and assume faculty roles. *US News and World Report* in 2011 ranked the UofL graduate nursing program 64 out of 100 in the country.

The School of Nursing faculty value evidence-based curricula and innovative instructional strategies implemented in the high energy atmosphere of a Health Sciences Center. The faculty members are also active nurse scientists with individual research programs that address translational and bio behavioral nursing science to promote and restore health and function and loss across the lifespan. The School's strategic plan includes targets to help the University become a premier metropolitan research university through nursing and cross-professional research, teaching and service. The School is active in community service locally, while faculty partner with community organizations, including Jefferson County Public Schools providing more than 47,000 hours in student service learning activities last year. Nurse practitioner faculties also practice as partners with the School of Dentistry, School of Medicine, Louisville Metro Public Health & Wellness, and at the Kentucky Racing Health Services Center at Churchill Downs; others provide service to families with Alzheimer's disease through the Caregivers Program.

Research

- Faculty are building out research and scholarship in terms of grants and publications in end of life care, burn care safety, successful aging, motivational interviewing, and simulation pedagogies, to name but a few.
- Endowed chair has earned funding in Home Healthcare Professionals Hazard Training through Virtual Simulation and has Asthma in Older Adults: Identifying Phenotypes and Factors Impacting Outcomes.
- Nursing faculty received a \$1.1 million grant from the U.S. Department of Health & Human Services Health Resources and Services Administration with School of Dentistry faculty to afford nurse practitioners and dental students the opportunity to learn together for better practice of oral and physical health to the underserved.

Significant Accomplishments

- The School of Nursing celebrated its 40th anniversary in 2014 and inaugurated the Florence Nightingale Awards in Excellence.
- The School continues to graduate large numbers of licensed registered BSN nurses, and nationally certified master's prepared nurse practitioners, along with PhD graduates who will teach and/or conduct research.

Academic Unit Summaries

2016 Operating Budget

Budget Changes

- Significant Transfers is a transfer of a VSIP faculty line to the Executive Vice President for Health Affairs central VSIP Holding program.
- Expenditure Items Offset by Revenue is a reduction to revenue and expense budget for Nursing distance education.

School of Nursing

Financial Information

Financial Information	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	200,169	0	200,169
Faculty	3,381,592	656,999	4,038,591
P&A Staff	632,428	346,263	978,691
Classified Staff	233,019	34,665	267,684
Student Assistants	228,000	46,920	274,920
Other Salaries & Wages	0	29,248	29,248
Fringe Benefits	1,331,878	289,366	1,621,244
Total Personnel Expenses	6,007,086	1,403,459	7,410,545
Current Expenses			
Supplies and Expenses	299,210	999,176	1,298,386
IT, Maint., Other Services	22,458	0	22,458
Travel	20,275	0	20,275
Departmental Credits	0	0	0
Total Current Expenses	341,943	999,176	1,341,119
Grand Total	6,349,029	2,402,636	8,751,665

Budget Highlights - School of Nursing

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$6,226,249
New Funds	\$0	
Expenditure Items Offset by Revenue	(\$73,335)	
Significant Transfers	(\$76,155)	
Faculty and Staff Salary and Wage Increases	\$176,910	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$95,360	
Subtotal		\$122,780
FY 2016 Budget		\$6,349,029

Other Academic Programs

Other Academic Programs (OAP) include educational activities that are associated with a particular college or school. OAP includes the Delphi Center for Teaching and Learning, Rauch Planetarium, Honors Program, Fort Knox Education Programs, and faculty development initiatives.

Founded in 1997 and located on all three campuses, the Delphi Center for Teaching and Learning is dedicated to promoting teaching and learning excellence in the university, community and beyond. To aid the university's mission in its pursuit of excellence, the Delphi Center exists to provide programming, learning opportunities and other resources to university faculty, students, staff and community members.

Delphi Center

Founded in 1997 and located on all three campuses, the Delphi center for Teaching and Learning promotes teaching and learning excellence in the university, community and beyond. The Delphi Center provides programming, learning opportunities and other resources to university faculty, students, staff and community members.

The Delphi Center is made up of approximately 55 university employees and an Advisory Board chaired by the Delphi Center's executive director. The Advisory Board is comprised of invited faculty representatives from several colleges and schools of the university, the assistant/associate directors of the Delphi Center and other members of the university community. The Delphi Center is made up of the following units or areas of focus: Faculty Development, Instructional Design, Blackboard Support, Ideas to Action (i2a), Online Learning, Professional Development, Event and Conference Services, and Lifelong Learning.

The Delphi Center's forward-thinking programs serve a diverse audience with one common goal: to promote academic and professional growth through education. Delphi's commitment to excellence in teaching and learning are driven by its mission statement.

The Delphi Center for Teaching and Learning at the University of Louisville provides excellent, responsive and innovative services and programs to enhance teaching and learning for faculty, staff and the community. We deliver expertise, leadership and resources to become the first-choice partner for fostering educational excellence.

Goals

The Delphi Center's five overarching goals remain relatively consistent. The tasks and objectives the units employ to accomplish the goals vary from unit to unit and year to year.

Programs and Services: To provide responsive, excellent, innovative, results-driven services that meet our customers' needs.

1. Establish clear service priorities that focus our efforts and refine the foundations of our services (rather than expanding to new and additional services).

Strategic Partnering: To build long-term, mutually beneficial relationships that generate high value returns and weave us into the fabric of the university.

2. Leverage the university's strategic priorities to strengthen existing partnerships and relationships (both internal and external to the university).
3. Review existing procedures and processes internally and share tools and best practices in order to maximize resources, programs, efficiencies, and expertise.

Stewardship and Growth: To maintain financial viability, employ strong business practices and HR practices, use facilities strategically, and maximize resources.

4. Collect meaningful data to directly inform decision making in support of excellence.

Leadership and Teambuilding: To provide leadership/expertise to our customers, communicate well across the unit, build a strong leadership team across the Delphi Center, develop staff, and leverage each other's expertise.

5. Promote teambuilding and ensure engagement by team members when assessing and developing strategic goals.

Expertise and Professional Leadership: To build, maintain and demonstrate knowledge and expertise that support the mission of the Delphi Center.

Significant Accomplishments

In FY 2013-14, the Delphi Center continued to expand learning opportunities for university faculty and staff as well as the Louisville community through innovative programming and outstanding customer service. Listed below are the Delphi Center's significant accomplishments for the year.

Faculty Development / Teaching and Learning

From the annual Celebration of Teaching and Learning conference to the Dine and Discover workshop series and Part-time Faculty Institute, the Delphi Center offers an array of faculty development programs designed to help faculty members learn new skills, technologies, or pedagogical methods. The Faculty Development unit also designs customized workshop sessions for departments and coordinates the Paul Weber Award for Departmental Excellence and Faculty Favorites program. For FY 2013-14, the unit:

- Increased attendance at the annual Celebration of Teaching and Learning Conference by 10%, attracting a record 233 faculty, staff and graduate teaching assistants. Themed "Igniting the Spark: Motivating Student Learning," the one-day conference featured a distinguished keynote speaker who is the author of *What the Best College Teachers Do*. Pre-Celebration presentations with the keynote speaker attracted 76 attendees at the Health Sciences Campus and 47 students at the Belknap session.
- Continued to grow the HSC-Delphi Faculty Development Partnership effort. Comprised of representatives from Medicine, Nursing, Dentistry, Public Health and Information Sciences, as well as the Delphi Center, the steering committee organized two professional development sessions during 2013-2014. Sixty-four HSC faculty and staff attended a facilitated panel discussion entitled "HSC Showcase Technology 'Speed Dating' for Faculty" and 76 participants attended the session on "Building a Deep Learning Environment in the Health Sciences: What the Best Teachers Do."
- Developed and expanded faculty learning communities (FLCs), which create opportunities for deep engagement among faculty members around specified teaching topics. As part of the ongoing partnership with the J.B. Speed School of Engineering's Department of Engineering Fundamentals, a collaborative learning-themed FLC was launched for six engineering faculty members in early 2014. The digital pedagogy FLC, in collaboration with an English professor, entered its second year. A cohort of ten interdisciplinary faculty members participated in the second offering.
- Delivered 15 Dine and Discover workshop sessions, which were attended by a total of 212 total faculty members. These sessions are designed to promote teaching excellence by providing practical, research-based strategies and tools for teaching improvement.
- Administered the Faculty Favorites program, which recognize faculty who make a significant difference in student learning and intellectual growth. During the 2013-14 nomination cycle, 609 students nominated 307 "Faculty Favorites."

Instructional Design

To support faculty in the integration of technology into their courses, the Delphi Center offers training on Blackboard, classroom response systems, social media, podcasting and other instructional design technologies, in addition to instructional design services and workshops on designing effective online courses. For FY 2013-14, the unit:

- Launched Delphi U Online, an eight-week online course to prepare instructors to teach online. Over the eight weeks, participants learn two primary concepts: first, what it is like to be an online learner, and second, how to effectively teach online. This course is made up of eight separate modules that are covered one week at a time. In total 47 faculty have participated in the course since the summer launch.
- Offered two rounds of Delphi U, a weeklong, face-to-face faculty development program. Delphi U aids faculty in the design, development, delivery, and assessment of online courses. In total 46 faculty members participated in this program.
- Partnered with the Speed school to provide customized training to nine online faculty members with Justice Administration to offer a Ph.D. level course for Online Teaching, and with the faculty from the Master's of Social Work program to ensure quality and consistency in their online courses.

Blackboard Support

The Delphi Center is responsible for the administration of and technical support for Blackboard, the university's learning management system. This includes providing technical and functional support for faculty and staff using Blackboard and associated applications. For FY 2013-2014, the unit:

- Provided ongoing support for faculty, students and staff and continued to improve Blackboard functionality by upgrading the learning system and by adding and updating third party applications that add to the richness of the learning management system.
- Incorporated Libguides into Blackboard courses in partnership with Library staff. A Libguide is a menu item to direct users to the appropriate library resource guide for their course.
- Usage for the Blackboard Spiceworks ticketing system increased 300% in 2013-14 - from 1,300 tickets to 5,200. Some of the changes made were automatically creating tickets from all of Delphi website submitted forms (requests such as Add User, Open Early, Merge Course, Restore Course, etc.), automatically categorizing tickets based on content, inputting ticket on all incoming phone calls and updating categories for better reporting. Additionally, an email service account was created, allowing instructors to more easily submit requests.

Ideas to Action (i2a)

Ideas to Action (i2a), the university's quality enhancement plan (QEP), exists to promote the infusion of critical thinking and culminating undergraduate experiences (CUEs) across the undergraduate curriculum as part of UofL's re-accreditation process with the Southern Association of Schools and Colleges (SACS). Through close partnerships with undergraduate programs across the university, i2a fosters meaningful critical thinking skill-building and authentic learning experiences to university faculty, staff and students. For FY 2013-14, the unit:

- The i2a Task Group morphed into the i2a Steering Committee to make way for Phase II of i2a. The original i2a Task Group completed its original QEP charge and made a recommendation to disband and allow a new i2a Steering Committee to lead the next phase of the QEP. It was decided that there will be unit-wide i2a leadership committees in each academic unit in order to extend the work of the Task Group at the "local level," while retaining a smaller university-wide Steering Committee.
- Completed the Five-year i2a Impact Video to illustrate the integration of i2a concepts across the curriculum. This video includes interviews and footage to tell the story of i2a's impact on faculty, staff and students and offers a narrative showing the depth and breadth of i2a at UofL.
- Hosted a well-known international scholar, consultant, and trainer in January 2014. During the visit, the trainer led four highly successful presentations/workshops for faculty and staff on community engagement, critical reflection, and engagement. The trainer also shared ideas and generated great training discussion with these student leaders about the value and growth that comes with critical reflection. The trainer earned rave reviews and was brought back in August 2014.

Online Learning

The Office of Online Learning assists departments with developing online programs, student recruiting, marketing and advertising campaigns as well as prospective student inquiries, coordinating support for enrolled online students, and managing state and federal regulatory requirements. Online Learning partners with 16 UofL online programs housed within five academic units. The team also maintains data regarding online courses, prospective student inquiries and student enrollment. In FY 2013-14, the Online Learning unit:

- Increased the number of students taking at least one online course at UofL by 10% from 5,370 in 2013 to 5,917 in 2014.
- Launched the Master of Engineering in Engineering Management in fall 2013 with 37 students in the first cohort, which is the highest number of new online students for any inaugural online program.

- Successfully launched the new Online Learning website, including the development of 16 online program mini-sites and more than 500 pages.
- Increased the number of states where UofL is approved to offer online programs from 19 to 40 per the state authorization regulations.
- Helped 6,093 prospective students, surpassing the unit's goal by 13%. Of these, 562 applied and 252 enrolled in online programs. Although the lead to application conversation rate was 1% below industry standard, the application to enrollment rate (45%) exceeded industry standard by 5%.
- In total, UofL received 939 applications to online programs in FY14, of which 431 have enrolled (46% of those who applied have enrolled).

Professional Development

The Delphi Center's Professional Development team works cooperatively with the Louisville business community to develop employees with its award-winning seminars, certificate programs and customized learning solutions. The team also provides training and certification to university employees through the University Business Training program and SuccessfUL Supervisor Series. During FY 2013-14, the group:

- Facilitated 38 full-day, open enrollment seminars for 480 participants, equating to approximately 3,120 contact hours.
- Provided 2,544 contact hours for 106 participants in Project Management programs. Certificates in Project Management were issued to the 45 participants who satisfied the program requirements.
- Issued 37 Management Development Certificates and 21 Management Development Certificates through public open enrollment and customized learning programs. An additional 22 Management Development Certificates were issued for a customized client program.
- Graduated 54 UofL employees from the University Business Training (UBT) and SuccessfUL Supervisor programs through administration of 44 online module and 20 classroom sessions.

Event and Conference Services

With more than 16,200 square feet and 14 rooms of modern, renovated space, UofL's Event and Conference Service Center at Shelby Campus can host groups of up to 500 people for single or multi-day meetings, conferences, social events, wedding ceremonies or receptions. For FY 2013-14, the unit:

- Hosted 483 events, including notable events such as the 27th Annual Governor's Conference and the Equine Industry Conference.
- Grew business from state and federal government events by 22% in 2014.

Lifelong Learning

The university's Lifelong Learning program offers fun and affordable learning experiences taught by excellent instructors. From GRE or GMAT preparation to wine appreciation, photography and golf, classes are offered to enhance lifestyles. With in-person and online course offerings, learners are sure to find the experiences they are seeking. The Lifelong Learning program is another way for the university to serve the community and foster continuing education.

- Offered 56 classes to 547 enrollees.
- 8% increase in online enrollments (317 in FY13 to 343 in FY14).

Budget Changes

For FY 2016, OAP expects increases for online tuition and other program revenue accounting for the \$1.1 million of expenditures offset by revenue.

Academic Unit Summaries

2016 Operating Budget

Other Academic Programs

Financial Information

FY 2016 Budget

	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	278,337	0	278,337
Faculty	403,191	626	403,817
P&A Staff	3,045,847	14,537	3,060,384
Classified Staff	108,277	29,505	137,782
Student Assistants	133,331	0	133,331
Other Salaries & Wages	264,108	1,500	265,608
Fringe Benefits	1,209,483	20,065	1,229,548
Total Personnel Expenses	5,442,574	66,233	5,508,807
Current Expenses			
Supplies and Expenses	5,472,310	36,314	5,508,624
IT, Maint., Other Services	37,469	0	37,469
Travel	20,026	0	20,026
Departmental Credits	(5,000)	0	(5,000)
Total Current Expenses	5,524,805	36,314	5,561,119
Grand Total	10,967,379	102,547	11,069,926

Budget Highlights - Other Academic Programs

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$9,341,704
New Funds	\$0	
Expenditure Items Offset by Revenue	\$1,447,663	
Significant Transfers	(\$54,831)	
Faculty and Staff Salary and Wage Increases	\$101,261	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$131,582	
Subtotal		\$1,625,675
FY 2016 Budget		\$10,967,379

School of Public Health and Information Sciences at a Glance				
Year Established	1919-1924; 2002	Academic Regalia Inter-Collegiate Color		Salmon Pink
Facilities and Main Office	School of Public Health and Information Sciences - 485 E. Gray Street			
Financial Information				
Fiscal Year	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
General Fund by CSD				
School of Public Health	\$4,938,264	\$4,701,879	\$5,056,270	\$5,215,357
Other	3,827	6,095	4,000	6,800
Total General Fund	\$4,942,091	\$4,707,974	\$5,060,270	\$5,222,157
Non-General Fund by Type				
Clinics and Program Support			\$3,553,501	\$5,434,128
Gifts			79,667	297,909
Endowments			9,589	78,552
Other			2,310,614	1,370,317
Total Non-General Fund			\$5,953,371	\$7,180,906
Total School of Public Health			\$11,013,641	\$12,403,063
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	58	57	Not available	Not available
Degrees Awarded Change Per Year	-1.7%	-1.7%	at printing	at printing
Enrollment by Level				
Bachelor's	-	2	54	
Master's	127	117	124	
Doctoral	55	55	60	
Post-Doctoral	1	3	4	
Total	183	177	242	
Graduate Certificate Enrollment	5	3	1	

School of Public Health and Information Sciences

The original School of Public Health at the University of Louisville was open from 1919 to 1924, making it one of the first schools of public health in the United States. The School of Public Health and Information Sciences (SPHIS) officially reopened as a unit of the University of Louisville in September 2002. The school's mission is to advance knowledge for the public's health in the increasingly complex and interconnected world of the 21st century, which is accomplished through activities in the three cornerstone areas for advancing knowledge:

- **Research:** We create knowledge by seeking new discoveries and understanding through scientific exploration. We communicate our findings.
- **Teaching:** We share knowledge with students committed to and prepared for learning in a facilitated environment. Our learners are our students, our faculty, and our staff. We commit to preparing our learners for success.
- **Service:** We apply knowledge through quality services to the communities of which we are a part – the University, Louisville Metro, Kentucky, the United States, and their respective environs.

SPHIS is accredited by the Council on Education for Public Health (CEPH), an independent agency recognized by the U.S. Department of Education to accredit schools and programs of public health. The school received reaccreditation in 2013 for a period of seven years, the maximum length of time the CEPH will reaccredit schools of public health. We are one of two accredited schools of public health in Kentucky, and the only school in the nation that combines the disciplines of public health and information sciences.

As of spring semester 2015, SPHIS has an enrollment of 56 doctoral students, 109 graduate students, and 71 undergraduate students.

Goals

We seek to fulfill our mission by meeting the following goals:

1. Provide educational and academic excellence through a responsive, challenging and supportive educational environment characterized by high standards, commitment to quality and student success.
2. Build a public health and information science research enterprise by focusing energy and resources to enhance the scholarly agenda, thereby striving toward national prominence.
3. Foster a diverse, open and accessible school of public health and information sciences with an integrated system of access and

intercultural understanding that promotes and supports race and gender diversity, inclusivity, equity and open communication.

4. Promote collaboration and community/state partnerships by developing and integrating interdisciplinary activities associated with teaching, research and service. Support existing partnerships and engage new partners to contribute to the educational, social and economic progress of the region and state.
5. Focus on school effectiveness and service through systematic quality improvement, assessment, CEPH self-study and accreditation, and a dedication to fulfill the mission and vision of SPHIS.

The school is undergoing a period of sustained growth under the direction of Dean Craig Blakely, PhD, MPH. Dean Blakely has outlined four overarching priorities for the SPHIS since his tenure began in April 2013.

- Establish global health presence
- Establish undergraduate program in public health
- Build a strong health policy focus
- Become visibly engaged in West Louisville

Instruction

The school continues to train and prepare the next generation of public health professionals through its five departments: Bioinformatics and Biostatistics, Environmental and Occupational Health Sciences, Epidemiology and Population Health, Health Management and Systems Sciences, and Health Promotion and Behavioral Sciences.

An undergraduate program was launched in fall 2014 to offer two degree options, a bachelor of science (BS) in public health for students focused on public health practice and a bachelor of arts (BA) in public health for liberal arts studies. Beginning in fall 2015, SPHIS will, in collaboration with Student Affairs, Advising, Admissions, REACH, Faculty and Undergraduate Services, establish a Public Health Living-Learning Community to bring together students wishing to pursue a career in public health in a dynamic, engaging environment. This residential community will foster exploration of this career path through courses, programming, services and connections to campus and community resources.

Total enrollment in SPHIS academic program has increased 48% since undergraduate program began in fall 2014. As of spring semester 2015, enrollment is 56 doctoral students, 109 graduate students, and 71 undergraduate students. Looking forward, there have been 140 applicants to our BS/BA in public health program for fall 2015.

With the recent signing of a major gift agreement with the Nur Foundation, SPHIS has solidified plans to expand the MPH program to matriculate students living in Lahore, Pakistan through the education institution, Fatima Memorial System, beginning fall 2015. The school is also looking to establish new concentrations in areas that may attract more students such as global health, health administration, maternal and child health, and nutrition/food safety.

Future plans also include the creation of a school wide master of science (MS), a master of health administration (MHA) and a master of data science, along with a significant revision of the Clinical Research, Evaluation and Statistics Training (CREST) program. A Community Health Worker (CHW) training program is being initiated to build capacity for outreach and education that is cost-effective and culturally appropriate for populations facing significant social and health disparities.

Research

SPHIS research program expenditures for fiscal year 2014 totaled approximately \$2.37 million.

- Dr. Qunwei Zhang, associate professor, Environmental and Occupational Health Sciences, received a \$450,000 grant over three years from the National Institute of Environmental Health Sciences to investigate the role of microRNA miR-21 in nickel nanoparticles-induced enzyme production.
- With the development of nanotechnology, a large number of metal nanoparticles are being developed and produced with new formulations and surface properties to meet novel demands. Susmita Datta, PhD, Professor of Bioinformatics and Biostatistics was elected an American Association for the Advancement of Science Fellow for her distinguished contributions to the field.
- K.B. Kulasekera, PhD, professor and chair, and Shesh Rai, PhD, professor, Bioinformatics and Biostatistics, have been named fellows of the American Statistical Association. The annual award recognizes ASA members of established reputation who have made outstanding professional contributions and provided leadership in the field of statistical science.

Significant Accomplishments

- Pending UofL Board of Trustees approval, SPHIS has reached an agreement with KentuckyOne Health to establish the Commonwealth Institute of Kentucky, whose mission will be to inform policy and practice that will improve the health of populations in Kentucky and beyond by facilitating and supporting transdisciplinary collaborations for research and data analytics. The Institute will build research infrastructure to support a variety of transdisciplinary projects that engage academic partners, clinical partners, and community partners in improving the health of the Commonwealth.
- Monica Wendel, D.Ph., MA, joined the SPHIS as associate dean for public health practice and associate professor of Health Promotion and Behavioral Sciences. She has established the Office of Public Health Practice to support and expand community-based efforts. Under her leadership, the Office of Public Health Practice is finalizing plans to open a satellite office in the Louisville Central Community Centers, Inc. Old Walnut Street Development at 1300 W Muhammad Ali Boulevard to strengthen outreach to the West End community.
- In fall 2014, SPHIS launched a mentorship program and matched 10 students and alumni interested in developing a mentor-mentee relationship. As a result, the mentor and mentee can expand their professional networks and deepen their understanding of public health practice and career opportunities.

Budget Changes

Since April 2013, the Executive Vice president for Health Affairs (EVPHA) provided an increase of approximately \$1.3 million per year to address a standing structural deficit and \$6.7 million over five years for strategic initiatives, including faculty hires.

SPHIS has also invested in a full-time development officer in order to better position itself for increased charitable giving. A Development Subcommittee of the SPHIS Community Advisory Board was formed to assist efforts to increase this source of support. There is a dedicated effort to identify potential donors who may choose to make a major gift as consideration for naming rights of the school and/or building. The aforementioned gift from the NUR Foundation will provide \$2.5 million over the next five years to establish an MPH program in Pakistan. The partnership with KentuckyOne Health will provide an additional \$4 million over three years.

Academic Unit Summaries

2016 Operating Budget

School of Public Health and Information Sciences

Financial Information

FY 2016 Budget

	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	0	287,640	287,640
Faculty	3,419,868	3,538,011	6,957,879
P&A Staff	369,440	672,219	1,041,659
Classified Staff	99,750	210,069	309,819
Student Assistants	160,000	399,362	559,362
Other Salaries & Wages	0	5,382	5,382
Fringe Benefits	984,367	1,153,491	2,137,858
Total Personnel Expenses	5,033,425	6,266,172	11,299,597
Current Expenses			
Supplies and Expenses	176,214	914,734	1,090,948
IT, Maint., Other Services	0	0	0
Travel	5,718	0	5,718
Departmental Credits	0	0	0
Total Current Expenses	181,932	914,734	1,096,666
Grand Total	5,215,357	7,180,906	12,396,263

Budget Highlights - School of Public Health and Information Sciences

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$5,056,270
New Funds	\$0	
Expenditure Items Offset by Revenue	(\$1,170)	
Significant Transfers	\$5,988	
Faculty and Staff Salary and Wage Increases	\$98,957	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$55,312	
Subtotal		\$159,087
FY 2016 Budget		\$5,215,357

J.B. Speed School of Engineering at a Glance				
Year Established	1925	Academic Regalia Inter-Collegiate Color		Orange
Facilities and Main Office	J.B. Speed Hall			
Financial Information				
Fiscal Year	FY 2013 Actual	FY 2014 Actual	FY 2015 Budget	FY 2016 Budget
General Fund by CSD				
Speed School	\$21,650,085	\$22,686,254	\$22,785,107	\$23,182,016
Auxiliaries	286,945	479,088	425,500	460,123
Financial Aid	180,386	189,142	210,500	210,500
Other	18,256	21,119	17,800	19,400
Total General Fund	\$22,135,672	\$23,375,602	\$23,438,907	\$23,872,039
Non-General Fund by Type				
Endowments			\$8,778,701	\$8,972,111
Gifts			1,421,610	1,160,653
Other			3,932,965	3,544,833
Total Non-General Fund			\$14,133,276	\$13,677,597
Total Speed School			\$37,572,183	\$37,549,636
Performance Metrics				
Academic Year	AY 2013	AY 2014	AY 2015	AY 2016
Degrees Awarded	584	530	Not available	Not available
Degrees Awarded Change Per Year	2.5%	-9.2%	at printing	at printing
Enrollment by Level				
Bachelor's	1,744	1,864	2,018	
Master's	454	447	514	
Doctoral	236	237	205	
Post-Doctoral	20	21	19	
Total	2,454	2,569	2,756	
Graduate Certificate Enrollment	7	13	11	

J.B. Speed School of Engineering

The mission of the J. B. Speed School of Engineering is to serve the university, the Commonwealth of Kentucky, and the engineering profession by providing high quality engineering education programs; engaging in research and scholarship that advances knowledge; and partnering in the economic development of the greater Louisville region, Kentucky and the nation through workforce development and technology transfer. The vision is to become the preeminent provider of high-quality, accessible, and experiential-based engineering education and a national leader among public, metropolitan engineering institutions in research, scholarship, and creative activity.

Since its founding in 1925 as a memorial to the late James Breckenridge Speed, the J.B. Speed School of Engineering has been recognized as a premier, metropolitan engineering institution. The School has been built on a foundation of exemplary faculty and staff, talented and committed students, outstandingly accomplished alumni, and generous donors. It is a special place where the dreams of many for a better life and better world have been empowered. The Speed School community is proud of its long history of accomplishments in engineering education, scholarship, and service to the community.

The school is comprised of approximately 115 staff members, 115 faculty members and lecturers, and an enrollment of approximately 2,600 students (1,900 undergraduate and 700 graduate). The School receives valuable guidance by a 50-member Industrial Board of Advisors. In July 2014, the school completed a reorganization and establishment of a centralized business center and centralized advising for students. The new Business Center, housed in the Vogt Building employs ten staff members who provide a variety of financial, human resources, grants management and other shared services to the academic departments.

Goals

In 2013, Speed School established its 2020 Strategic Plan along with procedures and schedule for accountability and implementation. To date, 43% of the detailed initiatives associated with the goals below have been completed, and the remaining 57% are in progress.

Educational Excellence

Our goal is to produce world-class engineers who are well-prepared to meet the challenges of tomorrow with competitive critical thinking and problem solving skills, the ability to communicate and interact within a diverse society, immersion in current engineering practices, and a lifelong quest for new knowledge. To achieve this goal, we will:

- Attract motivated, prepared and talented students into all degree programs,

- Engage students with state-of-the-art accredited engineering curricula,
- Provide outstanding experiential learning and professional development experiences,
- Improve the delivery of student services to increase student retention and graduation rates, and
- Foster the continuous improvement of teaching and learning and support the scholarship of teaching.

Research, Scholarship and Creative Activity

Our goal is to create and translate knowledge, educate future generations of researchers, apply our research findings to improve the overall quality of life, and communicate and share our research success for the betterment of society at large. To achieve this goal, we will:

- Significantly increase research and innovation activity,
- Establish areas of research excellence in energy and sustainability, advanced manufacturing and logistics, engineering human health, and cyber enabled discovery,
- Grow existing strength in materials science and engineering, including nanoscience, to underpin overall research capability,
- Translate research results to realize commercial value, and
- Greatly increase national recognition of Speed School research activities.

Community Engagement

Our goal is to be a driving force for change in the community by applying our engineering expertise to the solution of real-world problems. To achieve this goal, we will:

- Increase the number of partnerships and collaborations with both private and public institutions,
- Serve as the public square where the engineering community and the public can gather for programs, lectures, and conversations about the engineering challenges of our times,
- Improve communication and marketing efforts to better engage the community,
- Improve the quality and quantity of interactions between the school and its alumni to better utilize their unique perspectives on the needs of the engineering community, and

- Strengthen outreach activities to strengthen the pipeline of prepared student into engineering.

Diversity and Opportunity

Our goal is to maintain a diversity of background and perspective of faculty, staff and students, and provide increased opportunities for all. To achieve this goal, we will:

- Increase the percentage of underrepresented students and faculty in the school, with particular focus on attracting a more diverse population of races and ethnicities, women, and first generation college students,
- Improve professional and career services for students and alumni,
- Enhance the role and impact of student groups and professional societies to encourage lifelong learning and service,
- Increase the number of international learning opportunities for students and faculty, and
- Implement professional development and mentoring programs for faculty and staff.

Responsible Stewardship

Our goal is to effectively steward our valuable human resources, financial assets, equipment, infrastructure, information, and technology, to provide the highest quality of teaching, research and professional service. To do this, we will:

- Streamline academic, research and administrative processes to increase productivity,
- Increase annual gifts and endowments to the school to support the strategic plan,
- Work with the University to improve our engineering campus, facilities, classrooms and laboratories,
- Ensure that our unique educational environment remains affordable to all qualified students, and
- Implement more modern and effective evaluation and rewards systems that promote and encourage faculty and staff productivity.

Instruction

The J. B. Speed School of Engineering offers ABET, Accreditation Board of Engineering Technology Baccalaureate programs in Bioengineering, Chemical Engineering, Civil Engineering, Computer Engineering and Computer Science, Electrical Engineering, Industrial

Engineering, and Mechanical Engineering. It also offers ABET-accredited Master of Engineering degrees in these disciplines. The School offers an online graduate Master of Engineering in Engineering Management program. It offers Master of Science degree programs in Chemical, Civil, Electrical, Industrial, and Mechanical Engineering, and Computer Science, as well as Ph.D. programs in Chemical Engineering, Electrical Engineering, Mechanical Engineering, Industrial Engineering, Computer Science and Engineering, and Civil Engineering. The school also has a collaborative degree program with GE Appliances offering onsite graduate-level engineering classes at Appliance Park here in Louisville. The Master of Engineering in Engineering Management is also offered in Panama City, Panama.

Highlights:

- Speed School is the first, and still the only, engineering school to have ABET accredited programs at both the baccalaureate and master's levels.
- Speed School enrolled 503 first-time freshmen in Fall 2014, the second incoming class in a row to exceed 500 students.
- Total enrollment is up 25% since 2010.
- The average ACT composite score for entering freshmen in Fall 2014 was 28.8, the highest ever for the Speed School.
- Speed School has seen tremendous growth in its online Master's in Engineering Management degree program, with approximately 100 students enrolled.
- More than 98% of Speed BS graduates found employment (or went on to pursue graduate education) within 90 days of graduation.
- Dr. Jeff Hieb, Associate Professor of Engineering Fundamentals, received the President's Distinguished Teaching Professor Award.

Research

The J. B. Speed School of Engineering operates a comprehensive research program, supported largely by extramural funding from government agencies and industry. The strategic plan shown above calls for research excellence in energy and sustainability, advanced manufacturing and logistics, engineering human health, and cyber-enabled discovery, with growth and strength in materials science and engineering including nano-science to underpin overall research capability. In order to meet these goals, the school maintains a variety of state-of-the-art research centers, institutes, and laboratories. The GE Factory Automation Laboratory, located in the Vogt Building, houses the Haas Technical Education Center and the Rapid Prototyping Center focused on state-of-the-art advances in additive manufacturing techniques. The Micro/Nano Technology Center, located in the Shumaker Research Building, operates a 10,000 sq. ft. clean room facility that provides capability for the manufacture of integrated circuits (ICs), discrete microelectronic devices, microelectromechanical systems

devices such as sensors and actuators, and various electro-optic devices. The Conn Center for Renewable Energy, established in 2009, seeks to enhance global energy and economic security and maintain US technological leadership in developing and deploying advanced energy technologies. The Kentucky Pollution Prevention Center is a state-mandated technical assistance resource center to help businesses, industries and other organizations stay environmentally sustainable and competitive. Additional centers and laboratories throughout the school focus on a variety of cutting edge research areas including ergonomics, stream restoration, electro-optics, cyber security, civil infrastructure, and more.

Highlights:

- UofL and GE collaborated to open FirstBuild, in July 2014. This 35,000 square foot, state-of-the-art facility serves as a beta site for the planned Institute for Product Realization that will be developed on the 40-acre site south of Speed School in the next year or two. FirstBuild features a unique co-creation model for the rapid development and production of home appliances, and offers tremendous opportunities for student/faculty/industry collaboration. An additional 25,000 square feet of adjacent space will house Speed School student competitive teams (such as Baja, bridge building, rocket, robotics, etc.), a small training facility, and classroom and workshop areas for outreach and summer programs.
- Speed School is one of the prime players in a consortium of Midwestern universities and companies selected to participate in the \$320 million Digital Manufacturing and Design Innovation Institute. The institute's goal is to increase the competitiveness of the manufacturing sector of America's economy.
- A startup company at UofL, Advanced Energy Materials, LLC was awarded NSF Phase II, \$750K and is now eligible to seek additional matching funds from the state. The company was founded by Dr. Mahendra Sunkara, Conn Center Director.
- A NASA grant is allowing the University of Louisville, University of Kentucky and Western Kentucky to do research aboard the International Space Station that could result in advances in solar energy, advanced manufacturing and other fields. The project is led scientifically by Electrical Engineering Assistant Professor, Dr. Stuart Williams.
- Lee Sims, mentored by Dr. Jill Steinbach, received 1st place in the NCI Cancer Education Program Norbert J Burzynski Award Undergraduate Student Category at Research!Louisville, and Agustina Palacio, co-mentored by Dr. Marty O-Toole and Dr. Schlomit Schaal, received the School of Medicine Clinical Research Fellow Award.
- Dr. Steven Koenig, Professor of Bioengineering, received the President's Distinguished Faculty Award in Outstanding Scholarship, Research and Creative Activity.

Significant Accomplishments*Community Engagement*

- Speed School's Outreach Program has "Engineering Is Elementary" and "In the Middle of Engineering" Programs in numerous local elementary and middle schools in Jefferson and Oldham Counties with more than 3,000 students involved, of which 33% are African American, and more than 40% are female.
- Speed's Outreach Program offers summer engineering camps at Speed School and partners to support additional camps in local schools. Speed summer camps include: Engineering For Girls (grades 6-7), LEGO Robotics For Teachers, LEGO WE-DO and Mindstorms (grades 3-5), LEGO Mindstorms (grades 6-7), "A Measure of Engineering" (measurement and graphics for grades 7-8) and camps including Carrollton (grades 3-5), Gilda's Club (for young cancer victims) and Canaan Christian Academy (grades 3-6).
- Student engineering design teams continue to earn top 10 finishes in national competitions. In addition, they and other organizations such as Society of Women Engineers and National Society of Black Engineers participate in STEM outreach efforts including partnerships with UPS, QK4 Engineering, and the UK Cooperative Extension Office.
- The Office for Career Services hosted a highly successful career fair which attracted 85 different companies and 450 students.
- The Office for Career Development placed more than 600 students into full semester cooperative internships at nearly 200 different employers.
- Dr. Jacek Zurada, Professor of Electrical Engineering, received the President's Distinguished Faculty Award for service to the profession.

Diversity, Opportunity & Social Justice

- Speed School continues to expand minority engineering scholarship programs with the addition of new sponsors and donors.
- Speed continues participation in the National GEM Consortium which strives to increase the participation of under-represented minorities at the master's and doctoral levels.
- Speed continues to seek to increase the diversity of its student body through programs such as Engineering Outreach for YMCA Black Achievers, Engineering Days for Adelante Hispanic Achievers, the Brown-Forman INSPIRE Summer Enrichment Program and Engineering Career Days and the KY Girls STEM Collaborative Project.

Creative and Responsible Stewardship

- To accommodate the increased enrollment, the school has renovated two classrooms in the J.B. Speed Building and one in Sackett

Hall. Two of the new classrooms utilize grouped seating and display monitors to facilitate a flipped-classroom concept.

- The school completed a remodel of the lobby of the J.B. Speed building and the lower level of Sackett Hall to better accommodate students, and improve Mechanical Engineering teaching labs and capstone design project areas.
- Working with Sodexo, the school installed a small restaurant (Sandella's Flatbread Café) within the Duthie Building to provide students with a breakfast and lunch option directly on the Speed campus.
- Working with the Industrial Board of Advisors, Speed School seeks out new ideas and enhancements to implement plans for achieving excellence.
- Speed School, through its Kentucky Pollution Prevention Center, continues to provide pollution prevention and energy management technical assistance services to industrial and commercial facilities throughout Kentucky.
- The Speed School community pursues green initiatives with research, communication and outreach in renewable energy applications and energy consumption.

Budget Changes

Speed School was significantly impacted by the voluntary separation program (VSIP) in the past year losing ten faculty and eight staff in a period of increasing enrollment. Several positions, and their respective VSIP savings reduction, were transferred to ASP for centrally prioritized reallocation.

Enrollment pressure funds were allocated to the school to hire part-time lecturers, term faculty, and student graders.

In addition, the reorganization and creation of the Speed Business Center, resulted in some small budget changes as staff who were previously assigned throughout the school were consolidated into one functional office. Additional minor changes resulted from related positional moves of dean's office staff, and the change in the Associate Dean position.

Online programs, especially in Engineering Management, continue to grow and offer new sources of revenue to the school and associated departments. Additional revenue is anticipated in the next fiscal year through the offering of public training courses and equipment certification classes offered within the FirstBuild facility. Additionally, the Institute for Product Realization will generate future revenue, though that project is still in the planning stages.

J. B. Speed School of Engineering

Financial Information

FY 2016 Budget

	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	426,786	0	426,786
Faculty	12,249,737	1,597,837	13,847,574
P&A Staff	3,267,699	2,218,630	5,486,329
Classified Staff	633,430	235,389	868,819
Student Assistants	979,074	1,633,762	2,612,836
Other Salaries & Wages	53,365	463,159	516,524
Fringe Benefits	4,534,103	1,583,842	6,117,945
Total Personnel Expenses	22,144,194	7,732,620	29,876,814
Current Expenses			
Supplies and Expenses	772,469	5,805,732	6,578,201
IT, Maint., Other Services	188,226	0	188,226
Travel	77,127	139,244	216,371
Departmental Credits	0	0	0
Total Current Expenses	1,037,822	5,944,976	6,982,798
Grand Total	23,182,016	13,677,597	36,859,613

Budget Highlights - J. B. Speed School of Engineering

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$22,785,107
New Funds	\$0	
Expenditure Items Offset by Revenue	\$327,088	
Significant Transfers	(\$16,261)	
Faculty and Staff Salary and Wage Increases	\$444,539	
Fixed Costs	\$0	
Unit Reductions	(\$629,648)	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$271,191	
Subtotal		\$396,909
FY 2016 Budget		\$23,182,016

Undergraduate Affairs

Undergraduate Affairs (UGA) supports academic units serving undergraduate students. The office houses the following programs:

Undergraduate Advising Practice (UAP) is charged by the Provost to promote consistent best practice in undergraduate advising across the university, leading to improved student persistence and retention. The UAP staff coordinates a comprehensive professional development program for undergraduate academic advisors and leads university-wide initiatives such as Flight Plan, which promotes student retention.

First Year Initiatives (FYI) promotes new student engagement and success at UofL by providing enriching curricular and co-curricular opportunities that help students develop fundamental skills, knowledge, and personal connections essential from their first year through graduation. Programs are intentionally designed with a holistic approach, focusing on four main areas in which we expect students to grow, develop, and be successful: Civic, Academic, Personal, and Social (CAPS). FYI staff members coordinate many programs and initiatives, including Welcome Week, Book-in-Common, Student Tutorial On-Line Module Program, and the CAPS Leader Summer Mentor program.

Resources for Academic Achievement (REACH), the university's central undergraduate academic support service, provides comprehensive tutorial and academic student-success programs for any undergraduate student in multiple locations on Belknap Campus. REACH conducts scheduled tutoring, supplemental instruction, student success seminars, peer mentoring, drop-in math-specific and computer science-specific tutoring, digital media tutoring, virtual tutoring, online resources, graduate exam prep, a college readiness course, and summer bridge programs for mathematics. REACH offers individualized scheduled tutoring for disabled students when referred by the Disability Resource Center.

General Education, a SACS accreditation requirement for all undergraduate degree programs, is supported through Undergraduate Affairs staffing of the university-wide General Education Curriculum Committee, which oversees general education program offerings and approval processes, petitions and review. The Office of General Education Assessment organizes and manages the ongoing review and program assessment of general education course offerings to track the learning outcomes of the program and to provide data for GECC recommendations to the faculty concerning the success of the program.

Undergraduate Affairs also coordinates with the Delphi Center for Teaching and Learning on various initiatives including "Ideas to Action," the university's quality enhancement plan, with the McConnell Center and with Metropolitan College. UGA staff support the Brown Fellows and Jones Scholarship programs. Undergraduate Affairs also works with numerous external groups, such as Metroversity, 55,000 Degrees and the Commonwealth of Kentucky Council on Postsecondary Education.

Undergraduate Affairs

Financial Information

FY 2016 Budget

Personnel Expenses

	General Funds	UofL Corporations	Total
Administrators	0	0	0
Faculty	0	1,643	1,643
P&A Staff	1,535,546	1,643	1,537,189
Classified Staff	0	1,643	1,643
Student Assistants	616,953	0	616,953
Other Salaries & Wages	59,258	0	59,258
Fringe Benefits	598,870	1,643	600,513
Total Personnel Expenses	2,810,627	6,573	2,817,200

Current Expenses

Supplies and Expenses	720,557	262,624	983,181
IT, Maint., Other Services	33,324	0	33,324
Travel	16,812	0	16,812
Departmental Credits	0	0	0
Total Current Expenses	770,693	262,624	1,033,317

Grand Total

3,581,320 269,197 3,850,517

Budget Highlights - Undergraduate Affairs

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$3,505,806
New Funds	\$0	
Expenditure Items Offset by Revenue	(\$13)	
Significant Transfers	\$22,191	
Faculty and Staff Salary and Wage Increases	\$43,885	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$9,451	
Subtotal		\$75,514
FY 2016 Budget		\$3,581,320

SUPPORT UNIT SUMMARIES

Auxiliary Enterprises

Business Affairs supports four auxiliary enterprises:

- The University Bookstore provides the University community with educational materials, sundries and specialty merchandise. Stores are located on the Belknap and Health Sciences campuses.
- The Vending Services operation provides vending machines in strategic locations to meet vending needs of the University community.
- The Food Services operation provides dining opportunities for the University community at many venues across campus. These venues offer healthy and local food choices, fast food options, small scale grocery/convenience facilities, and provide catering.
- Parking provides support for transportation and parking operations on campus including permitting, campus shuttles, hourly parking, enforcement, alternative transportation including TARC access for the university community, special event parking, and motor assistance programs.

Goals

Business Affairs strives to exceed student expectations regarding auxiliary services while advancing the university's environmental stewardship efforts.

Significant Accomplishments

- Bookstore (through Barnes and Nobel relationship)
 - provides local under the Kentucky Proud program
 - offers expanded selection of responsibly sourced products
 - offers textbook rental program
- Food Service (through Sodexo relationship)
 - enhanced facilities

-
- expanded service hours
 - sustainability partnership with recycling, local foods and composting
 - Parking (through T2 relationship)
 - implemented radio frequency identification technologies in specific locations
 - advance technology installed at select pay parking locations to reduce operational overhead
 - Parking and Transportation Office completed PCI compliance project; implemented TARC evening shuttle for residential students; and implemented alternative transportation initiatives such as Enterprise Car Share program, bicycle registration program and carpool initiatives
 - Vending Services – Re-skinned the vending machines to highlight UofL and redistributed machines to be more responsive to campus needs.

Budget Changes

VSIP has had a \$9,000 impact to Parking from employees electing to retire early.

Support Unit Summaries

2016 Operating Budget

Auxiliary Enterprises

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	0	0	0
Faculty	0	0	0
P&A Staff	382,619	0	382,619
Classified Staff	575,333	0	575,333
Student Assistants	16,799	0	16,799
Other Salaries & Wages	6,630	0	6,630
Fringe Benefits	356,033	0	356,033
Total Personnel Expenses	1,337,414	0	1,337,414
Current Expenses			
Supplies and Expenses	2,101,202	0	2,101,202
IT, Maint., Other Services	0	0	0
Travel	0	0	0
Departmental Credits	(316,000)	0	(316,000)
Total Current Expenses	1,785,202	0	1,785,202
Grand Total	3,122,616	0	3,122,616

Budget Highlights - Auxiliary Enterprises

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$3,080,101
New Funds	\$0	
Expenditure Items Offset by Revenue	\$29,609	
Significant Transfers	\$0	
Faculty and Staff Salary and Wage Increases	\$22,384	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	(\$9,478)	
Subtotal		\$42,515
FY 2016 Budget		\$3,122,616

General Institutional Expense

General institutional expense is a special classification of university-wide expenses that are routinely separated from the regular operating budgets of the various colleges, schools, and divisions because they are made on behalf of the institution as a whole.

Business Affairs manages university-wide insurance, workers' compensation, leases, music licensing, vehicle towing, and prepaid postage programs.

General Institutional Expenses Financial Information

	FY 2016 Budget	
	General Funds	UofL Corporations
Personnel Expenses		Total
Administrators	0	0
Faculty	10,000	997
P&A Staff	337,856	34,039
Classified Staff	0	997
Student Assistants	3,000	0
Other Salaries & Wages	0	0
Fringe Benefits	548,420	12,573
Total Personnel Expenses	899,276	48,605
Current Expenses		
Supplies and Expenses	7,412,952	114,680
IT, Maint., Other Services	78,865	0
Travel	0	0
Departmental Credits	(4,107,706)	0
Total Current Expenses	3,384,111	114,680
Grand Total	4,283,387	163,285

Budget Highlights - General Institutional Expenses

General Funds		
Description	FY 2016 Changes	Totals
FY 2015 Budget		\$4,755,910
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	(\$66,315)	
Faculty and Staff Salary and Wage Increases	\$7,773	
Fixed Costs	\$453,354	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	(\$867,335)	
Subtotal		(\$472,523)
FY 2016 Budget		\$4,283,387

Institutional Support—Office of the President

The Board of Trustees has statutory authority to provide governance and policy direction for the University. The President has delegated authority from the University's Board of Trustees to lead the University, as policy adopted by The Redbook, in matters involving broad academic and fiscal operations, including the implementation of all policy approved by the Board of Trustees.

The Board of Trustees consists of:

- 15 at-large appointed by the Governor, each serving six year terms as defined by Kentucky Revised Statistics (KRS),
- 2 alumni trustees appointed by the Governor, each serving six year terms as defined by KRS, and
- 3 trustees, each serving an annual term as an elected representative of students, faculty, and staff, respectively.

The Office of the President consists of the President and three Executive Vice Presidents (EVP & University Provost, EVP for Health Affairs, and EVP for Research & Innovation).

The offices reporting directly to the President are: Executive Vice President and University Provost, Executive Vice President for Health Affairs, Executive Vice President for Research and Innovation, Senior Vice President for Finance and Administration, Vice President for Advancement, Vice President for Athletics and Athletic Director, Vice President for Community Engagement, Vice President for Strategy and General Counsel, and the Office of Communication and Marketing and Governmental Relations. The President's executive team, which administers all academic, fiscal, philanthropic, and athletic activities of the University of Louisville, consists of the President, EVP and University Provost, EVP for Health Affairs, and the EVP for Research and Innovation.

The 2020 Plan: Making It Happen provides strategic direction for UofL's academic and administrative development. The Plan consists of five critical areas essential to achieving the mandate of Kentucky's Postsecondary Education Reform Act which states the "University of Louisville a nationally recognized premier metropolitan research university." The following noteworthy strategies and achievements illustrate how the Office of the President is implementing "The 2020 Plan." Moving forward, the 21st Century University Initiative will emphasize student success through the support and service students need to complete the curriculum using engagement, international experience, research opportunities and the use of technology related to students' academic discipline.

Significant Accomplishments*Educational Excellence*

- UofL's six-year graduation continues to climb. The six-year graduation rate for first-time, full-time, baccalaureate degree seeking students for the 2014 reporting year was 53.6%. This compares with 33.0% from the 2002 cohort, and 30.1% from 1998.
- UofL's average ACT score of all entering freshmen rose to 25.3 in the fall of 2014 compared with 23.2 for fall 2002 and 21.4 for fall 1998.
- Since 2003, UofL students have earned 75 Fulbright's, more than all other Kentucky colleges combined.

Research Scholarship & Creative Activity

- UofL Research expenditures have increased almost five-fold from 1998 to 2014. This has spurred the number of patent applications filed from 151 to 1,629 in the same time period.

Community Engagement

- UofL hosted a number of nationally known scholars, artists, and public figures. Among them were U.S. Senator Marco Rubio, Nobel Peace Prize winner Aung San Suu Kyi, former CIA Director David H. Petraeus and UN Ambassador Samantha Powers.
- UofL was reconfirmed with the 2015 Carnegie Community Engagement University designation.

Diversity, Opportunity & Social Justice

- UofL recognizes the commitment and sacrifice of military members. The University has been a leader in reaching out and welcoming our veterans in a variety of ways. Such initiatives include offering a 10-week entrepreneurship training program through the College of Business. The program teaches veterans how to connect with others, develop a business plan and build a support network. This and other programs were recognized by G.I. Jobs Magazine, which ranked UofL in the top 20% of "veteran friendly" universities since 2009.
- UofL is part of three federally funded programs that work to encourage students from underserved populations to go to college. Together the programs represent more than \$6 million in grants and affect as many as 1,000 students in Jefferson County Public Schools.

Support Unit Summaries

2016 Operating Budget

Creative and Responsible Stewardship

- The ambitious \$1 billion fund raising drive, “Charting Our Course: The Campaign for Kentucky’s Premier Metropolitan Research University” has exceeded its goal. Funds will be used to enhance and increase academic support, scholarships and programs, excellence in faculty teaching and research, and athletic prominence.
- UofL activated tax increment financing for a 210 acre downtown site. The UofL Foundation developed the Research Park. Revenue for 2014 could exceed \$5 million with a revenue stream continuing for 30 years.

Budget Changes

- The budget for the President’s Office changed significantly with the Vice President for Strategy and General Counsel Office being moved from the Executive Vice President and University Provost Office to the Office of the President.

Office of the President

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	2,076,550	662,080	2,738,630
Faculty	8,400	47,372	55,772
P&A Staff	1,931,371	988,379	2,919,750
Classified Staff	185,070	92,797	277,867
Student Assistants	116,755	120,600	237,355
Other Salaries & Wages	51,569	60,000	111,569
Fringe Benefits	1,006,474	493,274	1,499,748
Total Personnel Expenses	5,376,189	2,464,500	7,840,689
Current Expenses			
Supplies and Expenses	315,419	5,296,506	5,611,925
IT, Maint., Other Services	21,057	0	21,057
Travel	2,325	0	2,325
Departmental Credits	(99,829)	0	(99,829)
Total Current Expenses	238,972	5,296,506	5,535,478
Grand Total	5,615,161	7,761,006	13,376,167

Budget Highlights - Office of the President

General Funds		
Description	FY 2016 Changes	Totals
FY 2015 Budget		\$4,759,333
New Funds	\$188,578	
Expenditure Items Offset by Revenue	(\$1,755)	
Significant Transfers	\$505,609	
Faculty and Staff Salary and Wage Increases	\$48,374	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$115,022	
Subtotal		\$855,828
FY 2016 Budget		\$5,615,161

Institutional Support—Executive Vice President and University Provost

The Executive Vice President and University Provost (EVP/UP) serves as the chief academic officer of the University and is second in overall authority. The EVP/UP is a member of the Office of the President, which is the senior leadership team of the University. All deans report to the EVP/UP. Support units reporting to the Office of the EVP/UP include: the Cultural Center, the Women's Center, Muhammad Ali Institute, Metropolitan College, Faculty Affairs, Academic Planning and Accountability (institutional research, assessment, data management, accreditation, and engagement), the International Center, the Delphi Center for Teaching and Learning, the McConnell Center, and Undergraduate Affairs (admissions, financial aid, registrar, academic support, advising, and retention). Other activities for which the EVP/UP is responsible, or has a coordinating role, include: academic space allocation, Faculty Senate, mediation, diversity, sustainability, STEM, strategic planning, and faculty development.

The 2020 Plan: Making It Happen provides the framework for UofL's academic and operating budget development strategy. It consists of five critical areas that individually and collectively are essential to meeting the 1997 HB 1 mandate that the University become a "premier metropolitan research university." The following noteworthy strategies and achievements illustrate how the Office of the Executive Vice President and University Provost are meeting "The 2020 Plan" goals.

Goals

- Improve the undergraduate experience; improve retention; improve six-year graduation rate.
- Increase the number of interdisciplinary graduate programs; develop programs and processes to enroll more out-of-state students.
- Enhance faculty and staff development, develop administrative leadership programs, develop and improve orientation programs, and offer coaching opportunities.
- Finalize 21st Century recommendations and develop a plan for implementation.

Significant Accomplishments

- Developed, supported, and/or implemented recruiting programs that have resulted in an increased average ACT score of all entering freshmen from 23.2 in 2002 to 25.3 in 2014.

- Developed, supported, and/or implemented retention programs that have resulted in an unprecedented 6-year graduation rate of 53.6% in 2014 (33.0% in 2002) and an increase in the number of undergraduate degrees awarded from 1,849 in 2002 to 2,821 in 2014.
- Provided human and financial resources in support of programs and services that have led to an increase in the number of doctoral degrees awarded from 90 in 2002 to 162 in 2014 and guided approval of several new certificates, master's, and doctoral programs.
- Named to "Best for Vets" list by *Military Times* for 2015.
- Provided support for the acquisition by the Hite Art Institute of the International Honor Quilt.
- Represented UofL on various community educational consortia, including, but not limited to: Simmons College, Metroversity, Council on Postsecondary Education committees and task forces, and 55,000 Degrees.
- Completed equity salary studies entitled: Faculty Salary Gender/Ethnicity Equity Study and Faculty Salary Market Study.
- Completed the follow-up to the 5th Year Interim Report for SACS.
- Received a grant of \$35,000 from the Lumina Foundation to help recruit, retain and graduate Hispanic/Latino students.

Budget Changes

- The budget for the Provost Office changed significantly with the move of the Get Health Now program to the VP for Human Resources and the Vice President for Strategy and General Counsel Office move to the Office of the President. These two moves decreased the Provost Office budget more than \$1.4 million. Other changes include staff changes, the VSIP allocation, and various other changes

Executive Vice President and University Provost

Financial Information

FY 2016 Budget

	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	1,662,274	0	1,662,274
Faculty	137,165	38,004	175,169
P&A Staff	2,674,620	220,554	2,895,174
Classified Staff	112,891	42,004	154,895
Student Assistants	34,647	46,000	80,647
Other Salaries & Wages	26,679	10,415	37,094
Fringe Benefits	1,225,258	96,463	1,321,721
Total Personnel Expenses	5,873,534	453,439	6,326,973
Current Expenses			
Supplies and Expenses	1,323,692	1,513,126	2,836,818
IT, Maint., Other Services	16,839	0	16,839
Travel	24,824	0	24,824
Departmental Credits	0	0	0
Total Current Expenses	1,365,355	1,513,126	2,878,481
Grand Total	7,238,889	1,966,565	9,205,454

Budget Highlights - Executive Vice President and University Provost

General Funds Description	FY 2016 Changes	Totals
FY 2015 Budget		\$7,688,202
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	(\$396,258)	
Faculty and Staff Salary and Wage Increases	\$75,131	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	(\$128,186)	
Subtotal		(\$449,313)
FY 2016 Budget		\$7,238,889

Institutional Support—Vice President Business Affairs

As of 2015, the Office for the Vice President of Business Affairs reports to the Senior Vice President for Finance and Administration.

Business Affairs provides leadership regarding physical facilities, physical assets and safety of the University. Areas reporting to Business Affairs include public safety; emergency planning, response and recovery; environmental health and safety; campus master plan and campus physical development; physical plant maintenance and operations; postal services; purchasing; asset management; contract administration; risk management/insurance; and compliance with appropriate federal, state and local requirements in all Business Affairs areas. Business Affairs engages students in business operations through advisory committees for campus dining, parking, public safety and sustainable operations.

Goals

Business Affairs is working with Student Government to improve campus safety through a safety application and by designating preferred campus pathways (L-Trails) with improved lights and increased patrols. Business Affairs will continue sustainability efforts to increase local foods, decrease the university solid waste, reduce the university's carbon footprint, and advance the university's "Green Purchasing Policy".

Research

Business Affairs is currently working with university leaders to design the Belknap Academic Building. Construction is expected to begin in 2016 and be at least LEED silver certified. In addition, Business Affairs departments work with the university's research community to improve physical operations.

The Department of Environmental Health and Safety is redesigning the biosafety program and protocol reviews that will strengthen the partnership with researchers while maintaining a strong compliance culture.

Significant Accomplishments

During FY 2015, Business Affairs worked closely with Student Affairs to improve campus dining and to be responsive to evolving student needs. Facilities were also enhanced and service hours expanded; highlighting sustainability partnership with recycling, local foods and composting.

Business Affairs works with many community partners to improve services and connect to the larger community. Business Affairs has hosted the National Forum for Black Public Administrators Executive Leadership Institute for more than 24 years.

Facilities programming, design and construction activities create positive learning, teaching and working environments for students, faculty and staff. These positive spaces support the efforts to enroll, retain and graduate the best and brightest students. From 2010 through 2014, Business Affairs supported the completion of 34 construction projects totaling nearly \$300 million. The university received 14 professional awards for the projects.

Budget Changes

- Business Affairs had 9 employees participate in the Voluntary Separation Incentive Program reducing the unit's budget by more than \$185,000.
- Space management system R25 was upgraded to cloud-based 25Live. Funding for the upgrade was moved from IT to Business Affairs Planning, Design and Construction department where the program is housed and managed. \$13,000 in additional funding was provided on a CAR basis.
- Eight new positions (four patrol officers and four security officers) were added to Public Safety in FY 2015.
- Vacant positions were transferred to the Office of the Senior Vice President for Finance and Administration for strategic reallocation of resources.

Support Unit Summaries

2016 Operating Budget

Vice President Business Affairs

Financial Information

FY 2016 Budget

	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	616,364	0	616,364
Faculty	0	1,413	1,413
P&A Staff	2,604,460	1,413	2,605,873
Classified Staff	3,598,596	1,413	3,600,009
Student Assistants	40,573	0	40,573
Other Salaries & Wages	394	0	394
Fringe Benefits	2,291,277	1,413	2,292,690
Total Personnel Expenses	9,151,664	5,652	9,157,316
Current Expenses			
Supplies and Expenses	(19,769)	225,822	206,053
IT, Maint., Other Services	457,332	0	457,332
Travel	16,156	0	16,156
Departmental Credits	(1,318,382)	0	(1,318,382)
Total Current Expenses	(864,663)	225,822	(638,841)
Grand Total	8,287,001	231,474	8,518,475

Budget Highlights - Vice President Business Affairs

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$7,884,508
New Funds	\$0	
Expenditure Items Offset by Revenue	\$31,599	
Significant Transfers	\$63,520	
Faculty and Staff Salary and Wage Increases	\$172,875	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$134,499	
Subtotal		\$402,493
FY 2016 Budget		\$8,287,001

Institutional Support—Vice President Information Technology

Information Technology provides a wide variety of technology-based services in support of the University of Louisville's teaching, research, and public service goals. Information Technology maintains the campus networks, campus-wide voice over IP telephone systems, Blackboard learning management software and a variety of enterprise applications such as PeopleSoft Finance, Human Capital Management, and Campus Solutions. In support of UofL's research mission, IT provides an extensive array of advanced research computing, expert consulting, and state-of-the-art support. Information Technology now reports to the Senior Vice President for Finance and Administration and COO.

A total of 136 employees work in four teams: Advanced Computing and Communications, Digital Media Production, IT Infrastructure, and Technology Support Services. IT teams are advised by several committees:

- The Strategic Technology Executive Committee (STEC) is composed of high-level University administrators who help define and oversee strategic technology priorities for the University, including the campus network, enterprise applications, security, and policies.
- The Enterprise Systems Technology Committee reviews and prioritizes enterprise system requests from units and schools.
- The Academic Technology Committee is composed of faculty representatives who advise the Vice President for Information Technology on planning and initiatives of interest to the academic community.
- The Research Computing Governance Council reviews policies and resource allocations for the Cardinal Research Cluster and other advanced research computing systems.
- Information Technology also confers with the Tier One Advisory Group, departmental technology committees, and the student, faculty, and staff senates.

Goals

- Expand the delivery of technology services and support on campus by implementing tools that facilitate understanding and ease of use for students, faculty and staff. Contribute to the growth of diversity, opportunity and social justice at UofL through technology training and other partnership activities.

- Maintain and continue to improve the performance and functionality of major Enterprise Business Systems and UofL's online learning environments and applications. IT will provide increased stewardship of databases, systems, tools and applications. Continue providing state-of-the-art solutions and improving compliance with regard to identity management, email, and office administration systems.
- Strengthen research and economic development by sustaining necessary cyberinfrastructure for individuals and units involved in all university initiatives. This includes increasing university grant and contract opportunities by providing new essential computational resources for science, engineering, and bioinformatics research. An increase in UofL's economic development initiatives and research communities that take advantage of IT's robust technology infrastructure is encouraged.
- Sustain 25 current and develop new community engagement partnerships and projects, especially those addressing the goals of the Signature Partnership and the needs of West End community residents. Additional university service projects, such as providing technology training and support to Scholar House residents, will also continue.

Research

Informational Technology promotes excellence in research, scholarship and creative activities across the institution through the implementation and optimization of technology. IT recently completed the installation of upgrades to the Cardinal Research Cluster in order to maintain a strong high-performance computing infrastructure. IT research computing consultants work directly with both small and extended projects that require advanced computational support. In addition, IT continues to support vital networking initiatives at the local, state and national levels such as Project Run, KYRON and Internet2 in order to increase the university's research profile and lead to new avenues of funding.

Significant Accomplishments

- Engaged Oracle Managed Services and successfully incorporated their support for PeopleSoft Financials, Human Capital Management, Campus Solutions, and Portal. An assessment of the first year of these managed services indicated a 15% cost savings along with increased productivity. Oracle provided support for upgrading both the Financial application and Financial PeopleTools reducing costs by approximately \$530,000. These upgrades also allowed for the completion of the encryption of PeopleSoft Human Capital Management data.

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- Completed upgrade of the student email system CardMail to Office 365 in order to take advantage of new capabilities such as SharePoint, Lync Online, and an additional implementation of Student Advantage, providing full Microsoft Office 365 to enrolled students.
 - Completed implementation of *ulvisitor* open guest wireless (Wi-Fi) access campus-wide. This allows visitors access to the network during orientations, conferences, and university events.
 - Completed installation of next generation Firewall system that provides Unified Threat Management capabilities, advanced administrative management and greater visibility into network activities. Implementation of other advanced security technologies allowed for increased spam filtering, malware detection, compliance, and other safeguards.
 - For the past three years, customer satisfaction surveys have rated the Help Desk and direct support services above 90%.

Budget Changes

- Information Technology does not foresee any significant revenue or expenditure budget changes, reallocation of funds among departments or programs, new or increased funding sources, strategic reorganizations or other significant budget changes at this time.
- Vacant positions were transferred to the Office of the Senior Vice President for Finance and Administration for strategic reallocation of resources.

Support Unit Summaries

2016 Operating Budget

Vice President Information Technology

Financial Information

FY 2016 Budget

	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	459,615	0	459,615
Faculty	0	0	0
P&A Staff	6,838,485	0	6,838,485
Classified Staff	337,487	0	337,487
Student Assistants	160,048	0	160,048
Other Salaries & Wages	231,030	0	231,030
Fringe Benefits	2,414,592	0	2,414,592
Total Personnel Expenses	10,441,257	0	10,441,257
Current Expenses			
Supplies and Expenses	6,284,031	0	6,284,031
IT, Maint., Other Services	1,852,487	0	1,852,487
Travel	38,300	0	38,300
Departmental Credits	(6,631,200)	0	(6,631,200)
Total Current Expenses	1,543,618	0	1,543,618
Grand Total	11,984,875	0	11,984,875

Budget Highlights - Vice President Information Technology

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$12,327,569
New Funds	\$0	
Expenditure Items Offset by Revenue	\$2,191	
Significant Transfers	(\$655,661)	
Faculty and Staff Salary and Wage Increases	\$195,293	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$115,483	
Subtotal		(\$342,694)
FY 2016 Budget		\$11,984,875

Institutional Support—Vice President University Advancement

The Office of University Advancement works to further public understanding and recognition of the University of Louisville and its important mission—be the Commonwealth’s premier metropolitan research university and develop public, political, and financial support for the institution. The Office of University Advancement works directly with the University of Louisville Foundation to actively promote and encourage private philanthropy for the advancement of the University of Louisville.

We build enduring relationships through engagement, philanthropy and stewardship to advance the teaching, research and service mission of the University of Louisville.

Our Values: Integrity, Supporting Culture and Excellence

FY 2016 Advancement Goals:

1. Raise \$150 million dollars for unmet needs
2. Increase alumni engagement
 - Annual giving goal: 15%
 - Donor acquisition: 23,187
 - Dollar increase: \$4,016,570
 - Overall retention rate: 74%
3. Increase percentage of funds given to endowment
 - Good goal 38.1%
4. Commitment to excellence – fully implement the strategic plan

“..., we will chase perfection, and we will chase it relentlessly, knowing all the while we can never attain. But along the way, we shall catch excellence.”

Significant Accomplishments

In June 2014, the University of Louisville completed a historic billion dollar “Charting Our Course” campaign ahead of schedule. In fact, it completed faster than the national average. The campaign kicked off in January of 2007 and closed June 2014. Campaign highlights include:

- Successfully closed out the “Charting Our Course” campaign, surpassing the \$1 billion goal ahead of schedule and ending the initiative with a final total of \$1,058,793,226
- Overall fundraising grew by 165% during the campaign
- Overall philanthropy to the university was a record \$234 million in FY 2014, smashing the previous record of \$162 million in FY13
- 79,759 donors gave during the campaign
- 47,771 donors made their first-ever gift to the University of Louisville during the campaign
- 196 new scholarships were created during the campaign
- Alumni participation reached 14.5% with 16,255 alumni donors making at least one gift
- Processed and recorded 48,987 gifts and 4,971 pledges
- A major focus on staff development and improving our internal processes that included the launch of our *Advancement Academy* and *Grow Our Own* training programs
- Completed a comprehensive post-campaign organizational assessment and strategic plan

Budget Changes

Fiscal Year 2016 budget for University Advancement will reflect the following budgetary changes within the unit:

- Departmental reorganizations, separating and making Development Advancement Services unique departments, in order to provide better strategic alignment for the purpose and goals of the departments, and allow Development management to focus solely on philanthropy. Both managers will report to the Associate Vice President for Advancement Services:

- Donor Relations: 2.5 FTE and a \$258,000 budget
- Prospect Management/Research: 4.8 FTE and a \$284,000 budget

Management of the Development unit will be restructured to have only one Associate Vice President overseeing the entire Development staff and reporting directly to the Vice President for Advancement. An Assistant Vice President will report to the Associate Vice President, focusing primarily on HSC campus fundraising and management of HSC Development staff.

Vice President University Advancement

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	0	1,030,352	1,030,352
Faculty	0	78,517	78,517
P&A Staff	147,171	4,810,446	4,957,617
Classified Staff	0	680,840	680,840
Student Assistants	0	185,000	185,000
Other Salaries & Wages	0	22,502	22,502
Fringe Benefits	50,706	1,983,734	2,034,440
Total Personnel Expenses	197,877	8,791,393	8,989,270
Current Expenses			
Supplies and Expenses	28,515	4,036,310	4,064,825
IT, Maint., Other Services	0	0	0
Travel	0	10,000	10,000
Departmental Credits	0	0	0
Total Current Expenses	28,515	4,046,310	4,074,825
Grand Total	226,392	12,837,703	13,064,095

Budget Highlights - Vice President University Advancement

General Funds		
Description	FY 2016 Changes	Totals
FY 2015 Budget		\$209,525
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	\$0	
Faculty and Staff Salary and Wage Increases	\$4,082	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$12,785	
Subtotal		\$16,867
FY 2016 Budget		\$226,392

Institutional Support—Vice President Community Engagement

The Office of Community Engagement coordinates the community engagement of University faculty, staff, and students for the mutual benefit of the community and the University. This office facilitates the use of University resources to support University/community partnerships and engages new partners to contribute to the educational, health, social and economic progress of the metropolitan area, nation, and global community.

The office supports and advances community engagement to enrich the learning experience of students and to enhance the teaching and research of faculty. This office is the central unit that coordinates, documents, assesses, and recognizes the outstanding engagement of student, faculty, staff and community partners.

The Office of Community Engagement oversees the University's Signature Partnership Initiative with West Louisville and the University's College Connection Initiatives, including Project Upward Bound Programs I and II, Talent Search, Youth Toward Excellence and GO College Louisville Program.

Goals

- Measure the impact of faculty, staff and student involvement in collaborative partnerships,
- Identify partnerships to define and demonstrate engaged scholarship/research,
- Identify partnerships that provide evidence of community impact based on established rubric,
- Establish community engagement plans with administrative and academic units, and
- Increase the instances of engagement of students, faculty and staff in Signature Partnership Initiative.

Research

- Promotes faculty involvement in research through the Signature Partnership Initiative.
- Awards annual Faculty Incentive Grant for innovative research.
- Facilitate and promote ongoing research and Engaged Scholarship.

Significant Accomplishments

- Facilitated the Sixth Annual Community Engagement Awards to faculty, staff and students as well as an outstanding community partner.
- Celebrated the 50th Anniversary of TRIO Programs (Upward Bound and Talent Search).
- Met all goals of the 2020 Plan.
- Awarded innovative Student Philanthropy Grants to local non-profit organizations.
- Works with approximately 78 community partners.
- Facilitates university/community dialogue through University Community Partnership Advisory Board and Resident Advisory Council.
- Supports Junior Achievement to promote financial literacy, college access, and college readiness.
- Facilitates the community based learning experience of UofL students at community organizations through internships, field experience/practicum, clinic experience, research, service-learning, or volunteer opportunities.

Vice President Community Engagement

Financial Information

Financial Information	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	310,639	0	310,639
Faculty	0	5,495	5,495
P&A Staff	260,224	548,631	808,855
Classified Staff	37,594	35,495	73,089
Student Assistants	0	0	0
Other Salaries & Wages	0	2,125	2,125
Fringe Benefits	182,512	226,385	408,897
Total Personnel Expenses	790,969	818,132	1,609,101
Current Expenses			
Supplies and Expenses	22,182	80,137	102,319
IT, Maint., Other Services	8,398	0	8,398
Travel	2,757	0	2,757
Departmental Credits	0	0	0
Total Current Expenses	33,337	80,137	113,474
Grand Total	824,306	898,269	1,722,575

Budget Highlights - Vice President Community Engagement

General Funds		
Description	FY 2016 Changes	Totals
FY 2015 Budget		\$801,204
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	\$7,574	
Faculty and Staff Salary and Wage Increases	\$8,549	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$6,979	
Subtotal		\$23,102
FY 2016 Budget		\$824,306

Institutional Support—Vice President Finance

As of 2015, the Office for the Vice President of Finance reports to the Senior Vice President for Finance and Administration.

The Office of the Vice President for Finance oversees the departments of Budget and Financial Planning including Position Management, the Bursar's Office, the Controller's Office, Payroll Services, and the Systems Administration support areas of Financial Systems Administration and Human Capital Management (HCM). The Associate Vice President for Finance also serves as a liaison to the Council on Postsecondary Education, the Governor's Office of Policy and Management and the Legislative Research Commission for all financial matters affecting the University.

The Office of Budget and Financial Planning coordinates and facilitates the development of the University's annual operating budget and the state biennial budget request, manages the budget activity for the plant fund, and performs unit, program and University-wide analytical studies and reports. Position Management maintains the funding source information for all employees at the University.

The Bursar's Office maintains the tuition and fee rate tables for the University. The office collects and deposits student tuition and other funds received by the University. The Bursar's Office maintains official student tuition and loan accounts, provides cashiering services to University staff, students, and departments.

The Controller's Office records and reports the financial status and related financial information of the University and its affiliated and related corporations in accordance with statutory and regulatory requirements. Within the Controller's Office are Treasury Management, University Accounting and Reporting, Accounting Operations, General Ledger, and Tax.

- Treasury - Directs the receipt, control, custody, beneficiary identification and disbursement of funds for UofL and its affiliated and related corporations.
- University Accounting and Reporting - Responsible for the financial reporting of the University of Louisville and its affiliated and related corporations.
- Accounting Operations - Responsible for all University accounts payable, employee reimbursements, travel policies and procedures and the University-contracted travel agency.
- General Ledger - Responsible for posting accounting entries, procedures, corrections and maintenance for the University general ledger.

- Tax - Oversight and management of federal, state, local, and international tax compliance including interface with the respective taxing authorities and agencies for the University of Louisville, and its affiliated and related corporations.

Payroll Services is responsible for the accurate and timely payment of wages and wages-in-kind of University employees, subject to University, local, state, and federal policies, regulations, and laws. Payroll Services serves all university offices and programs as well as organizations affiliated with the University of Louisville Foundation, Inc., University of Louisville Research Foundation, Inc., and the University of Louisville Athletic Association, Inc.

Systems Administration includes Financial Systems Administration and Human Capital Management and supports the University's financial enterprise system, budget, financial reporting and general ledger system training. The Human Capital Management group supports Payroll Services and Position Management as well as the other elements of the University's human resources enterprise system.

Budget Changes

- Vacant positions were transferred to the Office of the Senior Vice President for Finance and Administration for strategic reallocation of resources.
- New funds provided to support PMO Director.

Support Unit Summaries

2016 Operating Budget

Vice President Finance

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	432,196	429,882	862,078
Faculty	0	4,096	4,096
P&A Staff	2,517,937	64,499	2,582,436
Classified Staff	981,119	4,096	985,215
Student Assistants	0	0	0
Other Salaries & Wages	410	0	410
Fringe Benefits	1,387,512	28,174	1,415,686
Total Personnel Expenses	5,319,174	530,746	5,849,920
Current Expenses			
Supplies and Expenses	843,436	138,823	982,259
IT, Maint., Other Services	38,816	0	38,816
Travel	15,284	0	15,284
Departmental Credits	(684,700)	0	(684,700)
Total Current Expenses	212,836	138,823	351,659
Grand Total	5,532,010	669,569	6,201,579

Budget Highlights - Vice President Finance

General Funds		
Description	FY 2016 Changes	Totals
FY 2015 Budget		\$6,172,475
New Funds	\$100,000	
Expenditure Items Offset by Revenue	(\$18,468)	
Significant Transfers	(\$768,531)	
Faculty and Staff Salary and Wage Increases	\$106,568	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	(\$60,034)	
Subtotal		(\$640,465)
FY 2016 Budget		\$5,532,010

Institutional Support—Vice President Human Resources

The Office of the Vice President for Human Resources reports to the Office of the Senior Vice President for Finance and Administration. Human Resources strives to be an administrative center of excellence whose fundamental purpose is to help campus administrators recruit, retain, recognize, and reward top quality faculty and staff; to advance processes that promote a quality work-life and shared responsibility; and to create programs that enhance the university's culture and make UofL an employer of choice. The areas reporting to the Vice President for Human Resources are employment and compensation; salary administration; benefits administration; staff development and employee relations; health management; employee assistance programs; and equal employment opportunity and affirmative action.

The Vice President for Human Resources also serves as the University Equal Opportunity Officer, Title VII and Title IX Administrator, and ADA Administrator and is responsible for ensuring the University's compliance with all relevant Federal and State EEO statutes and regulations.

Strategies and Achievements - "The 2020 Plan: Making it Happen"

The 2020 Plan: Making It Happen provides the framework for UofL's academic and operating budget development strategy. It consists of five critical areas that individually and collectively are essential to meeting the 1997 HB 1 mandate that the University become a "premier metropolitan research university." The following noteworthy strategies and achievements illustrate how the Vice President for Human Resources is meeting relevant "The 2020 Plan" goals.

Educational Excellence

- Human Resources supports the recruiting and orientation processes by streamlining processes and hosting new employee orientations for undergraduate orientation leaders, graduate research and teaching assistants, and post-doctoral fellows in the School of Medicine.
- Human Resources supports effective administration of the educational enterprise by providing comprehensive compensation analysis to academic and administrative officers to help ensure faculty and staff salaries are competitive in relation to market value and internal equity.

Diversity, Opportunity & Social Justice

- Human Resources supports a climate of inclusion, civility, and respect through its co-sponsorship of the Great Places To Work initiative, as well as providing harassment and discrimination prevention training programs to managers, supervisors, and employees.

Creative and Responsible Stewardship

- Human Resources is responsible for managing the University's total rewards programs and helping ensure that compensation and benefit structures are competitive and support our ability to recruit, retain, recognize, and reward top faculty and staff.
- Human Resources relies on periodic public bidding processes to ensure it obtains the most competitive and cost effective rates for University-sponsored or employee-paid optional benefit programs.

Budget Changes

- The Get Healthy Now! program was transferred from the Provost's Office to Human Resources.
- Vacant positions were transferred to the Office of the Senior Vice President for Finance and Administration for strategic reallocation of resources.

Vice President Human Resources

Financial Information

Financial Information	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	192,890	0	192,890
Faculty	0	40	40
P&A Staff	1,426,714	40	1,426,754
Classified Staff	94,436	40	94,476
Student Assistants	0	0	0
Other Salaries & Wages	0	0	0
Fringe Benefits	489,158	40	489,198
Total Personnel Expenses	2,203,198	159	2,203,357
Current Expenses			
Supplies and Expenses	1,443,988	6,325	1,450,313
IT, Maint., Other Services	23,878	0	23,878
Travel	8,814	0	8,814
Departmental Credits	(229,500)	0	(229,500)
Total Current Expenses	1,247,180	6,325	1,253,505
Grand Total	3,450,378	6,484	3,456,862

Budget Highlights - Vice President Human Resources

General Funds		
Description	FY 2016 Changes	Totals
FY 2015 Budget		\$2,411,193
New Funds	\$0	
Expenditure Items Offset by Revenue	(\$5,426)	
Significant Transfers	\$965,127	
Faculty and Staff Salary and Wage Increases	\$40,365	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$39,119	
Subtotal		\$1,039,185
FY 2016 Budget		\$3,450,378

Support Unit Summaries

2016 Operating Budget

Sr. Vice President for Finance and Administration

In January 2015, the Office of the Senior Vice President for Finance and Administration (SVPFA) was created to centralize and manage the University's financial and business operations and provide improved customer services, communication and accountability. The following units report to the SVPFA: Finance, Business Affairs, Human Resources, Information Technology, and Audit Services.

A new organizational structure will be implemented in FY 2015-16 and will include two strategically-focused financial management units: Finance/Controller and Budget and Planning. A "change driver" unit will also be added, Performance Improvement and Business Analytics. Lead Financial Officers in each academic and support unit have a direct reporting line to the Senior Vice President for Finance and Administration.

Budget Changes

- The FY 2015-16 budget includes vacant position lines from units that report to the SVPFA.

Sr. VP Finance and Administration

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	651,969	0	651,969
Faculty	0	0	0
P&A Staff	1,888,991	0	1,888,991
Classified Staff	379,080	0	379,080
Student Assistants	93,429	0	93,429
Other Salaries & Wages	0	0	0
Fringe Benefits	320,248	0	320,248
Total Personnel Expenses	3,333,717	0	3,333,717
Current Expenses			
Supplies and Expenses	170,439	0	170,439
IT, Maint., Other Services	0	0	0
Travel	0	0	0
Departmental Credits	0	0	0
Total Current Expenses	170,439	0	170,439
Grand Total	3,504,156	0	3,504,156

Budget Highlights - Sr. Vice President for Finance and Administration

General Funds		
Description	FY 2016 Changes	Totals
FY 2015 Budget		\$0
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	\$3,180,940	
Faculty and Staff Salary and Wage Increases	\$1,740	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$321,476	
Subtotal		<u>\$3,504,156</u>
FY 2016 Budget		<u>\$3,504,156</u>

Executive Vice President Health Affairs

The Executive Vice President for Health Affairs (EVPHA) is the chief operating officer of the Health Sciences Center (HSC) and is responsible for 15 centers and institutes and four schools (Dentistry, Medicine, Nursing, and Public Health and Information Sciences). The EVPHA reports to the President and serves as one of five members of the President's executive team. The EVPHA is responsible for the relationships with executive leadership at UofL's affiliated hospitals, the Louisville Metro Health Department, and other health-related organizations, and for ensuring that the Health Sciences Center and UofL Health Care is a regional center of excellence for education, research and patient care. The office provides strategic oversight and planning for the KentuckyOne Health partnership, University Medical Center, Inc., UofL Health Care, and University of Louisville Physicians (ULP). The office also determines the allocation of resources received from KentuckyOne Health. The EVPHA is responsible for space allocation and capital planning for the HSC.

Budget Changes

- Significant Transfers include VSIP positions that were transferred from HSC academic units to the EVPHA. This will give EVPHA the ability to strategically reallocate those positions and funds during the fiscal year.
- Unit reductions include the VSIP credit for Health Science Campus units.

Support Unit Summaries

2016 Operating Budget

Executive Vice President Health Affairs

Financial Information

FY 2016 Budget

	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	541,890	1,787,749	2,329,639
Faculty	2,672,610	369,867	3,042,477
P&A Staff	2,454,148	4,282,575	6,736,723
Classified Staff	962,480	376,201	1,338,681
Student Assistants	62,360	0	62,360
Other Salaries & Wages	10,000	354,540	364,540
Fringe Benefits	1,115,846	1,688,423	2,804,269
Total Personnel Expenses	7,819,334	8,859,354	16,678,688
Current Expenses			
Supplies and Expenses	3,712,661	39,302,964	43,015,625
IT, Maint., Other Services	0	28,810	28,810
Travel	0	0	0
Departmental Credits	0	0	0
Total Current Expenses	3,712,661	39,331,774	43,044,435
Grand Total	11,531,995	48,191,128	59,723,123

Budget Highlights - Executive Vice President Health Affairs

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$11,401,542
New Funds	\$0	
Expenditure Items Offset by Revenue	(\$123,777)	
Significant Transfers	\$959,135	
Faculty and Staff Salary and Wage Increases	\$85,671	
Fixed Costs	\$0	
Unit Reductions	(\$912,298)	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$121,722	
Subtotal		\$130,453
FY 2016 Budget		\$11,531,995

Executive Vice President Research and Innovation

The Executive Vice President for Research and Innovation (EVPRI) is responsible for developing the institutional research policy, coordinating and providing oversight of research, as well as scholarship and creative activity. In addition, the office oversees the identification, marketing and licensing of intellectual property developed at UofL so as to enhance economic development initiatives. The EVPRI has oversight of, and is responsible for, the development of translational research to help move discoveries from the conceptual stage through development of products and opportunities for the good of humanity. The Office is responsible for the development and implementation of the institution's research-related portions of the Strategic Plan 2020 and the 21st Century University initiative. It serves as a University-wide resource for identifying and capitalizing on intramural and extramural resources for research and scholarship for UofL faculty, staff and students. The Office serves as the liaison with granting agencies, develops policies and procedures to assure compliance with granting agency policies and procedures, and identifies funding for faculty research interests by matching faculty with potential funding sources and/or contract opportunities.

Going back to its beginning, the department for graduate studies and research operated under the auspices of a Vice Provost beginning in the 1970's. The Research Department became a separate department in 1997 with the installation of a Senior Vice President for Research. In 2011, it became the Office of the Vice President for Research and Innovation. It now has approximately 100 employees.

Recent significant changes for EVPRI include:

- Creation of the Office of Industry Engagement and the Clinical Contracts Division from the former EVPRI Office of Industry Contracts.
- Reorganization of current EVPRI personnel experienced with innovation and commercialization and headed this group with the most recently selected Associate Vice President for Research and Innovation.
- A newly created Clinical Trials Unit in January 2015.
- Reorganization of the EVPRI grants management and grants financial accounting personnel into teams. This partnering allows for the team members to work with a project from the proposal composition stage through to the project's end and close-out.

Goals

- Develop improvements into the grants/projects management systems through team-based project management and the acquisition of additional software modules to provide electronic processing of all phases of grants management.
- Enhance efforts for projects in community engagement with both public and private funding.
- Provide a platform for sharing under-utilized resources and a starting point for interdisciplinary collaborations.
- Support student diversity through the Louis Stokes Alliance for Minority Participation along with other state and regional institutions and employee diversity with the EVPRI unit's council that promotes moral, equitable and just practices.
- Lead an effort of communication among the University's separate offices charged with compliance review and oversight for the purpose of streamlining the crucial processes for privacy, safety and other regulatory issues.
- Increase innovation related revenue.

Research

- Continued success through the Coulter Foundation Award with new proof of concept projects.
- Redevelopment of EVPRI's website and portal pages to better enable access for researchers, investors and community partners to the information on UofL research and innovation programs and support.
- Brought live online additional modules of the iRIS software suite for the electronic management of grants projects.
- Initiated engagement with the ACC colleges and universities for information exchange on possible future research collaborations.

Significant Accomplishments

- Development of centers, institutes and core laboratories.
- Opening of the new Nucleus building on the J.D. Nichols Campus for Innovation and Entrepreneurship, housing laboratories, research and office space.
- Opening of the new Clinical Trials Unit.

Budget Changes

- The former EVPRI Office of Clinical Research Support Services joined the newly created Clinical Trials Unit under the auspices of the School of Medicine.

Executive Vice President Research and Innovation
Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	541,505	92,338	633,843
Faculty	166,040	18,714	184,754
P&A Staff	4,745,214	148,901	4,894,115
Classified Staff	669,340	47,578	716,918
Student Assistants	113,050	25,000	138,050
Other Salaries & Wages	45,708	6,000	51,708
Fringe Benefits	1,875,412	100,565	1,975,977
Total Personnel Expenses	8,156,269	439,095	8,595,364
Current Expenses			
Supplies and Expenses	2,100,348	44,790	2,145,138
IT, Maint., Other Services	19,842	0	19,842
Travel	405,663	0	405,663
Departmental Credits	0	0	0
Total Current Expenses	2,525,853	44,790	2,570,643
Grand Total	10,682,122	483,885	11,166,007

Budget Highlights - Executive Vice President Research and Innovation

General Funds			
Description	FY 2016 Changes	Totals	
FY 2015 Budget		\$10,880,839	
New Funds	\$0		
Expenditure Items Offset by Revenue	\$0		
Significant Transfers	(\$324,950)		
Faculty and Staff Salary and Wage Increases	\$132,430		
Fixed Costs	\$0		
Unit Reductions	\$0		
Policy Initiatives	\$0		
Change in Fringe Benefits	(\$6,197)		
Subtotal		(\$198,717)	
FY 2016 Budget		\$10,682,122	

Physical Plant

The Physical Plant is part of the Office for the Vice President of Business Affairs who reports to the Senior Vice President for Finance and Administration. Physical Plant operates and maintains the physical facilities on all campuses. These activities include maintenance of a central steam and chilled water plant and related mechanical and electrical equipment, shop operations to provide specialized maintenance services, grounds maintenance, sustainability efforts such as recycling and energy management performance contracting, and custodial services for University buildings. Minor renovation projects are generally undertaken by the Physical Plant department unless manpower limitations or time and cost considerations dictate that they be done by outside contractors.

Goals

- Improve energy efficiency and sustainability by exploring new post-ESCO ways to conserve energy and convert to renewable energy sources.
 - Develop plan of actions and create energy manager position.
 - Improve sustainability by making waste stream more efficient and proposing to hire a new waste stream manager.
- Develop Comprehensive Capital Renewal Plan for all campuses by completing an inventory of all facilities on each campus and generate options for funding the current maintenance needs and deferred maintenance needs.
- Continue to review processes to determine best business practices for the department including the proposal of creating a Physical Asset Manager to further use the Department's Maximo Work Order System in order to improve Customer Service.

Research

- Preventive maintenance and system support to facilitate safe, comfortable, clean and positive research environments.
- Worked closely with departments for special maintenance needs related to research such as the improvement of the ICT/Regenerex Clean Rooms in Baxter I. Physical Plant has worked closely with University Planning Design and Construction, HSC Administration and the Consultant Teams to modify old buildings' systems in order to meet the high demand and low tolerance needs of researchers in a relatively short amount of time.

- Work closely with the Center of Predictive Medicine staff, Department of Environmental Health and Safety, UPDC and Consultant teams on the \$10 million expansion of Regional Biocontainment Laboratory. This included a high level of coordination and cooperation to satisfy the requirements of National Institutes of Health and obtain Centers for Disease Control registrations.

Significant Accomplishments

Implement a Phase III performance contract with Siemens. Phases I and II are generating savings of \$4.4 million and pay the debt service/lease payments that made the energy savings possible. Savings beyond the guarantee support general fund strategic initiatives.

Budget Changes

- Twenty-two employees participated in VSIP; this reduced salary expenses by approximately \$297,000.
- Utility fixed costs increase by \$248,000.
- Vacant positions were transferred to the Office of the Senior Vice President for Finance and Administration for strategic reallocation of resources.

Support Unit Summaries

2016 Operating Budget

Physical Plant

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	140,000	0	140,000
Faculty	0	612	612
P&A Staff	1,145,147	612	1,145,759
Classified Staff	12,735,701	612	12,736,313
Student Assistants	0	0	0
Other Salaries & Wages	286,249	0	286,249
Fringe Benefits	6,316,565	612	6,317,177
Total Personnel Expenses	20,623,662	2,449	20,626,111
Current Expenses			
Supplies and Expenses	34,356,827	97,879	34,454,706
IT, Maint., Other Services	35,497	0	35,497
Travel	1,800	0	1,800
Departmental Credits	(6,407,220)	0	(6,407,220)
Total Current Expenses	27,986,904	97,879	28,084,783
Grand Total	48,610,566	100,328	48,710,894

Budget Highlights - Physical Plant

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$48,612,753
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	(\$845,618)	
Faculty and Staff Salary and Wage Increases	\$428,150	
Fixed Costs	\$248,000	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$167,281	
Subtotal		(\$2,187)
FY 2016 Budget		\$48,610,566

Reserves

Reserve funds are held by the University as a good business practice to meet unforeseen budget emergencies and to provide financial flexibility during the year. Reserves are budgeted in the following categories:

- Staff Reclassification and Promotion Fund,
- Staff Salary Catch-up Reserve,
- Reserve for Administrator Merit,
- Reserve for Compensation,
- Reserve for Fringe Benefits,
- Reserve for Adjustments,
- Budget Reserve Fund,
- Student Center Building Reserve Allocation,
- Reserve for Strategic Initiatives,
- Reserve for Capital Expenditures.

Budget Changes

- Includes faculty promotions and staff reclassification budget increases.
- VSIP savings credits allocated to the units.
- Policy Initiatives includes \$750,000 for 21st Century Seed funds.

Support Unit Summaries

2016 Operating Budget

Reserves

Financial Information

	FY 2016 Budget	
	General Funds	UofL Corporations
Personnel Expenses		Total
Administrators	346,354	0
Faculty	340,233	0
P&A Staff	347,062	0
Classified Staff	470,394	0
Student Assistants	0	0
Other Salaries & Wages	0	0
Fringe Benefits	4,996,061	0
Total Personnel Expenses	6,500,104	0
Current Expenses		
Supplies and Expenses	9,901,729	0
IT, Maint., Other Services	0	0
Travel	0	0
Departmental Credits	0	0
Total Current Expenses	9,901,729	0
Grand Total	16,401,833	0

Budget Highlights - Reserves

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$14,660,845
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	(\$854,791)	
Faculty and Staff Salary and Wage Increases	\$331,763	
Fixed Costs	\$0	
Unit Reductions	\$895,221	
Policy Initiatives	\$1,056,656	
Change in Fringe Benefits	\$312,139	
Subtotal		\$1,740,988
FY 2016 Budget		\$16,401,833

Service Centers

Service centers are organizational units or activities that perform specific technical or administrative services for internal University operations as well as possible external users – charging the users for these services. They are established primarily as a means to capture costs associated with providing goods and services to University customers through the use of a calculated rate structure. The centers are expected to offer goods and services that are unique, convenient or not readily available from external sources. For the University to remain in compliance with federal guidelines, service centers must ensure that the rates being charged do not recover more than the actual cost of operation. Service centers are a special subset of program budgets, which are used for stand-alone operations generating both revenue and expenses associated with a specific, identifiable academic or support function; the operations are expected to be self-supporting with increases in expenses being offset by attendant increases in revenue.

Budget Changes

- The Center for Regulatory, Environmental and Analytical Metabolomics (CREAM) is expanding it's services to all of the University community next fiscal year. This accounts for \$231,000 of the increase.

Support Unit Summaries

2016 Operating Budget

Service Centers

Financial Information

Financial Information	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	0	0	0
Faculty	0	2,749	2,749
P&A Staff	2,057,802	321,682	2,379,484
Classified Staff	436,867	12,787	449,654
Student Assistants	66,711	0	66,711
Other Salaries & Wages	83,327	0	83,327
Fringe Benefits	708,702	96,641	805,343
Total Personnel Expenses	3,353,409	433,858	3,787,267
Current Expenses			
Supplies and Expenses	6,323,584	16,493	6,340,077
IT, Maint., Other Services	26,577	0	26,577
Travel	39,480	0	39,480
Departmental Credits	(8,634,245)	0	(8,634,245)
Total Current Expenses	(2,244,604)	16,493	(2,228,111)
Grand Total	1,108,805	450,352	1,559,157

Budget Highlights - Service Centers

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$795,800
New Funds	\$0	
Expenditure Items Offset by Revenue	\$231,038	
Significant Transfers	(\$4,596)	
Faculty and Staff Salary and Wage Increases	\$49,500	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$37,063	
Subtotal		\$313,005
FY 2016 Budget		\$1,108,805

Student Affairs

The Vice President Student Affairs (VPSA) mission is to provide students with effective services while enrolled at the University of Louisville. We seek to create developmental opportunities that augment their academic experience and enhance the quality of their lives.

When coupled with a challenging academic experience, these services and opportunities facilitate the students' total development so that they attain their educational goals as individuals and are prepared to function successfully as leaders within a diverse, multicultural and international world. In support of this mission, the VPSA provides essential services to the faculty and to the academic units.

Goals

- To inspire a vibrant campus life and engaged student body.
- To celebrate tradition and create a sense of purpose.
- To enhance services that meets the needs of students.
- To transform theory into practice to support student learning.

Significant Accomplishments

We continue efforts to develop a more vibrant campus community through creating opportunities for more students to live on campus, developing additional campus food and service options, supporting increased social and recreational programming, and providing additional service and leadership opportunities.

Student Affairs continues to set the bar and increase numbers on the following:

- Percentage of students living on campus.
- The number of students attending student activity programs annually.
- The number of individuals using intramural and recreational sports gyms and facilities.
- The Club Programming Committee continues to provide financial support directly to student organizations for campus programming. In FY 2015, VPSA received the last \$200,000 annual installment from President Ramsey to support more student groups, programmatic activities, as well as travel funds for student groups to attend conferences.

Support Unit Summaries

2016 Operating Budget

Budget Changes

Housing reorganized their entire budget in FY 2015 to allow better tracking and reconciling of accounts. In addition, two debt service bonds were retired, allowing for an increased expenditure pool dedicated to improving and maintaining campus housing facilities.

Student Affairs

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	374,186	0	374,186
Faculty	21,400	3,342	24,742
P&A Staff	2,773,332	149,374	2,922,706
Classified Staff	697,854	40,247	738,101
Student Assistants	217,001	2,000	219,001
Other Salaries & Wages	21,824	0	21,824
Fringe Benefits	1,313,254	72,168	1,385,422
Total Personnel Expenses	5,418,851	267,131	5,685,982
Current Expenses			
Supplies and Expenses	1,661,364	280,380	1,941,744
IT, Maint., Other Services	33,988	0	33,988
Travel	392,215	0	392,215
Departmental Credits	(35,000)	0	(35,000)
Total Current Expenses	2,052,567	280,380	2,332,947
Grand Total	7,471,418	547,510	8,018,928

Budget Highlights - Student Affairs

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$7,581,342
New Funds	\$0	
Expenditure Items Offset by Revenue	(\$382,564)	
Significant Transfers	\$97,076	
Faculty and Staff Salary and Wage Increases	\$91,697	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$83,867	
Subtotal		(\$109,924)
FY 2016 Budget		\$7,471,418

Student Financial Aid

The Student Financial Aid Office (SFAO), an office of Enrollment Management, manages all federal, state, institutional and private student aid funds, administering more than \$280 million in total awards. The SFAO maintains the University's compliance with federal and state regulations, administers the federal-work-study program across campus, coordinates the processing of athletic scholarships in compliance with NCAA policies, manages institutional scholarship commitments, provides training and information on financial aid matters to prospective students, current students and the university community. The following noteworthy strategies and achievements illustrate how Student Financial Aid is meeting its ***"The 2020 Plan"*** goals.

Educational Excellence

- Administering \$5.38 million in institutional need-based and transfer-aid programs with a goal to increase funding to meet the rising cost of education.

Community Engagement

- Providing oversight for the state-wide College Goal Sunday initiatives to assist students and parents with the federal student aid application process, managing more than 17 sites with 201 volunteers and approximately 500 participants annually.

Creative and Responsible Stewardship

- Continuing work on the policy for the management of gifts and endowments to support the overall goals of the university and to enhance stewardship with donors.

Budget Changes

General fund financial aid personnel expenses are included in the Enrollment Management budget.

- The FY 2015-16 budget includes a \$1 million increase to central university financial aid programs.

Support Unit Summaries

2016 Operating Budget

Student Financial Aid

Financial Information

Personnel Expenses

Administrators	0	0	0
Faculty	0	0	0
P&A Staff	0	0	0
Classified Staff	0	0	0
Student Assistants	0	0	0
Other Salaries & Wages	0	0	0
Fringe Benefits	0	0	0
Total Personnel Expenses	0	0	0
Current Expenses			
Supplies and Expenses	0	195,423	195,423
Scholarships	40,894,218	61,903,384	102,797,602
Tuition Remission	13,497,392	0	13,497,392
Cost Sharing	549,222	0	549,222
Total Current Expenses	54,940,832	62,098,807	117,039,639
Grand Total	54,940,832	62,098,807	117,039,639

Budget Highlights - Student Financial Aid

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$53,911,932
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	\$15,400	
Faculty and Staff Salary and Wage Increases	\$0	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$1,013,500	
Change in Fringe Benefits	\$0	
Subtotal		\$1,028,900
FY 2016 Budget		\$54,940,832

Enrollment Management

Enrollment Management supports students, faculty, staff and alumni of the University.

The Office of Admissions coordinates the recruitment of undergraduate students, including transfer students, active military and veteran students. The office processes more than 10,000 applications to the university, manages transfer equivalency determinations and is also responsible for official University publications including admissions pamphlets, University catalogues, bulletins, forms and brochures.

The Registrar's Office oversees registration, transcript services, and diploma delivery, retains academic records of students and alumni, and manages and assists with commencement and residency appeals.

Enrollment Management

Financial Information

	FY 2016 Budget	
	General Funds	UofL Corporations
Personnel Expenses		Total
Administrators	195,776	0
Faculty	0	166
P&A Staff	3,567,365	166
Classified Staff	491,597	166
Student Assistants	114,027	0
Other Salaries & Wages	35,070	0
Fringe Benefits	1,599,287	166
Total Personnel Expenses	6,003,122	663
Current Expenses		
Supplies and Expenses	1,418,393	26,489
IT, Maint., Other Services	41,937	0
Travel	38,135	0
Departmental Credits	0	0
Total Current Expenses	1,498,465	26,489
Grand Total	7,501,587	27,152

Budget Highlights - Enrollment Management

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$7,139,815
New Funds	\$0	
Expenditure Items Offset by Revenue	\$28,824	
Significant Transfers	\$85,615	
Faculty and Staff Salary and Wage Increases	\$114,705	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$132,628	
Subtotal		\$361,772
FY 2016 Budget		\$7,501,587

Student Government Association

The Student Government Association (SGA) is advised and supported by the Division of Student Affairs. SGA consists of an executive branch, judicial branch, a legislative senate and multiple student councils organized around academic colleges. It is charged with representing the student body on issues related to campus programs, services, and governance. SGA also provides programs and services designed to support the student experience.

Goals

- Enhance students' professional career by offering opportunities to develop leadership skills through SGA legislative and leadership positions.
- Provide opportunities for public service and giving back to the community.
- Focus on college affordability, student facilities, academic enrichment, and student engagement.

Budget Changes

- In FY 2015 SGA received the 3rd of a three year commitment of \$200,000 from the President, reaching a total of \$600,000. This is being used to support resident programmatic development for more than 400 Resident Student Organizations.

Student Government Association

Financial Information

FY 2016 Budget

Personnel Expenses

General Funds	UofL Corporations	Total
Administrators	0	0
Faculty	0	29
P&A Staff	0	29
Classified Staff	0	29
Student Assistants	23,320	0
Other Salaries & Wages	0	0
Fringe Benefits	13,096	4,427
Total Personnel Expenses	36,416	4,516

Current Expenses

Supplies and Expenses	1,007,384	301	1,007,685
IT, Maint., Other Services	15,300	0	15,300
Travel	6,500	0	6,500
Departmental Credits	0	0	0

Total Current Expenses

1,029,184	301	1,029,485
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Grand Total

1,065,600	4,817	1,070,417
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Budget Highlights - Student Government Association

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$1,065,600
New Funds	\$0	
Expenditure Items Offset by Revenue	(\$1,553)	
Significant Transfers	\$0	
Faculty and Staff Salary and Wage Increases	\$0	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$1,553	
Subtotal		\$0
FY 2016 Budget		\$1,065,600

Mandatory Transfers (Debt Service)

Mandatory transfers for debt service represent the current year's principal, interest and reserve requirements for existing bond indebtedness contracts. Debt service expenses for FY 2016 decrease by \$312,000.

Outstanding Bonds

The 2007 General Receipts Bond, Series A was issued to finance the renovation of the Home of the Innocents property, to provide office space to be used by the University's School of Public Health; land acquisition for and construction of Patterson Baseball Stadium; construction of Trager Field House for use by intercollegiate teams; construction of the YUM! Practice Facility, to be used by the basketball and volleyball teams; and construction of the Center for Predictive Medicine, a Level 3 Regional Biosafety Laboratory.

The 2008 General Receipts Bond, Series A was issued to finance the construction of the Clay Street HSC Parking Garage; the renovation of the School of Dentistry building, and the revision of the funding sources for the Clinical Translational Research Building, bringing the entire project scope to \$134,880,000.

Taxable Build America Bonds, 2010 Series A and General Receipts, Taxable Qualified Energy Conservation Bonds, 2010 Series B. These projects consist of multiple energy conservation measures within 17 educational and general buildings on the Health Science, Shelby and Belknap campuses. The project is expected to produce annual utility cost savings of more than \$2 million from a reduction in costs associated with purchasing and/or producing electric, water, sewer, natural gas and central steam and chilled water.

The 2011 General Receipts Bond, Series A was issued to finance the construction of the new University of Louisville Student Recreation Center which provides much needed space for the expansion of recreation sports and fitness opportunities for UofL students.

The 2012 General Receipts Bond, Series A refinanced two previously issues bonds CEBRB Series N and Series O to take advantage of more attractive interest rates. CEBRB Series N was issued to finance the construction of the Shumaker Research Building, which provides a multi-user core facility for microtechnology research, education, and technology transfer, and the Chestnut Street Parking Garage, a 290,000 square foot facility which is primarily used for parking but also houses offices for Public Safety and a fitness center. Series O issuance provided the funding for the Ralph Wright Natatorium which features an eight-lane, 50-meter competition pool with a depth ranging from 4 feet 6 inches to 18 feet. The Natatorium not only serves as the men's and women's swimming and diving venue but is designed as a site which also benefits the UofL student body's use for recreation, fitness and instruction.

CEBRB Series P bond proceeds were used to acquire the Home of the Innocents property which provides office space for the University's School of Public Health.

Mandatory Transfers (Debt Service)

Financial Information

	FY 2016 Budget	
	General Funds	UofL Corporations
Personnel Expenses		
Administrators	0	0
Faculty	0	0
P&A Staff	0	0
Classified Staff	0	0
Student Assistants	0	0
Other Salaries & Wages	0	0
Fringe Benefits	0	0
Total Personnel Expenses	0	0
Current Expenses		
Supplies and Expenses	18,835,714	0
IT, Maint., Other Services	0	0
Travel	0	0
Departmental Credits	0	0
Total Current Expenses	18,835,714	0
Grand Total	18,835,714	0

Budget Highlights - Mandatory Transfers (Debt Service)

General Funds		
Description	FY 2016 Changes	Totals
FY 2015 Budget		\$19,147,755
New Funds	\$0	
Expenditure Items Offset by Revenue	\$0	
Significant Transfers	\$0	
Faculty and Staff Salary and Wage Increases	\$0	
Fixed Costs	(\$312,041)	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	\$0	
Subtotal		(\$312,041)
FY 2016 Budget		\$18,835,714

University Housing

The UofL Housing and Residence Life program is a self-supporting auxiliary enterprise that generates revenues to fund all expenses associated with the day-to-day operation of eight residence halls. Expense include: staffing and administration, residential programming, daily maintenance and repair, delivery of utilities and technology services, and deferred maintenance and system replacements. Housing and Residence Life staff coordinate housing assignments and billing, select and supervise building staff, maintain the physical facilities, monitor student behavior, and provide student programming and support activities.

Residence hall communities provide students the opportunity to participate in intentional programs and activities designed to help students develop skills that will prepare them to be successful in their eventual career or profession. Students who live on campus have higher year-over-year retention rates and are more successful with their academic career when compared to students who live off-campus.

The Housing and Residence Life program also manages affiliation agreements with six external housing operations located adjacent to the Belknap and Health Science Campus. These privately owned and managed apartment communities provide upper-class students residence options close to campus. Housing and Residence Life provides a liaison-type link to the campus environment through recruitment, programs, and assistance with student issues and crises. These communities provide approximate 2,300.

Significant Accomplishments

- 67% of all first-year freshman lived on campus.
- 32% of full-time students lived in campus housing meeting the University's 2020 goals ahead of schedule.
- Renovated the Louisville Lobby creating a more open activity and congregation space for the hall residents.
- Updated 14 apartments at Medical Dental and 20 apartments at University Tower Apartments (kitchens, baths, and furniture).
- Added a new themed living area: social justice and gender issues.
- Updated the Miller Hall First Year Resource Center (classroom).

Budget Changes

- This is the first year under the new organizational structure that categorizes programs by function, rather than residence hall. This will simplify tracking revenues and expenses.
- Housing paid off its two debt service programs, Series E and F, last year FY 2014-15.
- Three halls – Center, West, and Wellness – will be closed for the FY 2016 year, resulting in the \$673,000 decrease.

University Housing

Financial Information

	FY 2016 Budget		
	General Funds	UofL Corporations	Total
Personnel Expenses			
Administrators	0	0	0
Faculty	0	275	275
P&A Staff	591,032	275	591,307
Classified Staff	288,907	275	289,182
Student Assistants	391,762	0	391,762
Other Salaries & Wages	5,000	0	5,000
Fringe Benefits	304,775	275	305,050
Total Personnel Expenses	1,581,476	1,100	1,582,576
Current Expenses			
Supplies and Expenses	5,467,169	43,957	5,511,126
IT, Maint., Other Services	5,803	0	5,803
Travel	0	0	0
Departmental Credits	(324,043)	0	(324,043)
Total Current Expenses	5,148,929	43,957	5,192,886
Grand Total	6,730,405	45,057	6,775,462

Budget Highlights - University Housing

General Funds

Description	FY 2016 Changes	Totals
FY 2015 Budget		\$7,486,900
New Funds	\$0	
Expenditure Items Offset by Revenue	(\$673,174)	
Significant Transfers	(\$79,028)	
Faculty and Staff Salary and Wage Increases	\$17,670	
Fixed Costs	\$0	
Unit Reductions	\$0	
Policy Initiatives	\$0	
Change in Fringe Benefits	(\$21,963)	
Subtotal		(\$756,495)
FY 2016 Budget		\$6,730,405

University of Louisville – Quality and Charity Care Trust

The expenditure budget for the UofL Hospital contract represents funding for the contractual Quality and Charity Care Trust (QCCT) agreement among the University of Louisville, the Commonwealth of Kentucky, Metro Louisville, and University Medical Center, Inc. (UMC). This amount represents the funding committed to indigent care for citizens of the Louisville / Jefferson County metropolitan area and Kentucky. Like FY 2014-15, no state appropriation for QCCT was made. However, the state will reimburse up to \$4 million in eligible indigent care expenses in FY 2016.

Budget Trends

2016 Operating Budget

BUDGET TREND DATA

USE OF NEW GENERAL FUND DOLLARS

(dollars in thousands)

	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	10 Yr Total
Nondiscretionary Allocations:											
Budget Reductions and Cost Savings	(\$1,335)	(\$38)	(\$11,949)	(\$6,599)	(\$1,401)	(\$3,234)	(\$8,606)	(\$4,700)	(\$572)	(\$5,801)	(\$44,235)
Budget Adjustments Carried Forward	0	1,535	208	863	429	238	515	1,927	3,225	722	9,662
Expenditures Offset by Specific Revenue	(4)	2,028	3,336	3,090	691	7,467	9,673	498	(11,297)	3,933	19,415
Fixed Costs	2,636	(2,804)	3,727	11,060	4,013	1,422	4,331	4,502	1,603	1,862	32,352
Total Nondiscretionary	\$1,297	\$721	(\$4,678)	\$8,414	\$3,732	\$5,893	\$5,913	\$2,227	(\$7,041)	\$716	\$17,194
Discretionary Allocations:											
Faculty and Staff Compensation	\$10,974	\$13,380	\$4,503	\$568	\$1,867	\$9,897	\$650	\$7,964	\$4,060	\$6,721	\$60,584
New Strategic Initiatives	9,431	13,835	7,709	2,671	3,341	4,397	5,302	3,429	4,153	2,150	56,418
Internal Reallocations	0	0	0	0	5,849	(261)	(78)	40	256	3,610	9,416
Miscellaneous Expense Adjustments	0	0	(320)	0	0	0	(121)	115	0	125	(201)
Total Discretionary	\$20,405	\$27,215	\$11,892	\$3,239	\$11,057	\$14,033	\$5,753	\$11,548	\$8,469	\$12,606	\$126,217
Total New General Fund Dollars	\$21,702	\$27,936	\$7,214	\$11,653	\$14,789	\$19,926	\$11,666	\$13,775	\$1,428	\$13,322	\$143,411
Salary and Wage Increases as a											
Percent of Discretionary Expenditures	53.8%	49.2%	37.9%	17.5%	16.7%	70.5%	11.3%	69.0%	47.9%	53.3%	48.0%

Source: Budget and Financial Planning

GENERAL FUND BUDGETED EXPENDITURE HISTORY

Academic Units	(dollars in thousands)					5 Year %
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	Change
Academic Support Programs	\$4,469	\$3,196	\$3,364	\$3,871	\$6,453	44.4%
College of Arts and Sciences	55,677	56,622	59,247	60,510	60,429	8.5%
College of Business	19,041	19,015	20,775	22,555	22,742	19.4%
School of Dentistry	17,407	17,527	18,586	18,228	18,682	7.3%
College of Education and Human Development	17,700	17,928	18,685	19,329	20,362	15.0%
School of Interdisciplinary and Graduate Studies	3,754	3,727	4,018	4,060	3,988	6.2%
Kent School of Social Work	4,006	4,316	4,700	5,093	5,518	37.7%
Brandeis School of Law	6,071	6,038	6,415	6,382	6,457	6.4%
Libraries	17,644	17,941	18,232	18,652	18,697	6.0%
School of Medicine	51,141	50,703	52,296	53,043	53,514	4.6%
Metropolitan College - UL	266	265	240	240	240	-9.6%
School of Music	5,730	5,807	6,213	6,262	5,862	2.3%
School of Nursing	5,207	5,621	6,352	6,226	6,349	21.9%
Other Academic Programs	6,758	7,444	8,143	9,342	10,967	62.3%
School of Public Health and Information Sciences	4,831	4,735	4,951	5,056	5,215	7.9%
J. B. Speed School of Engineering	19,722	20,436	21,846	22,785	23,182	17.5%
Undergraduate Affairs	3,714	3,661	3,779	3,506	3,581	-3.6%
Total Academic Units	\$243,139	\$244,982	\$257,843	\$265,140	\$272,237	12.0%

Budget Trends

2016 Operating Budget

General Fund Budgeted Expenditure History (continued)

Support Units	(dollars in thousands)					5 Year % Change
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	
Athletics	\$0	\$0	\$0	\$0	\$51	N/A
Mandatory Transfers (Debt Service)	22,836	23,596	22,901	19,148	18,836	-17.5%
Enrollment Management	6,723	6,788	7,202	7,140	7,502	11.6%
Executive Vice President Health Affairs	7,725	7,970	8,327	11,402	11,532	49.3%
Executive Vice President Research and Innovation	11,010	11,804	12,024	10,881	10,682	-3.0%
General Institutional Expenses	4,339	5,839	3,151	4,756	4,283	-1.3%
Institutional Support	37,703	37,067	39,591	42,254	46,663	23.8%
Physical Plant	43,571	44,915	47,199	48,613	48,611	11.6%
Reserves	11,907	10,677	9,517	14,661	16,402	37.8%
Student Affairs	5,343	5,203	5,760	7,581	7,471	39.8%
Student Financial Aid	45,339	50,803	51,455	53,912	54,941	21.2%
Student Government Association	452	671	871	1,066	1,066	135.6%
Total Support Units	\$196,948	\$205,332	\$207,997	\$221,412	\$228,039	15.8%
Auxiliary Enterprises						
Auxiliary Enterprises	\$3,407	\$3,615	\$4,272	\$3,080	\$3,123	-8.4%
Service Centers	1,244	1,035	1,048	796	1,109	-10.9%
University Housing	5,589	5,815	5,584	7,487	6,730	20.4%
Total Auxiliary Enterprises	\$10,241	\$10,464	\$10,904	\$11,363	\$10,962	7.0%
Total University Expenditures	\$471,047	\$482,713	\$496,488	\$497,915	\$511,238	8.5%

Source: Budget and Financial Planning

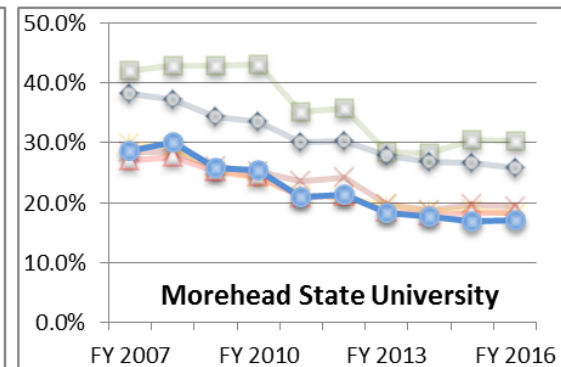
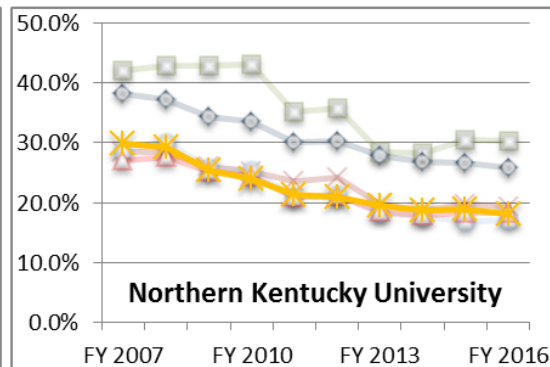
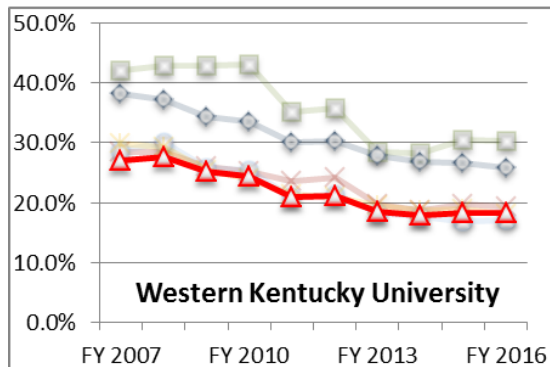
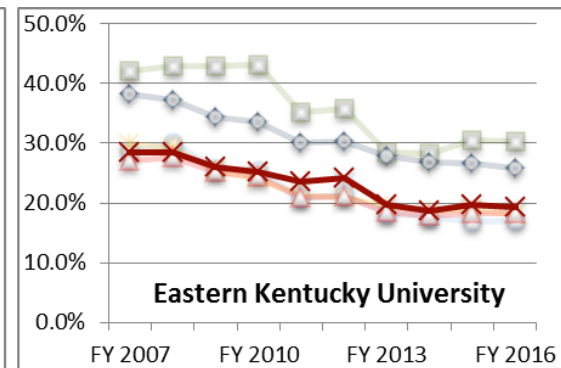
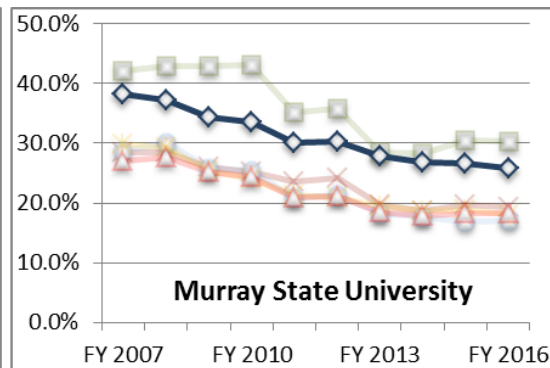
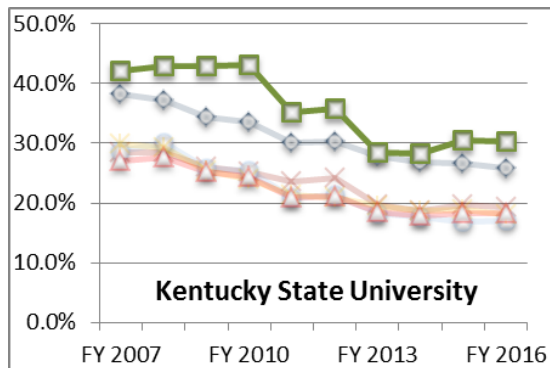
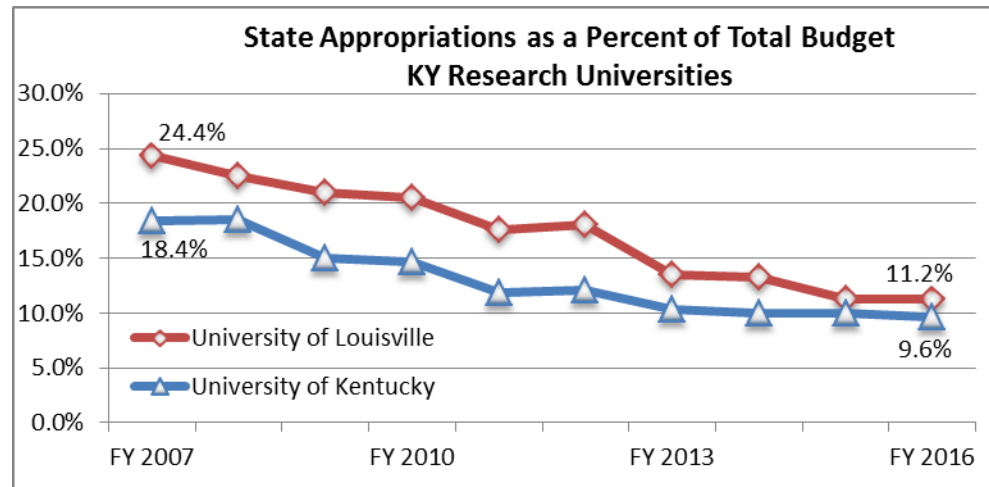
STATE APPROPRIATIONS AS A PERCENT OF TOTAL BUDGET (FOR KY STATE SUPPORTED UNIVERSITIES)

Institution	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
Eastern Kentucky University	28.4%	28.5%	26.1%	25.1%	23.7%	24.2%	19.7%	18.7%	19.8%	19.3%
Kentucky State University	42.2%	42.9%	43.0%	43.2%	35.3%	35.7%	28.6%	28.2%	30.5%	30.3%
Morehead State University	28.6%	30.0%	25.8%	25.3%	21.0%	21.2%	18.2%	17.6%	16.7%	17.1%
Murray State University	38.2%	37.2%	34.4%	33.6%	30.0%	30.3%	27.9%	26.8%	26.7%	25.8%
Northern Kentucky University	29.9%	29.3%	25.4%	24.0%	21.4%	20.9%	19.4%	18.7%	18.8%	18.1%
University of Kentucky	18.4%	18.5%	15.1%	14.6%	11.9%	12.1%	10.3%	10.0%	10.0%	9.6%
University of Louisville	24.4%	22.5%	20.9%	20.5%	17.6%	18.1%	13.5%	13.3%	11.3%	11.2%
Western Kentucky University	27.1%	27.7%	25.1%	24.3%	20.9%	21.2%	18.5%	17.9%	18.2%	18.3%
Kentucky Community and Technical College System	33.7%	33.7%	32.3%	31.6%	26.2%	26.1%	20.4%	19.7%	20.0%	19.1%
Average	30.1%	30.0%	27.6%	26.9%	23.1%	23.3%	19.6%	19.0%	19.1%	18.8%

Source: UofL Council on Postsecondary Education, Budget and Financial Planning

Budget Trends

2016 Operating Budget



UNIT BUDGET REDUCTIONS TEN YEAR HISTORY

College, School, or Division	FY 2007	FY 2008	FY 2009	FY2010	FY2011	FY2012	FY2013	FY2014	FY2015	FY2016
College of Arts & Sciences	-	-	\$827,920	\$1,030,300	-	\$507,200	\$1,040,900	-	\$347,900	-
College of Business	-	-	314,735	324,600	-	160,200	526,800	-	107,500	-
School of Dentistry	-	-	300,000	282,600	-	139,300	322,200	-	93,500	-
College of Education & Human Development	-	-	268,000	279,600	-	140,600	282,500	-	96,100	-
School of Interdisciplinary & Graduate Studies	-	-	55,100	80,100	-	38,700	75,100	-	26,000	-
Kent School of Social Work	-	-	85,000	71,500	-	37,500	87,400	-	27,400	-
Brandeis School of Law	-	-	200,000	167,700	-	82,800	211,200	-	56,900	-
University Libraries	-	-	300,000	311,500	-	150,300	300,700	-	97,700	-
School of Medicine	-	-	991,463	817,200	-	405,600	1,039,000	-	274,900	-
Metropolitan College	-	-	-	-	-	2,700	6,100	-	1,600	-
School of Music	-	-	125,000	107,000	-	53,800	118,200	-	37,000	-
School of Nursing	-	-	84,000	88,100	-	47,500	109,100	-	34,100	-
School of Public Health	-	-	60,971	70,900	-	39,200	88,800	-	27,000	-
J.B. Speed School of Engineering	-	-	396,718	361,800	-	183,800	410,400	-	134,200	-
Undergraduate Affairs	-	-	80,000	101,400	-	38,500	84,500	-	25,500	-
Total Academics	-	-	\$4,088,907	\$4,094,300	-	\$2,027,700	\$4,702,900	-	\$1,387,300	-
Athletics	-	-	\$57,000	\$39,800	-	\$19,500	\$45,900	-	-	-
Enrollment Management	-	-	-	100,000	-	58,600	131,300	-	41,400	-
Executive Vice President Health Affairs	-	-	44,000	25,500	-	12,500	31,200	-	6,100	-
Executive Vice President Research & Innovation	-	-	277,700	224,900	-	106,600	242,200	-	77,800	-
Office of President	-	-	37,700	26,300	-	13,200	45,400	-	38,300	-
Executive Vice President & University Provost	-	-	380,000	160,800	-	89,700	264,000	-	60,000	-
Vice President Business Affairs	-	-	722,201	629,900	-	354,600	1,082,400	-	222,300	-
Vice President Information Technology	-	-	244,380	223,300	-	108,400	324,400	-	77,200	-
Vice President University Advancement	-	-	75,000	52,300	-	22,200	48,300	-	-	-
Vice President Community Engagement	-	-	17,157	15,800	-	7,700	17,000	-	5,100	-
Vice President Finance	-	-	118,692	108,300	-	47,100	115,700	-	37,400	-
Vice President Human Resources	-	-	-	54,200	-	21,700	56,700	-	12,600	-
Vice President Student Affairs	-	-	136,000	93,800	-	44,500	106,300	-	31,100	-
Total Support	-	-	\$1,731,130	\$1,364,700	-	\$906,300	\$2,510,800	-	\$609,300	-
Total Reduction	-	-	\$5,820,037	\$5,459,000	-	\$2,934,000	\$7,213,700	-	\$1,996,600	-

Source: Budget and Financial Planning

Budget Trends

2016 Operating Budget

CREDIT HOUR PRODUCTION TREND

Academic Unit	Academic Year										Change AY 05-14	
	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	Amount	Percent
College of Arts & Sciences	269,012	273,006	272,853	274,015	275,630	277,740	286,452	265,501	245,363	256,766	(12,246)	-4.6%
College of Business	61,458	60,959	58,679	59,965	57,114	51,717	49,105	46,983	43,228	43,905	(17,553)	-28.6%
School of Dentistry	18,963	18,090	19,048	18,498	19,634	20,439	21,478	21,495	25,492	27,357	8,394	44.3%
College of Education & Human Dev.	53,259	52,702	53,979	55,654	56,559	59,629	59,092	51,892	46,597	52,651	(608)	-1.1%
School of Interdiscip. & Grad. Studies	0	0	0	0	5	1	14	23	5	0	0	0.0%
Kent School of Social Work	9,165	8,533	8,672	10,210	9,482	10,106	10,562	11,037	11,612	11,635	2,470	26.9%
Brandeis School of Law	11,332	11,651	11,548	12,249	12,578	12,609	12,243	11,553	11,203	11,062	(270)	-2.4%
School of Medicine	30,909	31,110	32,313	32,797	31,817	33,539	32,998	33,110	31,299	35,094	4,185	13.5%
School of Music	11,769	11,185	11,142	11,093	11,094	11,591	12,129	11,992	10,406	10,714	(1,055)	-9.0%
School of Nursing	10,936	11,902	12,017	11,323	12,254	14,592	16,329	16,219	15,367	17,129	6,193	56.6%
School of Public Health & Info. Sci.	983	1,611	2,016	2,424	2,764	2,677	2,772	2,901	2,740	3,033	2,050	208.5%
J.B. Speed School of Engineering	31,640	31,143	33,291	35,943	36,923	40,013	39,507	38,999	36,446	41,866	10,226	32.3%
Total Credit Hours	509,426	511,891	515,555	524,170	525,852	534,652	542,679	511,705	479,756	511,210	31,481	-0.1%

Source: UofL Institutional Research

MAINTENANCE AND OPERATIONS EXPENDITURES FOR FACILITIES

	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	Actual	Actual	Actual	Actual	Budget	Budget
Major Maintenance Projects	\$1,050,000	\$1,050,000	\$1,050,000	\$1,050,000	\$0	\$0
General Maintenance	13,673,541	12,890,235	13,367,529	14,795,788	14,396,056	14,253,612
Custodial Services	7,663,979	7,428,153	7,100,540	7,092,105	7,977,846	8,016,664
Utility Expenses	19,933,626	19,606,650	19,640,992	20,584,518	21,376,201	21,624,201
Services to Auxiliaries	(77,064)	(308,282)	(203,000)	(219,688)	(150,048)	(150,048)
Management and Supervisory Expenses	3,997,988	4,198,113	4,366,123	4,598,397	5,284,393	4,841,137
Other Related Expenses	20,778	28,148	25,468	33,701	25,000	25,000
Total M&O Expenditures	\$46,262,848	\$44,893,017	\$45,347,653	\$47,934,821	\$48,909,448	\$48,610,566

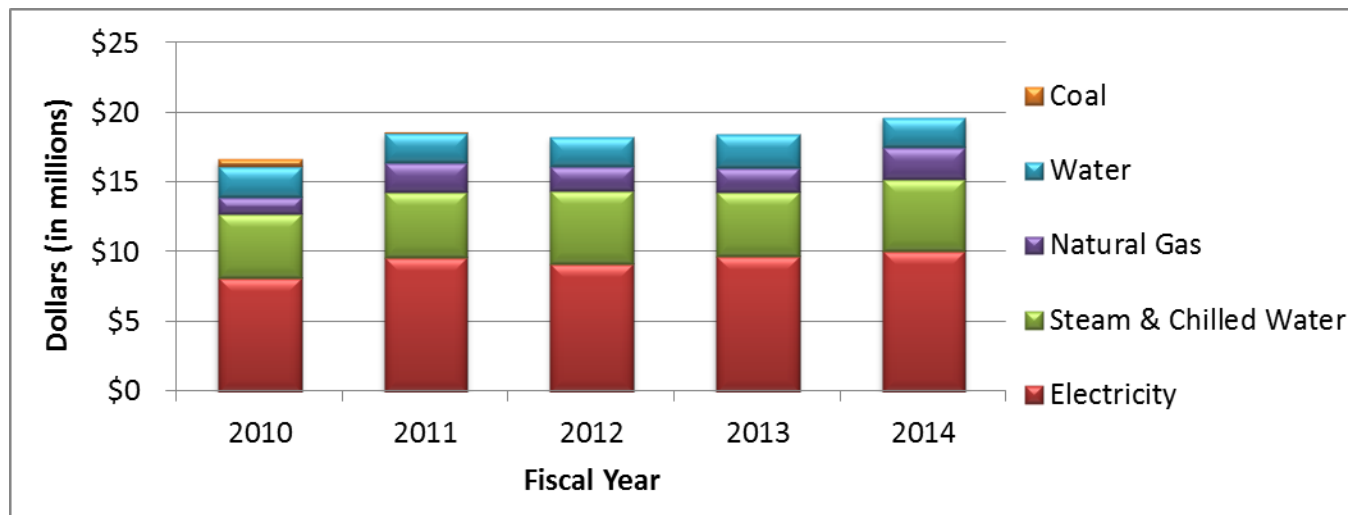
Source: Budget and Financial Planning

Budget Trends

2016 Operating Budget

FIVE YEAR UTILITIES COST (TOTAL UNIVERSITY)

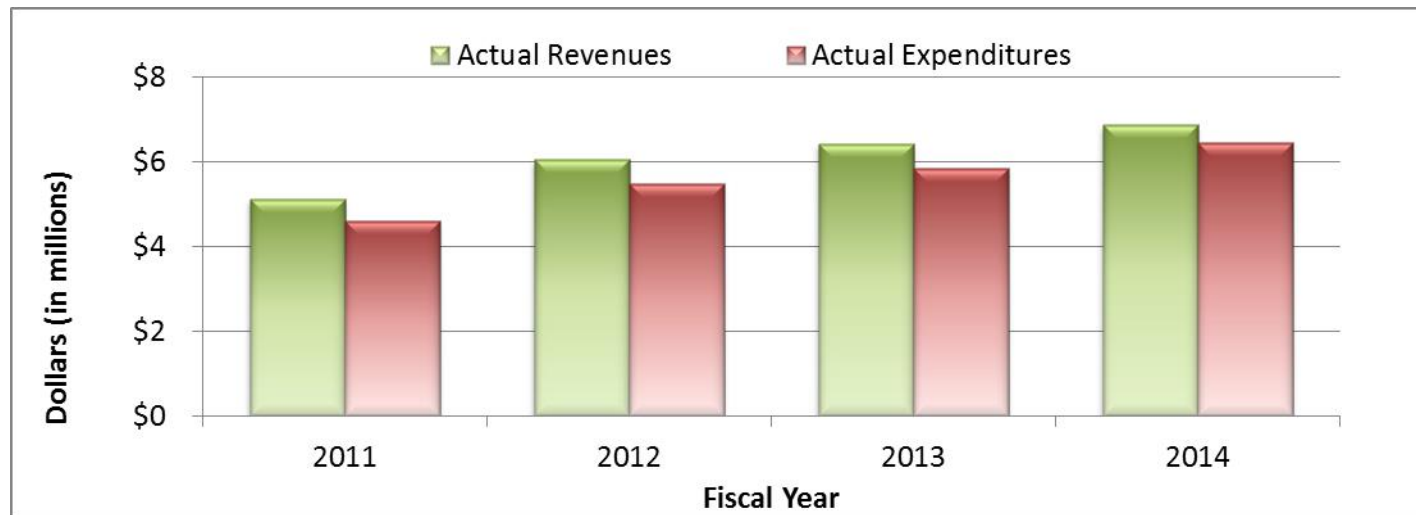
Utilities by Type	2010	2011	2012	2013	2014	Five-Year	
						Total Change	Avg Annual Change
Electricity	8,134,270	9,588,025	9,177,695	9,671,288	10,036,437	1,902,166	380,433
Steam & Chilled Water	4,588,083	4,691,054	5,211,125	4,624,879	5,169,663	581,580	116,316
Natural Gas	1,233,345	2,126,079	1,744,654	1,750,996	2,283,298	1,049,953	209,991
Water	2,150,150	2,099,831	2,076,861	2,432,869	2,109,307	(40,842)	(8,168)
Coal	538,208	650	0	0	0	(538,208)	(107,642)
Recharges to Others	(1,298,772)	(1,188,614)	(1,236,321)	(1,278,773)	(1,407,196)	(108,424)	(21,685)
Total	15,345,283	17,317,024	16,974,014	17,201,259	18,191,509	2,846,226	569,245



Source: Budget and Financial Planning

CONTINUING EDUCATION PROGRAMS

	FY 2011			FY 2012			FY 2013			FY 2014		
	Actual Revenues	Actual Expenditures	Surplus/ (Deficit)	Actual Revenues	Actual Expenditures	Surplus/ (Deficit)	Actual Revenues	Actual Expenditures	Surplus/ (Deficit)	Actual Revenues	Actual Expenditures	Surplus/ (Deficit)
Division of Online and Continuing Education												
Delphi - Professional Development	377,231	404,865	(27,634)	582,436	669,512	(87,076)	693,623	695,670	(2,047)	617,461	682,716	(65,256)
Delphi - Conference and Facilities	441,225	398,473	42,752	714,352	680,480	33,872	782,199	686,532	95,667	674,054	812,283	(138,230)
Delphi - Online Program	2,890,908	2,320,790	570,118	3,191,235	2,530,536	660,699	3,556,063	2,916,546	639,517	4,081,723	3,467,104	614,619
Delphi - Options	143,587	170,371	(26,784)	172,776	209,444	(36,668)	121,467	176,174	(54,707)	84,357	119,181	(34,824)
Total Delphi Center Programs	3,852,951	3,294,499	558,452	4,660,799	4,089,972	570,827	5,153,352	4,474,922	678,430	5,457,595	5,081,285	376,310
Other Continuing Education Programs												
Continuing Ed - Dentistry	229,364	229,604	(240)	288,211	292,571	(4,360)	297,700	287,517	10,183	318,333	284,527	33,806
Continuing Ed - Justice Administration	599,769	696,613	(96,844)	710,056	736,858	(26,802)	608,458	719,291	(110,833)	837,485	736,343	101,142
Continuing Ed - Medicine	341,799	319,324	22,475	315,369	300,326	15,043	266,877	274,670	(7,793)	181,941	280,991	(99,050)
Labor Law Program	50,539	41,719	8,820	27,724	45,699	(17,975)	62,352	43,749	18,603	24,484	35,803	(11,319)
Louis A. Grief Tax Institute	43,339	25,136	18,203	41,520	23,228	18,292	34,354	52,329	(17,975)	37,873	37,989	(116)
Total Other Continuing Ed Programs	1,264,810	1,312,396	(47,586)	1,382,880	1,398,682	(15,802)	1,269,741	1,377,556	(107,815)	1,400,116	1,375,653	24,463
Total Continuing Education	5,117,761	4,606,895	510,866	6,043,679	5,488,654	555,025	6,423,093	5,852,478	570,615	6,857,710	6,456,937	400,773



Source: Budget and Financial Planning

Budget Trends

2016 Operating Budget

DEBT SERVICE CHANGES

	2014-15 Budget			2015-16 Budget			Increase / (Decrease)		
	Principal	Interest	Total	Principal	Interest	Total	Principal	Interest	Total
2007 General Receipts - Series A	\$1,740,000	\$1,243,000	\$2,983,000	\$1,810,000	\$1,172,000	\$2,982,000	\$70,000	(\$71,000)	(\$1,000)
2008 General Receipts - Series A	3,455,000	3,001,325	6,456,325	3,600,000	2,860,225	6,460,225	145,000	(141,100)	3,900
2010 General Receipts - Series A & B	1,418,000	1,314,018	2,732,018	1,422,000	1,308,513	2,730,513	4,000	(5,505)	(1,505)
2011 General Receipts - Series A	1,165,000	1,478,150	2,643,150	1,210,000	1,430,650	2,640,650	45,000	(47,500)	(2,500)
2012 General Receipts - Series A*	1,125,000	600,249	1,725,249	1,165,000	555,250	1,720,250	40,000	(44,999)	(4,999)
Subtotal General Receipts Bonds	8,903,000	7,636,742	16,539,742	9,207,000	7,326,638	16,533,638	304,000	(310,104)	(6,104)
Consolidated Educational Building Revenue Bonds - Series M	2,225,000	62,701	2,287,701	1,955,000	29,325	1,984,325	(270,000)	(33,376)	(303,376)
Consolidated Educational Building Revenue Bonds - Series P	210,000	110,312	320,312	215,000	102,792	317,792	5,000	(7,521)	(2,521)
Subtotal Academic Bonds	2,435,000	173,013	2,608,013	2,170,000	132,117	2,302,117	(265,000)	(40,897)	(305,897)
Total Debt Service	\$11,338,000	\$7,809,755	\$19,147,755	\$11,377,000	\$7,458,755	\$18,835,755	\$39,000	(\$351,000)	(\$312,000)

* Consolidated Educational Building Revenue Bond Series N and Series O refinanced into 2012 General Receipts Series A

Source: Budget and Financial Planning

AUXILIARY ENTERPRISE REVENUE AND EXPENSES THREE -YEAR TREND

	2012			2013			2014		
	Actual Revenues	Actual Expenditures	Surplus/ (Deficit)	Actual Revenues	Actual Expenditures	Surplus/ (Deficit)	Actual Revenues	Actual Expenditures	Surplus/ (Deficit)
Auxiliaries									
Food Service Contract	172,230	186,018	(13,788)	159,910	177,291	(17,381)	30,000	30,107	(107)
Belknap Campus Store	356,083	147,498	208,585	357,477	151,034	206,444	306,425	98,096	208,330
Contract Vending Operations	144,509	4,305	140,204	149,524	(501)	150,025	155,584	20,000	135,584
iTech Express	217,811	143,612	74,200	192,353	217,628	(25,275)	190,999	159,819	31,181
Subtotal Auxiliaries	890,633	481,433	409,201	859,265	545,452	313,812	683,009	308,021	374,988
Parking									
University Parking	4,508,402	2,403,492	2,104,911	5,215,031	2,432,405	2,782,626	4,540,272	1,230,540	3,309,732
Parking Debt Service	9,409	1,365,796	(1,356,387)	0	572,838	(572,838)	0	542,978	(542,978)
Subtotal Parking	4,517,811	3,769,287	748,524	5,215,031	3,005,243	2,209,788	4,540,272	1,773,518	2,766,754
Housing									
Johnny Unitas Tower	953,386	807,038	146,348	1,218,100	881,600	336,500	1,345,097	573,777	771,319
Miller Hall	885,275	443,017	442,258	959,610	356,838	602,772	1,276,007	598,328	677,679
University Towers Apartments	872,344	562,611	309,733	890,554	545,456	345,098	975,002	524,432	450,570
Stevenson Hall	187,962	2,913	185,048	232,781	(99)	232,880	267,367	2,113	265,254
Threlkeld Hall	1,100,002	475,198	624,804	1,039,358	428,040	611,318	1,117,353	361,634	755,719
Medical / Dental Apts	366,580	399,509	(32,929)	318,702	288,736	29,966	355,599	226,736	128,863
The Complex	920,830	568,701	352,128	859,912	536,003	323,909	736,571	537,068	199,504
SJA/SPI Dormitory Fees	254,172	248,863	5,308	274,593	254,546	20,046	327,932	299,412	28,520
Louisville Hall	932,914	500,048	432,866	1,026,277	520,841	505,436	1,044,882	538,964	505,918
Residence Education & Programr	0	103,974	(103,974)	0	95,096	(95,096)	0	66,292	(66,292)
Housing Debt Service	0	1,332,370	(1,332,370)	0	1,327,715	(1,327,715)	0	436,619	(436,619)
Housing Administration	701,097	1,708,682	(1,007,585)	352,443	1,845,083	(1,492,640)	344,687	2,622,773	(2,278,086)
Housing Reserve	0	100,000	(100,000)	0	100,000	(100,000)	0	19,000	(19,000)
Subtotal Housing	7,174,561	7,252,926	(78,365)	7,172,330	7,179,855	(7,525)	7,790,496	6,807,148	983,348
Total Auxiliaries	12,583,006	11,503,646	1,079,360	13,246,626	10,730,551	2,516,075	13,013,777	8,888,688	4,125,089

Source: Budget and Financial Planning

Budget Trends
2016 Operating Budget

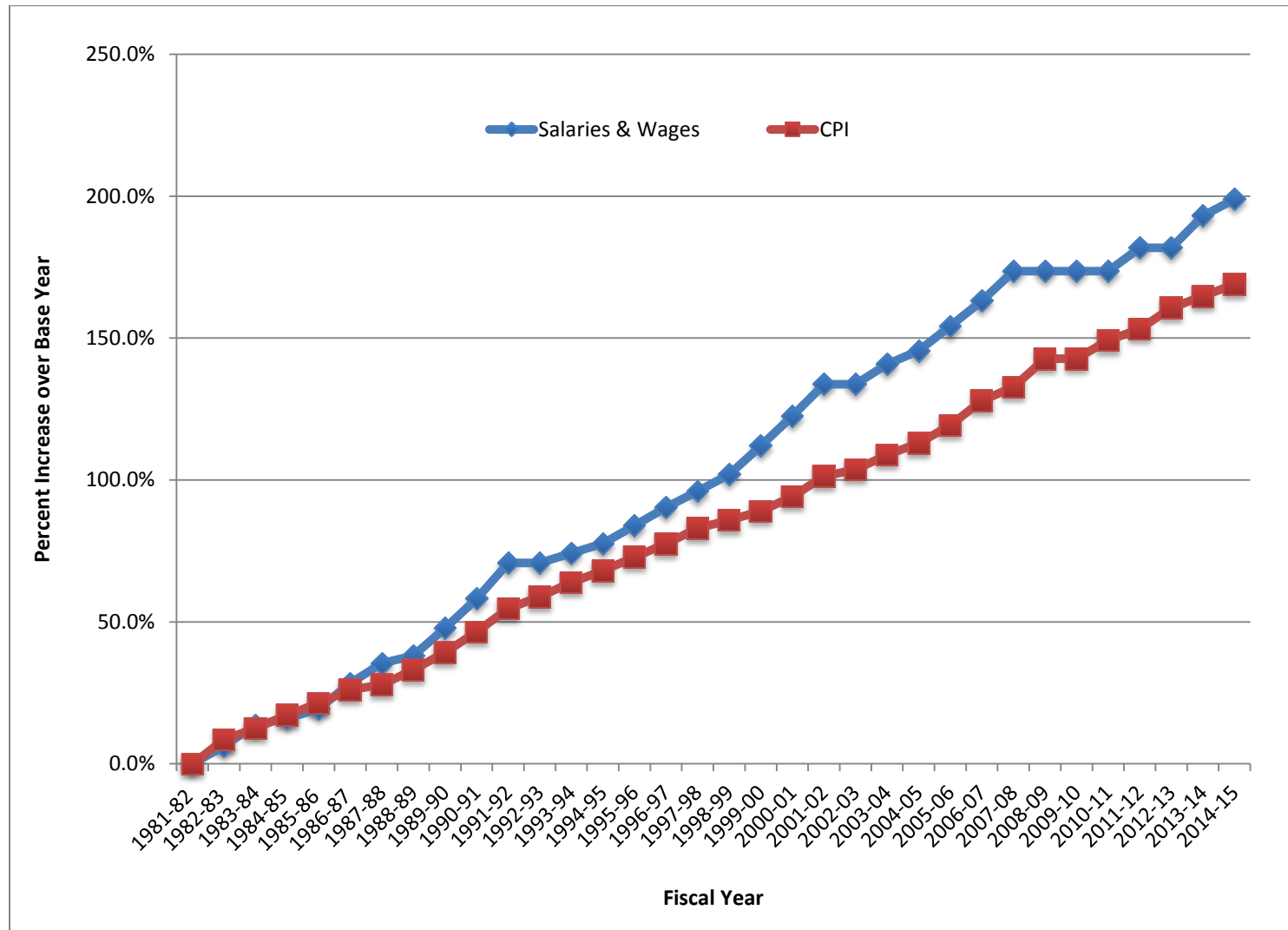
TEN YEAR SALARY INCREASE TREND BY PERSONNEL CATEGORY

Fiscal Year	Amount of Salary Increase ¹	Percent of Salary Increase	Salary Increase Policy											
			Faculty	Instructional Lump Sum	Graduate Assistants	Administrators	Professional and Administrative	Classified	House Staff	Student Wages	Overtime Wages	Temporary & Casual Wages	University Fellows	Shift Differential
2015-16 ⁸	6,720,616	3.00%	0% - 6%	3.0% A.T.B.	0%	0% - 6%	0% - 3%	0% - 3%	0%	0%	0%	0%	0%	0%
2014-15 ³	4,059,575	2.00%	0% - 4%	2.0% A.T.B.	0%	0% - 4%	\$600 or 2%	\$600 or 2%	0%	0%	0%	0%	0%	0%
2013-14	7,964,254	4.00%	0% - 6%	4.0% A.T.B.	0%	0% - 6%	\$1,200 or 4%	\$1,200 or 4%	0%	0%	0%	0%	0%	0%
2012-13	4,180,534	0% ⁷	\$1,200	0%	0%	\$1,200	\$1,200	\$1,200	0%	0%	0%	0%	0%	0%
2011-12	6,252,345	3.00%	0% - 5%	0.03	0%	0% - 5%	\$1,200 or 3%	\$1,200 or 3%	0%	0%	0%	0%	0%	0%
2010-11	3,785,361	0% ⁶	\$1,200	0%	0%	0.00%	\$1,200	\$1,200	0%	0%	0%	0%	0%	0%
2009-10	2,379,367	1.21% ⁵	\$0	0%	0%	\$0	\$0	\$0	0%	0%	0%	0%	0%	0%
2008-09 ²	2,251,622	0% ⁴	\$700	0%	0%	\$700	\$700	\$700	0%	0%	0%	0%	0%	0%
2007-08	7,234,331	4.00%	0% - 5.5%	4.0% A.T.B.	4.0%	0% - 5.5%	0% - 5.5%	0% - 5.5%	4.0% A.T.B.	0%	0%	0%	4.0%	4.0% A.T.B.
2006-07 ³	6,683,035	3.50%	0% - 5%	3.5% A.T.B.	3.5%	0% - 5%	0% - 5%	0% - 5%	3.5% A.T.B.	0%	0%	0%	3.5%	3.5% A.T.B.

- Notes:
1. Salary increase amounts do not include fringe benefits.
 2. Salary increase amount in this year does not include permanent "catch-up" adjustments received by selected staff.
 3. Salary increase amount in this year does not include permanent "catch-up" adjustments received by selected faculty.
 4. \$700 to each full time employee with satisfactory performance.
 5. \$700 to each full time employee with satisfactory performance became CAR this year.
 6. \$1,200 to each full time employee with satisfactory performance. Administrators excluded.
 7. \$1,200 to each full time employee with satisfactory performance. President, Provost, Deans and Vice Presidents are excluded.
 8. Salary increases for some P&A and Classified staff may have slightly exceeded 3% due to a Staff Senate recommendation about how the merit increase should be distributed.

Source: Budget and Financial Planning

GRAPH OF SALARY AND WAGE INCREASES (BASE YEAR 1981-82, JAN TO JAN CPI)



Source: Budget and Financial Planning

Budget Trends

2016 Operating Budget



SUMMARY OF TEN YEAR FTE TREND OF BUDGETED POSITIONS

	Fiscal Year									
	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15
Academic Units:										
Faculty	1,845.4	1,869.9	1,949.0	2,050.2	2,000.6	2,077.6	2,218.1	2,364.7	2,309.2	2,540.0
Administrators	32.5	33.7	34.5	31.9	28.9	26.6	28.1	29.8	28.3	25.6
Professional & Administrative Staff	1,104.6	1,295.8	1,486.7	1,605.0	1,816.6	1,847.2	1,680.3	1,725.3	1,709.3	1,767.7
Classified Staff *	976.2	946.1	963.0	988.3	959.9	949.0	860.2	812.2	697.1	696.9
Academic Units Total	3,958.6	4,145.4	4,433.2	4,675.3	4,806.0	4,900.4	4,786.8	4,932.0	4,743.9	5,030.2
Support Units:										
Faculty	31.4	26.9	20.6	47.4	30.1	24.1	18.0	18.7	22.3	19.2
Administrators	52.4	54.5	59.1	63.5	66.9	62.3	68.5	69.3	81.8	83.3
Professional & Administrative Staff	513.2	539.7	566.9	617.9	663.1	685.9	723.5	762.9	783.3	820.3
Classified Staff *	724.9	725.1	721.5	756.5	742.9	768.7	761.4	749.0	760.4	752.6
Support Units Total	1,321.9	1,346.2	1,368.0	1,485.2	1,503.1	1,540.9	1,571.4	1,599.9	1,647.8	1,675.4
University Total	5,280.5	5,491.6	5,801.2	6,160.5	6,309.1	6,441.3	6,358.2	6,531.9	6,391.7	6,705.6

FTE = budgeted salary divided by normal full-time annual salary rate for position (should not be confused with actual number of employees)

* Classified = non exempt hourly staff

Source: Budget and Financial Planning

UofL CORPORATIONS

The University of Louisville has relationships with several separate related corporations which support or augment the University's educational missions. These organizational entities are classified as either "affiliated" or "non-affiliated" corporations of the University.

An affiliated corporation is defined by Kentucky statute (KRS 164A.550). It is a corporate entity that could not exist or effectively operate without substantial assistance from UofL. Non-affiliated corporations are those entities which do not meet the statutory definition of an affiliated corporation.

The FY 2016 projected budgets for UofL's affiliated and non-affiliated corporations total \$717 million. A number of anticipated grants and contracts in the UofL Research Foundation have not been awarded, and therefore, cannot be budgeted. Several other components of the UofL Research Foundation, such as the F&A projections, are also not budgeted at this time. Finally, some corporations, such as the Medical School Fund, Inc. are not formally budgeted in the University's budget system. UofL Corporations' Source of Funds and Use of Funds are shown in Tables 16 and 17 respectively, as separated across the entities. Each corporation is then described in more detail following these tables.

Table 16: UofL Corporations Source of Funds

	FY 2016 Budget
University of Louisville Research Foundation, Inc.	
Clinical Operations	274,534,000
Sponsored Agreements - Direct	164,617,000
Sponsored Agreements - F&A	23,000,000
Total ULRF	462,151,000
University of Louisville Foundation, Inc.	
Fundraising, Foundation Business Operations, and Other	28,322,100
Restricted Endowment Funds	84,268,100
Gift Funds	42,095,800
Total ULF	154,686,000
University of Louisville Athletic Association, Inc.	
Football Program	33,090,000
Papa John's Cardinal Stadium	450,000
Basketball	26,820,000
Annual Seat Donations	17,225,000
NCAA/Conference Distribution	1,000,000
Marketing	7,870,000
Earnings from UL Foundation	500,000
Gender Equity Commitment	1,320,000
Other	4,255,000
Total ULAA	92,530,000
Quality and Charity Care Trust	
Louisville Metro	4,500,000
University of Louisville Medical School Fund, Inc.	3,500,000
Total UofL Corporations	717,367,000

Source: UofL Corporations, Budget and Financial Planning

Table 17: UofL Corporations Use of Funds

	FY 2016 Budget
University of Louisville Research Foundation, Inc.	
Salaries	251,925,278
Fringe Benefits	50,089,587
Operating Expense	160,136,134
Total ULRF	462,151,000
University of Louisville Foundation, Inc.	
Salaries	40,218,400
Fringe Benefits	10,828,000
Operating Expense	103,639,600
Total ULF	154,686,000
University of Louisville Athletic Association, Inc.	
Salaries	31,730,800
Fringe Benefits	7,014,200
Operating Expense	53,785,000
Total ULAA	92,530,000
Quality and Charity Care Trust	
Operating Expense (patient care)	4,500,000
University of Louisville Medical School Fund, Inc.	
Operating Expense	3,500,000
Total UofL Corporations	717,367,000

Source: UofL Corporations, Budget and Financial Planning

UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION, INC.

The University of Louisville Research Foundation (ULRF) is a non-profit corporation affiliated with the University of Louisville. The Research Foundation was established in 1989 for the purpose of promoting and supporting research projects, investigations, clinical operations, and other activities relating to the educational, scientific, literary, health care and public service missions of the University.

Table 18 shows ULRF budgeted revenues and expenditures for FY 2014-15 and FY 2015-16. For FY 2015-16, the ULRF budget totals \$462.2 million, which is \$10.9 million more than FY 2014-15. The increases are primarily attributable to serving more patients and an expected increase in federal grant awards.

Table 18: UofL Research Foundation Budget

Revenues	FY 2015	FY 2016	FY 2015-16 Change	
			Amount	Percent
Clinical Operations	\$270,391,700	\$274,534,000	\$4,142,300	1.5%
Sponsored Agreements - Direct	159,849,200	164,617,000	4,767,800	3.0%
Sponsored Agreements - F&A	21,000,000	23,000,000	2,000,000	9.5%
Total Revenue	\$451,240,900	\$462,151,000	\$10,910,100	2.4%
Expenditures				
Salaries	\$249,179,900	\$251,925,278	\$2,745,378	1.1%
Fringe Benefits	48,106,500	50,089,587	1,983,087	4.1%
Operating Expense	153,954,500	160,136,134	6,181,634	4.0%
Total Expenditures	\$451,240,900	\$462,151,000	\$10,910,100	2.4%

Source: UofL Research Foundation, Inc.

ULRF has three primary sources of revenues: 1) clinical services; 2) grants and contracts; and 3) facilities and administrative cost recoveries.

Clinical Services

Of the \$462.2 million total Research Foundation budget for FY 2015-16, clinical services revenues total \$274.5 million, or 58%. Clinical services revenues come from patient care and laboratories including primary care, radiology, oncology, neonatal care, and cardiology. Clinical services revenues also include financial support from affiliated hospitals for clinical services, academic programs, and house staff (interns and residents).

Table 19 shows clinical services budget amounts by unit for FY 2015-16.

Table 19: Clinical Budgets by Unit, Fiscal Year 2015-16

Clinical Total by Unit	Amount (\$)	Percent to Total	Number of Programs
School of Medicine	244,851,433	89.2%	266
School of Dentistry	18,555,255	6.8%	29
School of Nursing	818,309	0.3%	2
Executive Vice President for Health Affairs	10,309,003	3.7%	16
Total	274,534,000	100.0%	313

Source: Budget and Financial Planning and EVPHA

The School of Medicine accounts for 89% of all clinical services revenues and 84% of all clinical programs at the University of Louisville. Within the School of Medicine, almost 50% of FY 2015-16 budgeted clinical revenues come from graduate medical education, diagnostic radiology, neurological surgery, anesthesiology, and six pediatric programs.

Clinical services revenues for the School of Dentistry primarily come from the Dental Clinic and two graduate residency programs.

The School of Nursing has two clinical services programs: nursing education and nursing practice. Nearly all budgeted clinical services revenues come from the nursing practice program.

Under EVPHA, clinical services revenues help fund strategic initiatives for the School of Public Health, the HSC Office of Diversity and HSC central administration. Based on the strategic goals of the EVPHA, a portion of these funds may be allocated to other HSC units during the fiscal year.

Sponsored Agreements – Direct Costs

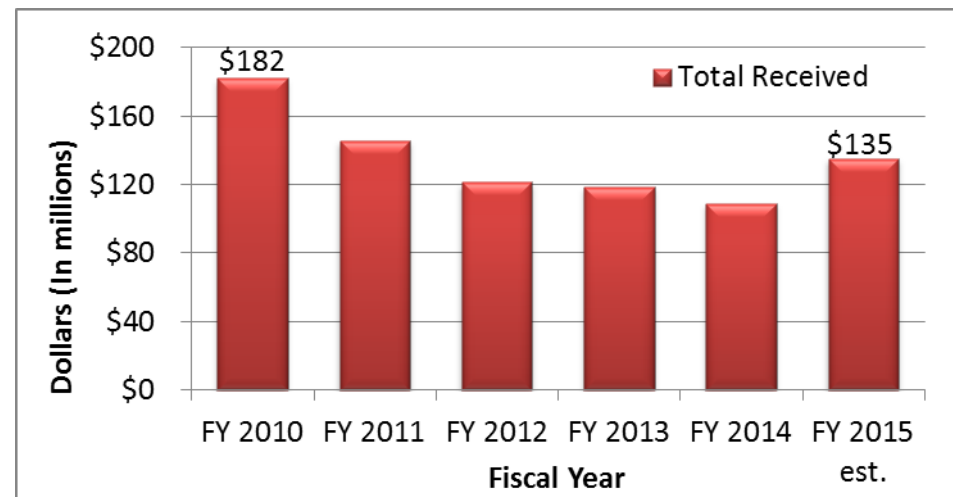
Revenues from sponsored agreements (which are also referred to as grants and contracts) come from awards by government, for profit, and nonprofit entities to UofL faculty and researchers. For example, the National Institutes of Health recently awarded the University a \$3 million grant to help speed the commercialization of discoveries by UofL researchers. Grants and contracts are the second largest component of the ULRF budget, totaling \$164.6 million in FY 2015-16.

There are three types of sponsored agreement activities at the University:

- Grants – provide financial assistance for basic research, training or community service projects;
- Contracts – provide financial support for a specific task and are usually initiated by a sponsor; and
- Other Awards – include cooperative agreements, purchase orders and sub-agreements.

Figure D shows sponsored program awards at the University of Louisville for fiscal years 2009-10 through 2014-15. Mirroring the national trend, revenues peaked in FY 2009-10 as federal research funding tied to the American Recovery and Reinvestment Act were made available. Revenues declined in subsequent years as stimulus programs expired. In FY 2009-10, the University received \$28.8 million in direct federal funding from the American Recovery and Reinvestment Act. By FY 2012-13 that amount declined to \$600,000. Grant awards are expected to increase in FY 2015-2016.

**Figure D: Sponsored Research Awards Received by UofL, FY 2009-10
 through FY 2014-15 (estimated)**

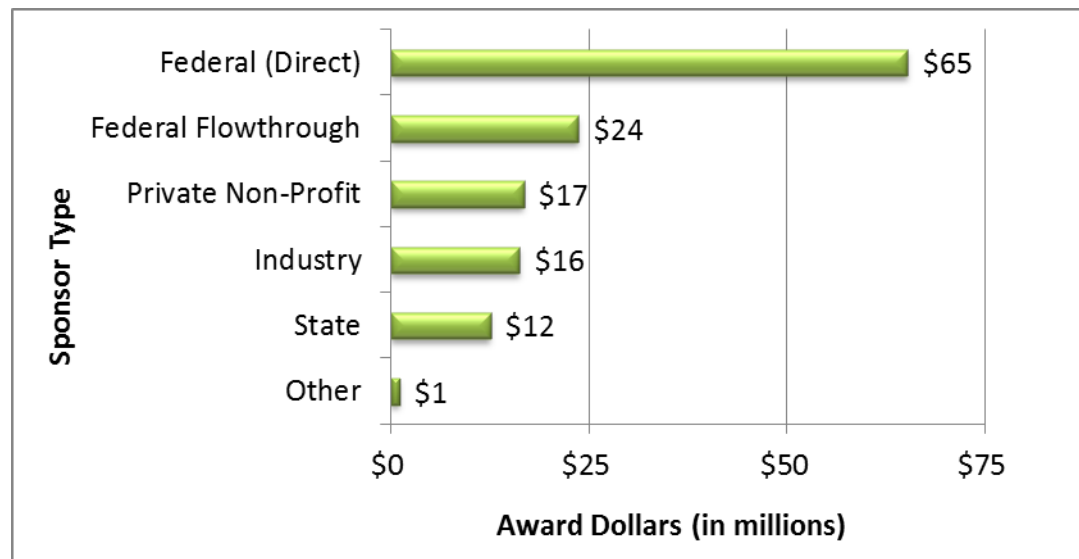


Source: UofL Research Foundation, Inc.

Source of Funds

Figure E shows estimated FY 2014-15 sponsored research awards to the University of Louisville by sponsor type. Federal and federal flow through funding accounted for \$89 million of funding. Non-profit awards were the next largest at \$17 million.

**Figure E: Sponsored Research Funds Received by UofL by Sponsor Type
in FY 2014-15 (estimated)**



Source: UofL Research Foundation, Inc.

Table 20 details federal sponsored research awards to the University of Louisville. Despite increases in FY 2014-15, total federal awards to the University remain below FY 2010-11 and FY 2011-12 levels. Funding from the National Institutes of Health has been the largest and most consistent source of federal awards over this period. Relative to all other federal fund sources, the National Institutes of Health typically accounts for nearly 3 of every 4 dollars in federal awards to the University. Funding from the Health Resources and Services Administration—which awards grants to institutions to improve and expand health care services for underserved people—and from the Department of Defense are the other primary sources of federal awards to UofL.

Table 20: Federal Direct Award Dollars by Agency FY 2009 through FY 2014

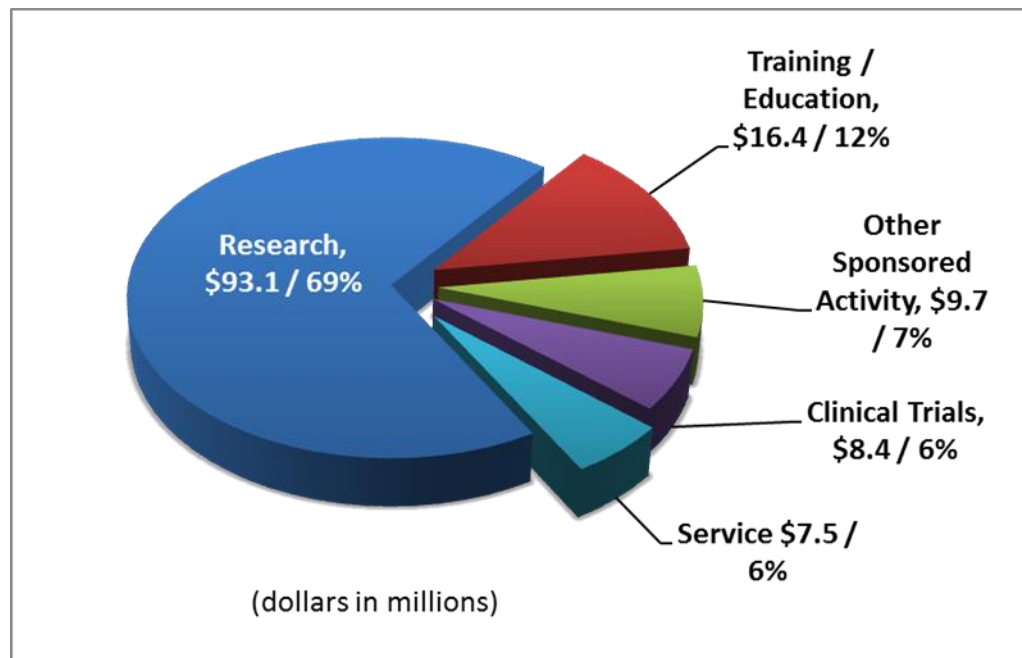
Federal Agency	2011	2012	2013	2014	2015 est.	Change FY 2014-2015	
						Amount	Percent
National Institutes of Health	49,137,724	53,927,293	51,056,706	42,618,870	47,184,124	4,565,254	10.7%
Health Resources and Services Administration	8,048,732	2,541,674	3,003,168	3,779,020	3,164,354	(614,666)	(16.3%)
Department of Health and Human Services (Other)	1,749,853	939,791	1,938,330	2,496,030	1,947,436	(548,594)	(22.0%)
Department of Education	5,472,927	6,444,453	2,135,882	2,472,498	2,604,710	132,212	5.3%
National Science Foundation	3,023,898	5,834,611	3,341,071	1,291,941	3,057,008	1,765,067	136.6%
Department of Defense	6,173,703	4,573,151	1,466,907	1,213,632	4,595,883	3,382,251	278.7%
Other Federal	338,606	973,335	831,623	923,911	1,154,332	230,421	24.9%
Environmental Protection Agency	207,000	442,000	363,000	691,702	255,912	(435,790)	(63.0%)
Centers for Disease Control	884,922	1,290,918	846,000	605,097	275,148	(329,949)	(54.5%)
Department of Energy	2,447,200	105,000	78,000	73,000	-	(73,000)	(100.0%)
NASA	2,000,000	3,000	2,780	-	444,555	444,555	N/A
Department of Justice	250,000	500,000	-	-	377,187	377,187	N/A
Department of the Interior	453,201	165,756	-	-	-	0	N/A
Total	\$80,187,766	\$77,740,982	\$65,063,467	\$56,165,701	\$65,060,649	\$8,894,948	15.8%

Source: UofL Research Foundation, Inc.

Use of Funds

Figure F shows estimated FY 2014-15 sponsored programs award dollars to the University of Louisville by purpose. Nearly 70% was used to fund research activities. Approximately 16% helped fund training and education activities. These training funds typically come from the National Research Service Award (NRSA), a function of the National Institutes of Health, and are designed to train individuals to conduct research and prepare for research careers. Service Activities include community outreach programs as well as public health screenings. Clinical trials are chiefly pharmaceutical testing and new therapy testing. Other sponsored activity includes analytical studies and surveys, treatment analysis, and program implementation – specifically state mandated programs.

Figure F: Sponsored Research Award Dollars Received by UofL by Purpose in FY 2014-15 (estimated)



Source: UofL Research Foundation, Inc.

Sponsored Agreements – Facilities and Administrative Cost Recoveries (F&A)

All federally-funded grants and contracts allow for the recovery of central administrative and infrastructure costs associated with supporting faculty, researchers, and staff funded by the grants and contracts. The Facilities and Administrative cost recovery rate, or F&A rate, is currently 50%. As per our recently negotiated agreement with the federal government, our F&A rate will increase to 53% in FY2015-16. This will only apply to new awards and will not be applied against existing awards. In FY2016-17 this rate will increase to 54%.

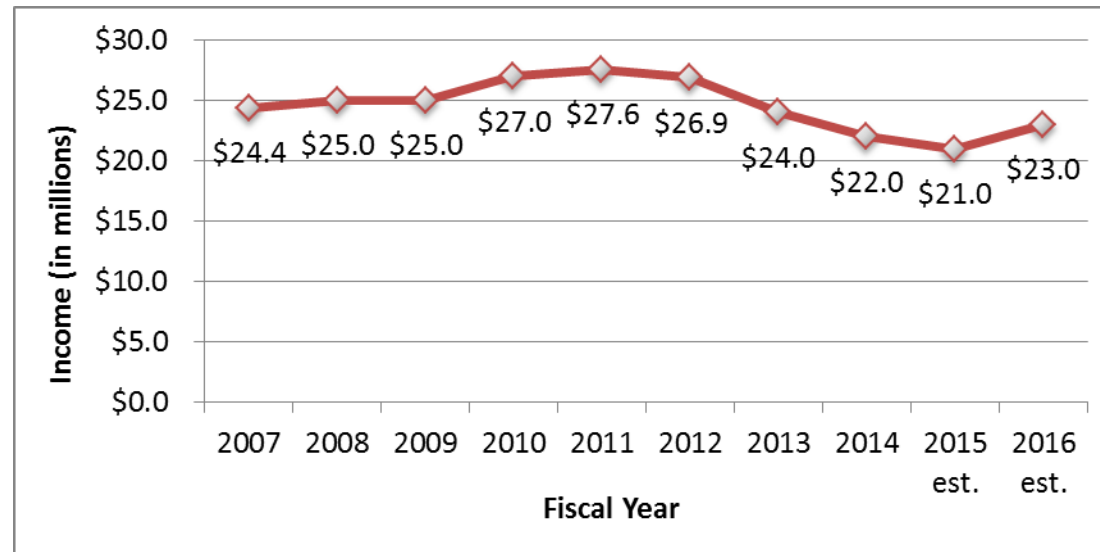
A portion of F&A revenues fund the following research support activities:

- Research Infrastructure Funds (RIF) – Investments in infrastructure that support efforts to secure and maintain extramurally funded research programs, including departmental and individual RIFs.
- University Scholars – This program, initiated by a dean, then sent to be reviewed by a full committee, and ultimately approved by the Provost and President, is used to recruit and retain faculty who exhibit substantial credentials in research. These credentials are then reviewed at year 3 to determine if the requirements, such as published works and grants garnered, have been met.
- Debt Service – Debt service payments on University buildings that house research activities.

Of the remaining F&A funds, 80% is transferred to the University for indirect expenses incurred in administering and supporting sponsored agreements. The other 20% is used by the Research Foundation to fund equipment purchases, research faculty recruiting packages, and other programs in support of the research enterprise.

Figure G shows F&A cost recoveries from FY 2006-07 through FY 2015-16. Due, in part, to the rate increase, F&A revenues in FY 2015-16 are expected to increase by \$2 million.

Figure G: UofL F&A Income FY 2007 through FY 2016



Source: UofL Controller's Office

UNIVERSITY OF LOUISVILLE FOUNDATION, INC.

The University of Louisville Foundation, Inc., is a non-profit, non-affiliated 501(c)(3) corporation that acts on UofL's behalf. For management purposes, Foundation resources are categorized into three general classes: Fundraising, Business Operations, and Other Unrestricted Funds; Restricted Endowment Funds; and Gift Funds. For FY 2016, the proposed total operating budget for the University of Louisville Foundation, Inc., is \$154,686,000. Table 21 shows a 5 year trend of revenues and expenditures by source for the Foundation.

Table 21: UofL Foundation 5 Year Budget Trend

Revenues	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2015-16 Change	
						Amount	Percent
Restricted Endowment Funds	\$74,104,300	\$82,294,431	\$79,906,124	\$75,921,423	\$84,268,100	\$8,346,677	11.0%
Gift Funds	40,506,800	44,499,308	47,192,977	49,345,522	42,095,800	(7,249,722)	-14.7%
Other Unrestricted Funds	14,811,400	15,042,018	15,528,869	17,924,736	28,322,100	10,397,364	58.0%
Total Revenue	\$129,422,500	\$141,835,757	\$142,627,970	\$143,191,681	\$154,686,000	\$11,494,319	8.0%
Expenditures							
Salaries	\$23,670,395	\$30,599,700	\$32,028,100	\$37,930,330	\$40,218,400	\$2,288,070	6.0%
Fringe Benefits	5,856,028	7,338,500	7,952,100	9,862,241	10,828,000	965,759	9.8%
Operating Expenses	99,896,077	103,897,557	102,647,770	95,399,110	103,639,600	8,240,490	8.6%
Total Expenditures	\$129,422,500	\$141,835,757	\$142,627,970	\$143,191,681	\$154,686,000	\$11,494,319	8.0%

Source: UofL Foundation, Inc.

The Office for Foundation Financial Affairs is responsible for all financial and budgeting operations of the University of Louisville Foundation, Inc. and its corporate affiliates. The Office invests and manages funds to support the University's education, research and service goals. The funds are used for scholarships, endowments, research chairs, grants and other academic initiatives.

Prudent investing by the Office for Foundation Financial Affairs allows the University to:

- Retain a leadership position in research, prevention, and treatment by ensuring that the University's medical professionals receive world-class training and support.

- Produce some of the nation's most prestigious scholars by providing financial support through scholarship programs that serve both financial and enrichment purposes, as well as various academic, athletic, and career pursuits during a time when public financial support for higher education has declined and education costs continue to increase.
- Be an economic engine for the City of Louisville, the Commonwealth of Kentucky, and the region by increasing the number of private sector partnerships, leveraging financial resources, and increasing research and commercialization income.

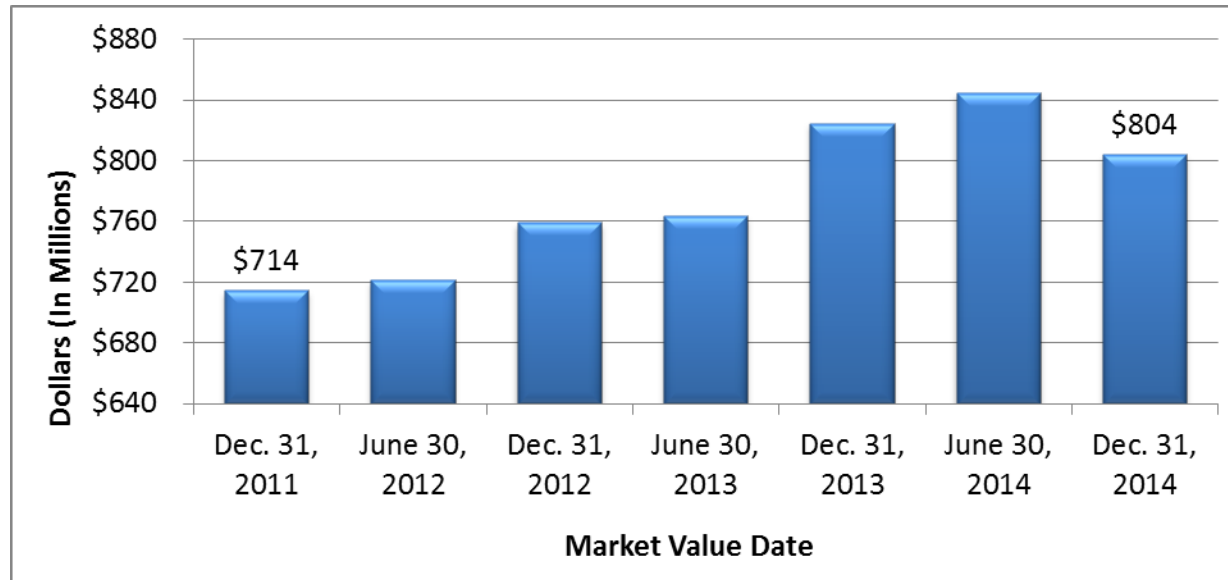
The Office of Foundation Financial Affairs had been part of the Vice President for Finance unit budget. FY 2014 was the first year the Foundation was budgeted separately.

Fundraising, Foundation Business Operations, and Other Unrestricted Funds are unrestricted funds. These funds pay fundraising expenses and for other advancement activities, academic program enhancement, margin of excellence initiatives, and Foundation administration.

Restricted Endowment Funds are assets that donors have either permanently or temporarily restricted in purpose or use. The University of Louisville Foundation, Inc. has more than 1,400 endowed accounts. Internally-managed endowment funds are part of a unitized investment pool housed within the University of Louisville Foundation, Inc. This pool of funds functions similar to a mutual fund where each endowment participates in the pool's investment performance as a percentage of the total pool based on the dollar amount invested. Earnings are budgeted based on the specified restrictions and recommendations of the University's Vice Presidents and Deans.

As of July 1, 2014, endowments with a spending policy were reported in the University Financial Statements. The annual spending rate is determined by the Board of Directors of the University of Louisville Foundation, Inc. For FY 2016 the annual spending rate is 5.5%. The rate is calculated based on the three-year moving average of the market values of each endowment. Figure H shows the value of the Foundation's endowment since year-end 2011, and includes UofL Trust Funds and funds Separately Held in Trust Funds.

Figure H: Endowment Market Value 3 Year Trend



Source: UofL Foundation, Inc.

The Foundation expects academic and support units who receive endowment funds to expend or encumber these funds in the fiscal year they are allocated. Unexpended annual spending policy allocations carryover balances greater than \$1,000 will be reinvested into the principal of the individual endowment. A rolling schedule is used to reinvest 20% over a five-year period beginning FY 2015 with all remaining balances reinvested in year six. A 2% fee is assessed from the reinvestment for administrative expenses. An exception may be requested allowing for a portion of these unexpended carryover funds to be retained by the unit provided there is justification to retain unspent funds. Table 22 shows a summary of endowments by CSD, spending policy and carryover.

Table 22: University Endowment Allocations by College, School, or Division

College, School, or Division	Spending Policy Allocation				Budgeted Carryover				Grand Total
	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
College of Arts & Sciences	1,624,871	1,083,454	865,343	3,573,668	147,768	1,820,910	821,880	2,790,558	6,364,226
College of Business	1,343,217	1,340,328	355,227	3,038,772	152,655	4,717,045	528,130	5,397,830	8,436,602
School of Dentistry	59,370	197,094	60,198	316,662	0	681,206	137,029	818,235	1,134,897
College of Education & Human Development	205,442	195,244	238,112	638,798	0	819,350	281,329	1,100,679	1,739,477
School of Interdisciplinary and Graduate Studies	0	35,468	0	35,468	0	958	0	958	36,426
Kent School of Social Work	70,231	5,555	58,512	134,298	0	1,957	17,351	19,308	153,606
Brandeis School of Law	297,685	674,948	389,898	1,362,531	52,972	873,056	50,102	976,130	2,338,661
University Libraries	177,534	697,882	0	875,416	48,147	219,056	0	267,203	1,142,619
School of Medicine	6,716,057	9,398,313	1,997,622	18,111,992	33,509	22,170,131	3,026,895	25,230,535	43,342,527
School of Music	265,196	292,224	434,464	991,884	30,160	767,015	82,243	879,418	1,871,302
School of Nursing	75,496	12,623	49,319	137,438	0	52,072	203,149	255,221	392,659
School of Public Health	64,320	0	5,692	70,012	6,741	0	1,799	8,540	78,552
J. B. Speed School of Engineering	2,837,878	1,102,111	738,063	4,678,052	380,906	3,842,410	70,743	4,294,059	8,972,111
Exec. VP for Health Affairs	0	172,618	0	172,618	0	172,300	0	172,300	344,918
Exec. VP for Research and Innovation	0	2,348	0	2,348	0	29,003	0	29,003	31,351
University President	57,337	1,079,876	0	1,137,213	0	629,243	0	629,243	1,766,456
Office of the Provost	457,346	1,456,401	356,638	2,270,385	20,168	35,468	194,269	249,905	2,520,290
Student Financial Aid	0	57,848	1,389,105	1,446,953	0	0	751,867	751,867	2,198,820
UofL Foundation	0	396,903	0	396,903	0	0	0	0	396,903
VP for Business Affairs	0	215,350	0	215,350	0	0	0	0	215,350
VP for Finance	0	4,781	0	4,781	0	6,498	0	6,498	11,279
VP for Student Affairs	0	0	36,991	36,991	0	0	51,335	51,335	88,326
VP for University Advancement	0	175,965	0	175,965	0	514,777	0	514,777	690,742
Total University Endowments	14,251,980	18,597,334	6,975,184	39,824,498	873,026	37,352,455	6,218,121	44,443,602	84,268,100

Source: UofL Foundation, Inc.; Budget and Financial Planning

The following details list all endowment accounts by spending policy and carryover budget amounts for each CSD.

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
College of Arts & Sciences										
E0022	Lions Club Prize Fund	0	500	554	1,054	0	0	0	0	1,054
E0026	Speed Scholarship	0	0	13,217	13,217	0	0	62,773	62,773	75,990
E0033	Humphrey Fellowship	0	0	35,414	35,414	0	0	349	349	35,763
E0034	Martin, Boyd Award	0	0	639	639	0	0	0	0	639
E0035	Thomas Scholarship	0	0	8,436	8,436	0	0	47	47	8,483
E0036	Beechmont Award	0	0	2,020	2,020	0	0	0	0	2,020
E0050	F. Zorn Scholarship	0	0	1,876	1,876	0	0	0	0	1,876
E0062	Yeager Scholarship	0	409	0	409	0	0	0	0	409
E0073	Lebre, V Prize	0	0	498	498	0	0	0	0	498
E0076	Hite, Allen R. Fund	132,102	353,208	130,000	615,310	0	90,389	0	90,389	705,699
E0082	Lovell Award Fund	0	72	750	822	0	0	0	0	822
E0084	Dale, W.Pratt Jr. Memorial	0	6,741	0	6,741	0	0	0	0	6,741
E0085	Hughes, Ernest Fund	0	294	0	294	0	0	0	0	294
E0087	Bennett Scholarship	0	61	600	661	0	0	0	0	661
E0089	Homberger Memorial	0	0	4,246	4,246	0	0	0	0	4,246
E0095	Winthrop Allen Fund	0	0	942	942	0	1,392	0	1,392	2,334
E0105	Humanities Professorship	0	0	0	0	0	124,544	0	124,544	124,544
E0110	Wallace, Tom Chair	99,198	1,655	0	100,853	0	0	0	0	100,853
E0113	Kesselman Award	0	0	3,028	3,028	0	0	1,706	1,706	4,734
E0114	Brookover, Mary Award	0	0	309	309	0	0	0	0	309
E0120	Petty C Scholarship	0	0	3,639	3,639	0	0	5,377	5,377	9,016
E0123	O'Sullivan, Assistantship	13,106	0	15,832	28,938	0	0	73	73	29,011
E0154	Mod Language Endowment	0	0	10,200	10,200	0	0	0	0	10,200
E0164	Hendershot Scholars	0	0	27,515	27,515	0	0	6,694	6,694	34,209
E0170	Wm Clay Mem Biology Scholars	0	908	2,000	2,908	0	0	0	0	2,908
E0175	QFE-Modern Languages	0	56,320	0	56,320	0	0	0	0	56,320
E0182	Klotter Visit Lecture	0	959	0	959	0	0	0	0	959
E0184	Fritsch, K Scholarship	0	0	1,706	1,706	0	0	853	853	2,559
E0190	Morton, Tb Profesorship	147,002	11,524	8,398	166,924	19,486	62,612	170,799	252,897	419,821
E0194	Hugo Taustine Scholars	0	0	1,790	1,790	0	0	0	0	1,790
E0214	Brown Foundation Biology	0	14,162	0	14,162	0	0	0	0	14,162
E0216	Psychology Memorial Fund	0	1,409	0	1,409	0	12,046	0	12,046	13,455
E0225	Baker, J&E Astonomy	0	1,890	0	1,890	0	9,303	0	9,303	11,193
E0248	Bickel,Robert J Fund	0	0	27,100	27,100	0	0	51,032	51,032	78,132
E0249	Glaser,Donald J Fund	0	1,831	0	1,831	0	0	0	0	1,831
E0251	Roseman Wilma S Fund	0	0	22,812	22,812	0	0	0	0	22,812
E0255	Overseers Honors Program	0	0	50,417	50,417	0	0	0	0	50,417

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Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0268	Hadley Ma Scholars	0	0	7,686	7,686	0	0	0	0	7,686
E0270	Olorunsola Scholars	0	2,113	0	2,113	0	0	0	0	2,113
E0272	Sheehan Lecture Fund	0	1,777	0	1,777	0	136	0	136	1,913
E0282	Barber Richard Endowment	0	0	1,977	1,977	0	0	0	0	1,977
E0294	Craik Mb Scholarship Fund	0	0	0	0	0	3,168	0	3,168	3,168
E0298	Klotter John C Endowment	0	0	5,832	5,832	0	0	10,592	10,592	16,424
E0303	Lewis Education Fund	0	2,500	10,834	13,334	0	2,544	591	3,135	16,469
E0321	Fine Arts Exhibit Fund	0	1,488	0	1,488	0	9,012	0	9,012	10,500
E0323	Watson Fund-English	104,129	38,661	0	142,790	0	144,025	0	144,025	286,815
E0324	Terril Ken Memorial Fund	0	0	6,116	6,116	0	0	0	0	6,116
E0340	Fink Mary Jo Fund	0	0	5,901	5,901	0	0	0	0	5,901
E0352	Anthr Student Travel Fund	0	0	2,148	2,148	0	4	0	4	2,152
E0355	Naamani Lecture Fund	0	3,697	0	3,697	0	0	0	0	3,697
E0365	Bonnie Acad Dev Endowment	13,246	24,191	0	37,437	0	53,443	0	53,443	90,880
E0371	Pardue Leonard G Fnd	0	0	1,128	1,128	0	0	1,500	1,500	2,628
E0373	Payton Don Fund	0	0	13,153	13,153	0	0	3,970	3,970	17,123
E0378	Mass Aid Fund	0	0	1,095	1,095	0	0	0	0	1,095
E0385	Goldstein, Joel Memorial	0	2,580	0	2,580	0	4,545	0	4,545	7,125
E0387	De Marzo-Farley Award	0	0	5,220	5,220	0	0	7,727	7,727	12,947
E0391	Minx Auerbach Lecturship Fund	0	9,846	0	9,846	0	491	0	491	10,337
E0398	Lewis Fund/Lat America	0	0	10,463	10,463	0	0	0	0	10,463
E0402	Bolus End Scholar Fund	0	0	1,337	1,337	0	0	0	0	1,337
E0404	B Plattus Award	0	0	992	992	0	0	1,236	1,236	2,228
E0415	Axton Reading Fund	0	21,613	0	21,613	0	39,445	0	39,445	61,058
E0435	Spi-In Carolina Fund	0	0	2,515	2,515	0	0	15,111	15,111	17,626
E0439	Furnish/Conwel Award	0	4,369	0	4,369	0	0	0	0	4,369
E0443	Wittreich Lecture	0	1,317	1,064	2,381	0	4,957	0	4,957	7,338
E0446	Riedley Endowed Scholars	0	0	18,640	18,640	0	0	0	0	18,640
E0447	Smith Endow Scholars	0	0	18,391	18,391	0	0	2,975	2,975	21,366
E0456	Grady Nutt Award	0	0	797	797	0	0	2,678	2,678	3,475
E0478	C K Maddox Prize	0	73	700	773	0	40	0	40	813
E0483	Tachau Endowment	0	0	4,678	4,678	0	0	0	0	4,678
E0499	Portugal Program	28,205	9,588	32,106	69,899	0	8,168	0	8,168	78,067
E0500	Bullitt Fund	0	189	1,000	1,189	0	307	0	307	1,496
E0502	Yocom Scholarship	0	0	1,513	1,513	0	0	0	0	1,513
E0504	Bloch C L Md Fund	10,360	9,540	0	19,900	0	0	1,433	1,433	21,333
E0509	Bullitt Scholarship	0	0	4,098	4,098	0	0	0	0	4,098
E0511	Hardin Grad Fellow	6,446	0	0	6,446	0	0	3,844	3,844	10,290

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0522	Lutz FV Fund	0	746	0	746	0	1,664	0	1,664	2,410
E0544	Nichols Fellow-State	8,744	604	0	9,348	0	0	0	0	9,348
E0550	Hardin Fellowship	7,170	515	0	7,685	0	0	0	0	7,685
E0557	Morgan Fred Bw/Eques	105,766	51,364	35,000	192,130	0	60,320	0	60,320	252,450
E0587	1961 A & S Class Gift	0	200	0	200	0	0	0	0	200
E0598	Morris Belknap Bequest	0	13,732	0	13,732	0	9,012	0	9,012	22,744
E0610	Horner Bird Sanctuary	0	5,448	0	5,448	0	0	0	0	5,448
E0631	Hamilton Sch/Am History	0	0	2,625	2,625	0	0	5,408	5,408	8,033
E0633	Haly, Brennan Lecture	0	701	0	701	0	0	0	0	701
E0645	Arts & Sciences Endowment	51,028	6,048	0	57,076	0	24,993	0	24,993	82,069
E0653	Eskind Gift Sociolgy	0	1,769	0	1,769	0	0	0	0	1,769
E0662	Norton Gift Fine Art	0	3,864	0	3,864	0	6,537	0	6,537	10,401
E0698	QFE-B&W Vist Scholars	0	35,827	0	35,827	0	0	0	0	35,827
E0700	QFE-Arts & Sciences	0	22,117	2,000	24,117	0	0	0	0	24,117
E0713	Humanities Endowment	0	0	4,631	4,631	0	0	5,249	5,249	9,880
E0734	QFE-W Oates Award	0	2,222	1,000	3,222	0	0	0	0	3,222
E0745	QFE-B&W Urban Affairs Fund	13,099	6,279	0	19,378	0	0	0	0	19,378
E0775	QFE-L. Barker Award	0	1,470	0	1,470	0	0	0	0	1,470
E0794	QFE-College of Business	0	0	1,441	1,441	0	0	5,406	5,406	6,847
E0796	QFE-Kaden Scholarshp	0	0	4,863	4,863	0	0	8,615	8,615	13,478
E0799	QFE-Chair Communications	23,671	1,443	0	25,114	0	24,092	0	24,092	49,206
E0802	Rouse, Erin L Award	0	0	1,949	1,949	0	0	1,032	1,032	2,981
E0813	Ctr Excell Fine Arts	0	2,832	0	2,832	0	10,084	0	10,084	12,916
E0814	Schwartz Endowed Scholarship	0	0	2,748	2,748	0	1,760	0	1,760	4,508
E0841	ICSPS Scholarship Act	0	0	778	778	0	0	3,690	3,690	4,468
E0847	Bullitt, Wm Memorial	0	0	1,335	1,335	0	0	0	0	1,335
E0885	Louise P Weiller End	9,220	164	4,854	14,238	0	0	291	291	14,529
E0905	Nay, Mary S Scholars	0	0	8,390	8,390	0	0	51	51	8,441
E0931	Blue Coats Of Louisville	0	0	11,919	11,919	0	0	133,872	133,872	145,791
E0933	Gen Sys Science Foundation	0	4,527	0	4,527	0	15,723	0	15,723	20,250
E0944	Driskell Write Fund	0	0	938	938	0	0	4,424	4,424	5,362
E1007	Bullitt Scholars-A&S	0	0	4,179	4,179	0	0	1,813	1,813	5,992
E1011	Rhetoric Chair	51,645	13,322	0	64,967	0	154,764	12,877	167,641	232,608
E1028	Schmid Interior Arch	2,846	2,404	0	5,250	0	4,016	0	4,016	9,266
E1030	Lesch Maintenance Endowment	0	0	1,913	1,913	0	0	0	0	1,913
E1034	W M Bullitt Schl-Astronomy	0	0	4,324	4,324	0	0	1,233	1,233	5,557
E1238	Bloch RCTF	15,929	5,805	0	21,734	0	0	0	0	21,734
E1251	Woodcock	0	0	1,332	1,332	0	0	1,459	1,459	2,791

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Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1261	Cressman Scholars	0	0	31,545	31,545	0	0	54,528	54,528	86,073
E1282	Dolan Family Sch-Theatre Arts	0	0	1,944	1,944	0	0	0	0	1,944
E1293	Palmer David Memorial Scholars	0	0	1,017	1,017	0	0	0	0	1,017
E1294	Sam Richards Mem. Endowment	0	2,142	0	2,142	0	5,808	0	5,808	7,950
E1298	Cressman Fellow KY	0	0	38,812	38,812	0	0	15,732	15,732	54,544
E1416	Etscorn Scholars Fund	0	0	36,630	36,630	0	0	4,413	4,413	41,043
E1417	Jacob Schmidlapp Trust	12,955	3,301	0	16,256	17,725	2,340	0	20,065	36,321
E1428	Overseers Scholars Dev. Prog	42,809	1,374	6,797	50,980	0	0	1,271	1,271	52,251
E1429	KHC Professorship	12,828	5,789	0	18,617	0	0	0	0	18,617
E1439	Rachel Davis Endowment Fund	0	0	1,548	1,548	0	0	2,293	2,293	3,841
E1450	Tom Marsh Memorial Scholarship	0	0	1,888	1,888	0	0	3,622	3,622	5,510
E1451	John Dillon Jr. Physics Endow	8,177	0	7,585	15,762	0	0	14,240	14,240	30,002
E1467	Grawemeyer Adm/World Order	0	31,511	0	31,511	0	0	0	0	31,511
E1468	Grawemeyer Adm/Psychology	0	40,000	0	40,000	0	0	0	0	40,000
E1495	J A Dillon Fellow In Phys RCTF	18,695	0	0	18,695	27,617	0	39,824	67,441	86,136
E1504	History Department Travel Fund	0	1,513	0	1,513	0	0	0	0	1,513
E1516	Essleburn Endowed Scholarships	0	0	1,679	1,679	0	0	76	76	1,755
E1517	Lyons Brown Foundation	0	2,783	0	2,783	0	0	0	0	2,783
E1525	Audre Lorde Endowed Chair	61,245	0	0	61,245	0	0	0	0	61,245
E1531	A & S Professorship-State	59,404	0	0	59,404	0	393,874	0	393,874	453,278
E1533	Dr. Arno F. Spatola Fellowship	0	0	8,399	8,399	0	0	7,591	7,591	15,990
E1543	L Pedigo Scholarship Fund/Math	0	0	3,420	3,420	0	0	395	395	3,815
E1560	Spatola Phd Fellowships-State	0	0	6,861	6,861	0	0	45,340	45,340	52,201
E1561	OHP PAS Chair-State	40,010	19,909	0	59,919	0	117,824	0	117,824	177,743
E1562	N.L. Bullitt Lect Sculp Fund	0	1,474	0	1,474	0	38	0	38	1,512
E1571	Christian Scholarship Fund	0	0	5,720	5,720	0	0	2,299	2,299	8,019
E1579	Sutherland Chair In Glass Arts	0	28,813	0	28,813	0	15,080	0	15,080	43,893
E1599	Sutherland Chr In Glass-State	14,438	13,389	0	27,827	0	50,237	0	50,237	78,064
E1600	5Th/3Rd Bnk Prof In Com State	4,484	9,430	0	13,914	0	6,069	0	6,069	19,983
E1604	Chair In Judaic Studies Endow	30,052	966	0	31,018	0	64,929	0	64,929	95,947
E1606	Paul Weber Chair	10,739	5,513	0	16,252	0	25,008	0	25,008	41,260
E1608	Bullitt Christian Photo Fund	0	0	1,404	1,404	0	990	0	990	2,394
E1625	Weber Mentoring Fellowship	0	0	3,338	3,338	0	0	4,359	4,359	7,697
E1630	Chair In Judaic Studie E State	0	0	0	0	0	75,684	0	75,684	75,684
E1636	Marjory H & George H Yater	0	4,031	0	4,031	0	0	0	0	4,031
E1643	Liberal St.-Disting.Scholars	0	45,416	0	45,416	0	0	0	0	45,416
E1644	Disting.Scholars-State Match	0	0	0	0	0	40,153	0	40,153	40,153
E1652	Center For Asian Democracy	228,421	611	0	229,032	0	88,774	0	88,774	317,806

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1663	Center For Asian Dem-State	69,087	8,215	0	77,302	0	0	0	0	77,302
E1674	Weber Mentoring Fellow-State	0	0	0	0	0	0	1,034	1,034	1,034
E1678	Lucy Freibert Coll Quasi	0	878	0	878	0	0	155	155	1,033
E1691	Real Estate Center Fund	0	3,314	0	3,314	0	0	0	0	3,314
E1709	Fischer Senior Art Proj. Fund	0	1,261	0	1,261	0	947	0	947	2,208
E1715	Chemistry Endowed Chair-State	45,231	22,409	0	67,640	0	0	0	0	67,640
E1730	Chemistry Chair-Bloch-State	0	9,663	0	9,663	0	15,080	0	15,080	24,743
E1740	Fred W Bornhauser Endowment	0	3,643	5,400	9,043	0	0	12,581	12,581	21,624
E1747	Dario A Covi Scholarship Fund	0	0	1,583	1,583	0	0	0	0	1,583
E1758	Roggenkamp Scholarship Fund	0	0	8,698	8,698	0	0	0	0	8,698
E1759	Lang Endowed Fund-Asian Studie	25,804	6,362	0	32,166	0	14,059	0	14,059	46,225
E1770	Henry&Florence Gans Endowed	0	0	10,989	10,989	0	0	0	0	10,989
E1775	Lilialyce Akers Scholarship	32,244	0	11,786	44,030	0	0	0	0	44,030
E1780	Eastern Cemetery Records Endow	0	1,957	0	1,957	0	491	0	491	2,448
E1803	B. Bullitt Christian Endowment	0	1,444	0	1,444	0	0	0	0	1,444
E1804	N. IASIGI Bullitt Endowment	0	1,444	0	1,444	0	0	0	0	1,444
E1805	W.M. Bullitt Memorial Award	0	77	500	577	0	0	55	55	632
E1806	W.M. Bullitt Award-Math	0	0	577	577	0	0	0	0	577
E1810	McSweeny Diversity Endowment	0	0	18,622	18,622	0	0	6,069	6,069	24,691
E1811	McSweeny Fellowship Match-ST	37,804	843	0	38,647	82,940	0	64,840	147,780	186,427
E1813	Ann T Allen Scholarship Fund	0	0	1,044	1,044	0	0	435	435	1,479
E1817	Lang-Asian Studies-State	18,948	9,695	0	28,643	0	2,053	0	2,053	30,696
E1824	Paul Weber End Chr PS&R-ST	3,909	9,611	0	13,520	0	11,697	0	11,697	25,217
E1853	Frederick G Heath Scholarship	0	0	1,701	1,701	0	0	0	0	1,701
E1859	Lang Endowed Support Fund	4,675	4	0	4,679	0	1,472	0	1,472	6,151
E1871	E Leake Memorial Scholarship	0	0	8,671	8,671	0	0	0	0	8,671
E1896	Roger E. Mills Endowment	0	1,541	0	1,541	0	3	0	3	1,544
E1909	JA Education Assistance	0	0	5,754	5,754	0	0	0	0	5,754
E1913	Black Heritage Endowment	0	3,313	0	3,313	0	4,764	0	4,764	8,077
E1939	Heuerman Research Travel Fund	0	846	0	846	0	0	0	0	846
E1954	Professor PJ Ouseph Endowment	0	0	940	940	0	0	50	50	990
E1966	Hohman Actuarial Award	0	0	950	950	0	0	0	0	950
E1983	Drautman Endowment	0	3,974	0	3,974	0	0	0	0	3,974
E1988	ABI Social Justice Research	0	5,177	0	5,177	0	0	0	0	5,177
E2030	George R Pack Award Endowment	0	517	0	517	0	0	0	0	517
E2031	McKnight Scholarship Fund	0	413	0	413	0	0	0	0	413
E7835	Geraldine Hamlet Endowment-A&S	0	0	0	0	0	0	3,898	3,898	3,898
College of Arts & Sciences Total		1,624,871	1,083,454	865,343	3,573,668	147,768	1,820,910	821,880	2,790,558	6,364,226

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		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
College of Business										
E0047	Natl Credit Mgmt Scholars	0	0	2,545	2,545	0	0	3,988	3,988	6,533
E0048	S. Zorn Scholarship	0	0	1,887	1,887	0	0	1,027	1,027	2,914
E0160	Labor Mgt Scholars	0	38,695	0	38,695	0	252,538	0	252,538	291,233
E0161	Brown & Williamson Chair ENT	93,127	27,158	0	120,285	0	226,515	0	226,515	346,800
E0183	Strickler W Award	0	0	2,216	2,216	0	0	3,831	3,831	6,047
E0234	Wm Strickler Scholarship Fund	0	0	5,087	5,087	0	0	5,351	5,351	10,438
E0250	Lynn, C S& Milah Scholars	0	0	2,527	2,527	0	0	2,720	2,720	5,247
E0362	Lynn International Fund	0	11,144	0	11,144	0	88,527	0	88,527	99,671
E0380	Burns Dr J Offutt Fund	0	0	3,275	3,275	0	0	28,893	28,893	32,168
E0397	Bowling Jc Scholars Fund	0	0	12,376	12,376	0	0	3,043	3,043	15,419
E0420	Cobb Family Fund	50,157	15,400	0	65,557	0	316,002	0	316,002	381,559
E0424	Entrepreneurship Chair	69,269	3,973	0	73,242	0	0	0	0	73,242
E0428	Disc Entrepreneurship	0	588	26,027	26,615	0	0	0	0	26,615
E0437	Fischer Entrepreneur	0	43,382	0	43,382	0	134,290	0	134,290	177,672
E0442	Burks Scholarship	0	0	1,722	1,722	0	0	1,349	1,349	3,071
E0444	Ferguson Travel Fund	0	0	7,228	7,228	0	0	5,414	5,414	12,642
E0455	Thornton Scholarship	0	0	16,106	16,106	0	0	67,027	67,027	83,133
E0457	Rechter Fam Scholars	0	0	35,825	35,825	0	0	120,303	120,303	156,128
E0470	Nardelli Scholarship	0	0	9,093	9,093	0	0	15,608	15,608	24,701
E0473	Stone Family Scholars	0	0	2,384	2,384	0	0	2,720	2,720	5,104
E0474	Shine M Inc Scholars	0	0	781	781	0	0	1,714	1,714	2,495
E0481	Mayer, Wf Fund	0	0	1,950	1,950	0	0	574	574	2,524
E0485	Craf, Jr Fellowship	0	0	2,069	2,069	0	0	4,392	4,392	6,461
E0486	Stamm Scholar-Economics	0	0	1,975	1,975	0	0	3,876	3,876	5,851
E0488	Schmidlapp,Jg Visiting Scholar	0	10,799	0	10,799	0	25,847	0	25,847	36,646
E0503	Morris E Fund	0	0	641	641	0	0	1,789	1,789	2,430
E0508	Nichols Res Award	0	8,887	0	8,887	0	11,151	0	11,151	20,038
E0514	Johnson B & C Chair	0	6,371	0	6,371	0	52,712	0	52,712	59,083
E0528	Brown-Foreman Chair-State	76,845	4,410	0	81,255	0	26,085	0	26,085	107,340
E0530	Fischer Chair-State	0	41,622	0	41,622	0	197,944	0	197,944	239,566
E0539	LODI Endowed Chair-UPS	107,077	6,658	0	113,735	0	0	0	0	113,735
E0556	Johnson Chair-Match	0	6,495	0	6,495	0	183,931	0	183,931	190,426
E0624	Buechel,Mina Fund	153,748	129,248	0	282,996	0	632,837	0	632,837	915,833
E0637	Bus Sch 25th Anniversary	111,812	260,538	0	372,350	150,709	940,062	0	1,090,771	1,463,121
E0670	Frazier Family Professorship	64,149	16,301	0	80,450	0	93,711	0	93,711	174,161
E0674	Ernst & Young	0	0	23,771	23,771	0	0	56,550	56,550	80,321
E0687	Klein, L.B. Bequest	0	80,165	0	80,165	0	35,607	0	35,607	115,772

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0691	QFE-School Business	0	53,421	0	53,421	0	20,736	0	20,736	74,157
E0702	Brown Forman Chair MKT	18,518	150,668	0	169,186	0	427,445	0	427,445	596,631
E0705	Humana Scholars Accounting	0	0	3,207	3,207	0	0	4,409	4,409	7,616
E0708	Chase Entrepreneurship Fund	0	30,449	0	30,449	0	62,541	0	62,541	92,990
E0737	QFE-V-Hart MBA Scholars	0	0	4,158	4,158	0	0	6,225	6,225	10,383
E0741	QFE-Kurfees Award	0	0	2,051	2,051	0	0	4,176	4,176	6,227
E0746	QFE-Heuser School of Business	0	0	17,253	17,253	0	0	18,313	18,313	35,566
E0797	QFE-Labor/Mgmt Ctr	0	12,427	0	12,427	0	42,129	0	42,129	54,556
E0812	QFE-CET	0	4,701	0	4,701	0	23,968	0	23,968	28,669
E0840	Dept Mgmt Endowment Fund	0	1,590	0	1,590	0	19,912	0	19,912	21,502
E0846	Mills, Ralph Fnd Fund	0	10,239	0	10,239	0	9,424	0	9,424	19,663
E0850	Mgmt Scholarship-Community	0	0	2,815	2,815	0	0	2,715	2,715	5,530
E0887	Bowling Intl Program	0	1,042	0	1,042	0	5,950	0	5,950	6,992
E0894	Gardner Family Scholarship	0	0	3,913	3,913	0	0	5,279	5,279	9,192
E0897	Shampain Scholars - Business	0	0	3,901	3,901	0	0	6,264	6,264	10,165
E0908	Bryant Scholarship	0	0	4,912	4,912	0	0	13,255	13,255	18,167
E0910	Craf Society Endowment	1,928	0	0	1,928	0	2,381	0	2,381	4,309
E0915	COB Endowment Fund	0	37,912	0	37,912	0	10,071	0	10,071	47,983
E0934	Drummond Scholars	0	0	2,349	2,349	0	0	1,306	1,306	3,655
E1037	J H Hilliard Fund	0	0	6,725	6,725	0	0	11,668	11,668	18,393
E1248	Hilliard J H - State	0	0	6,740	6,740	0	0	29,443	29,443	36,183
E1407	Rechter Fam Sch li	0	0	16,989	16,989	0	0	0	0	16,989
E1413	Maxwell P. Spicker, Jr. fund	0	0	2,074	2,074	0	0	5,012	5,012	7,086
E1426	STRICKLER WOODROW M CHAIR	65,653	3,020	0	68,673	1,946	19,636	0	21,582	90,255
E1455	Grief Scholarship	0	0	3,769	3,769	0	0	3,407	3,407	7,176
E1458	Green, Ollie Tax Award	0	0	1,433	1,433	0	0	2,122	2,122	3,555
E1480	Wimsett Scholarship	0	0	1,950	1,950	0	0	3,407	3,407	5,357
E1483	Vollmer Fund	0	6,439	0	6,439	0	17,848	0	17,848	24,287
E1500	Rosemary Rommel Toebbe	0	0	10,995	10,995	0	0	9,683	9,683	20,678
E1507	50th Anniversary Scholarship	0	0	2,693	2,693	0	0	3,153	3,153	5,846
E1522	W.M. Strickler Chair-State	60,566	2,700	0	63,266	0	28,601	0	28,601	91,867
E1523	Miranda Scholarship	0	0	679	679	0	0	1,305	1,305	1,984
E1540	Ewab Scholarship	0	0	4,692	4,692	0	0	4,748	4,748	9,440
E1555	Glass, Ray Scholarship	0	0	3,672	3,672	0	0	533	533	4,205
E1613	Mcbride Scholarship	0	0	4,762	4,762	0	0	4,137	4,137	8,899
E1647	Horn Fdn Franchising Prg-Gift	0	6,881	0	6,881	0	50,500	0	50,500	57,381
E1651	Horn Franchising-State Match	0	7,698	0	7,698	0	34,194	0	34,194	41,892
E1686	Kull, Mark Endowment Fund	0	825	0	825	0	1,221	0	1,221	2,046

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1711	AEGON ACTUARIAL SCHOLARSHIP	0	0	299	299	0	0	389	389	688
E1718	Bracker-Clouse Scholarship	0	0	1,714	1,714	0	0	6,207	6,207	7,921
E1736	BB&T Distinguished Professor	14,566	15,180	0	29,746	0	0	0	0	29,746
E1738	WATKINS, LOWRY JR FINANCE SCP	0	0	3,525	3,525	0	0	0	0	3,525
E1746	Forcht Center Entrepreneurship	133,895	43,764	0	177,659	0	184,296	0	184,296	361,955
E1754	AEGON ACTUARIAL SCIENCE	0	0	14,817	14,817	0	0	34,082	34,082	48,899
E1766	Watkins, Lowry Jr Lecture Fund	0	1,786	0	1,786	0	3,907	0	3,907	5,693
E1778	Denny, Charles P Scholarship	0	0	6,195	6,195	0	0	8,850	8,850	15,045
E1830	BB&T Free Enterprise-St	14,566	14,077	0	28,643	0	4,001	0	4,001	32,644
E1835	BKD, LLP Scholarship Endowment	0	0	3,691	3,691	0	0	(1,389)	(1,389)	2,302
E1862	Mary A Lenihan Scholarship	0	0	1,394	1,394	0	0	858	858	2,252
E1874	Curtin Family Fund	0	0	1,363	1,363	0	0	828	828	2,191
E1876	PNC Professorship in Finance	45,727	46,280	0	92,007	0	424,041	0	424,041	516,048
E1877	Harry S Frazier COB Fund	237,058	83,379	0	320,437	0	0	0	0	320,437
E1945	Taylor Leadership Prize	0	0	4,274	4,274	0	0	5,362	5,362	9,636
E1950	R Charles Moyer Scholrshp Fund	0	0	16,495	16,495	0	0	770	770	17,265
E1967	Davidson, T&D-Endowed Fund	0	0	28,268	28,268	0	0	1,423	1,423	29,691
E1973	Viswanathan MBA Scholarship	0	0	568	568	0	0	21	21	589
E1980	Innovative Productivity Fund	0	83,735	0	83,735	0	105,203	0	105,203	188,938
E1981	Moyer Fund FBC Cardinal Challe	24,556	4,044	0	28,600	0	1,281	0	1,281	29,881
E2001	GLBA Business Plan Competition	0	0	1,407	1,407	0	0	0	0	1,407
E2021	Moyer MBA Scholarship Quasi	0	6,237	0	6,237	0	0	0	0	6,237
College of Business Total		1,343,217	1,340,328	355,227	3,038,772	152,655	4,717,045	528,130	5,397,830	8,436,602
School of Dentistry										
E0119	Henderson, RI Memorial	0	3,200	0	3,200	0	1,708	0	1,708	4,908
E0145	Stern Std Assist Fund	0	4,399	0	4,399	0	30	0	30	4,429
E0326	Blackerby Fund-Dental	0	9,905	0	9,905	0	23,702	0	23,702	33,607
E0335	Skaggs James E Foundation	0	6,746	0	6,746	0	16,008	0	16,008	22,754
E0366	O&M Surg Development Fund	0	10,501	0	10,501	0	17,066	0	17,066	27,567
E0388	Mc Guinn Scholarship Fund	0	0	3,785	3,785	0	0	293	293	4,078
E0448	Broadbent Family Endowed Schol	0	0	3,187	3,187	0	0	37	37	3,224
E0487	Woodwad Scholarship	0	0	719	719	0	0	21	21	740
E0501	Delta Dental Professorship	29,685	20,149	0	49,834	0	160,449	0	160,449	210,283
E0553	Delta Dent Professorship-State	29,685	19,605	0	49,290	0	204,523	0	204,523	253,813
E0589	Century Club Fund	0	10,996	0	10,996	0	0	0	0	10,996
E0768	Humana Scholars Dental	0	0	827	827	0	0	65	65	892
E0935	Dorman F Loan Fund	0	6,538	0	6,538	0	15,477	0	15,477	22,015

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0938	Simulated Patient Ed	0	21,394	0	21,394	0	0	0	0	21,394
E0939	Myers R E Scholars	0	0	3,653	3,653	0	0	89	89	3,742
E1015	Douglas T A Memorial Scholars	0	0	3,195	3,195	0	0	52	52	3,247
E1052	Mattingly, John & Carol Fund	0	2,098	0	2,098	0	0	0	0	2,098
E1281	Findley C E Scholars	0	0	2,091	2,091	0	0	58	58	2,149
E1329	Elliott-Ped Dentistry	0	0	2,013	2,013	0	0	797	797	2,810
E1418	PEMBER FUND DENTAL	0	0	822	822	0	0	50	50	872
E1514	Johnson Orthodontic Endowment	0	39,398	0	39,398	0	163,120	0	163,120	202,518
E1538	Huff Endowed Scholarship Fund	0	0	6,494	6,494	0	0	8,023	8,023	14,517
E1544	Dental Hygiene Legacy Endowmen	0	2,961	0	2,961	0	0	0	0	2,961
E1548	Kathryn Seibert Endowment	0	0	5,747	5,747	0	0	140	140	5,887
E1587	Miller Pathology Endowment	0	0	4,842	4,842	0	0	112	112	4,954
E1588	Greene Birth Defects Endowment	0	4,319	0	4,319	0	0	0	0	4,319
E1665	Wilson Fund-Teaching Excellenc	0	5,462	0	5,462	0	7,558	0	7,558	13,020
E1677	Wood Currrens Faculty Award	0	1,041	0	1,041	0	70	0	70	1,111
E1681	J&R Freeman Endowed Scholar	0	723	0	723	0	0	1,049	1,049	1,772
E1682	Mick Simulation Fund	0	1,628	0	1,628	0	0	0	0	1,628
E1685	Wade & Christopher Ward Schola	0	362	0	362	0	0	998	998	1,360
E1798	Little Lectureship-Dentistry	0	7,011	0	7,011	0	1,262	0	1,262	8,273
E1821	Edwards Chair In Oral Surgery	0	3,317	0	3,317	0	9,018	0	9,018	12,335
E1831	McGuinn/Hinman Experience Fund	0	563	0	563	0	0	0	0	563
E1978	Strull Family Oral Surg Endowm	0	1,372	0	1,372	0	1,468	0	1,468	2,840
E1990	OMFS Residents Endowed Fund	0	6,519	0	6,519	0	5,850	0	5,850	12,369
E1991	Currrens & Mattingly Scholarshp	0	0	2,156	2,156	0	0	424	424	2,580
E2006	Orthodontic Resident Endowment	0	783	0	783	0	118	0	118	901
E2007	Mansfield WMJ Scholarship	0	0	1,753	1,753	0	0	369	369	2,122
E2032	Compassionate Care Connect Pro	0	1,211	0	1,211	0	0	0	0	1,211
E2036	DL Windmiller DMD Endow Schol	0	0	392	392	0	0	46	46	438
E2059	Richard Siebel Mem Schlshp Fun	0	0	18,522	18,522	0	0	3,842	3,842	22,364
E2093	Sue and CE Gingles Fund	0	4,893	0	4,893	0	0	0	0	4,893
E7824	Kettig, Dr Edward Memorial Fun	0	0	0	0	0	53,779	0	53,779	53,779
E7843	Edward & Mary Smith Scholar	0	0	0	0	0	0	120,564	120,564	120,564
School of Dentistry Total		59,370	197,094	60,198	316,662	0	681,206	137,029	818,235	1,134,897
College of Education and Human Development										
E0061	Schulman Scholarship	0	0	62,449	62,449	0	0	7,054	7,054	69,503
E0115	Oppenheimer End Hmec	0	0	1,796	1,796	0	0	296	296	2,092
E0134	Rauch Beq Planetarium	0	21,603	0	21,603	0	7,040	0	7,040	28,643

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Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0229	Morris, JH Scholars	0	0	9,649	9,649	0	0	823	823	10,472
E0290	Rowntree Chair Edadm	36,821	26,265	0	63,086	0	230,524	0	230,524	293,610
E0379	Erma, Marie Trust	0	0	7,907	7,907	0	0	1,225	1,225	9,132
E0400	Atkins-Husk Scholars Fund	0	0	18,276	18,276	0	0	2,077	2,077	20,353
E0429	Broecker Scholarship	0	0	1,106	1,106	0	0	271	271	1,377
E0476	Ashland Inc Chair-Ed	13,423	22,964	0	36,387	0	171,436	0	171,436	207,823
E0533	Ctr-Childhood-State	24,403	14,521	0	38,924	0	143,001	0	143,001	181,925
E0551	Samuels Fellowship	0	0	15,405	15,405	0	0	2,161	2,161	17,566
E0612	Ford Foundation Fund	89,952	20,803	1,247	112,002	0	84,503	198,984	283,487	395,489
E0664	GTE Math & Sci Scholars	0	0	2,514	2,514	0	0	418	418	2,932
E0671	QFE-Offutt Teach Res	7,697	18,190	0	25,887	0	152,495	0	152,495	178,382
E0693	Humana Scholars Education	0	0	22,065	22,065	0	0	2,565	2,565	24,630
E0710	Lafferty, M Bequest	0	24,408	0	24,408	0	0	0	0	24,408
E0738	QFE-School Of Education	0	0	3,141	3,141	0	0	0	0	3,141
E0787	Humana Scholars Fund	0	0	405	405	0	0	0	0	405
E0893	Samuels Family Scholars Fund	0	0	17,666	17,666	0	0	1,368	1,368	19,034
E0918	Ntstrand Memorial Scholars	0	0	2,094	2,094	0	0	0	0	2,094
E1016	Powers Development Fund	0	1,517	0	1,517	0	4,897	0	4,897	6,414
E1041	Young Love Fund	0	0	900	900	0	0	153	153	1,053
E1045	Kelly Wm Scholarship	0	0	6,476	6,476	0	0	1,034	1,034	7,510
E1258	Florence T G Scholarship	0	0	684	684	0	0	0	0	684
E1268	Simpson Endowment Fund	0	0	4,442	4,442	0	0	566	566	5,008
E1466	Grawemeyer Adm/Education	0	40,000	0	40,000	0	0	0	0	40,000
E1502	Molfese-Sum Psych Res Program	0	0	6,926	6,926	0	0	25,164	25,164	32,090
E1572	Brakmeier Scholarship	0	0	1,504	1,504	0	0	310	310	1,814
E1611	Cehd Endowment Fund	0	2,150	0	2,150	0	3,501	0	3,501	5,651
E1680	CLASS ACT FED CREDIT UNION	0	0	900	900	0	0	285	285	1,185
E1684	SPAD Scholarship	0	0	636	636	0	0	77	77	713
E1703	Doris J Bouse Trautwein Schol	0	0	966	966	0	0	145	145	1,111
E1870	Franzman Family Fund	0	0	41,335	41,335	0	0	4,843	4,843	46,178
E1884	T Dumas Scholarship Fund	0	0	987	987	0	0	0	0	987
E1885	Heuser Chair Urban Ed	33,146	102	0	33,248	0	19,115	0	19,115	52,363
E1893	Phyllis Watson Scholarship	0	0	392	392	0	0	0	0	392
E1903	Marjorie B & Robert A Kohn ED	0	0	234	234	0	0	51	51	285
E1952	Donald Carson Lgacy	0	594	0	594	0	0	0	0	594
E1970	Reach the Stars Func	0	2,127	0	2,127	0	161	0	161	2,288
E1976	Lena W. Warders Scholarship	0	0	423	423	0	0	71	71	494

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1979	Roberta Yates SPAD Golf Intern	0	0	4,827	4,827	0	2,677	2,544	5,221	10,048
E2002	Paul Winter Doc Fellowship	0	0	760	760	0	0	95	95	855
E7828	Anna Schulman Trust Fund	0	0	0	0	0	0	28,749	28,749	28,749
College of Education Total		205,442	195,244	238,112	638,798	0	819,350	281,329	1,100,679	1,739,477

School of Interdisciplinary & Graduate Studies

E0742	QFE-School of Dentistry	0	35,338	0	35,338	0	0	0	0	35,338
E0793	QFE-SIGS-UofL Alumni	0	130	0	130	0	958	0	958	1,088
School of Graduate Studies Total		0	35,468	0	35,468	0	958	0	958	36,426

Kent School of Social Work

E0014	Frank, Anne Fund	0	725	0	725	0	1,279	0	1,279	2,004
E0064	Streng, Ida Fund	0	962	0	962	0	110	0	110	1,072
E0601	Ainslie, Maude Bequest	0	0	14,673	14,673	0	0	0	0	14,673
E1498	Robert Silva Scholarship Fund	0	0	18,689	18,689	0	0	1,048	1,048	19,737
E1541	Gail Huecker Scholarship	0	0	2,138	2,138	0	0	0	0	2,138
E1631	Bap Hos East Sup Fnd-Gift	39,509	1,716	0	41,225	0	0	0	0	41,225
E1676	E. "Camp" Edwards Scholarship	0	0	595	595	0	0	22	22	617
E1744	Estate of Ruth Malcolm	0	0	11,490	11,490	0	0	0	0	11,490
E1762	LaRocca Endowed Chr in Onc SW	8,871	488	0	9,359	0	0	0	0	9,359
E1776	M. Martin Scholarship Fund	0	0	3,590	3,590	0	0	0	0	3,590
E1825	LaRocca Chr Onc Social Work-ST	21,851	1,064	0	22,915	0	0	0	0	22,915
E1834	Lynn Carr Scholarship Fund	0	0	1,128	1,128	0	0	0	0	1,128
E1875	Frager Comm Svc Endowed Award	0	600	0	600	0	568	0	568	1,168
E1935	JoAnn Harrison Mem Scholarship	0	0	847	847	0	0	0	0	847
E2000	Joe & Carolyn Brown Scholarship	0	0	5,362	5,362	0	0	16,281	16,281	21,643
Kent School of Social Work Total		70,231	5,555	58,512	134,298	0	1,957	17,351	19,308	153,606

Brandeis School of Law

E0008	Handmaker, H G Fund	0	2,018	0	2,018	0	0	0	0	2,018
E0010	Selligman Joseph Fund	0	928	0	928	0	2,273	0	2,273	3,201
E0020	Flexner Scholarship	0	0	17,692	17,692	0	1	0	1	17,693
E0055	Mann, C Scholarship	0	0	46,918	46,918	0	0	0	0	46,918
E0057	Adams Professorship Law	0	15,024	0	15,024	0	39,023	0	39,023	54,047
E0067	Brandeis Scholarship	0	0	861	861	0	0	1	1	862
E0075	Lewis Scholarship	0	0	4,011	4,011	0	0	0	0	4,011
E0080	Ben Washer Book Fund	0	759	0	759	0	544	0	544	1,303
E0086	Grauman Scholarship	0	0	9,310	9,310	0	0	0	0	9,310

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Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0091	Robert G. Evans Fund	0	947	0	947	0	0	0	0	947
E0100	Flexner, Bernard Fund	23,385	88,646	0	112,031	0	55,871	0	55,871	167,902
E0111	Huffaker Scholarship	0	0	938	938	0	0	794	794	1,732
E0117	Greenbaum, SI Award	0	0	2,840	2,840	0	0	0	0	2,840
E0125	Zollinger Scholars Law	0	0	942	942	0	0	3,430	3,430	4,372
E0127	Volz, Memorial Scholarship	0	0	14,366	14,366	0	0	1	1	14,367
E0135	Meredith Book Award	0	0	254	254	0	0	518	518	772
E0139	Lewis Scholarship Fund	0	0	3,033	3,033	0	0	14,309	14,309	17,342
E0157	Simon Scholarship	0	0	3,595	3,595	0	0	1	1	3,596
E0185	QFE-Harter Chair Law	0	100,139	0	100,139	0	0	0	0	100,139
E0186	Post Scholarship	0	0	2,221	2,221	0	0	0	0	2,221
E0196	Seidman, L Scholars	0	0	2,236	2,236	0	0	0	0	2,236
E0197	Miller & Chevalier	0	0	6,461	6,461	0	0	1	1	6,462
E0198	Karen Allen Scholarships	0	0	16,095	16,095	0	0	6,716	6,716	22,811
E0201	Bernard H Barnett Memorial Sch	0	0	1,142	1,142	0	0	1	1	1,143
E0206	Prof Responsibility Law Award	0	0	3,319	3,319	0	0	0	0	3,319
E0208	Franklin, L Speak Fund	0	2,040	0	2,040	0	12,471	0	12,471	14,511
E0219	O'Donnell, H B Fund	0	0	5,938	5,938	0	0	1,174	1,174	7,112
E0224	Oexmann Law Fund	0	0	2,394	2,394	0	0	0	0	2,394
E0226	Perry, E Endow Scholars	0	8,954	0	8,954	0	22,515	0	22,515	31,469
E0236	Moss, George Award	0	0	1,152	1,152	0	0	0	0	1,152
E0239	Fellow, W T&C Law	10,685	3,724	0	14,409	0	0	0	0	14,409
E0243	O.S&W PLLC Faculty Award	0	1,446	0	1,446	0	2,208	0	2,208	3,654
E0244	Middleton, Eg Faculty Awd	2,019	451	0	2,470	0	1,728	0	1,728	4,198
E0245	B,T&H Faculty Award	9,817	2,520	0	12,337	0	0	0	0	12,337
E0252	Ferreri Family Endowment	3,367	743	0	4,110	0	1,280	0	1,280	5,390
E0254	Lewis B Faculty Award	3,254	718	0	3,972	0	873	0	873	4,845
E0258	Ballantine Faculty Award	0	3,965	0	3,965	0	1,041	0	1,041	5,006
E0266	Wade&Owen Scholar Fund	0	0	8,514	8,514	0	0	0	0	8,514
E0273	Petrilli Professorship	0	60,365	0	60,365	0	61,414	0	61,414	121,779
E0279	Allen Cm Faculty Fellowship	0	4,252	0	4,252	0	12,029	0	12,029	16,281
E0280	Boisseau D T Scholars	0	0	12,733	12,733	0	0	0	0	12,733
E0300	Judge Stumbo Scholars Fund	0	0	4,187	4,187	0	0	54	54	4,241
E0307	Davidson Teach Fellows	14,799	6,679	0	21,478	34,145	0	0	34,145	55,623
E0308	Greenebaum Pubsvc Program	31,854	31,941	0	63,795	0	20,077	0	20,077	83,872
E0313	Green Doll Mc D Fund	0	4,984	0	4,984	0	4,495	0	4,495	9,479
E0317	Kelley Law Sch Endowment	0	18,037	0	18,037	0	11,172	0	11,172	29,209
E0325	Oldfather Ann B Fund	5,331	1,556	0	6,887	4,277	1,908	0	6,185	13,072

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		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0343	Trautwein Law Endowment	0	0	6,654	6,654	0	0	0	0	6,654
E0345	Gordon Jf Memorial	0	0	2,612	2,612	0	0	0	0	2,612
E0346	Franklin & Hance Fund	0	7,056	0	7,056	0	493	0	493	7,549
E0376	Smst & K Law Scholarship Fund	0	0	4,131	4,131	0	0	3	3	4,134
E0410	Sorenson Scholarship	0	0	60,805	60,805	0	0	999	999	61,804
E0434	Stallings Chair-Law	35,643	8,511	0	44,154	0	0	0	0	44,154
E0494	H E Frick Scholarshp	0	0	7,052	7,052	0	0	0	0	7,052
E0498	Fahey J Memorial Scholars	0	0	6,502	6,502	0	0	0	0	6,502
E0520	Bullitt W M Law Fund	0	674	0	674	0	3	0	3	677
E0529	Stallings Chair-State	35,642	8,450	0	44,092	0	32,088	0	32,088	76,180
E0536	Boehl Chair Health State	0	9,151	0	9,151	0	40,165	0	40,165	49,316
E0537	Boehl Chair Law-State	87,282	0	0	87,282	0	45,437	0	45,437	132,719
E0562	Fields, Wh Memorial	0	1,084	0	1,084	0	0	0	0	1,084
E0577	Law Alumni Endowment	0	12,301	0	12,301	0	0	0	0	12,301
E0585	Washer, Ben F Memorial	0	0	0	0	0	2,102	0	2,102	2,102
E0588	Judge Miller Gift	0	1,681	0	1,681	0	7,177	0	7,177	8,858
E0607	Brandeis Law School	0	0	15,947	15,947	0	0	0	0	15,947
E0625	Jayes, Rc Mem Fund	0	0	1,396	1,396	0	0	6,826	6,826	8,222
E0628	Highbaugh Law Fund	0	0	978	978	0	0	0	0	978
E0669	QFE Law School	0	48,111	0	48,111	0	57,191	0	57,191	105,302
E0716	QFE-Law Scholarships	0	0	4,271	4,271	0	0	0	0	4,271
E0717	QFE-Minority Scholars	0	0	914	914	0	0	452	452	1,366
E0748	Thomas, Roger Foundation	0	0	3,288	3,288	0	0	69	69	3,357
E0753	QFE-Law School Library	0	3,248	0	3,248	0	0	0	0	3,248
E0755	QFE-Judge Allen Scholars	0	0	2,944	2,944	0	0	1,203	1,203	4,147
E0774	QFE-Stites & H Scholars	0	0	4,844	4,844	0	0	0	0	4,844
E0801	Major, Dale R Scholars	0	0	8,035	8,035	0	0	0	0	8,035
E0805	Merritt, James R Fund	0	11,023	0	11,023	0	2,561	0	2,561	13,584
E0818	Petrilli Scholarship	0	0	2,008	2,008	0	0	9	9	2,017
E0879	Law Alumni Foundation Endow	0	13,634	0	13,634	0	4,615	0	4,615	18,249
E0882	Wyatt Tarrant & Combs	4,058	4,815	0	8,873	14,550	25,020	0	39,570	48,443
E0903	Burnett Fund	0	1,157	0	1,157	0	0	0	0	1,157
E0906	Berry Fund - Law	0	0	4,324	4,324	0	0	373	373	4,697
E0930	Fischer J Scholars	0	0	4,777	4,777	0	0	0	0	4,777
E1013	Intellectual Property Chair	30,549	34,418	0	64,967	0	26,345	0	26,345	91,312
E1018	Schmid H M Scholars	0	0	12,993	12,993	0	0	0	0	12,993
E1257	Hodge J Law Fund	0	0	1,177	1,177	0	0	140	140	1,317
E1286	Barlow Skills Fund	0	778	0	778	0	1,319	0	1,319	2,097

UofL Corporations
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		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1327	Burnett DI Scholars	0	0	1,739	1,739	0	0	496	496	2,235
E1424	Judge Olga S. Peers Scholars	0	0	3,105	3,105	0	0	0	0	3,105
E1443	Justice Charles Reynolds Shlsp	0	0	2,337	2,337	0	0	279	279	2,616
E1485	SBF/G William Brown Memorial	0	2,343	0	2,343	0	2,372	0	2,372	4,715
E1508	Robert Rodes Miller Scholars	0	0	9,212	9,212	0	0	0	0	9,212
E1547	Judge Ellen Ewing Internship	0	0	1,462	1,462	0	0	5,869	5,869	7,331
E1580	Wm Marshall Bullitt Nat Mt Ct	0	3,451	0	3,451	0	0	0	0	3,451
E1589	S. Russell Smith, Sr. Sch. Fun	0	0	9,998	9,998	0	0	0	0	9,998
E1633	Wm Marshall Bullitt Mem. Schol	0	0	7,933	7,933	0	0	714	714	8,647
E1649	Lively Wilson Prof. Skills	0	1,970	0	1,970	0	1,480	0	1,480	3,450
E1650	Medford Lee Scholarship	0	0	1,209	1,209	0	0	0	0	1,209
E1666	Joseph Stopher mem. scholarshi	0	0	2,089	2,089	0	0	0	0	2,089
E1675	WM B Peden Memorial Fund	0	6,768	0	6,768	0	0	0	0	6,768
E1692	WM Marshall Bullitt Lectureshi	0	363	0	363	0	2,279	0	2,279	2,642
E1717	McAnulty Scholarship Endowment	0	0	4,815	4,815	0	0	848	848	5,663
E1720	Ousley Endowed Scholarship	0	0	2,791	2,791	0	0	0	0	2,791
E1764	Norvie Lay Moot Court	0	6,850	0	6,850	0	5,503	0	5,503	12,353
E1784	W.M. Bullitt Mem Award/Con Law	0	0	596	596	0	0	778	778	1,374
E1796	Helfat Endowment	0	7,121	0	7,121	0	5,743	0	5,743	12,864
E1799	Little Lectureship-Law	0	7,507	0	7,507	0	139	0	139	7,646
E1845	Rachel & Wallace Thacker Schlr	0	0	6,999	6,999	0	0	0	0	6,999
E1848	William Marshall Bullitt Chair	0	47,603	0	47,603	0	44,619	0	44,619	92,222
E1869	Pearl Ortiz Mack Scholarship	0	0	3,568	3,568	0	0	3,684	3,684	7,252
E1881	Ed Rothschild Memorial Fund	0	0	1,330	1,330	0	0	0	0	1,330
E1904	Marjoire B & Robert A Kohn Law	0	234	0	234	0	63	0	63	297
E1908	Bruce Stuckman Patent Moot Cou	0	655	0	655	0	1,248	0	1,248	1,903
E1971	Ackerson Law Clinic Endowment	0	966	0	966	0	1,051	0	1,051	2,017
E1974	Law School Quasi Endowment	0	62,189	0	62,189	0	82,674	0	82,674	144,863
E2028	David Leibson Scholarship	0	0	1,203	1,203	0	0	162	162	1,365
E2034	Cinnamon.CJ Scholars Fund	0	0	1,092	1,092	0	0	106	106	1,198
E2040	Linda S. Ewald Pub Serv Debt R	0	0	640	640	0	0	46	46	686
E2041	John V Hanley Pub Serv Debt Re	0	0	976	976	0	0	46	46	1,022
E7812	Wyatt Distinguished Speaker Fu	0	0	0	0	0	10,130	0	10,130	10,130
E7813	Wyatt Faculty Development Award	0	0	0	0	0	13,809	0	13,809	13,809
E7820	Herbert Boehl-Trust Fund	0	0	0	0	0	31,432	0	31,432	31,432
E7821	Boehl Trust-Entrepreneurship	0	0	0	0	0	175,075	0	175,075	175,075
Brandeis School of Law Total		297,685	674,948	389,898	1,362,531	52,972	873,056	50,102	976,130	2,338,661

NumberEndowment Name		Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
University Libraries										
E0003	Ray Ophthalmic Library	0	187	0	187	0	471	0	471	658
E0004	Young, Ernest W	0	3,827	0	3,827	0	1,222	0	1,222	5,049
E0005	Wilhelm Fund For Library	0	953	0	953	0	714	0	714	1,667
E0006	Kain Gift Library	0	3,780	0	3,780	0	0	0	0	3,780
E0007	Bingham Library Fund	0	12,252	0	12,252	0	1,222	0	1,222	13,474
E0072	Joyes, M Memorial	0	353	0	353	0	100	0	100	453
E0092	Ryan Memorial Fund	0	334	0	334	0	304	0	304	638
E0129	Levine, Dr Book Fund	0	1,516	0	1,516	0	1,272	0	1,272	2,788
E0136	Koster Fund Lib-Humanities	0	30,067	0	30,067	0	1,222	0	1,222	31,289
E0148	Ekstrom Book Coll	0	9,506	0	9,506	0	1,247	0	1,247	10,753
E0158	Bingham Sus Library Endw	0	24,067	0	24,067	0	14	0	14	24,081
E0162	Oppenheimer Endowment	0	7,624	0	7,624	0	1,172	0	1,172	8,796
E0173	Friends Endowment	13,346	5,583	0	18,929	0	14,099	0	14,099	33,028
E0232	Buddeke,Ch Sr Mem Fund	0	2,500	0	2,500	0	0	0	0	2,500
E0274	Fine Arts Library Endowment	0	69,613	0	69,613	0	0	0	0	69,613
E0281	Acquis Freibert Fund	0	1,607	0	1,607	0	522	0	522	2,129
E0291	Woodcock Society Fund	0	3,751	0	3,751	0	0	0	0	3,751
E0309	Karl Anne S Book Fund	0	4,135	0	4,135	0	1,247	0	1,247	5,382
E0312	Segal Memorial Theater Fund	0	1,853	0	1,853	0	982	0	982	2,835
E0353	Gerard Mary Fant Fund	0	3,373	0	3,373	0	0	0	0	3,373
E0384	Vick, Helen Hardy Fund	0	1,251	0	1,251	0	3,135	0	3,135	4,386
E0467	Crady, Jessie B Fund	0	10,050	0	10,050	0	10,084	0	10,084	20,134
E0512	Bullitt Book Fund	0	1,940	0	1,940	0	1,247	0	1,247	3,187
E0543	Communication Chair-State	65,156	13,738	0	78,894	0	20,077	0	20,077	98,971
E0558	Greene Gift Rare Books	0	2,773	0	2,773	0	3,725	0	3,725	6,498
E0571	Brandeis Library Fund	0	14,368	0	14,368	0	491	0	491	14,859
E0572	Ballard Library Fund	0	1,743	0	1,743	0	1,205	0	1,205	2,948
E0590	Railroad Book Fund	0	561	0	561	0	753	0	753	1,314
E0591	Conservation Book Fund	0	962	0	962	0	26	0	26	988
E0594	Med Library Endowment	9,285	6,002	0	15,287	0	18,805	0	18,805	34,092
E0604	Rare Book Endowment	0	1,960	0	1,960	0	832	0	832	2,792
E0635	Rennert Beq Mus Library	0	3,471	0	3,471	0	2,544	0	2,544	6,015
E0638	Podoll, Dr E Memorial Library	0	2,596	0	2,596	0	1,162	0	1,162	3,758
E0644	University Library	0	81,318	0	81,318	0	0	0	0	81,318
E0649	Kersey, Laura Library	0	52,783	0	52,783	0	18,805	0	18,805	71,588
E0650	Dunbar, W Library	0	2,180	0	2,180	0	391	0	391	2,571
E0657	Photographic Archive	11,976	26,269	0	38,245	0	18,805	0	18,805	57,050

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E0672	QFE-Library Acquisition	0	39,189	0	39,189	0	13,809	0	13,809	52,998
E0690	QFE-E D Axton Sr Fund	0	3,086	0	3,086	0	591	0	591	3,677
E0703	Afe-Medical School Library	0	2,099	0	2,099	0	291	0	291	2,390
E0719	QFE-Music Library	0	725	0	725	0	291	0	291	1,016
E0743	Galloway, L Library Fund	0	6,537	0	6,537	0	50	0	50	6,587
E0777	QFE-Library-A&S	0	2,894	0	2,894	0	1,247	0	1,247	4,141
E0778	QFE-Library-Business	0	2,644	0	2,644	0	0	0	0	2,644
E0779	QFE-Library-Dental	0	619	0	619	0	0	0	0	619
E0816	Library Associates	0	5,500	0	5,500	0	15,080	0	15,080	20,580
E0820	Bramson, Sj Memorial Fund	1,660	0	0	1,660	4,546	0	0	4,546	6,206
E0822	Koster Reading Room	0	10,860	0	10,860	0	0	0	0	10,860
E0835	Eskind Fund Library	0	7,346	0	7,346	0	0	0	0	7,346
E0857	Weisert Library Fund	0	11,011	0	11,011	0	0	0	0	11,011
E0891	EJ Schneider Fund	76,111	19,455	0	95,566	43,601	0	0	43,601	139,167
E0937	Barbour Library Fund	0	6,090	0	6,090	0	15,123	0	15,123	21,213
E0941	Library Minority Intern	0	3,551	0	3,551	0	2,544	0	2,544	6,095
E0943	Ekstrom W F Bequest	0	15,135	0	15,135	0	1,272	0	1,272	16,407
E1020	Hertz Library Endowment	0	10,303	0	10,303	0	2,544	0	2,544	12,847
E1024	Muir-Hogenauer Endowment	0	10,745	0	10,745	0	0	0	0	10,745
E1262	Cressman Art Library	0	6,693	0	6,693	0	0	0	0	6,693
E1287	Turner E Library Collections	0	2,574	0	2,574	0	0	0	0	2,574
E1438	Christian BB Mem. Book Photo	0	1,776	0	1,776	0	0	0	0	1,776
E1474	Grawemeyer Alloc/Ekstrom Libra	0	60,000	0	60,000	0	0	0	0	60,000
E1488	Nora L. Bullitt Memorial Fund	0	1,633	0	1,633	0	0	0	0	1,633
E1545	Lib Endowment For Technology	0	2,125	0	2,125	0	3,085	0	3,085	5,210
E1574	Grawemeyer-Music Library	0	20,000	0	20,000	0	0	0	0	20,000
E1655	Art Kittower Library Fund	0	224	0	224	0	0	0	0	224
E1695	MCWhorter Memorial Endowment	0	1,125	0	1,125	0	0	0	0	1,125
E1722	Margaret Ford Book Fund	0	1,300	0	1,300	0	0	0	0	1,300
E1767	L Watkins, Jr Book Fund-Fin	0	709	0	709	0	0	0	0	709
E1782	MC Adolph-Moser Book Fund	0	596	0	596	0	0	0	0	596
E1786	T Crider Fund for Ekstrom Lib	0	1,244	0	1,244	0	0	0	0	1,244
E1790	E.W. Leake, Jr. Mem Fund	0	579	0	579	0	0	0	0	579
E1793	Hannelore B Rader Book Fund	0	2,054	0	2,054	0	0	0	0	2,054
E1844	Barbara Brooks Library Fund	0	1,590	0	1,590	0	0	0	0	1,590
E1883	F R A Bell Rare Books Fund	0	9,999	0	9,999	0	31,116	0	31,116	41,115
E1889	Dean's Innovation Fund	0	2,835	0	2,835	0	1,863	0	1,863	4,698
E1907	K S Esselburn Endowment Fund	0	766	0	766	0	0	0	0	766

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E1941	The David Williams Endowment	0	659	0	659	0	0	0	0	659
E1948	The Mazzoli Endowment Fund	0	606	0	606	0	0	0	0	606
E1958	Council of Graphological Socie	0	7,052	0	7,052	0	2,253	0	2,253	9,305
E2012	Titzl Rare Books Endowment	0	2,466	0	2,466	0	0	0	0	2,466
E2029	Caroline Price Reid Endowment	0	642	0	642	0	0	0	0	642
University Libraries Total		177,534	697,882	0	875,416	48,147	219,056	0	267,203	1,142,619

School of Medicine

E0009	Stein, Dr J Fund	0	14,007	0	14,007	0	0	0	0	14,007
E0011	Hagan Memorial Fund	0	7,102	0	7,102	0	17,830	0	17,830	24,932
E0013	Uhde, George I Fund	0	20,947	0	20,947	0	0	0	0	20,947
E0015	Mccullough, James C	0	2,435	0	2,435	0	6,069	0	6,069	8,504
E0016	Homberger Library Fund	28,684	16,684	0	45,368	0	163,583	3,155	166,738	212,106
E0017	John F & Jean W Broeker Fund	150,391	19,686	0	170,077	0	170,785	0	170,785	340,862
E0018	Spafford Ackerly Scholars	0	0	0	0	0	0	2,245	2,245	2,245
E0028	Tuley, Henry E Prize	0	0	2,063	2,063	0	0	2,644	2,644	4,707
E0030	Simpson Scholarship	0	0	31,609	31,609	0	0	29,730	29,730	61,339
E0037	Palmer, E R	0	0	5,328	5,328	0	0	0	0	5,328
E0040	Humana Scholars Medicine	0	0	1,563	1,563	0	0	1,503	1,503	3,066
E0042	Threlkel Scholarship	0	0	196	196	0	0	0	0	196
E0045	Newberg Scholarship	0	0	2,565	2,565	0	0	2,393	2,393	4,958
E0051	Moren Scholarship	0	0	1,876	1,876	0	0	1,840	1,840	3,716
E0052	Williams Scholarship	0	0	1,876	1,876	0	0	1,840	1,840	3,716
E0053	Ford Scholarship-Medicine	0	0	803	803	0	0	764	764	1,567
E0056	Mccullough Scholars	0	0	12,292	12,292	0	0	7,993	7,993	20,285
E0060	Muss, Dr Israel Memorial	0	0	589	589	0	0	0	0	589
E0065	Miller, AJ Endowed Chair	147,373	50,822	0	198,195	0	0	0	0	198,195
E0066	Green Prize Fund	0	0	1,049	1,049	0	0	1,256	1,256	2,305
E0074	Lathan Prize Fund	0	0	671	671	0	0	11,143	11,143	11,814
E0077	Wershaw Educ-Res Fund	0	2,482	0	2,482	0	100	0	100	2,582
E0078	Barkley Research Fund	0	4,749	0	4,749	0	0	0	0	4,749
E0079	Pfingst, Adolph Fund	0	23,106	0	23,106	0	148,989	0	148,989	172,095
E0083	Smith, Ruby Jane Foundation	0	0	6,425	6,425	0	0	8,083	8,083	14,508
E0088	Curran Pope, Dr Curr	0	0	315	315	0	0	0	0	315
E0093	Patterson Fund	0	0	4,191	4,191	0	0	0	0	4,191
E0094	Elmore, Dr Fund	0	0	2,614	2,614	0	0	2,602	2,602	5,216
E0098	Humana Scholar Medky	0	0	62,711	62,711	0	0	75,622	75,622	138,333
E0107	Martin, Dr Mem Fund	0	0	1,280	1,280	0	0	1,222	1,222	2,502

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		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0112	Cooke, V V Chair	96,590	50,912	0	147,502	0	100	0	100	147,602
E0118	Williams, M Prize	0	2,107	0	2,107	0	11,556	0	11,556	13,663
E0121	O'Connor, P Fund	0	3,662	0	3,662	0	1,222	0	1,222	4,884
E0122	Pedigo, Gw Scholars	0	6,156	0	6,156	0	58,118	0	58,118	64,274
E0124	Clifton, D E Memorial Fund	0	6,301	0	6,301	0	7,554	0	7,554	13,855
E0128	Trover, Barton C Memorial	0	6,352	0	6,352	0	0	0	0	6,352
E0131	Med Class '77 Chair	0	2,765	0	2,765	0	0	0	0	2,765
E0132	Trover, Ruth T Memorial	0	6,644	0	6,644	0	0	0	0	6,644
E0138	Fink, Ira Student Fund	0	0	16,093	16,093	0	0	0	0	16,093
E0140	Kimsey-Taylor, Ls Fund	0	1,403	400	1,803	0	1,058	0	1,058	2,861
E0143	Cole, Arch Seminar	0	2,112	0	2,112	0	3,131	0	3,131	5,243
E0146	Pritchett Scholarship	0	0	7,954	7,954	0	0	0	0	7,954
E0149	Caldwell Scholarship	0	0	5,182	5,182	0	0	5,162	5,162	10,344
E0151	Brady, Dr John A Memorial	1,285	1,937	0	3,222	0	1,232	0	1,232	4,454
E0152	Baxter, D E Chr Ob/G	135,674	74,962	0	210,636	0	272,086	0	272,086	482,722
E0155	Towery, Bt Memorial Fund	0	2,696	0	2,696	0	12,786	0	12,786	15,482
E0159	Beard Chair Hemtolgy	121,809	55,133	0	176,942	0	100	0	100	177,042
E0163	Gordon, Ss Award Fund	0	0	1,522	1,522	0	6,398	0	6,398	7,920
E0165	Moore, Wr Chair Family	0	23,064	0	23,064	0	0	0	0	23,064
E0168	Smith, Dr S Med Scholars	0	0	2,889	2,889	0	0	0	0	2,889
E0169	Kash Mem Schol-Anatomy	0	1,996	0	1,996	0	5,450	0	5,450	7,446
E0171	Brookover, C Scholar Fund	0	0	2,630	2,630	0	0	2,615	2,615	5,245
E0177	QFE-Joyes Chair	48,133	37,838	0	85,971	0	233,922	0	233,922	319,893
E0178	Humana Scholars Medicine	0	0	20,127	20,127	0	0	37,679	37,679	57,806
E0180	Ratterman, Dr B Scholars	0	0	7,564	7,564	0	0	7,495	7,495	15,059
E0189	Fallis, Phil Med Scholars	0	0	67,838	67,838	0	0	164,348	164,348	232,186
E0191	QFE-Duggan Chair	0	108,466	0	108,466	0	0	0	0	108,466
E0192	QFE-Fischer Professorship	0	94,116	0	94,116	0	23,469	0	23,469	117,585
E0195	Litchfield, Hf&R	0	0	115,803	115,803	0	0	216,860	216,860	332,663
E0199	Wade/Owen Scholarshp	0	0	11,385	11,385	0	0	0	0	11,385
E0200	Cleveland, Pb Award	0	0	401	401	0	0	342	342	743
E0205	Miles, Jeffrey Scholars	0	0	9,527	9,527	0	0	2,558	2,558	12,085
E0209	Huang, KC Scholars	0	31,463	0	31,463	0	231,956	0	231,956	263,419
E0212	Sarchet Med Scholarship	0	0	208,749	208,749	0	0	247,965	247,965	456,714
E0217	Marshind, Ora Fund	0	0	51,654	51,654	0	0	97,677	97,677	149,331
E0218	Clifton, Dewey Memorial Fund	0	3,112	0	3,112	0	9,408	0	9,408	12,520
E0220	Kaufmann Fund	11,913	3,085	0	14,998	0	0	0	0	14,998
E0223	Troutman, Wb Memorial Fund	183,567	43,159	0	226,726	0	0	0	0	226,726

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		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0227	Allen, Dr I Scholarship	0	0	33,201	33,201	0	0	0	0	33,201
E0235	Gheens Scholarship	0	54,428	0	54,428	0	412,990	0	412,990	467,418
E0237	Gilbert Patrick F D	51,577	(5,294)	0	46,283	0	0	0	0	46,283
E0238	Longmuir, C R Fund	0	1,028	0	1,028	0	61	0	61	1,089
E0240	Humana Med Impr Fund	0	438,854	0	438,854	0	0	0	0	438,854
E0259	Fine B Mem Lecturship	0	3,126	0	3,126	0	4,403	0	4,403	7,529
E0262	Aaron Scholarship Fund	0	0	3,438	3,438	0	0	4,343	4,343	7,781
E0264	Ruble Wg Award	0	0	1,086	1,086	0	0	0	0	1,086
E0276	Assoc Path/Riehm Scholars	0	0	1,583	1,583	0	0	0	0	1,583
E0277	Doll, Gladys Fund	0	14,516	0	14,516	0	16,352	0	16,352	30,868
E0286	Stambaugh Hum Lectureship	0	10,889	0	10,889	0	66,248	0	66,248	77,137
E0287	Ziegler, A Bequest	84,273	3,459	0	87,732	0	39,098	0	39,098	126,830
E0297	Sutcliffe Joan M Fund	0	3,408	0	3,408	0	2,381	0	2,381	5,789
E0314	Roenn Kf Von Chair	66,840	37,687	0	104,527	0	164,131	0	164,131	268,658
E0318	Leight Dr L Scholarship Fund	0	0	3,680	3,680	0	0	3,894	3,894	7,574
E0319	Gross Family Lecture Fund	0	14,716	0	14,716	0	206,086	0	206,086	220,802
E0322	Weakley Chair-Oncology	102,769	37,746	0	140,515	0	130,130	0	130,130	270,645
E0327	Alpha Nu Award Fund	0	0	3,272	3,272	0	0	3,195	3,195	6,467
E0329	Ice John Memorial Lecturship	0	14,169	0	14,169	0	91,431	0	91,431	105,600
E0337	Nancy Middleton Smith Professo	0	16,392	0	16,392	0	122,980	0	122,980	139,372
E0339	Barnerjee Lily Res Fund	15,074	0	0	15,074	0	71,107	0	71,107	86,181
E0341	Kolb Chair-Out Psychology	133,499	61,848	0	195,347	0	29,320	0	29,320	224,667
E0342	Moore Je Med Sch Fund	0	0	12,550	12,550	0	0	34,508	34,508	47,058
E0344	Kleinert/Kutz Professorship	0	62,701	0	62,701	0	356,939	0	356,939	419,640
E0347	Worley Scholarships	0	0	7,585	7,585	0	0	0	0	7,585
E0356	Ferguson EC Endowment Fund	0	24,067	0	24,067	0	125,636	0	125,636	149,703
E0357	Ferguson Memorial Res Fund	0	24,351	0	24,351	0	3,605	0	3,605	27,956
E0358	Collins Scholarship	0	0	77,930	77,930	0	0	432,793	432,793	510,723
E0360	Weiner Endowed Fund	9,058	40,972	0	50,030	0	120,159	0	120,159	170,189
E0363	Dugan/Vance Memorial Fund	48,463	0	0	48,463	0	0	0	0	48,463
E0369	Martinez S A Endowment	0	1,389	0	1,389	0	9,012	0	9,012	10,401
E0377	Garretson Res Fund	0	145,676	0	145,676	0	36,083	0	36,083	181,759
E0383	Waterman/Abrams Award	0	2,246	0	2,246	0	9,913	0	9,913	12,159
E0390	Kimsey, Lf & Kh Lecturship	13,883	29	0	13,912	0	0	0	0	13,912
E0395	Goebel Memorial Scholarship	0	0	1,501	1,501	0	0	10,150	10,150	11,651
E0396	Dr E Podoll Lecture	0	1,829	0	1,829	0	4,102	0	4,102	5,931
E0403	Jewish Hospital Endowment	49,168	32,773	0	81,941	0	100	0	100	82,041
E0405	Bloch Lectureship	0	5,058	0	5,058	0	33,692	0	33,692	38,750

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E0413	Petersdorf Chair	0	44,368	0	44,368	0	21,453	0	21,453	65,821
E0417	Children's Hospital Fund	47,592	34,026	0	81,618	0	21,327	0	21,327	102,945
E0418	Norton Hospital Fund	0	64,190	0	64,190	0	0	0	0	64,190
E0422	Solomon/Stroehlein	0	1,455	0	1,455	0	6,236	0	6,236	7,691
E0425	Ortner Scholarship	0	6,201	0	6,201	0	15,154	0	15,154	21,355
E0430	Kong-Oo Goh Scholarship	0	0	8,906	8,906	0	0	0	0	8,906
E0431	Stodghill Foundation Chair	76,803	0	0	76,803	0	0	0	0	76,803
E0433	Quaife Fund-Family Practice	0	10,076	0	10,076	0	0	0	0	10,076
E0436	Bumgardner Professorship	29,613	30,000	0	59,613	0	10,369	0	10,369	69,982
E0440	Barnstable-Brown Fund	25,728	13,262	0	38,990	0	982	0	982	39,972
E0441	Weakley Chair-Anesthesiology	80,422	9,714	0	90,136	0	376,817	0	376,817	466,953
E0453	Jewish Foundation Mas Fund	78,844	163,982	0	242,826	0	982	0	982	243,808
E0468	Dir-Brown Cancer Center	0	77,028	0	77,028	0	0	0	0	77,028
E0475	Medical Alumni Scholars	0	0	9,392	9,392	0	0	0	0	9,392
E0480	Keeney Chair-Ophthalmology	42,442	52,035	0	94,477	0	7,527	0	7,527	102,004
E0482	Grider, P Memorial Scholars	0	2,279	0	2,279	0	18,240	0	18,240	20,519
E0484	Gibson Chair-Medicine	12,273	17,596	0	29,869	0	20,077	0	20,077	49,946
E0490	J & R Schwab Chair	17,560	17,168	0	34,728	0	1,898	0	1,898	36,626
E0505	Graves Scholarship	0	0	635	635	0	0	0	0	635
E0506	Brown-Genetics	47,714	14,667	0	62,381	0	14,644	0	14,644	77,025
E0507	Brown-Gene Therapy	0	63,514	0	63,514	0	178,802	0	178,802	242,316
E0517	Strauss Award	0	770	0	770	0	3,190	0	3,190	3,960
E0523	Keeney Chair State	13,618	67,637	0	81,255	0	8,066	0	8,066	89,321
E0524	Jewish Hospital Chair State	56,545	24,710	0	81,255	0	100	0	100	81,355
E0526	Petersdorf Chair-State	0	40,628	0	40,628	0	75,428	0	75,428	116,056
E0531	Weakley Chair-State	58,783	24,461	0	83,244	0	408,158	0	408,158	491,402
E0532	Barnstable/Brown Chair-State	31,786	9,836	0	41,622	0	982	0	982	42,604
E0534	Bumgardner Chair-State	87,519	37,347	0	124,866	0	40,153	0	40,153	165,019
E0535	CHF/Chair-Pediatric State	80,009	3,235	0	83,244	0	84,456	0	84,456	167,700
E0536	Boehl Chair Health State	32,494	0	0	32,494	0	0	0	0	32,494
E0538	Brown Fnd Chair State	90,956	75,532	0	166,488	0	65,494	0	65,494	231,982
E0540	Jewish Foundation Chair-State	29,848	282,519	0	312,367	0	982	0	982	313,349
E0541	Neuro Surgery Chair-State	0	74,784	0	74,784	0	0	0	0	74,784
E0545	Spine/Head Chair-State	0	38,924	0	38,924	0	63,046	0	63,046	101,970
E0546	Mm Evans Funds-State	21,079	7,616	0	28,695	0	123,583	0	123,583	152,278
E0547	Spine/Head Chair (2)-State	0	38,756	0	38,756	0	17,355	0	17,355	56,111
E0549	Norton-Bucks/Brains	54,400	20,378	0	74,778	0	531,621	0	531,621	606,399
E0554	Brown-Genetics State	50,364	15,427	0	65,791	0	10,749	0	10,749	76,540

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E0555	Brown-Gene Thera State	0	65,791	0	65,791	0	76,476	0	76,476	142,267
E0559	Rudd Mc&Md Fund	38,307	18,983	0	57,290	0	1,272	0	1,272	58,562
E0563	Brown, Alyne Franklin	22,147	0	0	22,147	0	12,537	0	12,537	34,684
E0564	Lang, Carolyn Fund	0	11,522	0	11,522	0	27,459	0	27,459	38,981
E0565	Child Psychiatric Re	6,727	3,194	0	9,921	0	6,597	0	6,597	16,518
E0566	Bales Research Fund	32,500	104,819	0	137,319	0	186,696	0	186,696	324,015
E0570	Kinsolving Fund	0	4,570	0	4,570	0	10,084	0	10,084	14,654
E0573	Moore, Dr J W Fund	14,856	4,092	0	18,948	0	100	0	100	19,048
E0576	Newmark, L Bequest	0	0	3,231	3,231	0	0	0	0	3,231
E0578	Osterman Prize Fund	0	0	541	541	0	0	11,455	11,455	11,996
E0579	Medical Alumni Fund	0	3,615	0	3,615	0	18,525	0	18,525	22,140
E0580	Kentucky Colonels Fund	0	0	5,000	5,000	0	0	0	0	5,000
E0581	Glaszebrook, James R	0	15,952	0	15,952	0	0	0	0	15,952
E0584	Griswold Lecture	0	2,063	0	2,063	0	14,590	0	14,590	16,653
E0586	Barbour, H G Prize	0	971	0	971	0	7,187	0	7,187	8,158
E0593	The Class Of '53 Fund	0	2,206	0	2,206	0	7,074	0	7,074	9,280
E0597	Barr, John W Estate	0	2,175	0	2,175	0	20,858	0	20,858	23,033
E0602	Louisville Medical F	0	1,302	0	1,302	0	1,317	0	1,317	2,619
E0605	Sammis Fund	0	98,536	0	98,536	0	43,477	0	43,477	142,013
E0606	Ehrmann, H Fund	0	6,110	0	6,110	0	10,675	0	10,675	16,785
E0619	Moore Cancer Fund	0	1,598	0	1,598	0	4,357	0	4,357	5,955
E0620	Wheeler, Minnie Fund	32,859	17,708	0	50,567	0	35,157	0	35,157	85,724
E0621	Ford Ftdn General	275,188	38,023	0	313,211	0	62,282	0	62,282	375,493
E0632	Murphy Pulmonary Res	0	10,153	0	10,153	0	100	0	100	10,253
E0634	Starr, S Professorat	0	6,923	0	6,923	0	24,552	0	24,552	31,475
E0636	Mains, Janke Memorial	0	804	0	804	0	0	0	0	804
E0639	Clymer, J Med Scholars Fund	0	0	93,167	93,167	0	0	96,844	96,844	190,011
E0640	Dulaney, Dr Octavus	0	14,785	0	14,785	0	18,215	0	18,215	33,000
E0642	Miller, Dr F Memorial Scholars	0	0	28,295	28,295	0	0	63,824	63,824	92,119
E0643	Kreps Endowment Fund	0	0	7,542	7,542	0	0	25,003	25,003	32,545
E0648	Calhoon, T Memorial Fund	0	3,719	0	3,719	0	8,384	0	8,384	12,103
E0652	Cancer Research Fund	0	11,495	0	11,495	0	372	0	372	11,867
E0654	Andrews End Chair Pediatrics	168,036	112,390	0	280,426	0	0	0	0	280,426
E0656	Segal, T Lecture Fund	0	8,025	0	8,025	0	54,938	0	54,938	62,963
E0658	Pomeroy Res Endowment Fund	35,697	3,638	0	39,335	0	4,898	0	4,898	44,233
E0661	Townes, Dr Mem Endowment Fund	0	1,507	0	1,507	0	782	0	782	2,289
E0665	Wald, H Research Fund	0	5,254	0	5,254	0	28,041	0	28,041	33,295
E0673	QFE-School Medicine	173,203	0	0	173,203	0	76,712	0	76,712	249,915

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E0676	QFE-Res Anatomy Department	0	2,113	0	2,113	0	2,540	0	2,540	4,653
E0689	Ackerly Memorial Fund	0	2,951	0	2,951	0	0	0	0	2,951
E0722	QFE-Res School Of Medicine	0	1,318	0	1,318	0	2,362	0	2,362	3,680
E0723	QFE-Res Cancer	0	145	0	145	0	1,723	0	1,723	1,868
E0725	QFE-Res Microbiology	0	485	0	485	0	873	0	873	1,358
E0727	QFE-Res Anesthesia	0	224	0	224	0	0	0	0	224
E0730	Polk/Banerjee Chair	78,175	37,752	0	115,927	0	178,756	0	178,756	294,683
E0732	QFE-Chair Surgery	131,428	9,933	0	141,361	0	92,150	0	92,150	233,511
E0739	QFE-Dept Pediatrics	0	1,116	0	1,116	0	0	0	0	1,116
E0740	QFE-Ob/Gyn	0	2,723	0	2,723	0	1,914	0	1,914	4,637
E0747	QFE-C. Smith Lectureship	0	4,657	0	4,657	0	37,736	0	37,736	42,393
E0750	QFE-Research Pharmacology	0	303	0	303	0	3,401	0	3,401	3,704
E0751	QFE-Chair Gen Medicine	0	205	0	205	0	100	0	100	305
E0752	QFE-Psychiatry	0	364	0	364	0	0	0	0	364
E0754	QFE-Dept Orthopedics	1,021	0	0	1,021	0	8,570	0	8,570	9,591
E0756	QFE-Otolaryngology	0	2,553	0	2,553	0	12,297	0	12,297	14,850
E0758	Anna Krebs AI & Anna	0	0	67,799	67,799	0	0	263,074	263,074	330,873
E0759	QFE-Polk Scholarship	0	3,897	0	3,897	0	25,734	0	25,734	29,631
E0769	QFE-Christopherson	80,617	80,757	0	161,374	0	148,611	0	148,611	309,985
E0770	QFE-Urology Dept	23,862	18,323	0	42,185	0	27,868	0	27,868	70,053
E0771	QFE-Ackerly Chair	165,864	38,973	0	204,837	0	2,117,439	0	2,117,439	2,322,276
E0776	QFE-Chair Orthopedic Surgery	0	668	0	668	0	5,238	0	5,238	5,906
E0789	Humana Scholars Medicine	0	0	219	219	0	0	172	172	391
E0792	QFE-Keeney Chair Res	0	4,394	0	4,394	0	1,172	0	1,172	5,566
E0795	QFE-Chair Internal	0	372	0	372	0	100	0	100	472
E0803	Erving, Henry Bequest	0	2,603	0	2,603	0	11,205	0	11,205	13,808
E0804	Knoefel, Peter K Award	0	1,049	0	1,049	0	10,154	0	10,154	11,203
E0806	Price Memorial Endowment Fund	0	23,544	0	23,544	0	0	0	0	23,544
E0808	Williams, H Travel	0	4,840	0	4,840	0	17,944	0	17,944	22,784
E0809	Brohm, Dr Endowment Memorial	0	7,321	0	7,321	0	39,012	0	39,012	46,333
E0810	Surgical Resident Re	0	34,879	0	34,879	0	9,951	0	9,951	44,830
E0815	QFE-Physiology	0	3,667	0	3,667	0	3,612	0	3,612	7,279
E0819	Rosenfeld, Dr Memorial Fund	0	19,512	0	19,512	0	11,606	0	11,606	31,118
E0824	Keller, Wk Endowment Fund	15,944	21,853	0	37,797	0	3,144	0	3,144	40,941
E0826	Kerr, Salome Medicine Scholars	28,172	0	156,018	184,190	0	0	58,257	58,257	242,447
E0827	Benedict, Jc Scholars	0	0	184,587	184,587	0	0	149,208	149,208	333,795
E0830	Hormone Rec Lab Fund	0	1,218	0	1,218	0	1,743	0	1,743	2,961
E0848	French, Am Mem Scholars Fund	0	0	14,404	14,404	0	0	22,383	22,383	36,787

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0858	Lapaille, C R Gift	0	14,045	0	14,045	0	16,315	0	16,315	30,360
E0868	Tg Day Chair Gyn-Onc	76,248	42,819	0	119,067	0	112,463	0	112,463	231,530
E0869	Baker, Lois M Fund	0	3,605	0	3,605	0	10,955	0	10,955	14,560
E0877	Hamilton Bequest To BCC	0	6,436	0	6,436	0	2,150	0	2,150	8,586
E0880	Stege, Martha H Endowment	0	6,559	0	6,559	0	11,946	0	11,946	18,505
E0883	Evans End Chair-Opht	79,375	59,623	0	138,998	0	7,072	0	7,072	146,070
E0884	Evans Resource Fund	47,043	12,562	0	59,605	0	10,293	0	10,293	69,898
E0886	Lieberman H Endowment Fund	0	47,100	0	47,100	0	97,054	0	97,054	144,154
E0888	Knoefel, P Endowment Fund	151,308	117,401	0	268,709	0	1,164,857	0	1,164,857	1,433,566
E0889	Pharm & Toxicol Fund	173,010	85,611	0	258,621	0	1,117,862	0	1,117,862	1,376,483
E0896	Shampain Sch-Medicine	0	0	3,658	3,658	0	0	0	0	3,658
E0900	Wilson Endowment Fund	17,659	7,204	0	24,863	0	0	0	0	24,863
E0902	Evans Research Endowment	44,326	93,140	0	137,466	0	0	0	0	137,466
E0904	Ky Med Assoc Endowment	0	0	2,017	2,017	0	0	0	0	2,017
E0909	Banerjee Endowment	0	0	2,098	2,098	0	0	2,120	2,120	4,218
E0911	Neurosurgery Chair	35,974	0	0	35,974	0	10,509	0	10,509	46,483
E0917	Jones, John H Fund	0	8,498	0	8,498	0	22,370	0	22,370	30,868
E0922	WJ Waddell Seminar	0	3,355	0	3,355	0	18,659	0	18,659	22,014
E0923	Spinal Chair Match 2	0	35,741	0	35,741	0	8,056	0	8,056	43,797
E0925	Nelson End Fund-Medicine	0	73,503	0	73,503	0	0	0	0	73,503
E0928	Roberts Gift Medical School	0	57,153	0	57,153	0	70,393	0	70,393	127,546
E0929	Files Fund Psychiatry	2,608	13,701	0	16,309	0	995	0	995	17,304
E0946	Spine&Head Chair 3	0	30,843	0	30,843	0	1	0	1	30,844
E1004	Heuser Hear Res Chr	0	64,988	0	64,988	0	151,149	0	151,149	216,137
E1008	Caldwell Chair-State	0	66,983	0	66,983	0	334,981	0	334,981	401,964
E1009	Heuser Chair-State	0	64,843	0	64,843	0	230,814	0	230,814	295,657
E1031	PLLC Emergency Medicine	0	54,768	0	54,768	0	126,306	0	126,306	181,074
E1032	Burnam Fund	0	697	0	697	0	5,488	0	5,488	6,185
E1033	J G Brown Endowed Chair	27,039	10,310	0	37,349	0	42,249	0	42,249	79,598
E1035	Chair - Hepatology	43,481	21,954	0	65,435	0	100	0	100	65,535
E1036	Chair-Hepatology-State	48,373	18,463	0	66,836	0	100	0	100	66,936
E1039	Kamdar Scholars-Medicine	0	0	1,446	1,446	0	0	0	0	1,446
E1246	Lebby Research Fund	25,976	15,178	0	41,154	0	105,292	0	105,292	146,446
E1247	Fries Research Fund	0	33,186	0	33,186	0	95,159	0	95,159	128,345
E1249	URB Health Chair-State	15,635	51,767	0	67,402	0	18,775	0	18,775	86,177
E1252	Fdtn-Healthy KY Endowment	540	80,014	0	80,554	0	64,977	0	64,977	145,531
E1255	J Brown End Chair-ST	18,483	18,611	0	37,094	0	55,977	0	55,977	93,071
E1265	Spine & Head Injury Chair 4	0	78,825	0	78,825	0	1	0	1	78,826

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1280	JGB "Highest Potential" Chair-	16,268	54,192	0	70,460	0	24,294	0	24,294	94,754
E1297	Neurology Chair KY	48,262	29,041	0	77,303	0	140,360	0	140,360	217,663
E1299	HSC Opportunity State	0	77,388	0	77,388	0	0	0	0	77,388
E1300	Bumgardner L Professorship	43,743	28,552	0	72,295	0	4,094	0	4,094	76,389
E1303	Ole, Mabel, Wilma Nelson, BioMed	0	8,047	0	8,047	0	35,573	0	35,573	43,620
E1307	Gheens-Aging RCTF	0	77,302	0	77,302	0	166,697	0	166,697	243,999
E1322	Fisher Ns Scholars	0	0	3,326	3,326	0	0	0	0	3,326
E1408	Caldwell Chair-G/Onc	2,450	56,437	0	58,887	0	107,395	0	107,395	166,282
E1411	JGB-Highest Potential	0	67,883	0	67,883	0	14,715	0	14,715	82,598
E1412	Harbrecht, Md Vascular Surgery	0	10,298	0	10,298	0	87,856	0	87,856	98,154
E1422	HW & AH Stodghill Scholarships	0	0	337,802	337,802	0	0	270,546	270,546	608,348
E1423	Amelia Green Leukemia Endow	0	6,121	0	6,121	0	62,125	0	62,125	68,246
E1432	Diabetics Research	12,739	8,505	0	21,244	0	982	0	982	22,226
E1433	UPF Gift for Pediatric Resch.	69,739	23,854	0	93,593	0	14,089	0	14,089	107,682
E1436	K. Garrison Fund Ovarian Cancer	0	8,307	0	8,307	0	1,889	0	1,889	10,196
E1440	PJGKirk Sch. of Med Edu Fund	0	0	7,737	7,737	0	0	0	0	7,737
E1442	Derm/Dermopath Fund	0	65,976	0	65,976	0	100	0	100	66,076
E1447	UPF-Peds Res State	17,226	47,120	0	64,346	0	6,221	0	6,221	70,567
E1453	Hartness Scholarship Fund	0	0	8,474	8,474	0	0	3,470	3,470	11,944
E1454	Gheens Fdn Chair in Aging Res	44,504	17,100	0	61,604	0	126,647	0	126,647	188,251
E1476	H. Tod Smiser Scholarship Fund	0	0	52,085	52,085	0	0	237,813	237,813	289,898
E1477	Inst Mol Card Mission Support	0	65,435	0	65,435	0	100	0	100	65,535
E1478	Inst Mol Card Misson Sup-State	54,384	23,708	0	78,092	0	100	0	100	78,192
E1479	Neurolog Rehab Res Fund-State	0	32,083	0	32,083	0	25,164	0	25,164	57,247
E1481	Kentaro Tsueda Research Endow	0	8,277	0	8,277	0	0	0	0	8,277
E1482	Rounsavall Endowed Chair	4,110	54,281	0	58,391	0	5,246	0	5,246	63,637
E1486	ORI Endowment	0	1,377	0	1,377	0	0	0	0	1,377
E1491	Hammond Chair Spinal Inj Resh	0	37,389	0	37,389	0	85,749	0	85,749	123,138
E1492	Mcferran Chair Juv Diab RCTF	74,778	0	0	74,778	0	0	0	0	74,778
E1493	Spinal Cord/Head Injury RCTF	0	37,389	0	37,389	0	162,261	0	162,261	199,650
E1494	Goldie M Wilson RCTF	13,665	3,540	0	17,205	0	0	0	0	17,205
E1496	Kosair/Norton Peds Sleep RCTF	8,439	66,339	0	74,778	0	463,666	0	463,666	538,444
E1501	Henry Vogt Chair-Epidemiology	0	395	0	395	0	886	0	886	1,281
E1503	Kosair Chr Ped Hrt Rsch-Gift	42,273	16,727	0	59,000	0	389,489	0	389,489	448,489
E1505	Harriett Porter Cancer Prev Ed	0	15,372	0	15,372	0	12,120	0	12,120	27,492
E1509	C & E Allegra Pulmonary Resrch	0	52,707	0	52,707	0	100	0	100	52,807
E1510	C.I.I. Med Director-JHHS Gift	48,309	17,126	0	65,435	0	50,156	0	50,156	115,591
E1511	C.I.I. Med Director-State	57,655	20,437	0	78,092	0	38,349	0	38,349	116,441

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1512	C.I.I. Sci Director-JHHS Gift	47,795	17,640	0	65,435	0	0	0	0	65,435
E1513	C.I.I. Sci Director - State	59,269	18,823	0	78,092	0	0	0	0	78,092
E1518	J.G.B. Fdn Chr in Can Bio-Gift	38,663	10,375	0	49,038	0	(804)	0	(804)	48,234
E1519	J.G.B. Fdn Chr in Can Bio-Stat	33,830	13,799	0	47,629	0	5,846	0	5,846	53,475
E1520	Hiram C. Polk, Jr., Endowment	57,122	2,560	0	59,682	0	132,502	0	132,502	192,184
E1521	Founders Chair In Cancer Res	0	55,221	0	55,221	0	289,204	0	289,204	344,425
E1526	B. Brown-Adv of Diab Res State	6,290	11,303	0	17,593	0	982	0	982	18,575
E1527	Ksr Chr In Ped Hrt Rsch-State	43,644	16,336	0	59,980	0	229,325	0	229,325	289,305
E1528	Rounsavall Endowed Chair-State	0	59,980	0	59,980	0	9,165	0	9,165	69,145
E1529	UMA, PSC Chr in Pulm Med State	46,133	13,847	0	59,980	0	108,503	0	108,503	168,483
E1530	S & L Gibson Prf in Med-State	19,010	6,961	0	25,971	0	9,263	0	9,263	35,234
E1546	KY Fund For Healthy Living Res	0	6,092	0	6,092	0	100	0	100	6,192
E1553	Hoening Endowed Chair	35,490	0	0	35,490	0	1,252	0	1,252	36,742
E1554	Tobacco Harm Reduction Chair	26,462	10,527	0	36,989	0	31,083	0	31,083	68,072
E1563	Peds Outcomes Res-UPF Gift	27,275	31,696	0	58,971	0	71,498	0	71,498	130,469
E1564	Schoen/Brown Chair In Gastroen	0	0	0	0	0	100	0	100	100
E1566	Harry S Frazier Jr Family Canc	0	25,096	0	25,096	0	48,954	0	48,954	74,050
E1567	Heuser Hearing Prof Otology	0	19,621	0	19,621	0	46,632	0	46,632	66,253
E1569	Hamilton Chr In Autoimmue-Gift	37,171	23,736	0	60,907	0	982	0	982	61,889
E1570	Hamilton Chr Autoimmue-State	55,654	0	0	55,654	0	982	0	982	56,636
E1573	Humana Endow Int'l Peds-Gift	0	55,759	0	55,759	0	0	0	0	55,759
E1575	Peds Outcomes Res-State	0	56,037	0	56,037	0	0	0	0	56,037
E1576	Tobacco Harm Chair-State	15,731	40,306	0	56,037	0	30,221	0	30,221	86,258
E1577	Vogt Chair-Epidemiology-State	0	21,185	0	21,185	0	2,939	0	2,939	24,124
E1578	Brown-Forman Cancer Research	0	25,892	0	25,892	0	172,036	0	172,036	197,928
E1581	Humana Fund Int'l Peds-Gift	51,752	923	0	52,675	0	0	0	0	52,675
E1582	Humana Fund Int'l Peds-Gift	54,583	1,071	0	55,654	0	0	0	0	55,654
E1583	Gheens Fdn C.I.I. Resr Fd-Gift	25,320	0	0	25,320	0	26,085	0	26,085	51,405
E1584	Gheens Fdn C.I.I. Res Fd-State	0	55,654	0	55,654	0	20,405	0	20,405	76,059
E1586	D.H. Neustadt Fund For Rheum	0	3,507	0	3,507	0	3,386	0	3,386	6,893
E1590	Ky Lions Eye Foundation Fund I	4,879	50,775	0	55,654	0	11,152	0	11,152	66,806
E1591	Olive Harris/Stodghill Schlrs	0	0	5,565	5,565	0	0	12,128	12,128	17,693
E1592	Endowed Chair Ped Allergy & Im	0	58,426	0	58,426	0	199,623	0	199,623	258,049
E1594	Wendell Cherry Chair Ct Resear	0	17,122	0	17,122	0	(11,002)	0	(11,002)	6,120
E1595	Brown-Foreman Cancer Res-State	0	0	0	0	0	119,259	0	119,259	119,259
E1596	Humana End Int'l Peds-State	0	55,654	0	55,654	0	0	0	0	55,654
E1597	Ky Fnd For Healthy Lvng-State	0	5,565	0	5,565	0	100	0	100	5,665
E1601	Frazier Fam Cancer Res-State	0	27,827	0	27,827	0	7,207	0	7,207	35,034

UofL Corporations
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		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1602	Heuser Prof In Otology-State	0	22,952	0	22,952	0	30,160	0	30,160	53,112
E1603	Founders Chair In Can Res-Sta	22,098	28,487	0	50,585	0	201,860	0	201,860	252,445
E1607	F.W. Fischer Md Endow Schlshp	0	0	6,186	6,186	0	0	0	0	6,186
E1610	Harry & Judy Shapira Endowment	0	6,644	0	6,644	0	12,928	0	12,928	19,572
E1616	A Rosenstien Oncology Endowmen	0	4,317	0	4,317	0	0	0	0	4,317
E1619	Spinal Cord & Head Inj Chair 7	0	23,032	0	23,032	0	65,620	0	65,620	88,652
E1620	Spinal Cord Injury Chair #6	0	39,412	0	39,412	0	27,065	0	27,065	66,477
E1621	Cherry Cancer Trans. Res-Gift	24,595	0	0	24,595	0	8,449	0	8,449	33,044
E1622	Cherry Cancer Trans Res-State	0	0	0	0	0	53,904	0	53,904	53,904
E1626	Henry M/Stella M Hoenig Fnd-St	0	0	0	0	0	21,932	0	21,932	21,932
E1627	Ky Lions Eye Found In Opht-Sta	108,830	0	0	108,830	0	0	0	0	108,830
E1628	Endo Chair Ped Allergy/Im Sta	0	0	0	0	0	218,935	0	218,935	218,935
E1629	Wendell Cherry Ct Resea State	0	0	0	0	0	47,423	0	47,423	47,423
E1637	Hum Found Fnd For Clin Tr-Gift	0	21,395	0	21,395	0	104,269	0	104,269	125,664
E1638	Hum Found Fnd Clin Trial-State	0	0	0	0	0	111,718	0	111,718	111,718
E1639	Polk Endowment -State	0	0	0	0	0	75,695	0	75,695	75,695
E1645	George A Bush Jr Clinic Trial	0	45,702	0	45,702	0	205,709	0	205,709	251,411
E1646	George A Bush Jr Ct-State	0	26,753	0	26,753	0	62,646	0	62,646	89,399
E1648	Ky Lions Fund For Eye Research	0	36,587	0	36,587	0	1,172	0	1,172	37,759
E1653	L. & J. Gray Endow Research Fd	0	2,711	0	2,711	0	0	0	0	2,711
E1656	Emery E. Lane Scholarship Fund	0	0	3,378	3,378	0	0	4,442	4,442	7,820
E1658	The Herman & Heddy Kurz Fund-M	0	3,944	0	3,944	0	4,893	0	4,893	8,837
E1662	Alicia/Tyler Short Mem Lecture	0	2,009	0	2,009	0	2,133	0	2,133	4,142
E1664	Richard Roth Lectureship	0	2,107	0	2,107	0	4,329	0	4,329	6,436
E1668	Neurological Rahab Fund	0	12,588	0	12,588	0	36,429	0	36,429	49,017
E1670	Kschirt Chair 9	0	12,438	0	12,438	0	46,336	0	46,336	58,774
E1673	PSCK Movement Disord Resr Fd	0	15,537	0	15,537	0	0	0	0	15,537
E1688	Ben Rigor Fund for Education	0	1,858	0	1,858	0	0	0	0	1,858
E1689	Schwartz Insurance Group Endow	0	0	1,438	1,438	0	0	451	451	1,889
E1690	Professorship In Radiation Onc	0	1,147	0	1,147	0	4,959	0	4,959	6,106
E1697	Dr Bradley T Young Schlrshp	0	0	723	723	0	0	291	291	1,014
E1698	Dr Sandra K Archibald Scholar	0	0	1,482	1,482	0	0	0	0	1,482
E1704	Lung Cancer Res Endowed Chair	8,952	22,852	0	31,804	0	100,369	0	100,369	132,173
E1705	Polk Endowed Chair	0	19,376	0	19,376	0	79,415	0	79,415	98,791
E1706	Dr Samuel Cheng Fund-Lectrshp	0	1,859	0	1,859	0	2,663	0	2,663	4,522
E1707	Kurz Endowment in Ophthalmolog	0	1,793	0	1,793	0	782	0	782	2,575
E1708	Schoen/Brown Chair State Match	0	0	0	0	0	8,303	0	8,303	8,303
E1710	Dr. Thomas Shown Schlrshp Fd	0	0	4,727	4,727	0	0	0	0	4,727

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		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1712	PSCK Movement Disord Res State	0	0	0	0	0	1,738	0	1,738	1,738
E1713	R.F. Hammond Spinal Research	0	14,042	0	14,042	0	6,784	0	6,784	20,826
E1716	Rowntree Chair in Family Pract	0	62,330	0	62,330	0	57,286	0	57,286	119,616
E1721	KSCHIRT Chair 10	0	12,637	0	12,637	0	48,716	0	48,716	61,353
E1723	Loman C Trover, MD Fund	0	3,373	0	3,373	0	4,506	0	4,506	7,879
E1726	Auerbach Family Endowed Chair	0	57,061	0	57,061	0	77,341	0	77,341	134,402
E1729	Gladys Sessler Research Award	0	1,782	0	1,782	0	0	0	0	1,782
E1732	Garretson Res-Fund-State	0	40,628	0	40,628	0	71,597	0	71,597	112,225
E1737	SOM Class of 1967 Schol Fd	0	0	2,032	2,032	0	0	1,898	1,898	3,930
E1742	Wells Macular degen. Research	0	4,810	0	4,810	0	982	0	982	5,792
E1743	Jesse H Wright Scholarship	0	9,361	0	9,361	0	16,364	0	16,364	25,725
E1748	Excellence in Teaching Fund	0	1,719	0	1,719	0	0	0	0	1,719
E1753	Bernard Weisskopf Lecture Fund	0	1,764	0	1,764	0	3,631	0	3,631	5,395
E1755	Sublett Fund in Allergy/Immun	0	1,995	0	1,995	0	0	0	0	1,995
E1760	Kela Lyons Fee, M.D. Endowment	0	5,039	0	5,039	0	13,314	0	13,314	18,353
E1761	Brinkley Estate Gift	8,952	36,401	0	45,353	0	181,450	0	181,450	226,803
E1783	Friends for Michael End Chr-St	0	32,083	0	32,083	0	154,967	0	154,967	187,050
E1787	Pinkstaff Endowed Lecture Fund	0	1,979	0	1,979	0	4,724	0	4,724	6,703
E1792	Thom Zimmerman Lectureship	0	2,198	0	2,198	0	1,032	0	1,032	3,230
E1794	Thom Zimmerman Rsch Fund	9,433	7,931	0	17,364	0	882	0	882	18,246
E1797	Little Lectureship-Medicine	0	7,083	0	7,083	0	22,250	0	22,250	29,333
E1812	Zimmerman Research Fund-State	19,229	3,962	0	23,191	0	6,832	0	6,832	30,023
E1815	School of Medicine - URM Schol	0	12,348	0	12,348	0	44,742	0	44,742	57,090
E1818	Polk Endowed Chair Surgery-ST	0	57,286	0	57,286	0	131,614	0	131,614	188,900
E1819	Chair Translational Res-ST	0	75,904	0	75,904	0	63,607	0	63,607	139,511
E1820	Preventive Med Dir Chair-ST	0	57,286	0	57,286	0	134,151	0	134,151	191,437
E1822	Frazier Endow Chr in PMR	0	41,809	0	41,809	0	0	0	0	41,809
E1823	Frazier Endow Chr in PMR-ST	0	42,965	0	42,965	0	0	0	0	42,965
E1826	Helmsley Chr Pharm Resrch-ST	0	87,562	0	87,562	0	68,420	0	68,420	155,982
E1827	Kosair Ped Cancer Res Fund	0	272,731	0	272,731	0	421,932	0	421,932	694,663
E1828	Kosair Ped Cancer Res Fund-ST	0	286,431	0	286,431	0	670,668	0	670,668	957,099
E1832	KSCHIRT Chair #12	0	14,153	0	14,153	0	30,769	0	30,769	44,922
E1836	Helmsley Trust Gift Endowment	0	78,297	0	78,297	0	166,282	0	166,282	244,579
E1837	Brinkley Lung Cancer Chair-ST	8,953	30,149	0	39,102	0	82,580	0	82,580	121,682
E1839	Yenshen Hsueh MD Mem Scholrshp	0	0	1,050	1,050	0	0	3	3	1,053
E1847	Garner Neuro Rsch Endwment	0	1,501	0	1,501	0	4,016	0	4,016	5,517
E1854	CII-Gheens RegeneratiMed-State	24,734	4,706	0	29,440	0	33,672	0	33,672	63,112
E1856	SOM Class of 1985 Schol Fund	0	0	1,252	1,252	0	0	1,258	1,258	2,510

UofL Corporations
2016 Operating Budget

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1867	Dr Christine L Cook Endowmt	0	2,502	0	2,502	0	3,011	0	3,011	5,513
E1891	Hemmer & Halperin Lectureship	0	1,996	0	1,996	0	3,445	0	3,445	5,441
E1895	John C Wright, M.D. Fund	0	10,214	0	10,214	0	0	0	0	10,214
E1898	Medical Scholarships-Loans	0	0	106,319	106,319	0	0	0	0	106,319
E1921	Ctr for Adv Neurosurgery Chr	0	23,921	0	23,921	0	0	0	0	23,921
E1922	Ctr Adv Neurosurgery Chr State	0	15,461	0	15,461	0	0	0	0	15,461
E1925	Kraft, Jr, M.D. Scholarship	0	0	3,667	3,667	0	0	4,915	4,915	8,582
E1926	Gleis Medical Education Fund	0	0	532	532	0	0	145	145	677
E1928	CII-Gheens Rgenerativ-Gift	7,651	18,643	0	26,294	0	5,709	0	5,709	32,003
E1930	Phys Med & Spinal Cord Rehab	0	4,122	0	4,122	0	4,997	0	4,997	9,119
E1933	Lila Oskoui & Ellen Oskoui Sch	0	0	17,607	17,607	0	0	15,527	15,527	33,134
E1936	Steadly Estate Fund	0	37,373	0	37,373	0	0	0	0	37,373
E1940	KSCHIRT Chair No. 14	0	8,911	0	8,911	0	13,468	0	13,468	22,379
E1944	University Pediatrics Fdn Fund	0	42,008	0	42,008	0	0	0	0	42,008
E1946	Chair in Translational Reserac	0	5,610	0	5,610	0	1,622	0	1,622	7,232
E1951	John & Sheryl Downing Scholars	0	0	1,132	1,132	0	0	391	391	1,523
E1963	CII-Mansbach Research Endowmen	0	57,023	0	57,023	0	69,292	0	69,292	126,315
E1985	JP Callen Lectureship	0	8,037	0	8,037	0	0	0	0	8,037
E1986	Stewart L Shrader Med Res	0	9,916	0	9,916	0	2,195	0	2,195	12,111
E1995	Kim & Doyle Glass Developmenta	0	5,147	0	5,147	0	0	0	0	5,147
E1998	Endow Fd-Reproductive Endocr	0	3,198	0	3,198	0	558	0	558	3,756
E1999	Stanley Gall Alumni Relations	0	2,871	0	2,871	0	445	0	445	3,316
E2003	KSCHIRT Chair #15	0	11,382	0	11,382	0	2,227	0	2,227	13,609
E2005	Marshall M Lawrence MD Scholar	0	0	6,522	6,522	0	0	982	982	7,504
E2008	Kawthar Suleiman Scholarship	0	0	500	500	0	0	0	0	500
E2011	Ruby Ann Arnsparger Scholar	0	0	16,436	16,436	0	0	1,422	1,422	17,858
E2013	Nash Discretionary Fund	0	1,409	0	1,409	0	164	0	164	1,573
E2016	Class of '64 Scholarship Fund	0	0	671	671	0	0	0	0	671
E2019	M. Krista Loyd Res Cntr Endow	0	15,136	0	15,136	0	0	0	0	15,136
E2020	Montgomery Endowed Professorsh	0	5,667	0	5,667	0	0	0	0	5,667
E2025	Dr Leon Satran & Alma J Satran	0	0	1,716	1,716	0	0	154	154	1,870
E2026	Dr. Delores G Alleyne Minority	0	0	748	748	0	0	0	0	748
E7800	Price Trust Fund	359,333	0	0	359,333	33,509	0	0	33,509	392,842
E7801	Johnson Scholarship	0	0	0	0	0	0	2,829	2,829	2,829
E7802	Homberger Scholarship	0	0	0	0	0	211,557	0	211,557	211,557
E7804	Brennan, Dr. Robert	0	0	0	0	0	0	266,767	266,767	266,767
E7808	Smock Trust Geriatric	0	0	0	0	0	11,214	0	11,214	11,214
E7809	Moore, W R Charitable Trust	0	0	0	0	0	38,250	0	38,250	38,250

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E7811	Gulledge Fund	0	0	0	0	0	1,628	0	1,628	1,628
E7814	Meyer ER Scholarship Fund	0	0	0	0	0	0	23,427	23,427	23,427
E7815	Little Lectures-Medicine	0	0	0	0	0	32,331	0	32,331	32,331
E7819	McDowell Evans Trust	0	0	0	0	0	166,120	0	166,120	166,120
E7831	KCH/NH Ped Oncology Chair	0	0	0	0	0	320,167	0	320,167	320,167
E7832	KCH/NH Ped Sleep Disorders	8,439	0	0	8,439	0	87,823	0	87,823	96,262
E7833	G D Hamlet Pharma Research	15,058	0	0	15,058	0	146,832	0	146,832	161,890
E7838	Rowntree Lectureship Occ Med	0	0	0	0	0	18,502	0	18,502	18,502
E7839	CM & MB Bernhard Chr-Col Surg	0	0	0	0	0	15,127	0	15,127	15,127
E7840	Peter Klener Knoefel Fund	0	0	0	0	0	161,441	0	161,441	161,441
E7842	S Franzman Scholarship Fund	0	0	0	0	0	0	60,077	60,077	60,077
E7845	Wendy Novak Endowed Chair	147,087	0	0	147,087	0	0	0	0	147,087
E9253	Bucks For Brains	0	39,670	0	39,670	0	166,939	0	166,939	206,609
E9259	Schoen/Brown Chair-Gift	0	55,710	0	55,710	0	0	0	0	55,710
E9260	Sochoen/Brown Chair-State	0	47,793	0	47,793	0	0	0	0	47,793
School of Medicine Total		6,716,057	9,398,313	1,997,622	18,111,992	33,509	22,170,131	3,026,895	25,230,535	43,342,527
School of Music							17,813,287			
							4,356,844			
E0024	Reinecke Scholarship	0	0	3,882	3,882	0	0	0	0	3,882
E0029	Hellman Scholarship	0	0	7,417	7,417	0	5,416	0	5,416	12,833
E0032	Flexner Scholarship	0	0	25,465	25,465	0	0	0	0	25,465
E0038	Sigma Alpha Iota Award	0	0	195	195	0	0	0	0	195
E0039	Anderson, Dwight Fund	0	0	5,813	5,813	0	1,634	0	1,634	7,447
E0046	Boswell, H Memorial	0	0	741	741	0	1,435	0	1,435	2,176
E0070	Norton Scholarship	0	0	5,587	5,587	0	0	0	0	5,587
E0090	Roberts, Ft Memorial	0	0	3,244	3,244	0	49	0	49	3,293
E0116	Peltier Scholarship	0	0	547	547	0	0	0	0	547
E0207	Rapier, Leon Scholars	0	0	2,394	2,394	0	0	0	0	2,394
E0210	Keyes, Nelson Scholars	0	0	3,705	3,705	0	3	0	3	3,708
E0211	Cubranic, Mary Scholars	0	0	4,852	4,852	0	0	0	0	4,852
E0213	Koch, L Music Scholars	0	0	2,762	2,762	0	0	0	0	2,762
E0221	Bomhard Chair Music	49,112	12,779	0	61,891	0	18,805	0	18,805	80,696
E0269	Duncan, Abby Scholars	0	0	10,471	10,471	0	0	0	0	10,471
E0271	Louise Jones Hickman Scholars	0	0	3,640	3,640	0	4	0	4	3,644
E0275	Smith Macauley Scholars	0	0	18,063	18,063	0	2,171	0	2,171	20,234
E0285	Harrison/Houston Fund	0	0	1,677	1,677	0	1	0	1	1,678
E0292	Clay D Norton Scholars Fund	0	0	14,849	14,849	0	0	0	0	14,849
E0306	Dunham Irene L Fund	0	0	6,178	6,178	0	0	0	0	6,178

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0311	Weisberg Scholarship	38,817	10,216	0	49,033	0	244,913	0	244,913	293,946
E0332	Comstock Margaret Fund	0	3,119	0	3,119	0	5,270	0	5,270	8,389
E0338	Schultz Paula Endowment	0	0	1,403	1,403	0	1	0	1	1,404
E0348	Means Terstegge Fund	6,747	0	20,887	27,634	0	0	0	0	27,634
E0367	Dickinson Melvin Award	0	0	2,596	2,596	0	1	0	1	2,597
E0368	Smith Emile S Fund	0	12,467	0	12,467	0	0	0	0	12,467
E0370	Norman Scholarshp Fund	0	0	1,118	1,118	0	1	0	1	1,119
E0381	Bomhard Fellowship	92,084	0	17,612	109,696	0	42,501	0	42,501	152,197
E0399	Herz Professor-Music	0	22,630	0	22,630	0	183,861	0	183,861	206,491
E0426	Schwann Scholarship	0	0	4,599	4,599	0	0	0	0	4,599
E0452	Comstock Art Fund	0	26,842	0	26,842	0	37,218	0	37,218	64,060
E0464	L Bumgardner Fund	0	14,135	0	14,135	0	0	0	0	14,135
E0479	Mccombs, Joan Fund	0	5,634	0	5,634	0	0	0	0	5,634
E0519	Crutcher Scholarship	0	0	770	770	0	0	0	0	770
E0567	Whitney, Margaret G	0	0	1,823	1,823	0	0	2,235	2,235	4,058
E0568	Owen, Benjamin Mem Scholars	0	0	1,455	1,455	0	0	0	0	1,455
E0611	Davidson, Mn Fund	0	327	0	327	0	63	0	63	390
E0630	Herz, Gerhard Fund	0	0	4,317	4,317	0	0	44,283	44,283	48,600
E0646	Music School Endowment	4,602	15,951	0	20,553	0	25,954	0	25,954	46,507
E0675	QFE-School Of Music	0	26,121	0	26,121	0	29,808	0	29,808	55,929
E0692	Humana Scholars Music	0	0	2,793	2,793	0	3,474	0	3,474	6,267
E0707	QFE-Music School Organ	0	839	0	839	0	3,975	0	3,975	4,814
E0761	QFE-Music Scholars	0	0	2,166	2,166	0	2,676	0	2,676	4,842
E0811	Bomhard, C Scholars	0	0	954	954	0	0	0	0	954
E0821	Smith, F Memorial Scholarship	0	0	1,589	1,589	0	0	25	25	1,614
E0825	QFE-Weisberg Music Scholars	0	0	3,394	3,394	0	1	0	1	3,395
E0834	Music Endow Scholars Fund	0	0	112,798	112,798	0	0	1,662	1,662	114,460
E0890	E M Aldrich Scholars Fund	34,859	0	37,194	72,053	0	2,054	0	2,054	74,107
E0892	Babb Scholarship	0	0	3,823	3,823	0	0	62	62	3,885
E0898	Koch Piano Scholars	0	0	1,401	1,401	0	872	0	872	2,273
E0899	Koch Violin Scholars	0	0	1,499	1,499	0	0	0	0	1,499
E0936	New Music Fund	0	3,345	0	3,345	0	3,426	0	3,426	6,771
E1017	Luvisi Piano Scholars	0	0	5,539	5,539	0	0	3,932	3,932	9,471
E1283	Claugus Scholarship	0	0	5,355	5,355	0	0	85	85	5,440
E1285	Koerselman Scholarship	0	0	4,685	4,685	0	0	0	0	4,685
E1295	Anthony D. Gigante Mem Endow	0	0	1,446	1,446	0	0	0	0	1,446
E1434	M&J Owen Scholars Fund Music	0	0	3,314	3,314	0	1,863	0	1,863	5,177
E1452	SchubachMusic Scholarship	0	0	1,602	1,602	0	0	0	0	1,602

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1465	Grawemeyer Adm/Music	15,354	24,643	0	39,997	0	0	0	0	39,997
E1506	Phil Bailey Jazz Scholarship	0	0	1,257	1,257	0	1	0	1	1,258
E1549	Ed And Catherine Roby Scholars	0	0	847	847	0	0	14	14	861
E1552	Visiting Jazz Artist Chair	17,923	4,493	0	22,416	0	42,377	0	42,377	64,793
E1659	Miesner Music Endowment	0	1,402	0	1,402	0	2,354	0	2,354	3,756
E1693	Marching Band Endowment	0	726	0	726	0	4,557	0	4,557	5,283
E1696	Karl C Gruen Scholarships	0	0	5,267	5,267	0	1,753	0	1,753	7,020
E1702	Mary Elizabeth Head Sch	0	0	1,021	1,021	0	0	21	21	1,042
E1768	V Schneider String Scholarship	0	0	4,635	4,635	0	1	0	1	4,636
E1791	Keyes Endowed Scholarship Fund	0	0	601	601	0	0	783	783	1,384
E1800	Little Lectureship-Music	0	7,076	0	7,076	0	11,676	0	11,676	18,752
E1829	Visiting Jazz Artist Chr-State	0	23,745	0	23,745	0	36,976	0	36,976	60,721
E1840	Malcolm Bird Scholarship Fund	0	0	7,608	7,608	0	0	122	122	7,730
E1846	Frazier Endowed Twirler Fund	0	0	43,049	43,049	0	0	21,617	21,617	64,666
E1851	Schneider Suzuki String Pedago	0	3,549	0	3,549	0	9,256	0	9,256	12,805
E1886	Abell Young Composer Comp Endw	0	41,577	0	41,577	0	3,540	0	3,540	45,117
E1964	Ralph Viola/Violin Scholarship	0	0	2,565	2,565	0	0	0	0	2,565
E2054	Music G meyer 30 Yr Clebration	0	20,000	0	20,000	0	0	0	0	20,000
E7803	Overstreet Fund Music	0	0	0	0	0	5,642	0	5,642	5,642
E7822	Margaret S.Comstock Choral Fun	0	0	0	0	0	31,432	0	31,432	31,432
E7834	Hamlet Music Gen Financial Aid	5,698	0	0	5,698	30,160	0	0	30,160	35,858
E7836	Doris Bickel Charitable Fund	0	0	0	0	0	0	7,250	7,250	7,250
E9256	Mohr Scholarship Fund	0	10,608	0	10,608	0	0	152	152	10,760
School of Music Total		265,196	292,224	434,464	991,884	30,160	767,015	82,243	879,418	1,871,302

School of Nursing

E0233	Morgan, Ha & Rb Scholars	0	0	4,709	4,709	0	0	28	28	4,737
E0247	Van Bever Scholars Nursing	0	0	9,622	9,622	0	0	297	297	9,919
E0256	Hirsh Lewis A Memorial	15,471	2,468	0	17,939	0	52,072	0	52,072	70,011
E0260	Sasse Ep Scholar Fund	0	0	3,938	3,938	0	0	59	59	3,997
E0334	Craddock Grad Res Fund	0	0	5,279	5,279	0	0	11,618	11,618	16,897
E0374	Maria Lineriz Valdez Parel End	0	0	1,443	1,443	0	0	15	15	1,458
E0414	Arnold Memorial Scholars	0	0	3,977	3,977	0	0	10	10	3,987
E0496	Powers Endowed Chair	25,594	6,482	0	32,076	0	0	0	0	32,076
E0552	Powers Chair-State	34,431	2,985	0	37,416	0	0	0	0	37,416
E0749	QFE-School Nursing	0	0	9,603	9,603	0	0	2	2	9,605
E0772	Humana Scholars Nursing	0	0	3,348	3,348	0	0	76	76	3,424
E1005	Lacefield P K Scholars	0	0	1,404	1,404	0	0	385	385	1,789

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1641	Marc & Judy Norton Endowment	0	0	1,040	1,040	0	0	51	51	1,091
E1734	Dean Marcia J. Hern Faculty Aw	0	688	0	688	0	0	1,372	1,372	2,060
E1757	Trilogy Health Services Schola	0	0	2,141	2,141	0	0	50	50	2,191
E1866	Carrie MRabalais Scholarship	0	0	2,101	2,101	0	0	42	42	2,143
E1955	Beam Memorial Endowment	0	0	714	714	0	0	805	805	1,519
E7841	Sharon D & Robert OHarris Fnd	0	0	0	0	0	0	188,339	188,339	188,339
School of Nursing Total		75,496	12,623	49,319	137,438	0	52,072	203,149	255,221	392,659

School of Public Health and Information Sciences

E0453	Jewish Foundation Mas Fund	19,525	0	0	19,525	0	0	0	0	19,525
E1501	Henry Vogt Chair-Epidemiology	27,533	0	0	27,533	0	0	0	0	27,533
E1558	Schmidt Foundation Endowment	0	0	2,909	2,909	0	0	0	0	2,909
E1577	Vogt Chair-Epidemiology-State	6,833	0	0	6,833	0	0	0	0	6,833
E1594	Wendell Cherry Chair Ct Resear	10,429	0	0	10,429	6,741	0	0	6,741	17,170
E1598	Schmid Fdn For Public Hlth-Sta	0	0	2,783	2,783	0	0	1,799	1,799	4,582
School of Public Health Total		64,320	0	5,692	70,012	6,741	0	1,799	8,540	78,552

J.B. Speed School of Engineering

E0002	SPD J.B Speed Memorial	153,069	30,111	0	183,180	0	0	0	0	183,180
E0019	Atherton Scholarship	0	0	8,132	8,132	0	0	0	0	8,132
E0023	Montfort Scholarship	0	0	4,442	4,442	0	0	0	0	4,442
E0027	Streng Prize Fund	0	3,973	0	3,973	0	0	0	0	3,973
E0044	Monohan Scholarship	0	0	2,454	2,454	0	0	0	0	2,454
E0069	Hazelet & Erdal Scholars	0	0	3,871	3,871	0	0	0	0	3,871
E0144	Kurz, Hf&Hh	0	3,960	0	3,960	0	0	0	0	3,960
E0150	Richards/GE Mem Fund	0	0	6,921	6,921	0	0	0	0	6,921
E0172	Fife Lab Equipment Fund	0	13,365	0	13,365	0	0	0	0	13,365
E0176	Barnhouse, Jd Scholars	0	0	1,689	1,689	0	0	0	0	1,689
E0181	Fife, ST Award	0	1,956	0	1,956	0	0	0	0	1,956
E0187	Edward Reep Clark Endowed Chai	0	104,601	0	104,601	0	0	0	0	104,601
E0228	Wilburn-Shipman Scholarship	0	0	13,055	13,055	0	0	0	0	13,055
E0246	Dean Ernst Scholarship	0	0	10,048	10,048	0	0	0	0	10,048
E0253	Aux Lou Ky Sope Fund	0	0	2,562	2,562	0	0	0	0	2,562
E0263	Cooney Scholars Speed	0	0	3,747	3,747	0	0	0	0	3,747
E0278	Zeon Grad Fellowship	0	0	16,208	16,208	0	0	0	0	16,208
E0283	Schnur, RC Sr. Endow Equipment	0	8,768	0	8,768	0	0	0	0	8,768
E0284	Wilhelm A Endow Equipment	0	7,308	0	7,308	0	0	0	0	7,308
E0296	Fife, Samuel T Professorship	10,577	1,907	0	12,484	0	0	0	0	12,484

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0315	Veech Col J E Fund	23,170	0	11,561	34,731	0	0	37,700	37,700	72,431
E0336	Hsing Chuang Award	0	0	1,855	1,855	0	0	0	0	1,855
E0354	Lutz Microfab Lab	0	27,747	0	27,747	0	7,540	0	7,540	35,287
E0372	Lutz Paul&Flo Fund	231,056	83,475	0	314,531	0	133,036	0	133,036	447,567
E0375	Randolph C & M Lab	0	23,886	0	23,886	0	0	0	0	23,886
E0393	T Ward Scholarship Fund	0	0	1,464	1,464	0	0	3,135	3,135	4,599
E0394	Henderson Elec Fund	0	0	21,998	21,998	0	0	0	0	21,998
E0401	Theobald Scholarship	0	0	14,801	14,801	0	0	0	0	14,801
E0412	Brown & Williamson	0	11,783	0	11,783	0	0	0	0	11,783
E0419	Duthie Chair-Eng	30,660	15,203	0	45,863	0	0	0	0	45,863
E0423	Boultinghouse, M Fund	0	0	17,214	17,214	0	0	0	0	17,214
E0450	Mann Scholarship	0	0	6,106	6,106	0	0	0	0	6,106
E0459	Colgate Palmolive Scholars	0	0	4,593	4,593	0	0	0	0	4,593
E0462	Samtec Scholarship	0	0	3,674	3,674	0	0	0	0	3,674
E0465	Burton Scholarship	0	0	13,444	13,444	0	0	0	0	13,444
E0469	Neuhauser Fund	0	2,290	0	2,290	0	0	0	0	2,290
E0477	Runner, Wt Jr Fund	10,902	0	13,903	24,805	0	0	0	0	24,805
E0489	SPD Alum Computing 2	0	17,956	0	17,956	0	0	0	0	17,956
E0492	Shen Fellowship	0	0	17,888	17,888	0	0	0	0	17,888
E0493	Pullin Fellowship	28,012	0	11,057	39,069	0	0	0	0	39,069
E0510	Conn RL & HP Fellow	21,094	0	0	21,094	0	0	0	0	21,094
E0515	Grosscurth Scholars	543,289	0	217,323	760,612	0	0	0	0	760,612
E0525	Duthie Chair-State	28,481	12,147	0	40,628	0	12,537	0	12,537	53,165
E0548	Gordon-Hockensmith	0	18,890	0	18,890	0	0	0	0	18,890
E0560	SPD W.J. McGonigale Fund	23,170	37,438	0	60,608	0	0	0	0	60,608
E0574	Speed School Alumni	0	0	66,861	66,861	0	0	0	0	66,861
E0582	Brigman Award Fund	0	210	0	210	0	0	0	0	210
E0626	SPD Helen Baldez Fund	0	4,559	0	4,559	0	0	0	0	4,559
E0627	SPD AR Wilhelm	0	2,805	0	2,805	0	0	0	0	2,805
E0629	John Bochrn GE Gift Fund	0	5,336	0	5,336	0	0	0	0	5,336
E0680	Chen, Al Scholarship	0	0	2,666	2,666	0	0	0	0	2,666
E0697	QFE-Speed School	0	20,027	0	20,027	0	0	0	0	20,027
E0711	SPD-CAD Lab	0	11,005	0	11,005	0	0	0	0	11,005
E0720	QFE-SPD ECE	0	1,188	0	1,188	0	0	0	0	1,188
E0736	Fife, Samuel T Fund	0	0	2,140	2,140	0	0	0	0	2,140
E0800	Vogt Chair Computer Science	139,694	74,004	0	213,698	0	186,144	0	186,144	399,842
E0831	Schoettler, F W Fund	0	0	5,333	5,333	0	0	0	0	5,333
E0839	Speed Scholars Endowment	0	0	9,669	9,669	0	0	0	0	9,669

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Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0873	Heer, Je Jr Faculty Fund	20,047	118,985	0	139,032	0	83,698	0	83,698	222,730
E0912	SPD Alum Comput Fund	0	32,457	0	32,457	0	0	0	0	32,457
E0919	R Neiman Scholars	0	0	13,298	13,298	0	0	0	0	13,298
E0924	Mather-Frank Fellows	17,158	0	9,705	26,863	0	0	0	0	26,863
E0942	Schell J&E Scholars	0	0	1,581	1,581	0	0	0	0	1,581
E1006	Lutz Infrastructure	59,525	8,761	0	68,286	27,625	160,784	0	188,409	256,695
E1010	Renewable Energy Chair	108,272	21,661	0	129,933	0	32,728	0	32,728	162,661
E1012	Bio-Imaging	181,099	14,338	0	195,437	61,440	21,939	0	83,379	278,816
E1014	SPD-Chr of Mfg and Mtls-State	129,618	65,282	0	194,900	0	942,042	0	942,042	1,136,942
E1025	E-Commerce Chair	103,783	26,150	0	129,933	0	0	0	0	129,933
E1038	Mills J R Scholars	0	0	1,328	1,328	0	0	0	0	1,328
E1040	Kamdar Scholars-Speed	0	0	1,464	1,464	0	0	0	0	1,464
E1237	Conn Fellow Match - RCTF	14,011	0	3,589	17,600	0	0	0	0	17,600
E1239	Lutz RTCF	83,895	0	0	83,895	0	0	0	0	83,895
E1245	Davis D&M Endowment	0	0	3,006	3,006	0	0	0	0	3,006
E1253	E.ON US Minority Fellowship	0	0	7,873	7,873	0	0	0	0	7,873
E1259	Lutz Fundf-Mission Support	79,157	6,008	0	85,165	0	0	0	0	85,165
E1260	Runner Jr Fund - Ky	15,744	0	5,033	20,777	0	0	0	0	20,777
E1263	Lutz Infrac - RCTF	64,244	10,148	0	74,392	0	130,800	0	130,800	205,192
E1264	SPD-Biomechanics Chair - State	51,571	25,732	0	77,303	0	627,998	0	627,998	705,301
E1266	SPD-Biomechanics Chair	51,571	18,110	0	69,681	0	439,590	0	439,590	509,271
E1301	Cardiac Implant Science	64,954	16,204	0	81,158	28,729	122,072	0	150,801	231,959
E1302	Cardiac Implant Science-State	59,940	19,535	0	79,475	0	301,418	0	301,418	380,893
E1306	Hubbs Family Endowed Scholars	0	0	2,295	2,295	0	0	0	0	2,295
E1321	Bioengineering Chair-State Mat	129,652	22,921	0	152,573	95,436	307,576	0	403,012	555,585
E1323	Grosscurth Sch II	52,683	0	0	52,683	0	0	28,120	28,120	80,803
E1326	NEIMAN/ALUM CHE END	0	8,855	0	8,855	0	0	0	0	8,855
E1409	M.E. Alumni Fund	0	1,902	0	1,902	0	0	0	0	1,902
E1414	IIE Chapter Scholars	0	0	1,569	1,569	0	0	0	0	1,569
E1415	Murray, Jr. T.M. Endowment	0	0	14,150	14,150	0	0	0	0	14,150
E1420	CECS Lab Endowment	0	3,089	0	3,089	0	0	0	0	3,089
E1421	Schwarzalder Fellowship	28,012	0	9,082	37,094	0	0	1,250	1,250	38,344
E1431	Matthew Aldridge Cowan Fellow	28,012	0	7,416	35,428	0	0	0	0	35,428
E1435	Bio-Eng Chair Lutz	0	4,052	0	4,052	0	0	0	0	4,052
E1449	Paul Winnia Scholarship Fund	0	0	52,625	52,625	0	0	0	0	52,625
E1497	Heddy Kurz Building Fund Gift	0	7,318	0	7,318	0	0	0	0	7,318
E1515	Winna Professorship - State	68,734	3	0	68,737	167,676	61,473	0	229,149	297,886
E1551	SPD-Jerry & Pat Sturgeon Fund	0	1,677	0	1,677	0	0	0	0	1,677

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1556	SPD-Dr. Gwong-Chain Sun Scho	0	0	2,596	2,596	0	0	0	0	2,596
E1557	Cowan Ph.D. Fellowship-State	28,012	0	4,944	32,956	0	0	0	0	32,956
E1623	SPD-Mary Lou/Earl Kohnhorst	0	14,422	0	14,422	0	0	0	0	14,422
E1624	SPD-Kohnhorst Fund-State	0	0	0	0	0	34,820	0	34,820	34,820
E1687	John J & Maria Meek Huson Fund	0	3,651	0	3,651	0	1,722	0	1,722	5,373
E1694	C&I Engineering Scholarship	0	0	5,327	5,327	0	0	0	0	5,327
E1699	SPD-Leo & Jean Jenkins Fund	0	4,806	0	4,806	0	0	0	0	4,806
E1701	Spd G W Springstube Scholrship	0	0	1,289	1,289	0	0	0	0	1,289
E1727	Spd-Ed Davis Mem Scholarship	0	0	209	209	0	0	0	0	209
E1728	SPD-EON US Minority Schlrship	0	0	20,467	20,467	0	0	0	0	20,467
E1739	Fortwengler Family Scholarship	0	0	3,609	3,609	0	0	0	0	3,609
E1751	Gordon P Caldwell Scho Fund	0	0	5,909	5,909	0	0	0	0	5,909
E1752	Raymond & Rosemary K Graham S	0	0	1,198	1,198	0	0	0	0	1,198
E1756	Spd-Rolando "Chip" Cheng Jr	0	0	2,151	2,151	0	0	0	0	2,151
E1795	Spd-Steven V Bilyeu Scholars	0	0	794	794	0	0	538	538	1,332
E1801	Spd-Conn Ctr Director-State	57,478	28,451	0	85,929	0	0	0	0	85,929
E1802	Spd-Grad Fellows-State RCTF	28,012	0	23,316	51,328	0	0	0	0	51,328
E1808	Spd-Brenda Hart Minority Fund	0	3,277	0	3,277	0	2,830	0	2,830	6,107
E1816	Spd-Donald Bornstein Schol Fd	0	0	612	612	0	0	0	0	612
E1841	Spd EON US Endowed Chair in EN	69,520	49,847	0	119,367	0	231,663	0	231,663	351,030
E1857	Spd Henderson Services LLC Fnd	0	2,231	0	2,231	0	0	0	0	2,231
E1865	SPD-BioEngineering Chair Discr	0	1,056	0	1,056	0	0	0	0	1,056
E1890	SPD-Catherine L Childers Fund	0	1,443	0	1,443	0	0	0	0	1,443
E1897	Spd Dr Thomas E Mullin Schrsbp	0	0	3,877	3,877	0	0	0	0	3,877
E1902	Spd-Messer Diversity Schlrshp	0	0	2,373	2,373	0	0	0	0	2,373
E1911	SPD-A B F M Gibbons End Schol	0	0	2,283	2,283	0	0	0	0	2,283
E1912	SPD-Pat & Mickey Wilhelm Schol	0	0	3,331	3,331	0	0	0	0	3,331
E1929	Schrage Memorial Scholarship	0	0	1,051	1,051	0	0	0	0	1,051
E1961	Kleier Scholarship Fund	0	0	1,138	1,138	0	0	0	0	1,138
E1965	Judi Olsen Scholarship Fund	0	0	896	896	0	0	0	0	896
E2022	Spd-Duthie Renovation & Main	0	13,841	0	13,841	0	0	0	0	13,841
J.B. Speed School of Engineering Total		2,837,878	1,102,111	738,063	4,678,052	380,906	3,842,410	70,743	4,294,059	8,972,111
Exec. VP of Health Affairs										
E0927	MED/MSPA Endowment Fund	0	172,618	0	172,618	0	172,300	0	172,300	344,918
Exec. VP of Health Affairs Total		0	172,618	0	172,618	0	172,300	0	172,300	344,918

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Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
Exec. VP for Research and Innovation										
E0721	QFE-Research	0	2,348	0	2,348	0	29,003	0	29,003	31,351
Exec. VP for Research and Innovation Total		0	2,348	0	2,348	0	29,003	0	29,003	31,351
University President										
E0017	John F & Jean W Broeker Fund	57,337	0	0	57,337	0	0	0	0	57,337
E0647	President Reserve Fund	0	207,598	0	207,598	0	0	0	0	207,598
E0667	QFE Endowment-Venture Fund	0	779,050	0	779,050	0	627,998	0	627,998	1,407,048
E0798	QFE-WUOL FM Radio	0	10,919	0	10,919	0	1,245	0	1,245	12,164
E1669	Grawemeyer Public Rel	0	30,000	0	30,000	0	0	0	0	30,000
E2085	Samuel Swope President's Fund	0	52,309	0	52,309	0	0	0	0	52,309
University President Total		57,337	1,079,876	0	1,137,213	0	629,243	0	629,243	1,766,456
University Provost										
E0041	Brown, Mavin Hamilton	0	0	3,891	3,891	0	26,695	0	26,695	30,586
E0142	Roberson Florence Rd	0	0	4,864	4,864	0	0	2,376	2,376	7,240
E0257	McConnell Political Leadership	88,497	214,346	245,000	547,843	0	0	80,847	80,847	628,690
E0361	QFE-Sister Cities	0	0	5,523	5,523	0	12,969	0	12,969	18,492
E0427	McConnell Chair	78,921	4,745	0	83,666	10,084	0	0	10,084	93,750
E0527	McConnell Chair-State	79,663	2,932	0	82,595	10,084	0	0	10,084	92,679
E0701	QFE-Faculty Development	0	3,292	0	3,292	0	0	0	0	3,292
E0718	QFE-Academic & C Educ	0	861	0	861	0	0	0	0	861
E0817	Brodski, G Scholars	0	11,139	0	11,139	0	18,182	0	18,182	29,321
E1459	Grawemeyer Award/Music	0	160,000	0	160,000	0	0	0	0	160,000
E1460	Grawemeyer Award/Education	0	160,000	0	160,000	0	0	0	0	160,000
E1461	Grawemeyer Award/World Order	0	160,000	0	160,000	0	0	0	0	160,000
E1462	Grawemeyer Award/Psychology	0	160,000	0	160,000	0	0	0	0	160,000
E1463	Grawemeyer Award/Religion	0	160,000	0	160,000	0	0	0	0	160,000
E1464	Grawemeyer Award/KYANA Metro	0	6,500	0	6,500	0	0	0	0	6,500
E1469	Grawemeyer Adm/Religion	7,913	58,494	0	66,407	0	0	0	0	66,407
E1470	Grawemeyer Adm/General	31,648	33,971	0	65,619	0	0	0	0	65,619
E1475	Civic leadership & Education	34,118	89,353	0	123,471	0	50,237	0	50,237	173,708
E1532	Civic Leadership/Educ Endow	60,731	0	0	60,731	0	20,077	0	20,077	80,808
E1612	J&L Shumaker Int'l Scholars	0	0	608	608	0	330	0	330	938
E1614	David&Betty Jones Scholars	0	0	96,752	96,752	0	0	83,478	83,478	180,230
E1615	State Match-Jones Scholars Sta	0	0	0	0	0	0	26,524	26,524	26,524
E1634	Civics Research And Educ-Gift	0	30,798	0	30,798	0	18,805	0	18,805	49,603
E1635	Civics Research And Educ-State	0	0	0	0	0	13,809	0	13,809	13,809

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1671	Grawemeyer Residual Funds	75,855	29,667	0	105,522	0	0	0	0	105,522
E1774	Grawemeyer Year End Funds	0	169,712	0	169,712	0	(125,636)	0	(125,636)	44,076
E1920	Diversity Lecture Series	0	591	0	591	0	0	1,044	1,044	1,635
University Provost Total		457,346	1,456,401	356,638	2,270,385	20,168	35,468	194,269	249,905	2,520,290

Student Financial Aid

E0021	Flexner Scholarship	0	0	2,825	2,825	0	0	0	0	2,825
E0025	Selligman Scholarship	0	0	1,054	1,054	0	0	0	0	1,054
E0031	Flexner Scholarship	0	0	122,664	122,664	0	0	0	0	122,664
E0043	Wessinger, Harry Fund	0	0	4,795	4,795	0	0	0	0	4,795
E0049	G. Zorn Scholarship	0	0	1,876	1,876	0	0	0	0	1,876
E0054	Ford Scholarship	0	0	813	813	0	0	0	0	813
E0068	GE College Bowl	0	0	4,370	4,370	0	0	0	0	4,370
E0071	Thompson Scholarship	0	0	2,898	2,898	0	0	0	0	2,898
E0096	Brecher Scholarship	0	0	2,375	2,375	0	0	2,122	2,122	4,497
E0097	Smith, Milton H Fund	0	0	20,294	20,294	0	0	0	0	20,294
E0099	Hattie B. Speed Fund	0	0	941	941	0	0	0	0	941
E0101	Overstreet, Ca Fund	0	0	256	256	0	0	0	0	256
E0108	Humana Scholar Excel	0	0	51,743	51,743	0	0	6,591	6,591	58,334
E0137	Koster Pres Scholars	0	0	8,849	8,849	0	0	429	429	9,278
E0153	Douglas Scholarship	0	0	67,558	67,558	0	0	2,741	2,741	70,299
E0156	Kilgore Scholarship	0	0	123,765	123,765	0	0	7,834	7,834	131,599
E0166	KHSA-West Scholarship Fund	0	0	3,295	3,295	0	0	473	473	3,768
E0215	Ford/Eeoc Scholarship	0	0	27,816	27,816	0	0	20,340	20,340	48,156
E0222	Slugger,Louis Scholars	0	0	2,272	2,272	0	0	2,287	2,287	4,559
E0230	Price, G & L Scholars	0	0	1,486	1,486	0	0	0	0	1,486
E0231	Zonta Club	0	0	5,412	5,412	0	0	3,286	3,286	8,698
E0261	Courtaulds Coat Scholars	0	0	3,806	3,806	0	0	2,051	2,051	5,857
E0265	Fischer Family Fund	0	0	14,457	14,457	0	0	100,747	100,747	115,204
E0267	Getman O&C Scholars	0	0	4,395	4,395	0	0	0	0	4,395
E0288	Lexington Area Alumni	0	0	17,178	17,178	0	0	38,256	38,256	55,434
E0295	Fields Alumni Scholarship Fund	0	0	5,361	5,361	0	0	3,183	3,183	8,544
E0299	New Beginning Scholars Fund	0	0	35,914	35,914	0	0	70,727	70,727	106,641
E0316	Shbyvl/Fkt Alum Scholarship	0	0	3,043	3,043	0	0	13,345	13,345	16,388
E0320	Kent Ra V-12 Sch Fund	0	0	4,615	4,615	0	0	11,956	11,956	16,571
E0328	Caddy Mem-Aaf Scholarship	0	0	4,086	4,086	0	0	0	0	4,086
E0330	Dawson Alice S Scholarship	0	0	42,520	42,520	0	0	68,096	68,096	110,616
E0331	Druien Paula&Meta Award	0	0	3,857	3,857	0	0	2,368	2,368	6,225

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		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E0333	William G Caldwell Scholarship	0	0	9,414	9,414	0	0	3,164	3,164	12,578
E0350	Walker Morton Scholarship Fund	0	0	7,311	7,311	0	0	0	0	7,311
E0351	Baer Scholarship Fund	0	0	6,134	6,134	0	0	34,331	34,331	40,465
E0359	Yarmuth Endowment Fund	0	0	7,837	7,837	0	0	1,272	1,272	9,109
E0438	Conliffe Scholarship	0	0	7,841	7,841	0	0	8,292	8,292	16,133
E0445	Lenny Lyles Scholars	0	0	2,635	2,635	0	0	10,286	10,286	12,921
E0454	Landrum Scholarship	0	0	15,291	15,291	0	0	0	0	15,291
E0458	Harrison Co/UofL Scholars	0	0	15,165	15,165	0	0	1,165	1,165	16,330
E0460	Eagle Steel Scholarship	0	0	4,360	4,360	0	0	3,576	3,576	7,936
E0461	Koetter Family Scholarship	0	0	3,650	3,650	0	0	3,951	3,951	7,601
E0463	PNC Scholarship Fund	0	0	1,881	1,881	0	0	0	0	1,881
E0471	Shelby Inds Scholars	0	0	3,508	3,508	0	0	21,424	21,424	24,932
E0497	Carter R & L Scholars	0	0	16,792	16,792	0	0	5,263	5,263	22,055
E0561	New York Area Alumni	0	0	7,557	7,557	0	0	16,547	16,547	24,104
E0569	Moorman, C H Fund	0	0	5,411	5,411	0	0	0	0	5,411
E0617	Kosmos Portland Cement	0	0	112,952	112,952	0	0	4,796	4,796	117,748
E0663	Harris, Maud B Scholars	0	0	2,419	2,419	0	0	1,714	1,714	4,133
E0699	Humana Scholars Excellence	0	0	21,896	21,896	0	0	21,742	21,742	43,638
E0744	QFE-B&W Merit Awards	0	0	9,689	9,689	0	0	318	318	10,007
E0762	QFE-Scholarships	0	0	530	530	0	0	0	0	530
E0785	Humana Scholars A&S	0	0	872	872	0	0	0	0	872
E0786	Humana Scholars Business	0	0	1,313	1,313	0	0	0	0	1,313
E0832	King, MI Scholars Fund	0	0	67,396	67,396	0	0	0	0	67,396
E0833	Humana Scholars Excellence	0	0	2,564	2,564	0	0	1,922	1,922	4,486
E0836	Chicago Alumni Club	0	0	2,196	2,196	0	0	3,587	3,587	5,783
E0842	Houston Alumni Scholarship	0	0	1,125	1,125	0	0	17,270	17,270	18,395
E0874	Dallas-Ft Worth Alumni	0	0	834	834	0	0	12,837	12,837	13,671
E0913	S Indy-A&S Scholars	0	0	19,952	19,952	0	0	0	0	19,952
E0914	Sou Indy Scholars-7Co	0	0	35,917	35,917	0	0	0	0	35,917
E1001	Gr St Louis Alumni	0	0	871	871	0	0	5,391	5,391	6,262
E1002	Allen EF Scholars	0	0	847	847	0	0	0	0	847
E1043	Zimmerman Scholars Fund	0	0	2,175	2,175	0	0	0	0	2,175
E1044	Mueller R Scholarship Fund	0	0	4,687	4,687	0	0	17,325	17,325	22,012
E1284	Crum Scholars	0	0	4,013	4,013	0	0	9,728	9,728	13,741
E1288	Oppenheimer Fund	0	0	11,127	11,127	0	0	0	0	11,127
E1328	Switzerland Co. Scholars	0	0	822	822	0	0	445	445	1,267
E1410	Womens Club Scholars	0	0	6,231	6,231	0	0	7,478	7,478	13,709
E1437	Rodney Williams Legacy Schlshp	0	0	6,155	6,155	0	0	5,698	5,698	11,853

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1539	R Williams Scholarship Fund	0	0	10,722	10,722	0	0	3,626	3,626	14,348
E1542	Carl And Dora Jansing Hallmark	0	0	5,993	5,993	0	0	0	0	5,993
E1559	N. Jordan Scholarship Fund	0	0	12,469	12,469	0	0	0	0	12,469
E1654	River City Alumni Club Scholar	0	0	585	585	0	0	0	0	585
E1660	AAAC Scholarship	0	0	368	368	0	0	2,688	2,688	3,056
E1683	Teresa A. Butt Memorial Schsp	0	0	5,254	5,254	0	0	6,099	6,099	11,353
E1741	Corrine Huguely Scholarship	0	0	638	638	0	0	0	0	638
E1772	Betty&ChesterPorterFellowships	0	0	23,153	23,153	0	0	0	0	23,153
E1773	Tanner Family Scholarship	0	0	10,755	10,755	0	0	0	0	10,755
E1779	Dr. Mark and Cindy Lynn Schsp	0	0	24,839	24,839	0	0	8,591	8,591	33,430
E1781	Heidi King Vaughn Scholarship	0	0	1,865	1,865	0	0	2,306	2,306	4,171
E1849	Lake Cumberland Endowed Schlsh	0	0	998	998	0	0	2,084	2,084	3,082
E1850	Tampa Bay Endowed Scholarship	0	0	775	775	0	0	3,002	3,002	3,777
E1855	Wagge Dane Endowed Scholarship	0	0	1,444	1,444	0	0	0	0	1,444
E1863	Arizona Alumni Club Endowment	0	0	544	544	0	0	1,477	1,477	2,021
E1872	Roberts Memorial Scholarship	0	0	2,820	2,820	0	0	3,909	3,909	6,729
E1879	Henry P & Judith R Ford Schrsp	0	0	570	570	0	0	0	0	570
E1892	Morehead-Wigfall Family Schsp	0	0	976	976	0	0	0	0	976
E1894	Ramsey Family Scholarship	0	0	1,500	1,500	0	0	0	0	1,500
E1899	Vivian Hill Scholarship	0	0	49,627	49,627	0	0	133,679	133,679	183,306
E1905	Strong Family Scholarship	0	0	5,162	5,162	0	0	0	0	5,162
E1906	Pifer Endowed Scholarship Fund	0	0	1,114	1,114	0	0	0	0	1,114
E1914	Mackler Scholarship	0	0	1,347	1,347	0	0	0	0	1,347
E1915	Henry Vogt Scholarship	0	0	125,317	125,317	0	0	5,478	5,478	130,795
E1918	Mainous Scholarship Fund	0	0	591	591	0	0	0	0	591
E1919	Combs Memorial Scholarship	0	0	596	596	0	0	0	0	596
E1923	Jarrett Family Scholarship	0	0	1,860	1,860	0	0	0	0	1,860
E1929	Schrage Memorial Scholarship	0	0	544	544	0	0	0	0	544
E1931	Calhoun Family Scholarship	0	0	501	501	0	0	0	0	501
E1934	Jane Goldstein Honorary Schsp	0	0	6,031	6,031	0	0	0	0	6,031
E1942	Badgett Foundation Scholarship	0	0	29,648	29,648	0	0	0	0	29,648
E1957	Weisburg Scholarship	0	3,396	0	3,396	0	0	0	0	3,396
E1959	Ash and Forsee Scholarship	0	0	3,174	3,174	0	0	0	0	3,174
E1960	Zetta Mitchell Scholarship	0	0	7,283	7,283	0	0	0	0	7,283
E1961	Kleier Scholarship Fund	0	0	266	266	0	0	0	0	266
E1962	Grimm Scholarship Fund	0	0	565	565	0	0	0	0	565
E1975	Hermann Endowed Scholarship	0	0	3,474	3,474	0	0	0	0	3,474
E1977	Denny-Matamoros Scholarship	0	0	602	602	0	0	0	0	602

UofL Corporations
2016 Operating Budget

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1992	Cardinal Completer Scholarship	0	0	8,072	8,072	0	0	0	0	8,072
E1996	Jordan Murphy Memorial Schsp	0	0	4,678	4,678	0	0	0	0	4,678
E2009	Knoop Endowed Scholarship	0	0	508	508	0	0	0	0	508
E2010	Bates Endowed Scholarship	0	0	600	600	0	0	0	0	600
E2033	Whitaker Endowed Scholarship	0	0	1,059	1,059	0	0	0	0	1,059
E2035	Wittliff Endowed Scholarship	0	0	2,259	2,259	0	0	0	0	2,259
E2058	Henry Vogt Scholarship-Match	0	54,452	0	54,452	0	0	0	0	54,452
E7823	Barth Foundation Scholarship	0	0	0	0	0	0	2,574	2,574	2,574
Student Financial Aid Total		0	57,848	1,389,105	1,446,953	0	0	751,867	751,867	2,198,820

UofL Foundation

E0001	Pirtle, Belle Thomas	0	7,659	0	7,659	0	0	0	0	7,659
E0106	Belknap, Marion Fund	0	18,345	0	18,345	0	0	0	0	18,345
E0130	Koster, Fred C Estate	0	17,039	0	17,039	0	0	0	0	17,039
E0596	Gardiner, C R Fund	0	99,176	0	99,176	0	0	0	0	99,176
E0599	Stevenson, Mary Fund	0	38,035	0	38,035	0	0	0	0	38,035
E0616	Ford Foundation Gift	0	153,375	0	153,375	0	0	0	0	153,375
E1254	Bingham Endowment Fund	0	50,424	0	50,424	0	0	0	0	50,424
E1585	Kentucky Seed Fund Gift	0	2,869	0	2,869	0	0	0	0	2,869
E1887	Abell End Lou Orchestra	0	9,981	0	9,981	0	0	0	0	9,981
UofL Foundation Total		0	396,903	0	396,903	0	0	0	0	396,903

VP for Business Affairs

E0364	University Club Fund	0	215,350	0	215,350	0	0	0	0	215,350
VP for Business Affairs Total		0	215,350	0	215,350	0	0	0	0	215,350

VP for Finance

E1679	JOHN MEAD STROKE NSG FELLOW	0	4,781	0	4,781	0	6,498	0	6,498	11,279
VP for Finance Total		0	4,781	0	4,781	0	6,498	0	6,498	11,279

VP for Student Affairs

E0382	Covington Janice Scholars	0	0	1,333	1,333	0	0	0	0	1,333
E0392	Soper, JI Memorial Fund	0	0	3,208	3,208	0	0	17,453	17,453	20,661
E0767	Adams, H Mem Scholarship Fund	0	0	12,188	12,188	0	0	2,955	2,955	15,143
E0838	Fm Strickler Endowed	0	0	8,138	8,138	0	0	20,157	20,157	28,295
E0878	Torchbearer Endowment	0	0	3,434	3,434	0	0	2,955	2,955	6,389
E1042	Dorzback-Williamson-Bergmann	0	0	711	711	0	0	4,608	4,608	5,319

Number	Endowment Name	Spending Policy Allocation				Budgeted Carryover				Grand Total
		Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	Salaries and Fringe Benefits	Current Expense	Scholarships & Fellowships	Total	
E1749	George J Howe Endowment	0	0	1,343	1,343	0	0	73	73	1,416
E1750	RBAA Endowment	0	0	2,377	2,377	0	0	3,133	3,133	5,510
E1858	Louis & Louise W Bornwasser	0	0	4,259	4,259	0	0	1	1	4,260
VP for Student Affairs Total		0	0	36,991	36,991	0	0	51,335	51,335	88,326
VP for University Advancement										
E0203	QFE-W Wyatt Sr Award	0	49,241	0	49,241	0	514,777	0	514,777	564,018
E0901	Alumni Assoc Support	0	116,933	0	116,933	0	0	0	0	116,933
E2043	Dr. Wm Selvidge Endowment Fund	0	9,791	0	9,791	0	0	0	0	9,791
VP for University Advancement Total		0	175,965	0	175,965	0	514,777	0	514,777	690,742
Total Endowments										
		14,251,980	18,597,334	6,975,184	39,824,498	873,026	37,352,455	6,218,121	44,443,602	84,268,100

Gift Funds are resources that donors contribute for one-time use and may be either unrestricted or designated for a specific use. All gifts to the University, whether restricted or unrestricted, are received, managed, and invested under the aegis of the Foundation. The Foundation's revenue is generated primarily from philanthropic gifts, grants and bequests, and investment earnings. Gift funds are budgeted according to donor specifications. Donors typically designate a college, school, or division where the funds are to be used. Gift programs are budgeted according to available cash. Units are not permitted to budget pledges or estimate gift revenue before it is received. Historically, the School of Medicine receives the most gift funds. Table 23 shows gift funds by College, School, or Division (CSD). Table 24 shows five years of gift budget amounts by CSD.

Table 23: Gift Allocations by CSD for FY 2016

College, School, or Division	Salaries			Fringe Benefits	Current Expenses	Scholarships & Fellowships	Total by CSD	Percent to Total
	Faculty	Staff	Other					
College of Arts & Sciences	\$78,185	\$18,132	\$54,099	\$45,943	\$1,181,728	\$197,383	1,575,470	3.7%
College of Business	19,089	0	18,900	12,304	1,012,640	560,931	1,623,864	3.9%
School of Dentistry	0	0	0	0	811,644	4,145	815,789	1.9%
College of Education and Human Development	12,437	0	1,418	1,156	646,930	50,351	712,292	1.7%
School of Interdisciplinary and Graduate Studies	0	0	0	0	0	16,789	16,789	0.0%
Kent School of Social Work	0	10,895	0	4,271	81,024	39,083	135,273	0.3%
Brandeis School of Law	0	0	0	0	605,074	192,214	797,288	1.9%
University Libraries	0	0	7,333	2,564	448,059	0	457,956	1.1%
School of Medicine	5,803,682	2,997,235	1,384,451	2,588,173	18,807,711	66,295	31,647,547	75.2%
School of Music	5,957	0	0	659	385,511	22,250	414,377	1.0%
School of Nursing	91,813	0	18,900	29,418	199,871	11,195	351,197	0.8%
School of Public Health and Information Systems	115,995	0	68,735	41,939	36,822	34,418	297,909	0.7%
J.B. Speed School of Engineering	3,778	81,363	29,404	42,265	834,714	169,129	1,160,653	2.8%
Exec. VP Health Affairs	0	51,396	0	13,032	165,231	0	229,659	0.5%
Exec. VP of Research and Innovation	0	0	0	0	1,448	0	1,448	0.0%
Office of the President	0	0	0	0	535	0	535	0.0%
Office of the Executive VP and Provost	0	3,399	32,521	10,007	502,629	638,594	1,187,150	2.8%
Sr. VP Finance and Administration	0	0	0	0	5,966	0	5,966	0.0%
Vice President Business Affairs	0	0	0	0	4,239	0	4,239	0.0%
Vice President Community Engagement	0	0	0	0	33,674	0	33,674	0.1%
Vice President Human Resources	0	0	0	0	5,511	0	5,511	0.0%
Vice President Student Affairs	0	0	0	0	203,782	0	203,782	0.5%
Vice President University Advancement	0	33,259	15,030	13,277	355,866	0	417,432	1.0%
Total by Type of Use	\$6,130,936	\$3,195,679	\$1,630,791	\$2,805,008	\$26,330,609	\$2,002,777	42,095,800	100.0%

Source: Budget and Financial Planning

Table 24: Gift Budgets FY 2011-12 through FY 2015-16

	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	5 Year Change	
						Dollars	Percent
College of Arts & Sciences	\$1,754,690	\$1,585,227	\$1,538,348	\$2,033,223	\$1,575,470	(\$179,220)	-10.2%
College of Business	809,993	3,087,827	2,913,222	2,577,633	1,623,864	813,871	100.5%
School of Dentistry	489,127	367,955	731,231	878,227	815,789	326,662	66.8%
College of Education & Human Development	904,522	1,114,246	1,289,863	952,305	712,292	(192,230)	-21.3%
School of Interdisciplinary and Graduate Studies	19,500	20,425	20,450	23,935	16,789	(2,711)	-13.9%
Kent School of Social Work	93,693	98,911	117,489	187,853	135,273	41,580	44.4%
Brandeis School of Law	140,700	221,258	1,134,333	1,142,109	797,288	656,588	466.7%
University Libraries	309,287	347,316	890,404	549,618	457,956	148,669	48.1%
School of Medicine	32,877,176	33,936,623	33,946,848	36,235,502	31,647,547	(1,229,629)	-3.7%
School of Music	321,479	389,142	433,325	510,413	414,377	92,898	28.9%
School of Nursing	326,092	408,715	489,820	417,670	351,197	25,105	7.7%
School of Public Health	500	43,337	67,700	79,667	297,909	297,409	59481.8%
J. B. Speed School of Engineering	223,005	922,848	1,617,007	1,421,610	1,160,653	937,648	420.5%
University President	15,126	0	594	10,200	535	(14,591)	-96.5%
Office of the Provost	1,506,862	1,620,836	1,466,022	1,535,082	1,187,150	(319,712)	-21.2%
Exec. Vice President for Health Affairs	24,700	84,109	227,859	277,515	229,659	204,959	829.8%
Exec. Vice President for Research and Innovation	0	150	1,100	1,883	1,448	1,448	N/A
Sr. VP Finance and Administration	0	0	0	0	5,966	5,966	N/A
Vice President for Business Affairs	11,296	8,317	7,817	5,027	4,239	(7,057)	-62.5%
Vice President for Community Engagement	31,174	41,140	35,109	43,735	33,674	2,500	8.0%
Vice President for Finance	0	0	0	0	0	0	N/A
Vice President for Human Resources	280	1,146	6,004	7,163	5,511	5,231	1868.2%
Vice President for Information Technology	0	0	0	0	0	0	N/A
Vice President for Student Affairs	254,168	87,400	186,698	264,713	203,782	(50,386)	-19.8%
Vice President for University Advancement	393,448	112,379	71,734	190,440	417,432	23,984	6.1%
Total Gifts by Year	\$40,506,818	\$44,499,308	\$47,192,977	\$49,345,522	\$42,095,800	\$1,588,982	3.9%

Source: Budget and Financial Planning, UofL Foundation, Inc.

UNIVERSITY OF LOUISVILLE ATHLETIC ASSOCIATION, INC.

The University of Louisville Athletic Association, Inc. (ULAA) is an affiliated Kentucky not-for-profit corporation organized for the purpose of promoting intercollegiate athletic activities of the University. The primary mission of ULAA is to provide support and counsel to student athletes in order to help them maximize their potential at UofL and be successful in preparing for their future lives. In addition, ULAA is committed to achieving athletic excellence for its student athletes, maintaining NCAA rules and regulations compliance, ensuring full implementation of gender equity plans, and offering a wide variety of sports and recreational outlets for the University community, alumni, and visitors. For FY 2016, the total budget for ULAA is \$92,530,000.

There have been several recent important athletic changes in ULAA's budget. Most importantly, the University of Louisville joined the Atlantic Coast Conference (ACC) on July 1, 2014. As a result, media revenue from football and men's basketball was expected to increase by \$9.7 million in FY 2015. Additional marketing and TV production revenues are expected in FY 2016. ULAA five year revenue and expenditure budget trends are shown in Table 25.

Table 25: ULAA Revenue and Expenditure 5 Year Budget Trend

Revenues	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2015-16 Change	
						Amount	Percent
Football Program	\$18,340,000	\$21,755,000	\$23,090,000	\$31,070,000	\$33,090,000	\$2,020,000	6.5%
Papa John's Cardinal Stadium	290,000	290,000	400,000	400,000	450,000	50,000	12.5%
Basketball	23,352,000	21,782,000	24,317,000	25,815,000	26,820,000	1,005,000	3.9%
Annual Seat Donations	16,000,000	16,700,000	17,170,000	17,000,000	17,225,000	225,000	1.3%
NCAA/Conference Distribution	1,000,000	1,100,000	1,250,000	1,200,000	1,000,000	(200,000)	-16.7%
Marketing	4,315,000	4,315,000	4,715,000	5,045,000	7,870,000	2,825,000	56.0%
Earnings from UL Foundation	500,000	500,000	1,000,000	500,000	500,000	0	0.0%
Gender Equity commitment	1,323,000	1,323,000	1,323,000	1,320,000	1,320,000	0	0.0%
Other	3,690,000	3,735,000	3,955,000	3,900,000	4,255,000	355,000	9.1%
Total Revenue	\$68,810,000	\$71,500,000	\$77,220,000	\$86,250,000	\$92,530,000	\$6,280,000	7.3%
Expenditures							
Salaries	\$22,476,300	\$24,196,647	\$28,022,561	\$29,183,981	\$31,730,821	\$2,546,840	8.7%
Fringe Benefits	4,951,300	5,320,858	6,015,810	6,350,640	7,014,144	663,504	10.4%
Operating Expense	41,382,400	41,982,495	43,181,629	50,715,379	53,785,035	3,069,656	6.1%
Total Expenditures	\$68,810,000	\$71,500,000	\$77,220,000	\$86,250,000	\$92,530,000	\$6,280,000	7.3%

Source: UofL Athletic Association, Inc.

In FY 2016, a new NCAA policy allows Division I colleges to cover the full cost of a player's college attendance. Previously, colleges could only cover tuition, fees, room and board, and books. The University of Louisville expects to increase financial aid to athletes by more than \$1.3 million, as shown in Table 26.

Table 26: ULAA Financial Aid Budget Analysis

Sport	2015	2016	Dollar Change	% Increase
Football	\$2,929,185	\$3,328,600	\$399,415	13.64%
Men's Basketball	447,993	509,080	61,087	13.64%
Baseball	403,194	458,172	54,978	13.64%
Men's Golf	155,075	176,220	21,146	13.64%
Men's Tennis	155,075	176,220	21,146	13.64%
Men's Track/CC	434,209	493,416	59,207	13.64%
Men's Swimming	341,164	387,684	46,520	13.64%
Men's Soccer	341,164	387,684	46,520	13.64%
Women's Basketball	516,915	587,400	70,485	13.64%
Volleyball	413,532	469,920	56,388	13.64%
Women's Tennis	275,688	313,280	37,592	13.64%
Women's Track/CC	620,298	704,880	84,582	13.64%
Field Hockey	413,532	469,920	56,388	13.64%
Women's Swimming	482,454	548,240	65,786	13.64%
Women's Soccer	482,454	548,240	65,786	13.64%
Women's Golf	206,766	234,960	28,194	13.64%
Softball	413,532	469,920	56,388	13.64%
Rowing	689,220	783,200	93,980	13.64%
Lacrosse	413,532	469,920	56,388	13.64%
Total Sports	\$10,134,980	\$11,516,956	\$1,381,976	13.64%
Summer & 5th Year Aid	900,000	900,000	-	0.00%
Grand Total	\$11,034,980	\$12,416,956	\$1,381,976	12.52%

Source: UofL Athletic Association, Inc.

Use of funds will increase from \$86.2 million in FY 2015 to \$92.5 million in FY 2016. A \$4.3 million increase in debt service on Papa John's Cardinal Stadium accounts for most of the change. Table 27 shows the funds budget for ULAA budget by expenditure category.

Table 27: ULAA Application of Funds, FY 2014 to 2016 Budgets

Expenditure Category	2014	2015	2016	3 Year Change	
				Amount	Percent
Football Program	\$15,087,850	\$14,928,947	\$16,347,519	\$1,259,669	8.3%
Papa Johns Cardinal Stadium	1,827,063	1,860,211	1,831,180	4,117	0.2%
Basketball Program	7,461,597	8,311,802	8,886,822	1,425,225	19.1%
Other Varsity Sports	19,974,930	20,670,943	22,758,539	2,783,609	13.9%
Administration	3,958,989	4,224,954	4,876,724	917,735	23.2%
Marketing and Development	3,714,215	4,040,461	3,393,384	-320,831	-8.6%
Support Services	6,988,542	7,771,184	9,875,815	2,887,273	41.3%
Spirit Groups	314,934	362,398	342,797	27,863	8.8%
Game Management/ Facilities Operations	2,524,933	2,769,903	2,957,664	432,731	17.1%
Arena Related Expenses (MBB)	4,186,000	4,324,100	4,502,600	316,600	7.6%
Debt Service from Operations	3,208,000	7,418,000	7,535,600	4,327,600	134.9%
Other Expenses	6,522,947	7,017,097	7,158,956	636,009	9.8%
Contingency	1,450,000	2,550,000	2,062,400	612,400	42.2%
Total Operating Expenditures	\$77,220,000	\$86,250,000	\$92,530,000	\$15,310,000	19.8%

Source: UofL Athletic Association, Inc.

The Board of the University of Louisville Athletic Association approved the ULAA FY 2016 budget on June 8, 2015.

QUALITY AND CHARITY CARE TRUST

The Quality and Charity Care Trust (QCCT) is a non-affiliated not-for-profit corporation created from a trust agreement. Parties to the trust agreement include: the University, Louisville Metro Government, the Commonwealth of Kentucky, and the University Medical Center (UMC). The purpose of the trust is to manage and disburse funds to the UMC for indigent care provided at the University of Louisville Hospital. Table 28 shows the 5 year revenue and expenditure trend for QCCT. In addition to the Louisville Metro Government \$4.5 million contribution, the Commonwealth of Kentucky could provide up to \$4 million in FY 2016 based on certified reimbursable expenses.

Table 28: Quality and Charity Care Trust 5 Year Budget Trend

	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2015-16 Change	
Revenues						Amount	Percent
Louisville Metro	\$9,643,104	\$9,845,973	\$7,062,626	\$5,000,000	\$4,500,000	(\$500,000)	-10.0%
Total Revenue	\$9,643,104	\$9,845,973	\$7,062,626	\$5,000,000	\$4,500,000	(\$500,000)	-10.0%
Expenditures							
Operating Expense (patient care)	\$9,643,104	\$9,845,973	\$7,062,626	\$5,000,000	\$4,500,000	(\$500,000)	-10.0%

Source: UofL Budget and Financial Planning

UNIVERSITY OF LOUISVILLE MEDICAL SCHOOL FUND, INC.

The UofL Medical School Fund, Inc. is a non-affiliated Kentucky not-for-profit organization organized exclusively to benefit the academic endeavors of the University of Louisville School of Medicine. The purpose and duties of the Medical School Fund are to:

- Monitor and account for the Dean's Fund collections,
- Remit all collections to the Dean's Fund of the University of Louisville Research Foundation, Inc. within thirty days of receipt,
- Provide a forum for discussing academic practice-related issues and the continued equity of the professional practice plan, and
- Provide an annual report of its activities to the Board of Directors of the Medical School Fund and the Board of Trustees of the University of Louisville (University).

The Fund provides financial support to the School of Medicine by collecting a percentage of professional practice income from full-time clinical and basic sciences faculty employed by the School of Medicine. In April 2011, the Board of Trustees approved a plan to consolidate most practice groups in the School of Medicine into one practice plan. The goal is to create central strategic management and business operation efficiencies. The Medical School Fund is governed by a Board of Directors which includes the Dean of the School of Medicine, clinical department chairs, and other School of Medicine faculty members.

Table 29 shows the 5-year budget trend of the UofL Medical School Fund, Inc. For FY 2016, the budget is \$3.5 million, unchanged from FY 2015.

Table 29: UofL Medical School Fund 5-year Budget Trend

	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2015-16 Change	
Revenues						Amount	Percent
Pass Through Funds	\$3,400,000	\$4,000,000	\$4,000,000	\$3,500,000	\$3,500,000	\$0	0.0%
Total Revenue	\$3,400,000	\$4,000,000	\$4,000,000	\$3,500,000	\$3,500,000	\$0	0.0%
Expenditures							
Operating Expense	\$3,400,000	\$4,000,000	\$4,000,000	\$3,500,000	\$3,500,000	\$0	0.0%
Total Expenditures	\$3,400,000	\$4,000,000	\$4,000,000	\$3,500,000	\$3,500,000	\$0	0.0%

Source: UofL Medical School Fund, Inc.

CAPITAL BUDGET SUMMARY

The Commonwealth of Kentucky requires all state government agencies to maintain a six-year Agency Capital Plan. It is updated every two years. The first two years of the six-year plan become the basis for the State biennial capital budget request to the Legislature. Projects are listed in priority order.

In accordance with state statutes KRS 45.810 and 450.750, legislative authorization is required for all “capital projects” regardless of funding source. The following restrictions apply:

- Construction/renovation project of \$600,000 or more
- Equipment purchase of \$200,000 or more
- Information technology system cost of more than \$600,000
- Any lease more than \$200,000 per year

Capital projects not authorized in the state’s biennial budget may be authorized by the legislative Capital Projects and Bond Oversight Committee.

The proposed Agency Capital Plan for the University of Louisville is summarized in Table 30. Table 31 shows the 6-year capital plan by unit.

Table 30: Capital Budget Summary

Fund Source	Number of Projects	FY 2016-18 Biennium	FY 2018-20 Biennium	FY 2020-22 Biennium	6-Year Total
Agency Bonds	6	172,395,000	51,220,000	0	223,615,000
Restricted Funds	50	901,914,000	94,744,000	0	996,658,000
General Funds	12	163,473,000	501,210,000	15,000,000	679,683,000
Other	14	178,600,000	152,020,000	0	330,620,000
Total	82	\$1,416,382,000	\$799,194,000	\$15,000,000	\$2,230,576,000

Source: Business Affairs

Table 31: Capital Budget 2016-2022 Plan by Unit

Unit	Amount (\$)
Arts and Sciences	51,679,000
Athletics	78,340,000
Business	12,000,000
Senior VP for Finance & Administration	618,150,000
Education	56,260,000
Health Sciences Center	493,880,000
Information Technology Projects	90,500,000
Kent School of Social Work	5,389,000
Law School	36,081,000
President / Foundation	280,345,000
Provost	219,673,000
Research	155,409,000
J. B. Speed School of Engineering	28,100,000
Student Affairs	104,770,000
Total	2,230,576,000

Source: Business Affairs

SUPPORT MATERIALS - BOARD ACTION ITEMS**BUDGET FOR FY 2015**

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING THE PROPOSED BUDGET FOR FISCAL YEAR 2015-16

Finance Committee – May 14, 2015

Board of Trustees – June 4, 2015

RECOMMENDATION:

The President recommends that the Board of Trustees approve the proposed University operating budget for Fiscal Year 2015-16, as attached.

BACKGROUND:

The proposed General Fund Operating Budget for the University of Louisville is \$511.2 million for the Fiscal Year 2015-16. This is an increase of \$13.3 million, or 2.7%, in the overall budget, including debt service and contractual obligations.

UofL continues to embrace transparency, campus collaboration, and linkage with the strategic plan in developing a realistic budget. All campus constituencies were briefed throughout the budget development cycle as successive iterations of the proposed budget were developed and discussed.

While the University's state net appropriation for FY 2015-16 is unchanged, an additional \$1.3 million in debt service allocations is provided. After covering fixed costs and other current expenses, the proposed budget includes investment in strategic initiatives of \$10.3 million. The following table identifies the strategic initiatives.

Investment in Strategic Initiatives	
<u>Description</u>	<u>Amount</u>
<i>Student Initiatives</i>	
Total Student Initiatives	<u>1,013,500</u>
<i>Faculty & Staff Initiatives</i>	
Faculty/Staff salary increase pool of 3%	6,550,600
Fringe associated with salary increase	1,250,000
Faculty promotions	500,000
Staff reclassifications and promotions	<u>250,000</u>
Total Faculty & Staff Initiatives	8,550,600
Total Seed Funds for 21st Century Focus Areas	<u>750,000</u>
Total Investment in Strategic Initiatives	<u><u>10,314,100</u></u>

BUDGET BALANCING STRATEGIES:

The FY 2015-16 budget contains one balancing strategy related to the early retirement plan that began in FY 2012-13. Anticipated savings in FY 2015-16 total \$2.5 million.

Committee Action:

Passed: X

Did not Pass:

Other:

Date:

Board Action:

Passed: X

Did not Pass:

Other:

Date:

Kathleen M. Smith
Assistant Secretary

TUITION FOR AY 2015

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING TUITION INCREASES AND RELATED CHANGES FOR
ACADEMIC YEAR 2015-16

Finance Committee – May 14, 2015

Board of Trustees – June 4, 2015

RECOMMENDATION:

The President recommends that the Board of Trustees approve tuition increases per the attached schedules for Academic Year (AY) 2015-16. The recommendation is the result of much deliberation and consultation with the various constituency groups across campus and is consistent with the tuition rate increase parameters established by the Council on Postsecondary Education.

TUITION RATE INCREASES:

The Proposed Tuition Schedule below lists the recommended tuition rate changes for AY 2015-16.

For AY 2015-16 the proposed resident undergraduate tuition rate will increase by three percent and is consistent with Council on Postsecondary Education (CPE) guidelines promulgated in April 2014. Those guidelines allow universities to raise resident undergraduate tuition by no more than eight percent over the two-year 2014-2016 biennium and by no more than five percent in either fiscal year of the

biennium. The University of Louisville raised the resident undergraduate tuition rate by five percent in AY 2014-15; the University cannot increase the resident undergraduate tuition rate by more than three percent in AY 2015-16.

The CPE also requires the non-resident undergraduate tuition rate to be at least two times higher than the resident rate. UofL's proposed AY 2015-16 non-resident undergraduate rate is 2.36 times the resident rate.

University of Louisville Proposed Regular Tuition Schedule				
	AY 2014-15	AY 2015-16	Change	
			Amount	Percent
Undergraduate	Semester Rates			
Resident	5,118	5,271	153	3.0%
Nonresident	12,062	12,424	362	3.0%
Active Duty Military*	250	250	0	0.0%
Graduate				
Resident	5,663	5,832	169	3.0%
Nonresident	11,784	12,137	353	3.0%
Active Duty Military*	250	250	0	0.0%
Law (Full-time Program)				
Resident	9,753	10,046	293	3.0%
Nonresident	18,171	18,716	545	3.0%
Medicine	Annual Rates			
Resident	35,402	36,464	1,062	3.0%
Nonresident	53,812	55,426	1,614	3.0%
Dentistry				
Resident	29,972	30,870	898	3.0%
Nonresident	62,474	64,348	1,874	3.0%
* per credit hour				

University of Louisville Proposed Special Tuition Schedule				
	AY 2014-15	AY 2015-16	Change	
			Amount	Percent
Semester Rates				
Intensive English as a Second Language (IESL)				
Full-time Day Program	4,590	5,090	500	10.9%
Online Education (per credit hour)				
Undergraduate	483	497	14	3.0%
Graduate	693	714	21	3.0%
Graduate - Urban Planning & Public Admin	830	854	25	3.0%
Law, full-time	1,066	1,098	32	3.0%
Law, part-time	887	914	27	3.0%
Active Duty Military*	250	250	0	0.0%

* Excludes Master's of Engineering in Engineering Management Online fixed price program

The Executive Vice President and University Provost joins the President in making this recommendation.

Committee Action:

Passed: ☒ X

Did not Pass: ☐

Other: ☐

Date:

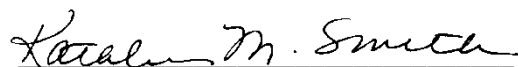
Board Action:

Passed: ☒ X

Did not Pass: ☐

Other: ☐

Date:


Assistant Secretary

INCREASE AND APPROVAL OF VARIOUS FEES

RECOMMENDATION TO THE BOARD OF TRUSTEES
CONCERNING INCREASE AND APPROVAL OF VARIOUS FEES
ACADEMIC YEAR 2015-16

Finance Committee – May 14, 2015

Board of Trustees – June 4, 2015

RECOMMENDATION:

The President recommends that the Board of Trustees approve the new fees and increases to the existing fees listed below.

- **College of Arts & Sciences Intensive English program:** Increasing the \$500 course fee for students enrolled in the 20-hour per week IELP program. The additional revenue generated will offset the higher costs associated with the program's day-to-day operations and help maintain program quality.
- **College of Arts & Sciences Physics and Astronomy fee:** The Department of Physics and Astronomy requests a new \$50 fee for six physics courses that use computers and specialized software for weather analysis. The fee will be assessed on courses in the Bachelor of Science in Atmospheric Science program. The proposed course fee will affect primarily junior and senior level students.
- **College of Education and Human Development, Department of Health and Sports Sciences:** A new course fee of \$95 for two fitness courses and a \$40 course fee will for three lab courses will help fund the replacement of aging and deteriorating exercise equipment. The new fees are projected to generate approximately \$40,000 in annual revenue.

- **Meal Plan Increase:** The following meal plan fee changes are due to increased operating costs and a goal to enhance our levels of customer service:
- Increase the resident meal plans by 3%:
 - Residence hall with a kitchen – increase by \$30 to \$960 per semester
 - Residence hall without a kitchen – increase by \$45 to \$1505 per semester;
 - Increase the commuter meal plan from \$175 to \$200 per semester; and
 - For Academic Years 2017 and 2018, the resident meal plans will be increased by the Consumer Price Index-Urban, Food Away from Home.
 - It will be capped at a maximum of three percent annually.
 - The commuter meal plan cost of \$200 per semester will not be increased for Academic Years 2017 and 2018.
 - All proposed meal plan increases have been approved by SGA.
- **Student Housing:** Based on projected increases in maintenance and operations costs and other related programmatic costs, housing rates will increase by three percent for non-family units and by two percent for family units. This recommendation applies to the following UofL owned residence halls: Louisville, Medical Dental apartments, Miller, Threlkeld, Unitas, and University Tower Apartments.

Committee Action:

Passed: X

Did not Pass:

Other:

Date:

Board Action:

Passed: X

Did not Pass:

Other:

Date:

Katalin M. Smith
Assistant Secretary

SIX YEAR CAPITAL PLAN

**RECOMMENDATION TO THE BOARD OF TRUSTEES
UNIVERSITY OF LOUISVILLE
REGARDING SIX-YEAR CAPITAL PLAN**

Finance Committee – May 14, 2015

Board of Trustees – June 4, 2015

RECOMMENDATION:

The President recommends that the list of projects on [Attachment A](#) be approved for submission to the Capital Planning Advisory Board as the university's 2016-2022 Capital Plan.

Committee Action:

Passed: ☒ X

Did not Pass: ☐

Other: ☐

Date:


Board Action:

Passed: ☒ X

Did not Pass: ☐

Other: ☐

Date:


Assistant Secretary